

Country: Ethiopia

Date of submission: September 15/2014

Voluntary National Report to the 11th Session of the United Nations Forum on Forests

Background

The eleventh session of the United Nations Forum on Forests (UNFF11) will be held from 4 to 15 May 2015 in New York. In accordance with the Forum's Multi-Year Program of Work for 2007-2015, the overall theme of UNFF11 is *Forests: progress, challenges and the way forward on the international arrangement on forests (IAF)*. The UNFF11 will, *inter-alia*:

1. Review progress towards the achievement of the Global Objectives on Forests (GOFs) and implementation of the Non-Legally Binding Instrument on All Types of Forests (hereinafter referred to as the "Forest Instrument"), and
2. Review the contribution of forests and the IAF, including the Forest Instrument, to the internationally agreed development goals, including the Millennium Development Goals (MDGs).

The submission of national reports by UNFF Member States on the above items will provide a crucial input to the Secretary-General's analytical, consolidated report to UNFF11 on the progress and trends in the above-mentioned areas, as well as to the Forum's deliberation on the future of the IAF.

Pursuant to Resolution 10/1 of the tenth session of the Forum¹, the UNFF Secretariat (UNFFS) in collaboration with the CPF member organizations and in close consultations with countries, revised and streamlined the reporting format. The attached revised format builds on and further simplifies the UNFF10 reporting format. Specifically, the number of questions has been reduced by consolidating previous areas of overlap, and Member States are asked to submit only information that is not currently provided to CPF member organizations, or that is unavailable in other international databases.

The UNFF11 reporting format is presented in two parts. Part I includes questions related to the progress on the implementation of the Forest Instrument, including achievement of the GOFs. Part II includes questions on the contribution of forests and SFM to the achievement of the MDGs.

To the extent possible, the information submitted by countries to the UNFFS will be supplemented with quantitative data, *inter alia*, from the following international data providers:

- FAO, Forest Resources Assessment (FRA)/Collaborative Forest Resources Questionnaire (CRFQ) - FAO, Forest Europe, UNECE, ITTO, Montreal Process and OFAC
- Joint Forest Sector Questionnaire (JFSQ) – FAO, ITTO, UNECE, EUROSTAT
- FAO, State of the World's Forests (SOFO) 2014
- Forest Stewardship Council (FSC) and Programme for the Endorsement of Forest Certification (PEFC).

¹ Resolution 10/1 of UNFF10 requested the UNFF secretariat (UNFFS) to further streamline the guidelines and format for voluntary national reporting to the eleventh session of the Forum including a technical discussion on reporting methodology with relevant experts of Collaborative Partnership on Forest member organizations, taking into account types of information provided to Collaborative Partnership on Forest member organizations and through criteria and indicators and other regional processes and balanced regional workshops depending on available resources. (United Nations Forum on Forests, Report on the Tenth Session -8 to 19 April 2013, E/2013/42 _E/CN.18/2013/18, Page 6)

Reporting Guidelines and Format

Member States are kindly requested to:

1. Submit their completed national report **electronically (in MS Word) in English, French or Spanish to unff@un.org, by 30 September 2014**, at the latest. Early submissions will greatly facilitate the Secretariat's preparation of the analytical, consolidated Secretary-General's report to UNFF11. Please note that the MS Word file of the reporting format can also be downloaded from the UNFF website at: http://www.un.org/esa/forests/unff11_reporting_template.doc
2. Submit, in a separate electronic file, a scanned version of the official, signed letter conveying their submission from their respective Ministry, or a note verbale from their Permanent Mission to the United Nations in New York.
3. Submit any graphic elements included in their national report, as separate electronic files.
4. Check all boxes that apply to questions that have multiple-choice components. In addition to the "Yes" or "No" answers, countries are encouraged to provide brief descriptive narratives, as this provides context and background. Please also note that space provided for the descriptive information is limited to 250 words per question.

In view of the cross-cutting nature of issues related to forests and sustainable forest management (SFM), respondents are encouraged to consult with experts from relevant national ministries to complete the reporting format, including, for example, the ministries of environment, economic development, finance and agriculture. Respondents are also strongly encouraged to consult with the national focal points for the FAO Forest Resources Assessment (FRA), the Rio Conventions, other CPF member organizations and C&I processes, where applicable.

Please note that the Year 2007 – the year of the adoption of the Forest Instrument (2007), is used as a baseline throughout the document.

All terms used in this document are consistent with the FAO/FRA Working Paper 180 "Terms and Definitions FRA 2015": <http://www.fao.org/docrep/017/ap862e/ap862e00.pdf>

Abbreviations

C&I	Criteria and Indicators processes
FAO	Food and Agriculture Organization of the United Nations
FLEGT	Forest Law Enforcement, Governance and Trade
FRA	FAO's global Forest Resources Assessment
GOF	Global Objectives on Forests
ITTO	International Tropical Timber Organization
MDGs	Millennium Development Goals
NFP	National Forest Programme
ODA	Official Development Assistance
SIDS	Small Island Developing States
SFM	Sustainable forest management
UN	United Nations
UNFF	United Nations Forum on Forests

General information

UNFF national focal point

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Person to contact concerning the national report, if other than the UNFF national focal point

Name:	
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PART I:
Progress on the implementation of the Forest Instrument,
including achievement of the Global Objectives on Forests (GOFs)

1. Since the adoption of the Forest Instrument in 2007, what actions has your government taken to strengthen forest-related policies, legislation, and law enforcement in support of sustainable forest management (SFM)? Please specify:

	New	Amendment of existing
Forest policy	√	<input type="checkbox"/>
Forest legislation	√	<input type="checkbox"/>
National forest programme	<input type="checkbox"/>	√
Forest land tenure	<input type="checkbox"/>	<input type="checkbox"/>
Other actions	<input type="checkbox"/>	
None	<input type="checkbox"/>	

Please provide further information on these and/or other actions:

Understanding the role of forest development, conservation and sustainable utilization in satisfying the needs of the society for forest products and the role in the enhancement of national economy in general, Ethiopia has designed national forest policy and strategy.

To what extent have these actions been effective in advancing implementation of the Forest Instrument?

	Effective	Partially effective	Not effective	Not in place
Forest policy	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Forest legislation	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
NFP	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Forest land tenures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other actions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please provide 2-3 examples of effective actions in support of the Forest Instrument and web-link(s) to relevant document(s):

The country has developed economy wide strategy and forestry is taken as one of the four pillars in the strategy.

2. Since 2007, what steps has your government taken to prevent and reduce international trafficking in illegally-harvested forest products such as timber, wildlife and other biological resources?

- New legislation
- √ Improved enforcement of existing legislation
- √ Export controls

- Import controls
- Bilateral agreements between exporting/importing countries
- None
- Other, e.g. public procurement policies, public-private partnerships. Please list below:

Strict control on trans boundary trafficking of forest products including wildlife

Please provide 2-3 examples and, if possible, provide web-link(s) to relevant document(s):

3. Since 2007, what steps has your government taken to raise the importance of forests and SFM in national development plans, poverty reduction strategies or other equivalent plans?

- Forests/SFM are now included in national development plans/strategies
- Forests/SFM now have a higher priority in national development plans/strategies
- The contribution of forests/SFM to poverty eradication, food security, water security, employment is an ongoing subject of discussion
- Other, please list below:

Participatory forest management that enhances people's participation in managing and using forests is taken as model approach for forest management. This has reduced unwanted pressure on forest resources. The country has also developed economy wide strategy and forestry is taken as one of the four pillars in the strategy.

Have these steps resulted in:

- More Official Development Assistance (ODA) being programmed to SFM-related activities
- More domestic public resources devoted to SFM-related activities
- Increased awareness of the importance of forests/SFM to sustainable development
- Increased awareness of the importance of forests/SFM to poverty eradication
- Other, please list below:

Please describe these steps, and, if possible, provide 2-3 examples and web-link(s) to relevant document(s):

PFM started in Chilimo and Bale mountains of Ethiopia. The country has now about 1.2million ha managed through PFM.

4. Since 2007, has your government taken measures to strengthen coordination across ministries and departments whose policies have an impact on or may affect forests and SFM?

Yes No

If Yes, please specify which ministries and/or departments are involved and how effective these measures have been in implementing SFM:

	Effective	Partially effective	Not effective	Not in place
Agriculture/Rural Development	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Climate Change	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Environment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mining	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Energy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tourism	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please provide 2-3 examples of cross-sectoral cooperation and, if possible, web-link(s) to relevant document(s):

Ministry of Environment and Forest is coordinating all other Ministries in the Climate Resilient green Economy strategy Implementation
 Ministry of Environment and Forest is coordinating the National Biodiversity conservation initiative

5. Does your government's national forest policy/strategy or national forest programme contain time-bound and quantified targets related to the forest area?

Yes No

If yes, please list the targets and dates by which they are to be achieved and, if possible, provide web-link(s) to relevant document(s):

In the next 10-15 years there will be 3million ha afforestation and 4million ha improved forest management

6. Since 2007, what activities has your government undertaken to reverse the loss of forest cover and/or to enhance the area and quality of forests? Please specify:

- Afforestation 25,000 ha
- Reforestation 10,000 ha
- Restoration 2,000,000 ha

Introduction or enforcement of:

- Existing legislation aimed at reduction of deforestation and/or support afforestation and or reforestation
- ✓ New legislation aimed at reduction of deforestation and/or support of afforestation and/or reforestation
- New legislation and actions aimed at conservation and protection of forests
- ✓ Subsidies for forest owners to prepare and implement management plans
- ✓ Subsidies for forest protection
- ✓ Reduced/deferred taxes for forest land
- Low-interest loans for forest activities/management
- Other, please list below:

Please describe, and, if possible, provide 2-3 examples and web-link(s) to relevant document(s):

Forest development, conservation and utilization policy and strategy of Ethiopia was launched in 2007

7. What types of mechanisms are currently in place for involving stakeholders in forest/SFM policy formulation, planning and implementation?

- Roundtables/committees have been established which meet regularly
- Forest authorities meet with stakeholders on an *ad hoc* basis as issues affecting them arise
- Other, please list below:

Comminty based participatory forest management is set-up and implemented in many areas

How effective are these mechanisms in promoting consensus approaches:

	Effective	Partially effective	Not effective	Not in place
Private sector	<input type="checkbox"/>	✓	<input type="checkbox"/>	<input type="checkbox"/>
Forest workers	<input type="checkbox"/>	✓	<input type="checkbox"/>	<input type="checkbox"/>
Local communities	✓	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indigenous communities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Public	✓	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please provide 2-3 examples, and, if possible, provide web-link(s) to relevant document(s):

PFM is implemented in Bale, Chilimo and Bonga Forests of Ethiopia

8. **To what extent do local and indigenous communities have tenure or user rights over publicly-owned forests?**

- Completely
- Partially
- None
- Not applicable

Please provide 2-3 examples how local and indigenous communities are benefiting from goods and services produced by forests and, if possible, provide web-link(s) to relevant document(s):

Communities around Bale, Chilimo and Bonga Forests are getting benefits from goods and services through PFM

9. **Since 2007, has your government developed or updated financing strategies to achieve SFM and to implement the Forest Instrument?**

- Yes
- No

If Yes, what is the timeframe of these strategies?

- 2-5 years
- 5-10 years
- 10-20 years
- Other, please specify:

These strategies take into account:

- Domestic public funding
- Domestic private funding
- Public international (including ODA and REDD+)
- External private funding

Please provide 2-3 examples, and, if possible, provide web-link(s) to relevant document(s):

National REDD+ readiness program

10. **Has your government established one or more systems/mechanisms for payment for ecosystem services (PES) provided by forests?**

- Yes
- No

If Yes, please specify, and, if possible, provide the estimated total value of these payments since 2007:

- Watershed protection/water supply USD
- Carbon storage (including REDD+) USD

- Nature conservation USD
- Other, please list below: USD

Please provide 2-3 examples of PES and, if possible, provide web-link(s) to relevant document(s):

11. Since 2007, has your government been able to mobilize significantly increased financial resources for the implementation of SFM? If yes, please specify sources:

	2007	2013
<input type="checkbox"/> Domestic public funding	USD	USD
<input type="checkbox"/> Domestic private funding	USD	USD
<input checked="" type="checkbox"/> Public international funding (including ODA and REDD+)	USD	USD 4,742,823
<input type="checkbox"/> External private funding	USD	USD

If there has been no increase, please describe the main challenges in mobilizing funds:

12. Since 2007, what steps has your government taken to encourage private sector investment in SFM and forests?

- Policy and legal reforms that encourage greater private investment
- Financial incentives, such as credit guarantees, tax breaks, employment subsidies etc.
- Establishment of markets for ecosystem services provided by forests
- Outreach to the private sector (leaflets, conferences, fairs promoting investment in forests)
- Development and improvement of infrastructure and other public services related to SFM
- Other; please list below:

Please provide 2-3 examples, and, if possible, provide web-link(s) to relevant document(s):

The Forest development, conservation and utilization policy and strategy of Ethiopia

And

The Climate resilient green economy strategy of Ethiopia

13. Is your government engaged in international cooperation to promote SFM?

√ Yes No

If Yes, please specify with whom:

- Government
- √ Intergovernmental Organization
- Private sector/philanthropy
- √ NGOs
- Others

Type of cooperation:

- √ North-South
- South-South
- √ Technical
- √ Financial
- Others

And specify the areas of cooperation:

- √ Forests and climate change
- √ Forest biodiversity
- Valuation of ecosystem services provided by forests
- Socio-economic issues, including livelihoods
- √ Forest degradation and rehabilitation
- Scientific cooperation
- √ Forest monitoring/data collection
- √ Technology transfer and capacity development
- Other, please list below:

Please provide 2-3 examples of international cooperation, and, if possible, web-link(s) to relevant document(s):

Norwegian and UK government support through the World Bank for National REDD+ and Oromia landscape project

Please list the key challenges faced by your government in implementing SFM:

Capacity challenges, financial

14. Does your country have one or more institutes or centers of excellence engaged in the development and application of scientific, technical and technological innovations for SFM?

Yes No

If Yes, what areas do these technical/scientific activities focus on:

- Forest inventory systems
- Low-impact logging or other harvesting techniques
- Wood production for energy
- Wood processing technology
- Waste reduction and recycling
- Other, please list below:

Are these programs directed at:

- Forest owners
- Timber companies/forest products industry
- State agencies
- Local communities
- Indigenous communities
- NGOs
- General public

Since 2007, has funding for forest-related science and research increased?

Yes No

Please describe, and, if possible, provide web-link(s) to relevant document(s):

CRGE

15. What actions has your country undertaken to increase public awareness of the important benefits provided by forests?

- Published broadcasts on TV and/or Radio
- Published materials, e.g. brochures, pamphlets, leaflets, or/and posters, etc.
- Meetings with the general public
- Art events/Exhibitions
- Activities in support of the International Day of Forests
- Other

Please provide 2-3 examples, and, if possible, provide web-link(s) to relevant document(s):

16. What sets of criteria and indicators (C&I) for SFM are used in your country:

- National set of C&I
- Regional/international set of C&I (e.g. ITTO, Forest Europe, Montreal Process, Taraporto etc.)
- Other

Please describe, and, if possible, provide web-link(s) to relevant document(s):

Are these C&I used to:

- Generate information to national reports on forest conditions and management
- Monitor and assess and monitor forest conditions and management
- Review and develop national forest policies and tools for SFM
- Communicate with society and carry out dialogue with stakeholders
- Report on forests to regional and international organizations If so, which ones:

17. Has your country translated the Forest Instrument into another language (not including the official UN languages: Arabic, Chinese, English, French, Russian and Spanish)?

NO

18. Please give examples of your country's success stories and lessons learned related to the implementation of the Forest Instrument, including contributions towards the four Global Objectives on Forests:

PART II

Contribution of forests and SFM to achievement of the Millennium Development Goals (MDGs)

The aim of Part II of this reporting questionnaire is to learn how forests and SFM have contributed to achieving the MDGs in your country, particularly with respect to poverty and hunger eradication (MDG1), environmental sustainability (MDG7) and developing a global partnership for development (MDG8).

19. How have forests/SFM contributed to eradicating extreme poverty and hunger (MDG1) in your country?

Please rate the scale of contribution on a scale of 1-5 (1 being the lowest and 5 being the highest)

By:	1	2	3	4	5
Improving livelihoods	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Supporting subsistence needs, including fuel wood, fodder and shelter	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	√
Contributing to food security, including through agroforestry systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
Providing employment, including employment in informal economy	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Other:	<input type="checkbox"/>				

Please describe, and, if possible, provide web-link(s) to relevant document(s):

20. How have forests/SFM contributed to ensuring environmental sustainability (MDG7) in your country?

Please rate the scale of contribution on a scale of 1-5 (1 being the lowest and 5 being the highest)

By:	1	2	3	4	5
Providing habitat	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Supporting ecosystem, species and genetic diversity	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Stabilizing soils and slopes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
Safeguarding water resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
Sequestering carbon	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	√
Providing timber, energy and other products	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>				

Please describe, and, if possible, provide a web-link to relevant document(s):

21. How have forests/SFM contributed to developing a global partnership for development (MDG8) in your country?

Please rate the scale of contribution on a scale of 1-5 (1 being the lowest and 5 being the highest)

By:	1	2	3	4	5
Engaging in regional and international collaboration on issues related to improved governance	<input type="checkbox"/>				
Through trade agreements involving forest products (e.g. bilateral/sub-regional/regional/inter-regional free trade agreements, EU voluntary partnership agreements, etc.)	<input type="checkbox"/>				
Fostering partnerships with the private sector	<input type="checkbox"/>				
Attracting foreign investment in the forest sector	<input type="checkbox"/>				
Fostering bilateral and international financial cooperation	<input type="checkbox"/>				
Fostering north-south, and south-south technical, technological and scientific partnerships	<input type="checkbox"/>				
Other	<input type="checkbox"/>				

Please describe, and, if possible, provide web-link(s) to relevant document(s):

22. Have forests/SFM contributed to making progress in achieving any of the remaining MDGs in your country?

Yes No

If Yes, please describe, and, if possible, provide web-link(s) to relevant document(s):

MDG2: Achieving universal primary education

MDG3: Promoting gender equality and empowering women

Both male and female are equally participating in PFM and its benefits.
Women are increasingly engaged in decision making in relation to forest management

MDG4: Reducing child mortality

MDG5: Improving maternal health

Food produced from forest based systems (agroforestry, agroforests, natural forests) are healthy

MDG6: Combating HIV/AIDS, malaria and other diseases