

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State:	Republic of Uzbekistan
Date of Report:	15 November 2004
Dates of Additional Reports:	28 December 2007 22 June 2016
Date of Approval:	9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT) Deposit 7 May 1992	
2	Nuclear Weapons Free Zone/ Protocol(s) Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ): Deposit 10 May 2007	
3	International Convention for the Suppression of Acts of Nuclear Terrorism Deposit 29 April 2008	
4	Convention on Physical Protection of Nuclear Material (CPPNM) Deposit 9 February 1998	
5	2005 Amendment to the CPPNM Deposit 7 February 2013	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force) Deposit 29 May 1997	
7	Chemical Weapons Convention (CWC) Deposit 23 July 1996	
8	Biological Weapons Convention (BWC) Deposit 26 January 1996	
9	Geneva Protocol of 1925	
10	1997 International Convention for the Suppression of Terrorist Bombings Deposit 30 November 1998	
11	1999 International Convention for the Suppression of the Financing of Terrorism Deposit 9 July 2001	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments		
16	International Atomic Energy Agency (IAEA)	Since 1994	
17	Directly relevant Arrangements	<ol style="list-style-type: none"> 1. Agreement of the Commonwealth of Independent States on coordination of issues related to the export control of raw materials, materials, equipment, technology and services, etc of 26 June 1992 2. Agreement on export control procedures of States members of the Eurasian Economic Community of 28 October 2003 3. Agreement between the States Members of CIS on Chemical Weapons of 15 May 1992 4. Agreement on a Single procedure for Export Control by the States Members of the Eurasian Economic Community of 28 October 2003 5. Agreement between Member States of the Shanghai Cooperation Organisation on the establishment of the Regional Antiterrorist Body of 5 September 2003 6. Proliferation Security Initiative (PSI) 7. Global Initiative to Combat Nuclear Terrorism (GICNT) 	
18	Statement on non-provision of WMD and related materials to non-State actors	State reaffirms its resolve to actively combat the proliferation of weapons of mass destruction in all its aspects and using all available forces and means and to support the international community's measures against it.	
19	Membership in relevant international, regional or sub-regional organisations	<p>Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG)</p> <p>World Customs Organization (WCO)</p> <p>Organization for Security and Cooperation in Europe (OSCE)</p> <p>International Criminal Police Organization (INTERPOL)</p> <p>World Health Organization (WHO)</p> <p>World Organisation for Animal Health (OIE)</p> <p>The Shanghai Cooperation Organisation (SCO)</p> <p>Commonwealth of Independent States (CIS)</p>	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework						Enforcement and civil/criminal penalties			Remarks
		X/?			Source document of national implementation law	X/?			Source document		
		N W	C W	B W		N W	C W	B W			
1	manufacture	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 Law No. 82-II on the Entry of Amendments and Additions to Some Legislative Norms of the Republic of Uzbekistan, 26 May 2000	X	X	X	NW/CW/BW: Criminal Code, Article 255-1		
2	acquire	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Criminal Code, Article 247		
3	possess	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Criminal Code, Article 254		
4	develop	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1		
5	transport	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Articles 255-1, 155 Law 167-II on Combating Terrorism of 15 December 2000, Article 12		
6	transfer	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Articles 255-1, 155 Law 167-II on Combating Terrorism of 15 December 2000, Article 12		

7	use	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW : Criminal Code, Article 255-1 NW: Criminal Code, Article 254
8	attempt to engage in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code, Article 25	X	X	X	NW/CW/BW: Criminal Code, Article 25
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Articles 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Articles 28, 29, 30
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Articles 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Articles 28, 29, 30 and 155
11	finance abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Articles 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Articles 28, 29, 30 and 155 The Financial Intelligence Unit in place 04.2009
12	abovementioned activities related to means of delivery ¹	?	X	X	NW/CW/BW: Law on Transit of special goods and Military Contingents No. 213-II, dated 11.05.2001	?	X	X	CW/BW: Criminal Code, Article 255-1

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document	X/?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X			NW: INFCIRC/508		?		CW: Criminal Code Article 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
2	Measures to account for use	X			NW: INFCIRC/508		?		CW: Criminal Code Article 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
3	Measures to account for storage	X			NW: INFCIRC/508		?		CW: Criminal Code Article 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
4	Measures to account for transport						?		CW: Criminal Code Article 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
5	Measures to secure production	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ)					

6	Measures to secure use	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ) Act 120-II of 31 August 2000 on radiation safety Articles 8, 22	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	
7	Measures to secure storage	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ) Act 120-II of 31 August 2000 on radiation safety, Article 8,22	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	
8	Measures to secure transport	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ) Act 120-II of 31 August 2000 on radiation safety Act on external economic activities, 2002	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	
9	Physical protection measures									
10	Personnel Reliability									

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Act 120-II of 31 August 2000 on radiation safety, Article 22 State Inspection Agency Sanoatkontekhnazorat	
2	Licensing of nuclear installations/entities/ use of materials	1. Act 71-II of 25 May 2000 on the licensing of specific types of activities 2. Decree No. 111 of the Cabinet of Ministers of 6 March 2004 3. Criminal Code, Articles 190 and 255	
3	IAEA Safeguards Agreements	INFCIRC/508, 8 October 1994 Additional Protocol, 21 December 1998	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPAS)	Approved	
8	Applying the physical protection		

	recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	<ul style="list-style-type: none"> 1. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, in force 2009-04-19 2. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA (RSA), in force 1994-06-20 	
10	National legislation and regulations related to nuclear material including CPPNM		

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Decree 287 of the Cabinet of Ministers of 6 June 1997 Commission of the Cabinet of Ministers of the Republic of Uzbekistan for the Implementation of the Convention for the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on their Destruction	
2	Licensing/registration of installations/facilities/persons/entities/use/handling of related materials	Criminal Code, Article 190	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	Criminal Code, Article 190	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Code of Uzbekistan of 1998	X	X	X	NW/CW/BW: State Border Guards Committee of Uzbekistan Criminal Code, Articles 182 and 246			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246			
3	Border control detection measures	X			NW: Customs Decision no 240 of 2 December 2006 approved instruction on radiological security	X			NW: The main border customs posts are equipped with mobile radiation detectors and portal monitors, which are connected to the integrated local area network of the State Customs Committee, in order to prevent the illicit transfer of radioactive and nuclear materials.			
4	Control of brokering	?	?	?	NW/CW/BW: Act on external economic activities President Decree No. 136 dated 26.08.2005 Presidential Decree no UP-1871 of 10.10 1997 on additional measures to facilitate export of specific goods is to be updated.							
5	Export control legislation in place	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree on the introduction of amendments and supplements to Law "On	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246			

				<p>foreign economic activity of the Republic of Uzbekistan" 14 June 1999</p> <p>Art 17, 22 (the establishment of export control over goods, equipment, scientific and technical documentation, works and services, results of intellectual activity (specified in the lists of export control objects), which in view of inherent in their characteristics and features can to contribute significantly to creation of weapons of mass destruction (nuclear, chemical, bacteriological (biological) and toxin weapons), its delivery system (rockets and other hardware that capable of delivering of weapons of mass destruction), other kinds of arms and defence technology</p>					
6	Licensing provisions and Authority	X	X	X	<p>NW/CW/BW: Law "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999 Governmental Decree on the introduction of amendments and supplements to the Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999, Article 21</p> <p>NW: Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation National law on Export Control No. 658-II dated 26.08.2004</p>	X	X	X	<p>NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999, Article 21 Ministry of foreign economic relations, investment and trade</p> <p>NW: Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation Commission of the cabinet of Ministers on the licensing of activities in the field of traffic of sources of ionizing radiation</p>
7	Control lists of materials, equipment and technology	X	X	X	<p>NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999, Article 22</p>	X	X	X	<p>NW/CW/BW: Ministry of foreign economic relations, investment and trade</p>

8	Intangible technology transfers								
9	Inclusion of means of delivery	X	X	X	NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999, Article 22				
10	End-user controls	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004	X	X	X	NW/CW/BW: Ministry of foreign economic relations, investment and trade
11	Catch all clause								
12	Transit control	X	X	X	NW/CW/BW: Governmental Decree on State Regulation and Control of the Transit of Specials goods No. 62 dated 21.02.2002	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246
13	Trans-shipment control								
14	Re-export control								
15	Control over financing of exports/transshipments that would contribute to proliferation								
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation								

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	Uzbekistan is ready to provide consultative assistance in establishment of export control legislation
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	Action Plan of Uzbekistan for the implementation of Resolution 1540 (2004) adopted on 22 June 2016
8	1540 Committee visits to States	