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Cumulative advantage in open environments: risks and solutions

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> 3rd United Nations Open Science Conference 9th February 2023





Q. Might Open Science be at risk in some cases of reinforcing existing privileges or creating new ones?

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The ON-MERRIT project

- H2020 project: October 2019 March 2022
- Methods: Sociological, bibliometric and computational approaches
- https://on-merrit.eu

Objectives

- Examine issues of equity in Open Science, including its interfaces with industry and policy
- Ensure that Open Science & RRI interventions contribute to a more equitable scientific system





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Synthesises **evidence and discourse** from **268 studies** about how dynamics and structures of inequality could persist or be exacerbated in the transition to Open Science

Ross-Hellauer, Reichmann, Cole, Fessl, **Klebel** & Pontika. 2022. Dynamics of cumulative advantage and threats to equity in open science: a scoping review. Royal Society Open Science. <u>http://doi.org/10.1098/rsos.211032</u>

ROYAL SOCIETY Dynamics of cumulative **OPEN SCIENCE** advantage and threats to royalsocietypublishing.org/journal/rsos equity in open science: a scoping review 0 Review Tony Ross-Hellauer^{1,2}, Stefan Reichmann², Cite this article: Ross-Hellauer T. Reichmann S Cole NI, Fessi A, Klebel T, Pontika N, 2022 Nicki Lisa Cole^{1,2}, Angela Fessl^{1,2}, Thomas Klebel¹ and Dynamics of cumulative advantage and threats to equity in open science: a scoping review. R. Soc. Nancy Pontika³ Open Sci. 9: 211032. https://doi.org/10.1098/rsos.211032 ¹Know-Center GmbH, Graz, Austria

Many (diverse) threats – for example:

- Costs of participation
- Discriminatory OA APC business-model
- Cumulative nature of data inequalities
- Platform-logic of Open Science
- Lack of reward structures
- Exclusion of societal voices

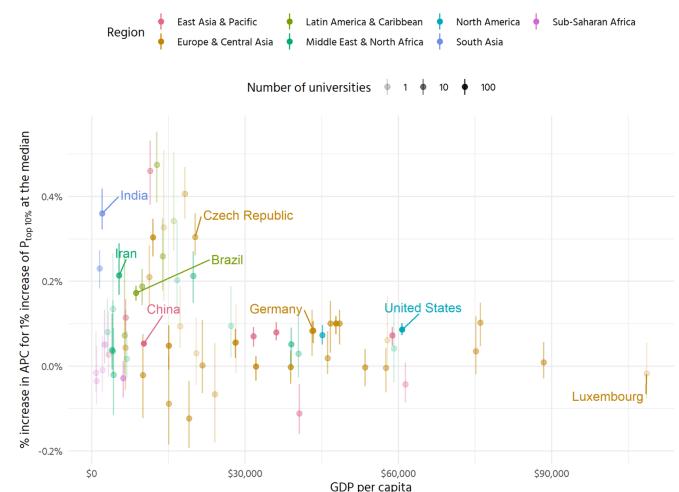


APCs and the stratification of OA publishing

Researchers from better resourced institutions publish more APC-based OA and pay higher APCs.

Influence of institutional resources on levels of APCs is stronger in lowerincome countries.

OA publishing involving APCs is creating a new barrier for who can publish where



Klebel, T., Ross-Hellauer, T. (2023). The APC-Barrier and its effect on stratification in open access publishing. Quantitative Science Studies. Advance Publication. <u>https://doi.org/10.1162/qss_a_00245</u>



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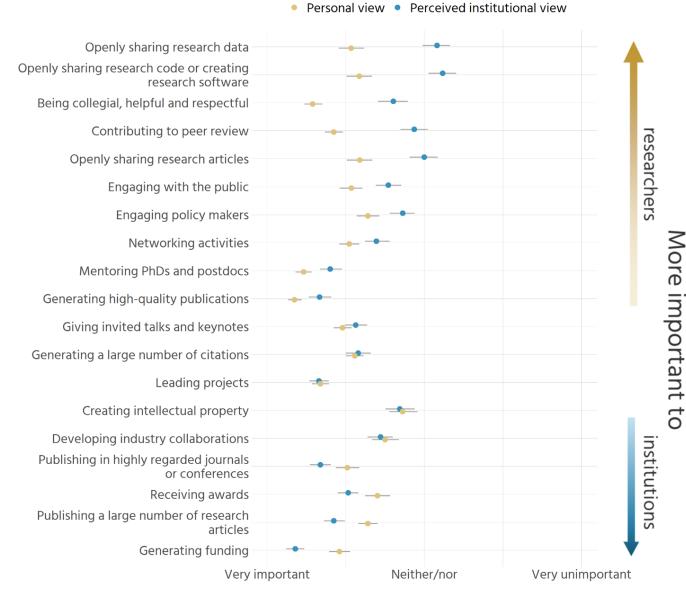
Study of 143 policy documents from 107 institutions on review, promotion, tenure criteria in seven countries

Criteria do not encourage open, responsible and reproducible practices

Survey among international researchers reveals dissonance between personal and institutional norms

Pontika, **Klebel**, Correia, Metzler, Knoth & **Ross-Hellauer**. 2023. Indicators of research quality, quantity, openness and responsibility in institutional review, promotion, and tenure policies across seven countries. *Quantitative Science Studies*. <u>https://doi.org/10.1162/qss_a_00224</u>

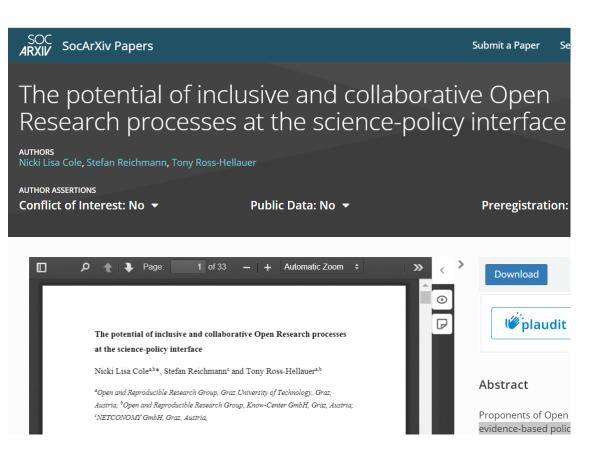
Ross-Hellauer, **Klebel**, Knoth & Pontika. 2023. Value dissonance in research(er) assessment: Individual and institutional priorities in review, promotion and tenure criteria. SocArXiv (preprint). <u>https://doi.org/10.31235/osf.io/dcr8v</u>



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Inclusion at the Science-Policy Interface

- Qualitative study of researchers working at science-policy interface
- Found limited impact of Open Science so far
- Enabling access is not enough
- Need for Open Science to focus more on cognitive accessibility, upstream engagement and participatory methods



Cole, N. L., Reichmann, S., & **Ross-Hellauer**, T. (2023). The potential of inclusive and collaborative Open Research processes at the science-policy interface. SocArXiv (preprint). <u>https://doi.org/10.31235/osf.io/qzmf6</u>



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ON-MERRIT Recommendations

Co-creative, modified Delphi process (anonymous surveys combined with online consensus-building meetings) with diverse experts from three stakeholder

Recently published in Royal Society Open Science

Cole, Reichmann and Ross-Hellauer. 2023.

- Fou Toward Equitable Open Research:
 - Stakeholder Co-created Recommendations for
 - Research Institutions, Funders and Researchers.

Royal Society Open Science.

- Societal inclusion in research and policymaking
- Reform of reward and recognition

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https://zenodo.org/record/6276753

Global Thinking

ON-MERRIT recommendations for maximising equity in open and responsible research

www.on-merrit.eu





Thank you!

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European Commission









Universidade do Minho



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