

SECURITY COUNCIL COMMITTEE ESTABLISHED  
PURSUANT TO RESOLUTION [2127 \(2013\)](#)  
CONCERNING THE CENTRAL AFRICAN REPUBLIC

20 March 2017

**GUIDELINES OF THE COMMITTEE  
FOR THE CONDUCT OF ITS WORK  
as revised and adopted by the Committee on 20 March 2017<sup>1</sup>**

**1. The Security Council Committee established pursuant to resolution [2127 \(2013\)](#) concerning the Central African Republic**

(a) The Security Council Committee established pursuant to resolution [2127 \(2013\)](#) concerning the Central African Republic, also known as the 2127 Sanctions Committee, shall hereinafter be referred to as “**the Committee**”. The Committee is a subsidiary organ of the Security Council and consists of all the Members of the Council.

(b) The Chair of the Committee will be appointed by the Security Council to serve in their personal capacity. The Chair will be assisted by one or two delegations who will act as Vice-Chairs, and who will also be appointed by the Security Council.

(c) The Chair will preside over formal meetings and informal consultations of the Committee. When the Chair is unable to chair a meeting, they will nominate one of the Vice-Chairs or another representative of their Permanent Mission to act on their behalf.

(d) The Committee is assisted by a Panel of Experts established by paragraph 59 of resolution [2127 \(2013\)](#) (“**the Panel of Experts**”).

(e) The Secretariat of the United Nations will provide the Committee with secretariat support.

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<sup>1</sup> The Guidelines are available on the Committee’s website:  
<https://www.un.org/sc/suborg/en/sanctions/2127/committee-guidelines>

## 2. Mandate of the Committee

(a) The Committee's mandate, as defined in paragraph 57 of resolution [2127 \(2013\)](#), expanded by paragraph 41 of resolution [2134 \(2014\)](#) and extended by paragraph 19 of resolution [2339 \(2017\)](#), is as follows:

- i. Monitor implementation of the measures imposed in paragraphs 54 and 55 (arms embargo) of resolution [2127 \(2013\)](#) and paragraphs 30 (travel ban) and 32 (asset freeze) of resolution [2134 \(2014\)](#), recently extended by, respectively, paragraphs 1, 5 and 12 of resolution [2339 \(2017\)](#) (“**the measures**”) with a view to strengthening, facilitating and improving implementation of these measures by Member States;
- ii. Designate those individuals and entities subject to the measures;
- iii. Review information regarding those individuals who may be engaging in the acts described in paragraphs 16 and 17 of resolution [2339 \(2017\)](#) (the “**designation criteria**”);
- iv. Consider reports by the Panel of Experts;
- v. Revise, as deemed necessary, these guidelines to facilitate the implementation of the measures;
- vi. Report to the Security Council on its work as deemed necessary by the Committee, or as required by the Security Council;
- vii. Encourage a dialogue between the Committee and interested Member States, in particular those in the region, including by inviting representatives of such States to meet with the Committee to discuss implementation of the measures;
- viii. Seek from all States whatever information it may consider useful regarding the actions taken by them to implement effectively the measures;
- ix. Examine and take appropriate action on information regarding alleged violations or non-compliance with the measures;
- x. Receive notifications and decide upon requests for exemptions from the measures as set out in paragraphs 1 (arms embargo), 10 (travel ban), and 13, 14 and 15 (asset freeze) of resolution [2339 \(2017\)](#).

### **3. Meetings of the Committee**

(a) Meetings of the Committee, both formal and informal, will be convened at any time the Chair deems necessary, or at the request of a Member of the Committee. Two working days' notice will be given for any meeting of the Committee, although shorter notice may be given in urgent situations.

(b) The Committee will meet in closed session unless it decides otherwise. The Committee may invite non-members of the Committee, including other UN Member States, the Secretariat, regional and international organizations, NGOs and individual experts, to participate in its meetings and informal consultations for the purpose of providing information or explanations relating to any violations or alleged violations of the sanctions measures imposed by resolution [2127 \(2013\)](#), or to address the Committee and assist it, on an *ad hoc* basis, if necessary and useful to the progress of its work. The Committee will consider requests from Member States to send representatives to meet with the Committee for more in-depth discussion of relevant issues or to give voluntary briefings on their efforts to implement the measures, including particular challenges that hinder full implementation of the measures.

(c) The Committee may invite the members of the Panel of Experts to attend meetings as appropriate.

(d) Meetings and informal consultations of the Committee will be announced in *The Journal of the United Nations*.

### **4. Decision-making**

(a) The Committee shall make decisions by consensus of its Members. If consensus cannot be reached on a particular issue, the Chair may undertake such further consultations as may facilitate agreement, or encourage bilateral exchanges between Member States, as he/she deems appropriate, in order to clarify the issue prior to a decision. If, after these consultations, consensus still cannot be reached, the matter may be submitted to the Security Council by the Chair.

(b) Decisions may be taken by a written “**no-objection procedure**”. In such cases, the Chair will circulate to all Members of the Committee the proposed decision of the Committee, and will request Members of the Committee to indicate, in written form, any objection they may have to the proposed decision within five working days (or, in urgent situations, such shorter period as the Chair shall determine). If no objection is received by the end of the specified period, the proposed decision will be deemed adopted. Objections received after the defined period will not be considered.

(c) In the absence of an objection being indicated, a Committee Member may request more time during the no-objection period to consider a proposal by placing a hold on the matter. In such cases, the matter will be considered “**pending**”. While the matter is pending, any Committee Member may place its own hold on that matter. The Secretariat shall notify the Committee Members of any holds. If the Committee Member that placed a hold requires additional information to resolve the pending matter, it may ask the Committee to request additional information from the State(s) concerned.

(d) A matter will remain pending until: either any one Committee Member that placed a hold objects to the proposed decision; or all holds are lifted.

(e) The Committee shall ensure that no matter is left pending for a period longer than six months. At the end of the six-month period, the pending matter shall be deemed approved unless (i) a Committee Member concerned has objected to the proposal; or (ii) the Committee determines, at the request of the Committee Member concerned, on a case by case basis that extraordinary circumstances require additional time to consider the proposal and extends the time for consideration by up to one month at the end of the six-month period. At the end of this additional period, the pending matter shall be deemed approved unless the Committee Member concerned has objected to the proposal.

(f) A hold placed on a matter by a Member of the Committee will cease to have effect at the time its membership of the Committee ends. New Members of the Committee shall be informed of all pending matters one month before their membership begins and are encouraged to inform the Committee of their position on relevant matters, including possible approval, objection or hold, at the time they become Members.

(g) The Committee will regularly review, as necessary, the status of pending issues as updated by the Secretariat.

## 5. The List

- (a) The Committee will maintain a List of individuals and entities (“**the List**”) designated pursuant to the designation criteria.
  
- (b) The Committee will keep the List under continuous review and the Secretariat will update it regularly, when the Committee has agreed to include or delete relevant information in accordance with the decision-making procedure set out in these guidelines. Relevant information for updating the List may notably include additional identifying information and other information, along with supporting documentation, including the movement, incarceration, or death of listed individuals and other significant events, as such information becomes available.
  
- (c) The updated List will be made promptly available in all official languages on the website of the Committee. At the same time, any amendment to the List will be communicated to Member States immediately through Notes Verbale, including an electronic advance copy, and United Nations Press Releases following the Committee’s approval.
  
- (d) At the same time as an update is made to the Committee’s sanctions List, the Secretariat shall also update the Consolidated United Nations Security Council Sanctions List.
  
- (e) The Committee will continue to cooperate with INTERPOL, particularly as regards the usage of the INTERPOL-United Nations Security Council Special Notices, to alert law enforcement authorities worldwide that an individual or an entity is subject to United Nations sanctions.
  
- (f) Once the updated List is communicated to Member States, States are encouraged to circulate it widely, such as to banks and other financial institutions, border points, airports, seaports, consulates, customs agents, intelligence agencies, alternative remittance systems and charities.

## 6. Listing

- (a) The Committee will decide on requests for the designation of individuals and/or entities referred to in paragraphs 5 (travel ban) and 12 (asset freeze) of resolution [2339 \(2017\)](#) on the basis of the designation criteria.
- (b) The Committee will consider all written requests from Member States to add the names of individuals and entities to the List within five working days, as decided by the Committee, from the date of official transmittal of such requests to the Committee. If no holds or objections are received within the defined time period, the additional names will be promptly incorporated into the List.
- (c) Member States are advised to submit names as soon as they gather the supporting evidence of actions that meet the designation criteria. When submitting names of entities, States are encouraged, if they deem it appropriate, to propose for listing at the same time the names of the individuals responsible for the decisions of the concerned entity.
- (d) A Member State that submits a proposal to include a name on the List is the “**designating State**” for that name. Where more than one State jointly submits a proposal to include a name on the List, each of those States is a “**designating State**” for that name.
- (e) Member States that want to be considered co-sponsors should inform the Committee in writing before the Committee has decided on the listing request.
- (f) Designating States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for listing in accordance with the designation criteria. The statement of case should provide as much detail as possible on the basis for listing indicated above, including: (1) specific findings and reasoning demonstrating that the criteria are met; (2) the nature of the supporting evidence (e.g., reports of the Panel of Experts, intelligence, law enforcement, judicial, media, admissions by subject, etc.); and (3) supporting evidence or documents that can be supplied. Member States should include details of any connection with a currently listed individual or entity. Member States shall identify those parts of the statement of case that may be publicly released, including for the purpose of notifying or informing the listed individual or entity and those parts that may be released upon request to interested States.

(g) When proposing additions to the List, Member States should use the standard form for listing, to be made available on the Committee's website, which shall include as much relevant and specific information as possible on a proposed name, in particular sufficient identifying information to allow for the positive identification of the individual or entity concerned by competent authorities, including:

- i. For individuals: family name/surname, given names, other relevant names (in original and Latin script), date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, State(s) of residence, passport or travel document (including date and place of issue) and national identification number, current and previous addresses, professional or functional title, website addresses, current location, bank account number(s) and any other information relevant to facilitate the application of the measures;
- ii. For entities: name, registered name, short name(s)/acronyms, and other names (in original and Latin script) by which it is known or was formerly known, address, headquarters, branches/subsidiaries, affiliates, fronts, nature of business or activity, State(s) of main activity, leadership/ management/ corporate structure, registration (incorporation), tax, or other identification number, website addresses, bank account number(s), and any other information relevant to facilitate the application of the measures.

(h) The Secretariat and, as appropriate, the Panel of Experts shall be prepared to assist Member States in this regard.

(i) The Committee will consider expeditiously requests to update the List. If a proposal for listing is not approved within the no-objection period of five working days as set out in paragraph 4 (b) above, the Committee will inform the submitting State, including all co-designating States, of the status of the request. In its communication to inform Member States of new entries to the List, the Secretariat shall include the publicly releasable portion of the statement of case.

(j) Following a new listing, the Secretariat shall make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries on the List.

(k) The Secretariat shall, after publication but within one week after the listing of an individual or entity, notify the Permanent Mission of the country or countries where the individual or entity is believed to be located and the country of which the person is a national (to the extent this information is known). The Secretariat shall include with this notification a copy of the publicly releasable portion of the statement of case, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, and the provisions for available exemptions. The letter shall remind States receiving such notification that they are required to take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the List of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the information provided by the Secretariat in the above-mentioned notification.

## **7. De-listing**

(a) Member States may submit at any time requests for delisting of individuals and entities inscribed in the List.

(b) Without prejudice to available procedures, a petitioner (individuals or entities on the List) may submit a petition to request review of the case.

(c) A petitioner seeking to submit a request for de-listing can do so either through the Focal Point process outlined in resolution [1730 \(2006\)](#)<sup>2</sup> as outlined in paragraph (g) below, or through his/her State of residence or nationality as outlined in paragraph (h) below. In cases where listings are made directly by a resolution of the Security Council, the Committee assumes the role of the designating State(s).

(d) A State can decide that as a rule, its nationals or residents should address their delisting requests directly to the Focal Point. The State will do so by a declaration addressed to the Chair that will be published on the Committee's website.

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<sup>2</sup> Information on the Focal Point for De-listing is available on the Committee's website (<https://www.un.org/sc/suborg/en/sanctions/delisting>)



(e) The petitioner should explain in the de-listing request why the designation does not or no longer meets the designation criteria, in particular through countering the reasons for listing as stated in the publicly releasable portion of the statement of case described above. The delisting request should also include the petitioner's current occupation and/or activities, and any other relevant information. Any documentation supporting the request can be referred to and/or attached together with the explanation of its relevance, where appropriate.

(f) For a deceased individual, the petition shall be submitted either directly to the Committee by a State, or through the Focal Point for De-listing, by the deceased individual's legal beneficiary, together with an official documentation certifying that status. The de-listing request shall include a death certificate or similar official documentation confirming the death. The submitting State or the petitioner should also ascertain and inform the Committee whether or not any legal beneficiary of the deceased's estate or any joint owner of his/her assets is on the List.

(g) If a petitioner chooses to submit a petition to the Focal Point, the latter would perform the following tasks, as specified in the Annex to resolution [1730 \(2006\)](#):

- i. Receive de-listing requests from a petitioner (individuals on the List);
- ii. Verify if the request is new or is a repeated request;
- iii. If it is a repeated request and if it does not contain any additional information, return it to the petitioner;
- iv. Acknowledge receipt of the request to the petitioner and inform the petitioner on the general procedure for processing that request;
- v. Forward the request, for their information and possible comments, to the designating State(s) and to the State(s) of nationality and residence. These States are urged to review de-listing petitions in a timely manner and indicate whether they support or oppose the request in order to facilitate the Committee's review. The State(s) of nationality and residence are encouraged to consult with the designating State(s) before recommending de-listing. To this end, they may approach the Focal Point, which, if the designating State(s) so agree(s), will put them in contact with the designating State(s);

1. If, after these consultations, any of these States recommend de-listing, that State will forward its recommendation, either through the Focal Point or directly to the Chair, accompanied by that State's explanation. The Chair will then place the de-listing request on the Committee's agenda;
  2. If any of the States, which were consulted on the de-listing request under subparagraph v. above oppose the request, the Focal Point will so inform the Committee and provide copies of the de-listing request. Any member of the Committee, which possesses information useful for evaluating the de-listing request, is encouraged to share such information with the States that reviewed the de-listing request under subparagraph v. above;
  3. If, after a reasonable time (3 months), none of the States which reviewed the de-listing request under subparagraph v. above comment, or indicate that they are working on the de-listing request to the Committee and require an additional definite period of time, the Focal Point will so notify all members of the Committee and provide copies of the de-listing request. Any member of the Committee may, after consultation with the designating State(s), recommend de-listing by forwarding the request to the Chair, accompanied by an explanation. (Only one member of the Committee needs to recommend de-listing in order to place the issue on the Committee's agenda.) If after one month, no Committee member recommends de-listing, then it shall be deemed rejected and the Chair shall inform the Focal Point accordingly;
- vi. The Focal Point shall convey all communications, which it receives from Member States, to the Committee for its information;
- vii. Inform the petitioner:
1. Of the decision of the Committee to grant the de-listing petition;
- or

2. That the process of consideration of the de-listing request within the Committee has been completed and that the petitioner remains on the List.

viii. Where appropriate, the Focal Point will inform the reviewing States of the outcome of the de-listing petition.

(h) If the petitioner submits the petition to the State of residence or citizenship, or nationality, the procedure outlined in the subparagraphs below shall apply:

i. The State to which a petition is submitted (the petitioned State) should review all relevant information and then approach bilaterally the designating State(s) to seek additional information and to hold consultations on the de-listing request;

ii. The designating State(s) may also request additional information from the petitioner's State of nationality or residence. The petitioned and the designating State(s) may, as appropriate, consult with the Chair during the course of any such bilateral consultations;

iii. If, after reviewing any additional information, the petitioned State wishes to pursue a de-listing request, it should seek to persuade the designating State(s) to submit jointly or separately a request for de-listing to the Committee. The petitioned State may, without an accompanying request from the designating State(s), submit a request for de-listing to the Committee, pursuant to the no-objection procedure;

iv. Where appropriate, the Chair will inform the reviewing States of the outcome of the de-listing petition.

(i) The Secretariat shall, within one week after a name is removed from the List, notify the Permanent Mission of the Member State or Member States where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known). The notification shall remind States receiving such notification that they are required to take measures, in accordance with their domestic laws and practices, to notify or inform the concerned individual or entity of the de-listing in a timely manner.

## **8. Updating the existing information on the List**

(a) The Committee shall consider and decide, in accordance with the following procedures, on updating the List, with additional identifying information and other information, along with supporting documentation, including the movement, incarceration, or death of listed individuals and other significant events, as such information becomes available.

(b) The Committee may approach the original designating State and consult with it on the relevance of the submitted additional information. The Committee may also encourage Member States or regional or international organizations, such as INTERPOL, providing such additional information to consult with the original designating State. The Secretariat will, subject to the designating State's consent, assist in establishing the appropriate contacts.

(c) The Panel of Experts may also provide the Committee with additional information on listed individuals or entities.

(d) Upon the decision of the Committee to incorporate additional information into the List, the Chair of the Committee will inform the Member State or regional or international organization that submitted the additional information accordingly.

## **9. Review of the Lists**

(a) The Committee shall, with the support of the Panel of Experts and the Secretariat, conduct an annual review of all names on the Lists, in which the relevant names, together with the original statement of case, are circulated to the designating States and States of residence and/or nationality, where known, in order to ensure the Lists is as updated and as accurate as possible and to confirm that the listing remains appropriate.

(b) Every year, the Secretariat shall circulate to the Committee the names of the individuals mentioned in the Lists as reportedly deceased, reportedly killed or killed, along with the original statement of case, as well as all relevant information pertaining to all updates to those entries and any information on reasons for listing available on the Committee's website. At the same time, the Panel of Experts shall provide the Committee with information on listed individuals whose death has been officially reported or

publicly declared by their State of residence or nationality, or reported through other open official sources. To ensure the Lists are as updated and as accurate as possible and to confirm that the listing remains appropriate, any Member of the Committee may request a review of these names, as necessary.

(c) In cases where any of the States reviewing the names in accordance with paragraph 9 (a) or 9 (b) above determines that a listing is no longer appropriate, that State may submit a delisting request following the same relevant procedures set out in Section 7 of these guidelines.

(d) The reviews described in this section shall not preclude the submission of delisting requests at any time, in accordance with the relevant procedures set out in Section 7 of these guidelines.

#### **10. Exemptions to the Arms Embargo**

(a) The Committee shall receive in advance, and consider and decide upon, requests for exemptions to the arms embargo on the Central African Republic as set out in paragraphs 1 (c), (d), (g) and (h) of resolution [2339 \(2017\)](#).

(b) Requests shall be submitted in writing to the Chair by the Permanent or Observer Mission of the State or the international, regional or subregional organisation or agency supplying the equipment.

(c) Alternatively, the Government of the Central African Republic shall request the Committee's approval in advance, as appropriate, for any shipments of items referred to in Section 10 (a) and (b) above.

(d) The Committee shall receive, in advance, notifications concerning exemptions to the arms embargo on the Central African Republic, as set out in paragraphs 1 (b) and (f) of resolution [2339 \(2017\)](#), respectively, for supplies of non-lethal equipment and provision of assistance intended solely for support of or use in the CAR process of Security Sector Reform (SSR); and for supplies of small arms and other related equipment intended solely for use in international patrols providing security in the Sangha River Tri-national Protected Area to defend against poaching, smuggling of ivory and

arms, and other activities contrary to the national laws of the CAR or the CAR's international legal obligations.

(e) Such advance notifications shall be submitted in writing to the Chair by primarily, the supplying Permanent or Observer Missions of States, or international, regional or subregional organisations, or alternatively, by the Government of the Central African Republic.

(f) Requests for advance approval for supplies brought into the CAR by Chadian or Sudanese forces solely for their use in international patrols of the tripartite force established on 23 May 2011 in Khartoum by the CAR, Chad and Sudan, to enhance security in the common border areas, in cooperation with MINUSCA, shall be submitted pursuant to paragraph 1 (c) of resolution [2339 \(2017\)](#).

(g) Requests for advance approval for supplies of non-lethal military equipment intended solely for humanitarian or protective use, and related technical assistance or training, shall be submitted pursuant to paragraph 1 (d) of resolution [2339 \(2017\)](#).

(h) Requests for advance approval for supplies of arms and other related lethal equipment to the Central African Republic security forces, including State civilian law enforcement institutions, intended solely for support of or use in the Central African Republic process of SSR, shall be submitted pursuant to paragraph 1 (g) of resolution [2339 \(2017\)](#).

(i) Requests for advance approval for sale or supply of arms and related materiel, or provision of assistance or personnel, shall be submitted pursuant to paragraph 1 (h) of resolution [2339 \(2017\)](#).

(j) All exemption requests and advance notifications shall be submitted in writing to the Chair by Permanent or Observer Missions of States, or international, regional or subregional organisations, and shall provide the following information:

- i. The exact type, nature, quantity, technical specification, and state (new or used) of the equipment, materiel or provision of assistance to be delivered;
- ii. The intended recipient and end-user of the equipment;
- iii. The proposed dates of departure and delivery;
- iv. The means of transport to be used;

- v. Details of the itinerary, including the specific place of delivery in the Central African Republic as well as places of departure and transit;
  - vi. The identification and serial numbers or markings of containers being transported (as well as the number of containers);
  - vii. The identity of the cargo carrier;
  - viii. Registration number and serial number of the aircraft used to deliver the equipment by air;
  - ix. Name and registration number of the ship for deliveries of equipment by sea;
  - x. Name of the transport company and registration number of the vehicles used for deliveries of equipment by road;
  - xi. The marking numbers of codes of each shipped item, including the marking numbers of each packaging element used to protect the equipment during the shipment;
  - xii. In the specific case of requests submitted pursuant to paragraph 1 (d) of resolution [2339 \(2017\)](#), in addition to the above, the request shall also specify the humanitarian or protective use of the non-lethal military equipment, and related technical assistance or training.
  - xiii. Permanent or Observer Missions of States, or international, regional or subregional organizations transmitting requests for, or notifications concerning, exemptions to the arms embargo shall provide information to the Committee regarding their coordination efforts with MINUSCA on the requested notification or exemption.
- (k) The Committee shall convey its decision on exemption requests to both the Government of the CAR and the supplying State, organisation or agency.

## **11. Exemptions to the Travel Ban**

(a) In paragraph 10 of resolution [2339 \(2017\)](#), the Security Council decided that the travel ban imposed under paragraph 30 of the resolution 2134 (2014) and most recently extended by paragraph 5 of resolution [2339 \(2017\)](#) shall not apply where the Committee determines on a case-by-case basis that such travel is justified on the grounds of humanitarian need, including religious obligation; where entry or transit is necessary for the fulfilment of a judicial process; and where the Committee determines, on a case-by-case basis, that an exemption would further the objectives of peace and national reconciliation in the Central African Republic and stability in the region.

(b) Each request for exemption to the travel ban decided under paragraph 10 of resolution [2339 \(2017\)](#) shall be submitted in writing, on behalf of the listed individual, to the Chair. The State(s) that may submit a request through their Permanent Mission to the United Nations are the State(s) of nationality, and of residence. The request may also be submitted through the relevant United Nations office.

(c) Except in cases of emergency, to be determined by the Chair, all requests for exemptions shall be received by the Chair as early as possible but not less than fifteen working days before the commencement date of the proposed travel. Upon the receipt of the request by the Chair, the Committee will consider the exemption request within a period of five working days under a no-objection procedure. In urgent situations, or on humanitarian grounds, the Chair shall determine whether to shorten the consideration period.

(d) All requests should include the following information, with accompanying documents to the extent possible:

- i. The name, designation, nationality and passport number(s) of the person(s) undertaking the proposed travel.
- ii. The purpose(s) of the proposed travel, with copies of supporting documents furnishing details connected to the request such as specific dates and times of meetings or appointments.



- iii. The proposed dates and times of departure from and return to the country from which the travel commenced.
- iv. The complete itinerary for such travel including the points of departure and return and all transit stops.
- v. Details of the mode of transport to be used, including where applicable, record locator, flight numbers and names of vessels.
- vi. A statement of specific justification for the exemption.

(e) For exemption requests based on medical or other humanitarian need, including religious obligation, the Committee will determine whether the travel is justified within the provisions of paragraph 10 of resolution [2339 \(2017\)](#), once informed of the name of the traveller, the reason for travel, the date and time of treatment, along with flight details, including transit points and destination(s). In cases of emergency medical evacuations, the Chair shall also be promptly provided with a doctor's note containing details of the nature of the medical emergency and the facility where treatment was received by the patient, without prejudice to the respect of medical confidentiality, as well as information regarding the date, time, and mode of travel by which the patient returned or will return to their country of residence.

(f) Any request for extension(s) of exemptions approved by the Committee pursuant to paragraph 10 of resolution [2339 \(2017\)](#) shall also be subject to the provisions above, and shall be received by the Chair in writing, attaching the revised itinerary, no less than five working days before the expiry of the approved exemption period, and circulated to Committee members.

(g) In cases where the Committee approves requests for exemptions to the travel ban, the Chair shall communicate in writing the decision, approved itinerary and timetable to the Permanent Mission to the United Nations of: the State of which the listed individual is a national or resident, or citizen, the State(s) to which the listed individual will be travelling, and any transit State, as well as any United Nations office involved as provided in paragraph (b) above.

(h) The Committee shall receive written confirmation, within five working days following the expiry of the exemption, from the State in whose territory the listed individual resides, or from the relevant United Nations office, with supporting documents, confirming the itinerary and date on which the listed individuals travelling under an exemption granted by the Committee returned to the country of residence.

(i) All requests for exemptions and extensions thereto which have been approved by the Committee pursuant to paragraph 10 of resolution [2339 \(2017\)](#) shall be posted on the Committee's webpage until confirmation of the return to the country of residence of the listed individual is received by the Committee.

(j) Any changes to the required travel information previously submitted to the Committee, particularly the points of transit, shall require the prior approval of the Committee and shall be received by the Chair and circulated to the Committee members no less than five working days prior to the commencement of the travel, except in cases of emergency, as determined by the Chair.

(k) The Committee Chair shall be immediately informed in writing in the event of advancement or postponement of travel for which the Committee has already issued an exemption. Submission to the Chair of written notification will be sufficient in cases where the time of departure is advanced or postponed no more than 48 hours and the previously submitted itinerary remains otherwise unchanged. If travel is to be advanced or postponed more than 48 hours before or after the date previously approved by the Committee, then a new exemption request must be submitted, and should be received by the Chair and circulated to Committee members.

## **12. Exemptions to the Asset Freeze**

(a) The Committee will determine whether an exemption to the asset freeze is justified on the basis of paragraph 13 of resolution [2339 \(2017\)](#).

(b) The Committee shall receive written notifications from Member States of their intention to authorize, where appropriate, access to frozen funds or other financial assets or economic resources to cover basic expenses, as provided for in paragraph 13 (a) of resolution [2339 \(2017\)](#) (“**the basic expenses exemption**”).

(c) The Committee, through the Secretariat, will immediately acknowledge receipt of the notification for the basic expenses exemption. Should no negative decision be taken by the Committee within five working days, the Committee, through its Chair, will inform the notifying Member State thereof. The Committee will also inform the notifying Member State if a negative decision has been taken regarding the notification.

(d) The Committee shall consider and approve, if appropriate, requests by Member States for extraordinary expenses, as provided for in paragraph 13 (b) of resolution [2339 \(2017\)](#) (“**the extraordinary expenses exemption**”). Member States are encouraged, when submitting requests for the extraordinary expenses exemption, to report in a timely way on the use of such funds.

(e) The Committee shall receive notifications from Member States regarding frozen assets which have been determined by relevant States to be the subject of a judicial, administrative or arbitration lien or judgement, in which case the funds, other financial assets and economic resources may be used to satisfy that lien or judgement provided that the lien or judgement was entered prior to the date of resolution [2339 \(2017\)](#), is not for the benefit of a person or entity designated by the Committee, and has been notified by the relevant States to the Committee, as provided for in paragraph 13 (c) of resolution [2339 \(2017\)](#).

(f) Notifications referred to in subparagraph (b) above and requests for the extraordinary expenses exemption referred to in subparagraph (d) should, as appropriate, include the following information:

- i. recipient (name and address);
- ii. recipient’s bank information (name and address of bank, account number);
- iii. purpose of payment and justification of the determination of the expenses falling under the basic expenses exemption and the extraordinary expenses exemption:

- under the basic expenses exemption:

- basic expenses, including payment for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;
- payment of reasonable professional fees and reimbursement of incurred expenses associated with the provisions of legal services;

- fees or service charges for routine holding or maintenance of frozen funds or other financial assets or economic resources.

- under extraordinary expenses exemption:

- extraordinary expenses (other categories than the ones mentioned under paragraph 13 (a) of resolution [2339 \(2017\)](#)):

- i. amount of installment;
- ii. number of installments;
- iii. payment starting date;
- iv. bank transfer or direct debit;
- v. interests;
- vi. specific funds being unfrozen;
- vii. other information.

(g) Pursuant to paragraph 14 of resolution [2339 \(2017\)](#), States may allow for the addition to accounts subject to the asset freeze of:

- i. interest or other earnings due on those accounts, or
- ii. payments due under contracts, agreements or obligations that arose prior to the date on which those accounts became subject to the assets freeze, provided that any such interest, other earnings (see i. above) and payments continue to be subject to the asset freeze.

(h) Pursuant to paragraph 15 of resolution [2339 \(2017\)](#), a designated individual or entity may make a payment due under a contract entered into prior to the listing of such a person or entity, provided that:

- i. the relevant States have determined that the payment is not directly or indirectly received by a designated individual or entity, and
- ii. after notification by the relevant States to the Committee of the intention to make or receive such payments or to authorize, where appropriate, the unfreezing of funds, other financial assets or economic resources for this purpose, 10 working days prior to such authorization.

**13. Other Information Supplied to the Committee**

(a) The Committee will consider other information relevant to its work, including information on possible non-compliance with the measures imposed by the relevant resolutions, received from different sources through Member States, international or regional organisations or the Panel of Experts. The Committee will make an appeal to all States, as well as to international or regional organisations, to that effect, advising them to submit their information in communications addressed to the Chair in writing, under assurance of confidentiality. The Committee may renew the appeal as occasion warrants.

(b) The information received by the Committee will be kept confidential if the provider so requests, or if the Committee so decides.

(c) With a view to assisting States in their endeavour to implement the arms embargo relating to the Central African Republic, the Committee may decide to supply information forwarded to it relating to possible non-compliance to the States concerned, and ask any such State to report to the Committee subsequently on any follow-up action undertaken.

(d) The Committee will provide Member States with an opportunity to send representatives to meet the Committee for more in-depth discussion of relevant issues or to give voluntary briefings on their efforts to implement the measures, including particular challenges that hinder full implementation of the measures.

(e) The Secretariat may forward to the Committee any information from published sources, including radio, television broadcasts and the Internet, relating to violations or alleged violations of the arms embargo, travel ban and asset freeze relating to the Central African Republic.

**14. Reports to the Security Council**

(a) The Committee, through its Chair, may report to the Council when it deems appropriate.

(b) The Committee, through its Chair, will report orally to the Security Council at least once per year pursuant to paragraph 37 of resolution [2339 \(2017\)](#) on the state of the overall work of the Committee, including alongside the Special Representative of the Secretary-General for the CAR on the situation in the CAR, as appropriate.

(c) In regular reports to the Security Council, the Chair will also provide progress reports on the Committee's work on the identification of possible cases of non-compliance with the measures pursuant to paragraphs 1, 2, 5 and 12 of resolution [2339 \(2017\)](#), including the Committee's work on these cases.

## **15. Outreach**

(a) The Committee shall make relevant information publicly available through UN accredited media, the Committee website and UN press releases.

(b) The Committee shall assist States, where necessary, in implementing the measures imposed by resolution [2127 \(2013\)](#), [2134 \(2014\)](#) and [2339 \(2017\)](#).

(c) In order to enhance the dialogue with Member States and to publicise the work of the Committee, the Chair will, on a regular basis, hold open briefings for all interested Member States. In addition the Chair may also, after prior consultations and with the approval of the Committee, hold press conferences and/or issue press releases on any aspect of the Committee's work. In these activities, the Chair can seek input from the Panel of Experts and support from the Secretariat.

(d) The Secretariat shall maintain a website for the Committee which should include all public documents relevant to the Committee's work, relevant resolutions, public reports of the Committee, relevant press releases, and reports submitted by Member States. Information on the website should be updated in an expeditious manner and in all official languages.

(e) The Committee may consider, as appropriate, visits by the Chair and/or Committee Members to selected Member States to enhance the full and effective implementation of the measures referred to above, with a view to encouraging States to comply fully with the relevant resolutions:

- i. The Committee shall consider and approve the proposal to visit selected countries, and coordinate such visits with the other subsidiary organs of the Security Council as appropriate.
- ii. The Chair will contact the selected countries through their Permanent Missions in New York, and will also send letters seeking their prior consent and explaining the objectives of the trip.
- iii. The Secretariat will provide the Chair and the Committee with the necessary assistance in this regard.
- iv. Upon his/her return the Chair will prepare a comprehensive report on the findings of the trip and will brief the Committee orally and in writing.

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