



Note No: 152/20

The Permanent Mission of the United Kingdom of Great Britain and Northern Ireland to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 1540 (2004) and with reference to note verbale No. SCA/5/20(03), has the honour to transmit an updated draft matrix document regarding the implementation by the United Kingdom of Great Britain and Northern Ireland of resolution 1540 (2004).

The Permanent Mission of the United Kingdom of Great Britain and Northern Ireland to the United Nations avails itself of this opportunity to convey to the Security Council Committee established pursuant to resolution 1540 (2004) the renewed assurances of its highest consideration.



United Kingdom Mission
to the United Nations

19 August 2020

DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State: **United Kingdom of Great Britain and Northern Ireland**

Date of Report: **28 September 2004**

Dates of Additional Reports: **19 September 2005**

14 December 2007

13 December 2013

19 August 2020

Last Revised: **[Date of publication of final approved Matrix on the Committee website]**

Last ...

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 27 November 1968	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Tlatelolco: Deposit 19 December 1969 Treaty of Rarotonga: Deposit 19 September 1997 Treaty of Pelindaba: Deposit 12 March 2001 Treaty of Semipalatinsk: Deposit 30 Jan 2015	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 24 September 2009	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 6 September 1991	
5	2005 Amendment to the CPPNM	Deposit 8 April 2010	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 5 April 1998	
7	Chemical Weapons Convention (CWC)	Deposit 13 May 1996	
8	Biological Weapons Convention (BWC)	Deposit 26 March 1975	
9	Geneva Protocol of 1925	Deposit 9 April 1930	
10	1997 International Convention for the Suppression of Terrorist	Deposit 7 March 2001	

	Bombings		
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 7 March 2001	
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Signed 23 January 2007	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Signed 23 January 2007	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	Signed 10 September 2010	
15	Other relevant regional legally binding instruments	The EURATOM Treaty* *The UK is no longer a member state of EURATOM. At the end of the UK's transition period with Euratom and the European Union, the EURATOM Treaty will no longer apply to or in the UK.	
16	International Atomic Energy Agency (IAEA)	Since 1957	
17	Directly relevant Arrangements	Nuclear Suppliers Group (NSG) Australia Group (AG) Missile Technology Control Regime (MTCR) Zangger Committee (ZC) Wassenaar Arrangement (WA) Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that its National Security Strategy identified as a priority risk: International terrorism affecting the UK or its interests, including a chemical, biological, radiological or nuclear attack by terrorists. The UK National Counter Proliferation Strategy sets the framework for cross-government action to mitigate this risk. Agreed by the National Security Council, it has among its key objectives to deny terrorists the materials and expertise to make and use weapons of mass destruction.	
19	Membership in relevant international, regional	Revised Cotonou Partnership Agreement (the CPA II) Organisation for Animal Health (OIE),	

	or sub-regional organisations	World Health Organisation (WHO), World Customs Organisation (WCO), INTERPOL, International Maritime Organisation (IMO), Financial Action Task Force (FATF), Organisation for Security and Cooperation in Europe (OSCE) European Union: on 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK.	
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II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section 47 (A person who develops or produces, or participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence). Terrorism Act (2006), Section 9 (prohibits the production, with intention to use, of radioactive materials or devices for the preparation of an act of terrorism or the purposes of terrorism)</p> <p>CW: Chemical Weapons Act (1996), section 2 (Use etc. of chemical weapons. No person shall: Use a chemical weapon; Develop or produce a chemical weapon; Have a chemical weapon in his possession; Participate in the transfer of a chemical weapon; Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon)</p> <p>BW: Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop, produce, stockpile, acquire or retain: Any biological agent or toxin of a type and in a quantity that has no justification for prophylactic, protective or other peaceful purposes; or Any weapon, equipment or</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) -, section. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life) Terrorism Act (2006) Section 14</p> <p>CW: Chemical Weapons Act (1996), section 2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p>BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>	

				means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)					
2	acquire	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section. 47 (buy, acquire)</p> <p>CW: Chemical Weapons Act (1996), section 2(1)(d), 2(4) and 2(5)</p> <p>BW: Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (acquire)</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) -, section. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)</p> <p>CW: Chemical Weapons Act (1996), section 2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p>BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>
3	possess	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section. 47 (Has a nuclear weapon in his possession) Terrorism Act (2006), Sections 9 (prohibits the and possession, with intention to use, of radioactive materials or devices for the preparation of an act of terrorism or the purposes of terrorism)</p> <p>CW: Chemical Weapons Act (1996), section 2 (Have a chemical weapon in his possession)</p> <p>BW: Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (retain)</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life) Terrorism Act (2006) Section 14</p> <p>CW: Chemical Weapons Act (1996), section 2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p>BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to</p>

									imprisonment for life)	
4	develop	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section 47 (develops)</p> <p>CW: Chemical Weapons Act (1996), Section 2 (Develop a chemical weapon)</p> <p>BW: Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop)</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) - section 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)</p> <p>CW: Chemical Weapons Act (1996), section2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p>BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>	
5	transport	X	X	X	<p>CW: Chemical Weapons Act (1996) as amended by Anti-terrorism, Crime and Security Act (2001) Section 46 (Proceedings for a chemical weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved the movement of a chemical weapon into or out of any country or territory) Carriage of Dangerous Goods Regulations include security standards of Transport Security and Contingencies (TRANSEC) European Commission security measures for rail and road transports.</p> <p>BW: Biological Weapons Act 8 February 1974 as amended by Anti-terrorism, Crime and Security Act (2001), Art 45 (movement of any such thing into or out of any country or territory) Carriage of Dangerous Goods Regulations include security standards of Transport</p>	X	X	X	<p>NW: Nuclear Industries Security Regulations (NISR) 2003 (regulation 25)</p> <p>CW: Chemical Weapons Act (1996) as amended by the Anti-terrorism, Crime and Security Act (2001)</p> <p>BW: Biological Weapons Act 8 February 1974, Art 1B, as amended by Anti-terrorism, Crime and</p>	

				Security and Contingencies (TRANSEC)				Security Act (2001)	
6	transfer	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001)</p> <p>CW: Chemical Weapons Act (1996), Art.2</p> <p>BW: Biological Weapons Act (1974) Chapter 6 Section 1 as amended by the Anti-terrorism, Crime and Security Act (2001), Sections 43 and 44 (A person shall not transfer any biological agent or toxin to another person)</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life</p> <p>CW: Chemical Weapons Act (1996), Art.2</p> <p>BW: Biological Weapons Act (1974) (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life) Anti-terrorism, Crime and Security Act (2001), Section 43</p>
7	use	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001), Art. 47 (causes a nuclear weapon explosion) Terrorism Act (2006) Section 10</p> <p>CW: Chemical Weapons Act (1996), section .2 as amended by the Anti-Terrorism, Crime and Security Act (2001), Section113 (...involves the use of a noxious substance or other noxious thing - places any substance or other thing in any place- For the purposes of sections 113 and 114 "substance" includes any biological agent and any other natural or artificial substance (whatever its form, origin or method of production).</p> <p>BW: Anti-Terrorism, Crime and Security Act (2001), Section 113 (...involves the use of a noxious substance or other noxious thing - places any substance or other thing in any place- For the purposes of sections 113 and 114 "substance"</p>	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life Terrorism Act (2006) Section 14</p> <p>CW: Chemical Weapons Act (1996) sections 2, 30A and 31. Anti-Terrorism, Crime and Security Act (2001), Section 113</p> <p>BW: Anti-terrorism, Crime and Security Act (2001), Section 113 (A person guilty of an offence under this section is liable—</p>

				includes any biological agent and any other natural or artificial substance (whatever its form, origin or method of production).				On summary conviction, to imprisonment for a term not exceeding six months or a fine not exceeding the statutory maximum (or both); and On conviction on indictment, to imprisonment for a term not exceeding fourteen years or a fine (or both).	
8	attempt to engage in abovementioned activities	X	X	X	NW: Anti-Terrorism, Crime and Security Act (2001) Section 53 CW: Chemical Weapons Act (1996), section 2 BW: Biological Weapons Act (1974) Chapter 1B, Anti-Terrorism, Crime and Security Act (2001) Section 50	X	X	X	NW: Anti-Terrorism, Crime and Security Act (2001) Section 47 CW: Chemical Weapons Act (1996), section 2, 30A and 31 BW: Anti-Terrorism, Crime and Security Act (2001) Section 45
9	participate as an accomplice in abovementioned activities	X	X	X	NW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Anti-terrorism, Crime and Security Act (2001) - section 47 (A person who participates in the development or production of a nuclear weapon) CW: Anti-terrorism, Crime and Security Act (2001) (ATCSA). Accessories and Abettors Act 1861 : aid, abet, procure or counsel a prohibited activity under the ATCSA BW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act 2001, including an offence of aiding, abetting, counselling, procuring or inciting the	X	X	X	NW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Anti-terrorism, Crime and Security Act (2001): a person guilty of an offence is liable on conviction on indictment to imprisonment for life CW: Anti-terrorism, Crime and Security Act (2001) (ATCSA) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA BW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001): extraterritorial applicability only

				commission of, or attempting or conspiring to commit, such an offence					
10	assist in abovementioned activities	X	X	X	<p>NW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Anti-terrorism, Crime and Security Act (2001), Section 50</p> <p>CW: Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001), Section 50</p> <p>BW: Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001), Section 50: (A person who aids, abets, counsels or procures, or incites, a person who is not a United Kingdom person to do a relevant act outside the United Kingdom is guilty of an offence - section 1 of the Biological Weapons Act 1974 (offences relating to biological agents and toxins) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act</p>	X	X	X	<p>NW: Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Anti-terrorism, Crime and Security Act (2001), Section 50</p> <p>CW: Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001), Section 50</p> <p>BW: Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001), Section 50: extraterritorial applicability only Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act</p>
11	finance abovementioned activities	X	X	X	<p>NW: Anti-terrorism, Crime and Security Act (2001) Art 47</p> <p>CW: Chemical Weapons Act (1996), section. 2(5)(a) as amended by Anti-terrorism, Crime and Security Act (2001) (acquiring is an offence; acquiring an object includes buying it)</p> <p>BW: Biological Weapons Act (1974), Art 1 as amended by Anti-terrorism, Crime and Security</p>	?	?	?	<p>NW: Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalizations related to Security Council sanctions obligations.</p> <p>CW: Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalisation related to Security Council sanctions obligations.</p> <p>BW: Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalizations related</p>

				Act (2001) Art 45 (“aiding and abetting”)				to Security Council sanctions obligations.	
12	abovementioned activities related to means of delivery ¹	X	X	X	NW: Anti-terrorism, Crime and Security Act (2001) CW: Chemical Weapons Act (1996) BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 ((b) any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)	X	X	X	NW: Anti-terrorism, Crime and Security Act (2001) CW: Chemical Weapons Act (1996) BW: Biological Weapons Act (1974), Chapter 6, Section 1

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

	Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework			Enforcement and civil/criminal penalties			Remarks		
		X / ?			X / ?					
		N W	C W	B W *	Source document	N W	C W		B W	Source document
1	Measures to account for production	X	X	X	<p>NW: Prior to the end of the UK's transition period with Euratom and the European Union: EURATOM Treaty*, Chapter VII Safeguards Agreement (IAEA and EURATOM)INFCIRC/263 and Add.1 Commission Regulation (EURATOM) No. 302/2005* Atomic Energy Act, 1946, section 14</p> <p>*The UK is no longer a member state of EURATOM. At the end of the UK's transition period with Euratom and the European Union the EURATOM Treaty, and accompanying EURATOM legislation, will no longer apply to or in the UK.</p> <p>From the end of the UK's transition period with Euratom and the European Union: Voluntary Offer Agreement between the UK and the IAEA, signed in Vienna on 7 June 2018 Additional Protocol to the Voluntary Offer Agreement between the UK and the IAEA, signed in Vienna on 7 June 2018</p> <p>Atomic Energy Act, 1946, Article 14 Nuclear Safeguards and Electricity (Finance) Act, 1978 Nuclear Safeguards Act 2000 Energy Act 2013 Nuclear Safeguards Act 2018</p>	X	X	X	<p>NW: Atomic Energy Act, 1946, section 14 Regulation 43 of the Nuclear Safeguards (EU Exit) Regulations 2019</p> <p>Sections 97, 105 and 106 of the Energy Act 2013</p> <p>CW: Chemical Weapons Act (1996), Sections 19 and 20</p> <p>BW: The ECDC has established a biorisk expert group project in addition to coordinating "laboratory biosafety and biosecurity efforts across Europe" as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of</p>	

				<p>The Nuclear Safeguards (EU Exit) Regulations 2019</p> <p>The Nuclear Safeguards (Fissionable Material and Relevant International Agreements) (EU Exit) Regulations 2019</p> <p>CW: Chemical Weapons Act (1996) Sections 19 (restriction on use) and 20 (licence granted by the Secretary of State). Licence holders are required to account for their production, use and stocks of any Schedule 1 chemicals on their site. CWC National Authority inspects and maintains accounts for all Schedule 1,2 and 3 chemicals</p> <p>BW: Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>				<p>any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>		
2	Measures to account for use	X	X	X	<p>NW: Prior to the end of the UK's transition period with Euratom and the European Union: EURATOM Treaty*, Chapter VII Safeguards Agreement (IAEA and EURATOM)INFCIRC/263 and Add.1 Commission Regulation (EURATOM) No. 302/2005* Atomic Energy Act, 1946, section 14</p> <p>*The UK is no longer a member state of EURATOM. At the end of the UK's transition period with Euratom and the European Union the EURATOM Treaty, and accompanying EURATOM legislation, will no longer apply to or in the UK.</p> <p>From the end of the UK's transition period with Euratom and the European Union: Voluntary Offer Agreement between the UK</p>	X	X	X	<p>NW: Atomic Energy Act, 1946, section 14 Nuclear Material (Offences) 1983 Regulation 43 of the Nuclear Safeguards (EU Exit) Regulations 2019</p> <p>Sections 97, 105 and 106 of the Energy Act 2013</p> <p>CW: Chemical Weapons Act (1996)</p>	

				<p>and the IAEA, signed in Vienna on 7 June 2018 Additional Protocol to the Voluntary Offer Agreement between the UK and the IAEA, signed in Vienna on 7 June 2018</p> <p>Atomic Energy Act, 1946, Article 14 Nuclear Safeguards and Electricity (Finance) Act, 1978 Nuclear Safeguards Act 2000 Energy Act 2013 Nuclear Safeguards Act 2018 The Nuclear Safeguards (EU Exit) Regulations 2019 The Nuclear Safeguards (Fissionable Material and Relevant International Agreements) (EU Exit) Regulations 2019</p> <p>CW: Chemical Weapons Act (1996) Sections 19 (restriction on use) and 20 (licence granted by the Secretary of State). Licence holders are required to account for their production, use and stocks of any Schedule 1 chemicals on their site. CWC National Authority inspects and maintains accounts for all Schedule 1,2 and 3 chemicals</p> <p>BW: Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>				<p>BW: The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>		
3	Measures to account for storage	X	X	X	<p>NW: Prior to the end of the UK’s transition period with Euratom and the European Union: EURATOM Treaty*, Chapter VII Safeguards Agreement (IAEA and EURATOM)INFCIRC/263 and Add.1 Commission Regulation (EURATOM) No. 302/2005* Atomic Energy Act, 1946, section 14</p>	X	X	X	<p>NW: Atomic Energy Act, 1946, section 14 Nuclear Material (Offences) 1983 Terrorism Act (2006), Sections 9 and 10 Regulation 43 of the Nuclear Safeguards (EU Exit) Regulations 2019 Sections 97, 105 and 106 of the Energy Act 2013</p>	

					Security Act 2001 (Modification) Order 2007.				
4	Measures to account for transport	X	X	X	<p>NW/CW/BW: European Agreement concerning the International Carriage of Dangerous goods by Road (ADR), Regulations concerning the International Carriage of Dangerous Goods by Rail (RID), European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN)</p> <p>NW: Nuclear Industries Security Regulations (NISR) 2003.</p> <p>CW: The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009/1348 SQAS System (Industry code)</p> <p>BW: The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009/1348 ,</p>	X	X	X	<p>NW/CW/BW: European Agreement concerning the international carriage of dangerous goods by road (ADR), Regulations concerning the International Carriage of Dangerous Goods by Rail (RID), European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN)</p> <p>NW: Anti-terrorism, Crime and Security Act (2001); regulation 25 of the NISR; regulation 32A of the Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations (S.I. 2009/1348);</p> <p>CW: The Regulations implement ADR 2009</p> <p>BW: The Regulations implement ADR 2009</p>
5	Measures to secure production	X	X	X	<p>NW: Nuclear Industries Security Regulations (2003)</p> <p>CW: Chemical Weapons Act (1996), Section 20</p>	X	X	X	<p>NW: Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Terrorism Act (2006), Section 9 implements the UN convention for the Suppression of Acts of Nuclear terrorism</p> <p>CW: Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by</p>

					<p>BW: Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin</p> <p>Part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens) Order 2007 (SI 2007/926). Anti-terrorism, Crime and Security Act, as amended by the Order 2007: security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)</p>				<p>authorities</p> <p>BW: The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by authorities</p>	
6	Measures to secure use	X	X	X	<p>NW: Nuclear Industries Security Regulations (2003)</p> <p>CW: Chemical Weapons Act (1996), Section 20</p> <p>BW: Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens) Order 2007 Anti-terrorism, Crime and Security Act, as amended security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)</p>	X	X	X	<p>NW: Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Nuclear Material (Offences) Act (1983) Terrorism Act (2006), Section 9 implements the UN convention for the Suppression of Acts of Nuclear terrorism</p> <p>CW: Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by authorities</p> <p>BW: The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by</p>	

									authorities	
7	Measures to secure storage	X	X	X	<p>NW: Nuclear Industries Security Regulations (2003)</p> <p>CW: Chemical Weapons Act (1996), Section 20 Regulation 24 of the Carriage of Dangerous Goods by Road Regulations (1996) as amended Code of Practice for the security of dangerous goods by road (regulations when stopped/parked)</p> <p>BW: Directive 2000/54/EC, Annex 5 (Secure storage of containment level 4 agents)* Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens) Order 2007 Anti-terrorism, Crime and Security Act, as amended by the Order 2007: security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)</p> <p>* on 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK.</p>	X	X	X	<p>NW: Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Nuclear Material (Offences) Act (1983) Terrorism Act (2006), Section 9 implements the UN convention for the Suppression of Acts of Nuclear terrorism</p> <p>CW: Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by authorities</p> <p>BW: The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by authorities</p>	
8	Measures to secure transport	X	X	X	<p>NW/CW/BW: European Agreement concerning the International Carriage of Dangerous goods by</p>	X	X	X	<p>NW/CW/BW: European Agreement concerning the international Carriage of dangerous goods by</p>	

				<p>Road (ADR), Regulations concerning the International Carriage of Dangerous Goods by Rail (RID), European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN) EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended* On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK.</p> <p>NW: Nuclear Industries Security Regulations (2003) Ionising Radiations Regulations 2017 (S.I. 2017/1075) and the Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations (S.I. 2009/1348)</p> <p>CW: ADR and RID agreements SQAS System (Industry code)</p> <p>BW: Regulation 24 of the Carriage of Dangerous Goods by Road Regulations (1996) as amended The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009/1348 Code of Practice for the security of dangerous goods by road</p>			<p>road (ADR), Regulations concerning the International Carriage of Dangerous Goods by Rail (RID), European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN)</p> <p>NW: ONR approved security plans required Protection by Civil Nuclear Constabulary F158I. Nuclear Material (Offences) Act (1983)</p> <p>CW: Party to ADR and RID agreements</p> <p>BW: Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 Control of Substances Hazardous to Health Regulations 2002, Paras 5 and 6 of Schedule 3 Party to ADR and RID Party to ADR and RID agreements</p>			
9	Physical protection measures	X	X	X	<p>NW: Nuclear Industries Security Regulations (2003): UK civil nuclear operators must have approved site security plans covering physical protection. Joint Service Publication 440 Nuclear Materials (Offences) Act 1983 as amended</p>	X	X	X	<p>NW: Office for Nuclear Regulation. Civil Nuclear Constabulary (CNC) Civil Nuclear Police Authority (CNPA) Ministry of defence Police (MDP) for physical security of defence sites/materials. Criminal Justice and Immigration Act (2008), Sect 75, and Schedule 17 (offences relating to nuclear</p>	

									<p>materials and nuclear facilities). Serious Organised Crime and Police Act 2005, Sect 128 (offence of trespassing on a designated site), Terrorism Act 2006 s12 (offence of trespassing on a licensed nuclear site).</p> <p>Energy Act (2004), Article 3 (Nuclear Decommissioning Authority may be given responsibility for securing the treatment, storage, transportation or disposal of matter or waste at a designated facility)</p> <p>CW: Overseen by the National Counter Terrorism and Security Office whose staff can offer specialist advice regarding the security of explosives and pre-cursor chemicals (including fertilisers), pathogens and toxins, radiological sources and other toxic chemicals.</p> <p>BW: Overseen by the National Counter Terrorism and Security Office whose staff can offer specialist advice regarding the security of explosives and pre-cursor chemicals (including fertilisers), pathogens and toxins, radiological sources and other toxic chemicals.</p>		
10	Personnel Reliability	X	?	X	<p>CW: The National Counter Terrorism Security Office (NaCTSO) and the Centre for the Protection of the National Infrastructure (CPNI)</p> <p>BW: The National Counter Terrorism Security Office (NaCTSO) is a police unit co-located with the Centre for the Protection of the National Infrastructure (CPNI) EC Directive 2000/54/EC* * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK.</p>	X	X	X	<p>NW: Nuclear Safeguards (Notification) Regulations 2004 and Nuclear Safeguards (EU Exit) Regulations 2019 (SI 2019/196) Nuclear Industries Security Regulations 2003</p> <p>CW: Anti-terrorism, Crime and Security Act (2001): furnish police on request with details of persons with access to specified dangerous</p>	<p>NW: Nuclear Industries Security Regulations (2003),</p> <p>CW/BW: Home Secretary to direct that named individuals must not be allowed access</p>	

				substances BW: Anti-terrorism, Crime and Security Act (2001), Art 61: furnish police on request with details of persons with access to specified dangerous substances Check					
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2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Nuclear Industries Security Regulations (2003) Office for Nuclear Regulation Department for Business, Energy and Industrial Strategy	
2	Licensing of nuclear installations/entities/ use of materials	Nuclear Installations Act (1965) Radioactive Substances Act (1993) Energy Act (2004) Civil Nuclear Constabulary (CNC) is responsible for the protection of civil nuclear sites and nuclear materials on designated UK nuclear licenced sites and transit	
3	IAEA Safeguards Agreements	<p>Prior to the end of the UK's transition period with Euratom and the European Union: Safeguards Agreement (IAEA and EURATOM), INFCIRC/263 UK Additional Protocol INFCIRC/263. Add.1 in force 30 April 2004</p> <p>From the end of the UK's transition period with Euratom and the European Union: Voluntary Offer Agreement between the UK and the IAEA, signed in Vienna on 7 June 2018 Additional Protocol to the Voluntary Offer Agreement between the UK and the IAEA, signed in Vienna on 7 June 2018</p> <p>Key National Legislation Nuclear Safeguards and Electricity (Finance) Act, 1978 Nuclear Safeguards Act 2000 Energy Act 2013 Nuclear Safeguards Act 2018 The Nuclear Safeguards (EU Exit) Regulations 2019 The Nuclear Safeguards (Fissionable Material and Relevant International Agreements) (EU Exit) Regulations 2019</p>	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Notification pursuant to GC(47)/RES/7.B Point of Contact: Head, Special Casework Licensing Unit, Export Control Organisation - Export Control Organisation	
5	Supplementary Guidance on the Import	Notification pursuant to GC(47)/RES/7.B Point of Contact: Head, Special Casework Licensing Unit, Export Control Organisation - Export Control Organisation	

	and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database	Contributes to Database Programme Office for Nuclear Regulation	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	IPPAS Mission 2011 and 2016	
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	This is implemented by the UK's Nuclear industries Security Regulations 2003	
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident (12 March 1990) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (12 March 1990) Convention on Nuclear Safety (24 October 1996)	
10	National legislation and regulations related to nuclear material including CPPNM	Nuclear Materials (Offences) Act 1983 Terrorism Act 2006 Extradition Act 2003, Section 193 Terrorism Act 2006 Anti-terrorism, Crime and Security Act 2001 (part 8) Serious Organised Crime and Policing Act 2005 (s128) Energy Act 2013 and Nuclear industries Security Regulations 2003 as amended	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Department of Business Energy and Industrial Strategy	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Chemical Weapons Act (1996) Sections 19 (restriction on use) and 20 (licence granted by the Secretary of State) CWC National Authority inspects and maintains accounts	
3	Old or abandoned chemical weapons	Accounted for, secured and physically protected at MOD, DSTL Porton Down. Destruction of all old chemical weapons recovered to date was completed in March 2007 OPCW carries out regular inspections	

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
<p>1</p> <p>Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials</p>	<p>EC Directive on Biological agents (2000/54/EC)* Control of Substances Hazardous to Health (COSHH) Regulations 1999 Genetically Modified Organisms (Contained Use) Regulations 2000 Specified Animal Pathogens Order 1998 Plant Health (Great Britain) Order 1993 Anti-terrorism, Crime and Security Act (2001), Part 7 section 59: duty to notify Secretary of State before keeping or using dangerous substances; Part 7 Art. 67 The Specified Animal Pathogens Order 2008 provides a legal basis for the formal transfer of the inspection and enforcement role for this legislation from the Department for Environment, Food and Rural Affairs, the Veterinary Laboratories Agency and local authorities to the Health and Safety Executive (HSE). The legislation came into effect on 28 April 2008.</p> <p>* On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK.</p>	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X /?			Source document	X /?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs and Excise Management Act (1979), Section 68 (offence to export prohibited or restricted goods) Council Regulation 952/2013 (The Customs Union Code), as amended, Articles 134 and 267* ¹	X	X	X	NW/CW/BW: Police Security Service Immigration Customs Finance Act (1994), Part 1, Chapter III (enforcement powers in relation to imports or exports) Customs and Excise Management Act 1979, Section 163 (Powers to stop and search vehicles or vessels) Council Regulation (EC) No. 515/97 of 13 March 1997: Mutual assistance between EU Member States*			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Finance Act 1994, Part 1, Chapter III (enforcement powers in relation to imports or exports) Customs and Excise Management Act 1979, Section 163 (Powers to stop and search vehicles or vessels) Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended Article 198*	X	X	X	NW/CW/BW: Police Security Service Immigration Customs Customs and Excise Management Act 1979. Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended Article 198 *			

¹ * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked.

					Defence Science and Technology Laboratory (Dstl) provides assistance in conjunction with other Governmental Departments and Agencies Ratings advice provided by BIS Technical Assessment Unit				
3	Border control detection measures	X	X	X	NW/CW/BW: Defence Science and Technology Laboratory (Dstl) provides assistance in conjunction with other Governmental Departments and Agencies Ratings advice provided by BIS Technical Assessment Unit	X	X	X	NW/CW/BW: Scanners in main ports, X-ray machines and explosive and radioactive detectors
4	Control of brokering	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended ^{2*} Export Control Order 2008 (SI 2008/3231) (controls on transfers of controlled goods from one third country to another) CW: Chemical Weapons Act as amended by the Anti-terrorism, Crime and Security Act 2001, Sections 43-44 BW: Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security Act 2001, Sections 43-44	X	X	X	NW/CW/BW: Export Control Order 2008 (SI 2008/3231) Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Article 5* CW: Chemical Weapons Act as amended by the Anti-terrorism, Crime and Security Act 2001, Section 113 BW: Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 as amended by Anti-terrorism, Crime and Security Act 2001

² * * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked.

5	Export control legislation in place	X	X	X	<p>NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items^{3*}</p> <p>Export Control Order 2008 (S.I. 2008/3231)</p>	X	X	X	<p>NW/CW/BW: Export Control Act 2002 Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Article 5* Customs and Excise Management Act 1979</p> <p>BW: Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security (2001), Section 45</p>
6	Licensing provisions and Authority	X	X	X	<p>NW/CW/BW: The Export Control Joint Unit is responsible for issuing export licences for so-called "strategic" goods. This includes a wide range of items including military and dual-use goods, firearms, nuclear, chemical, biological products and much more, as well items that are controlled when transmitted electronically Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items* - Export Control Order 2008 (S.I. 2008/3231), Part 2</p>	X	X	X	<p>NW/CW/BW: Export Control Joint Unit (ECJU), Department of International Trade Export Control Order 2008 (2008/3231)Part 6 - Offences, Enforcement and Penalties An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation regulation. 17 : Transit and Transshipment exemptions and regulation.18 :Software and technology exceptions</p>
7	Control lists of materials, equipment and technology	X	X	X	<p>NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items* Export Control Order 2008 (S.I. 2008/3231) regulation 19 End-use control on technical assistance</p>	X	X	X	<p>NW/CW/BW: Export Control Order 2008 (S.I. 2008/3231), Part 2</p> <p>BW:</p>

³ * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked..

8	Intangible technology transfers	X	X	X	<p>NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art 2^{4*} Customs and Excise Management Act 1979, as amended Export Control Order (2008) regulations 10 and 11 Academic Technology and Approval Scheme</p> <p>BW: Export Control Order 2008 (S.I. 2008/3231) - Part 3 regulation. 19 End-use control on technical assistance</p>	X	X	X	<p>NW/CW/BW: Customs and Excise Management Act 1979 Export Control Order 2008 Part 6</p> <p>Immigration and Police</p>
9	Inclusion of means of delivery	X	X	X	<p>NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Annex 1* Export Control Order 2008 (S.I. 2008/3231) - Part 3 regulation 19 End-use control on technical assistance UK Strategic Export Control List 2019</p>	X	X	X	<p>NW/CW/BW: Export Control Order 2008 (S.I. 2008/3231)</p>
10	End-user controls	X	X	X	<p>NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Arts. 9, 20* Export Control Order n. 3231 (2008) - Part 3 Regulation. 19 End-use control on technical assistance</p>	X	X	X	<p>NW/CW/BW: Export Control Order 2008 (S.I. 2008/3231)</p>
11	Catch all clause	X	X	X	<p>NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the</p>	X	X	X	<p>NW/CW/BW: Export Control Order 2008 (S.I. 2008/3231)</p>

⁴ * * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked.

					control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 4 ^{5*} Export Control Order 2008 (S.I. 2008/3231) - Part 3 regulation. 19 End-use control on technical assistance UK Strategic Export Control List 2019					
12	Transit control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 6* Customs and Excise Management Act (1979), Section 68 (offence to organise transit of prohibited or restricted goods) NW/CW: Open General Transshipment License (OGTL) Individual Transshipment License (if consistent with Consolidated Criteria)	X	X	X	NW/CW: BW: Customs and Excise Management Act (1979)	
13	Trans-shipment control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 6* Customs and Excise Management Act 1979, Section 68 (offence to organise trans-shipment of prohibited or restricted goods) BW: Open General Transshipment License (OGTL) Individual Transshipment License (if consistent with Consolidated Criteria)	X	X	X	NW/CW/BW:	
14	Re-export control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the	X	X	X	NW/CW/BW:	

⁵ * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked.

					control of exports, transfer, brokering and transit of dual-use items, as amended* ⁶				
15	Control over financing of exports/transhipments that would contribute to proliferation	X	X	X	NW/CW/BW: The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (covers offences under the Customs and Excise Management Act 1979, section 68 (2), Export of prohibited or restricted goods)	X	X	X	NW/CW/BW: The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (covers offences under the Customs and Excise Management Act 1979, section. 68 (2), Export of prohibited or restricted goods)
16	Control over services related to exports/transhipments that would contribute to proliferation including transportation		X	X	CW: Chemical weapons Act 1996 as amended by the Anti-terrorism, Crime and Security Act (2001): Proceedings for a chemical weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved— The movement of a chemical weapon into or out of any country or territory; BW: Biological Weapons Act 1974 (Proceedings for a biological weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved (b) the movement of any such thing into or out of any country or territory)			X	BW: Biological Weapons Act 1974 (Proceedings for a biological weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved (b)the movement of any such thing into or out of any country or territory)

⁶ * On 31 January 2020 the UK left the EU. From 1 February 2020 until 31 December 2020 a transition period is in place while the UK and EU negotiate additional arrangements. During the transition period all EU law remains applicable to the UK. From the end of the transition period the default position is that directly applicable EU legislation will form part of UK domestic law, unless amended or revoked.

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	UK is willing to provide assistance as appropriate in response to specific requests from States lacking the legal and regulatory infrastructure, implementation experience and/or resources for fulfilling the provisions of SCR 1540.
2	Assistance Point of Contact (for assistance providers only)	First Secretary, Political (Counter-Terrorism and Counter-Proliferation) Political Officer (Counter-Terrorism and Counter-Proliferation) Permanent Mission of the United Kingdom to the United Nations, New York, USA Tel: +1 212-745-9311 and +1 212-745-9224
3	Assistance requested	
4	Action taken to work with and inform industry	Along with a regularly updated website the Export Control Joint Unit also maintains a web-based tool, the Goods Checker, to help exporters find out if their products are licensable.
5	Action taken to work with and inform the public	Relevant information is disseminated through government web sites, publications and leaflets.
6	Point of Contact	Zainab Hashmi (WMD (CBRN) Terrorism Policy Adviser, Directorate for Defence and International Security, Foreign and Commonwealth Office, London) Tel: +44 0207 008 8293 Email: Zainab.Hashmi@fco.gov.uk Edward Rice-Howell (1540 Committee Representative, Strategic Threats Team, United Kingdom Mission to the United Nations, New York) Tel: 646-321-5403 Email: Edward.ricehowell@fco.gov.uk
7	Voluntary National Implementation Action Plan (NAP)	Submitted a National Implementation Action Plan 2013
8	1540 Committee visits to States	