

## **Programme of Work of the Security Council Committee established pursuant to resolution 1540 (2004)**

**(01 October 2006 – 30 September 2007)**

This fifth programme of work of the 1540 Committee covers the period from 1 October 2006 to 30 September 2007.

Following the renewal of its mandate by the Security Council, the 1540 Committee has agreed the following work programme to fulfill its responsibilities under resolutions 1540 (2004) and 1673 (2006).

The Security Council in its resolution 1673 (2006) decided that the Committee shall intensify its efforts to promote the full implementation by all States of resolution 1540 (2004) through a work programme which shall include the compilation of information on the status of States' implementation of all aspects of resolution 1540 (2004), outreach, dialogue, assistance and cooperation, and which shall address in particular all aspects of paragraphs 1 and 2 of that resolution, as well as of paragraph 3 which encompasses (a) accountability, (b) physical protection, (c) border controls and law enforcement efforts and (d) national export and trans-shipment controls including controls on providing funds and services such as financing to such export and trans-shipment.

The Security Council in its resolution 1673 (2006) further decided that the 1540 Committee will submit to the Council a report no later than 27 April 2008 on compliance with resolution 1540 (2004) through the achievement of the implementation of its requirements. This work programme constitutes the first half of the work towards that end.

The Committee will continue to work with Member States on the implementation of Security Council resolutions 1540 (2004) and 1673 (2006), guided by the principles of cooperation, transparency, equal treatment and consistency in approach.

The Committee will focus, but not limit, its attention on two major areas of work:

a) Increasing its knowledge by examination of the information on the status of implementation of SCR 1540; including through

- (i) Encouraging more Member States to report and encouraging all Member States to share additional information;
- (ii) Further examination of information on the status of States' implementation of all aspects of resolution 1540 (2004).

b) Outreach, dialogue, assistance and co-operation to promote implementation of all

aspects of SCR 1540, including through

- (i) Outreach, including awareness raising about the obligations and requirements of SCR 1540;
- (ii) Tailored dialogue with States and regions about full implementation of the Resolution
- (iii) Its role in technical assistance,
- (iv) Identification of national practices
- (v) Co-operation, including developing relations with international, regional and sub-regional organizations

a) Increasing its knowledge by examination of the information on the status of implementation of SCR 1540: including through

*(i) Encouraging more Member States to report and encouraging all Member States to share additional information*

The Committee will:

- continue its active approach, including by correspondence, informal meetings with regional groups and other outreach activities, to remind States that have not yet submitted their first reports to do so without further delay;
- research publicly available websites of Governments and international organizations in order to identify legislation and other measures for States that have yet to report and, in an effort to encourage them to prepare and submit their first report, share that information with those States in the form of a matrix;
- continue examining incoming first reports from States and make available to them the findings of the examination along with requests for clarification or for updates on relevant legislation and enforcement measures;
- Encourage and assist States that submitted a first report to provide additional information as an on-going process.

*(ii) Greater examination of information held by the Committee, including compilation of information on the status of States' implementation of all aspects of resolution 1540 (2004)*

The Committee will

- In line with the requirements of paragraph 5 of resolution 1673, the Committee will address all aspects of resolution 1540, in particular all aspects of paragraphs 1, 2 and 3;

- Continue to maintain and update matrices for reporting States, including taking into account additional information received from States;
- Undertake further examination in areas where the initial examination of reports revealed gaps in information or implementation related to all aspects of the resolution encompassing all measures required, for each type of weapon, for their respective means of delivery, and for the materials related to them; including expanding the matrix as necessary;
- Undertake thematic consideration of the obligations and requirements under SCR 1540 and SCR 1673, based on examination by the experts, to identify areas for further work by the Committee;
- The Committee will work towards achieving the objective set out in Op6 of SCR 1673;
- The Committee will continue identifying, in its future work on examination of the national reports, national practices in implementing resolution 1540 (2004) that might be used in providing further general and specific guidance upon request, to States seeking legislative assistance in implementing the resolution.
- Regularly update and expand, as appropriate, its legislative database and will encourage States to make appropriate use of the background information provided through it.

b) *Outreach, dialogue, assistance and co-operation to promote implementation of all aspects of SCR 1540, including through*

(i) *Outreach, including awareness raising about the obligations and requirements of SCR 1540,*

The Committee will:

- Maintain transparency as an important objective of the work of the Committee. In this regard, the Committee will further interact on a regular basis with United Nations Member States and will regularly update its website (<http://disarmament2.un.org/Committee1540>);
- Continue to brief the Security Council and the UN Membership formally and informally about the work of the Committee and the obligations and requirements of SCR 1540, including to promote awareness of the definitions of the terms of the resolution, including "Means of Delivery", "Non State Actor" and "related materials";
- Make use of the meetings of international, regional and sub-regional organizations and other arrangements to address the obligations of States to implement resolution 1540 (2004) fully, and invite representatives of those organizations to participate in relevant meetings and workshops;
- Play a full part in regional seminars and events that raise awareness and promote implementation of resolution 1540 (2004); in particular through close involvement in upcoming events in Asia, Africa and Latin America/Caribbean

regions;

- Encourage States to promote dialogue and co-operation on non-proliferation so as to address the threat posed by proliferation of nuclear, chemical, or biological weapons, and their means of delivery.

*(ii) Tailored dialogue with States and groups of States about full implementation of the Resolution*

- Pursue an ongoing dialogue with States on the implementation of all aspects of resolution 1540 (2004), including on further actions needed from States and on technical assistance needed and offered;
- As part of that dialogue, respond to specific requests by Member states to help identify priorities in the process of national implementation of resolution 1540 in full;
- Maintain dialogue with States in order to identify gaps in their legislative framework and their enforcement measures and, if requested, to assist them to develop road maps or plans of action for addressing remaining measures to be taken to implement the resolution fully;
- Contact States that have already submitted additional information, after a period of time to be determined by the Committee, in order to take stock of the extent to which the resolution has been fully implemented by them;
- Continue the dialogue with States on the basis of their matrices endorsed by the Committee in order to examine the implementation of the resolution;
- Widen and intensify regional and sub regional outreach activities with a view to providing, on the specific request of a State or a group of States, in a structured manner, guidance to that State/States to implement their obligations under resolution 1540 (2004);
- Harmonize and adjust its outreach activities to meet the needs of individual States and to ensure the full implementation of the resolution, including by encouraging States in particular regions or with similar national priorities to share lessons learned;
- Encourage groups of States facing similar challenges to reporting or national implementation to work together, allowing each of them to submit national reports and to fulfill their obligation;

*(iii) Technical assistance,*

The Committee will:

- In dealing with assistance, the Committee will bear in mind all aspects of resolution 1540 (2004), including enforcement elements, and the definitions of terms including "Means of Delivery", "Non State Actor" and "related materials";
- Continue, through its experts, to act as a clearing house, including by collecting up-to-date information on the issue of assistance and by

informally contacting States to enquire whether they might be interested in receiving information on offers of and requests for assistance, and to promote assistance in close consultation with the States concerned;

- Co-ordinate assistance requests to help States offering assistance to work together with States that need such assistance;
- Seek to promote exchanges of information and dialogue among States on assistance, including continuous updates to and otherwise maintaining the data base on offers of assistance by States and international organizations and the data base on requests for assistance by States;
- Encourage States to make appropriate use of the background information provided through the legislative database developed by the Committee as well as legislative advice provided by international organizations, when enacting national implementation laws and measures;
- Provide, upon request, information, analysis and expertise to Member States and international organizations to help them make more effective offers of or, where appropriate, requests for assistance respectively toward implementing obligations under resolutions 1540 (2004) and 1673 (2006), using the matrix as a baseline and within guidance set by the Committee;
- Provide donor states and, upon request, other international organizations and regional organizations, with regular updates on assistance requests;
- Seek States' consent, as appropriate, to share the matrix the Committee has prepared on their implementation of resolution 1540 (2004) with potential donor States and organizations so potential donors that do not have access to such information could be better informed about States' implementation of resolution 1540 (2004);
- As appropriate, organize meetings of prospective donor States and/or international organizations, to share information about ongoing assistance; highlight perceived gaps, deconflict and co-ordinate assistance programmes;
- Encourage States to make use of the assistance programmes offered by the Organisation for the Prohibition of Chemical Weapons (OPCW), the International Atomic Energy Agency (IAEA) and other international organizations;
- If specifically asked, help States to prepare their requests for assistance;
- Explore with States and international, regional and sub regional organizations experience-sharing and lessons learned which are relevant to resolution 1540 (2004), and the availability of programmes which might facilitate implementation of the resolution;
- Consider regional analysis and approaches to assistance needs and efforts to meet them.

*(iv) Identification of national practices*

The Committee will:

- Continue to identify national practices that might be used for experience-sharing and lessons learned, inter alia with a view to providing further general guidance, upon request, to States that are seeking legislative assistance in implementing the resolution.

*(v) Co-operation, including developing relations with international, regional and sub-regional organizations*

- Explore with international, regional and sub-regional organizations experience-sharing and lessons learned in the areas covered by resolution 1540 (2004), and the availability of programmes which might facilitate implementation of the resolution;
- Enhance its co-operation with international organizations and develop preferred mechanisms for co-operating with those organizations on a case-by-case basis, reflecting the variation in each organizations; capacity and mandate, based on proposals from the experts;
- Continue to maintain co-operation with the Counter-Terrorism Committee (CTC) and the Al Qaeda/Taliban Sanctions Committee and to participate in the joint briefings to the Security Council.