

# APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

**State:** Republic of Mozambique

**Date of Report:**

**Dates of Additional Reports:**

**Date of Approval:** 9 December 2020

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)	
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 4 September 1990	
2	Nuclear Weapons Free Zone/ Protocol(s)	Pelindaba Treaty: Deposit 28 August 2008	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Signed 1 May 2006	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 3 March 2003	
5	2005 Amendment to the CPPNM		
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 4 November 2008	
7	Chemical Weapons Convention (CWC)	Deposit 15 August 2000	
8	Biological Weapons Convention (BWC)	Deposit 29 March 2011	
9	Geneva Protocol of 1925		
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 14 January 2003	
11	1999 International Convention for the	Deposit 14 January 2003	

	Suppression of the Financing of Terrorism		
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	Deposit 17 August 2016	
15	Other relevant regional legally binding instruments	OAU Convention on the Prevention and Combating of Terrorism: Deposit 3 January 2003 Protocol to the OAU Convention on the Prevention and Combating of Terrorism: Deposit 22 August 2011 Bamako Convention on the ban of the import into Africa and the control of transboundary movement and management of hazardous wastes within Africa: Deposit 29 March 1999	
16	International Atomic Energy Agency (IAEA)	Member since 2006	
17	Directly relevant Arrangements	HCoC: Subscribed 14 March 2003	
18	Statement on non-provision of WMD and related materials to non-State actors		
19	Membership in relevant international, regional or sub-regional organisations	African Union (AU) Southern African Development Community (SADC) Eastern and Southern Africa 'Anti-Money' Laundering Group (ESAAMLG) International Maritime Organization (IMO) World Health Organization (WHO) World Customs Organization (WCO) and Signed WCO Declaration on implementation of Framework of Standards to secure and facilitate global trade (SAFE Framework of Standards) World Organisation for Animal Health (OIE) International Criminal Police Organization (INTERPOL) State party to the 2005 Revised Cotonou Agreement, as revised (ACP member)	

## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework						Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document		
		N W	C W	B W		N W	C W	B W			
1	manufacture	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 12 (manufacture nuclear weapons or other explosive device)  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (manufacture - in case of terrorism)	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70 (penalties)  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of terrorism)		
2	acquire	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 12 (acquire nuclear weapons or other explosive device)  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (purchase - in case of terrorism)	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of terrorism)		
3	possess	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 12 (possess any radioactive material without a license)  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (possession - in case of terrorism)	X	X	X	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70  <b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of terrorism)		
4	develop										

5	transport	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 12 (transport of any radioactive material without a license)</p> <p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (carriage - in case of Terrorism)</p>	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70</p> <p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of Terrorism)</p>
6	transfer		X	X	<p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (sale/transfer - in case of Terrorism)</p>		X	X	<p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of Terrorism)</p>
7	use	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Arts. 12 (use of any radioactive material without a license), 48 (use exclusively for peaceful purposes)</p> <p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (use in case of Terrorism)</p>	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70</p> <p><b>CW/BW:</b> Law of Crimes against State Security No. 19/91 Art. 13 (in case of Terrorism)</p>
8	attempt to engage in abovementioned activities	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70 (5) (attempt)</p> <p><b>CW/BW:</b> Explanatory Notes to the Penal Code Art. 105 (attempt)</p>	X	X	X	<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 70</p> <p><b>CW/BW:</b> Explanatory Notes to the Penal Code Art. 105 (attempt)</p>
9	participate as an accomplice in abovementioned activities		X	X	<p><b>CW/BW:</b> Explanatory Notes to the Penal Code Art. 103 (accomplice)</p>		X	X	<p><b>CW/BW:</b> Explanatory Notes to the Penal Code Art. 103</p>
10	assist in abovementioned activities								
11	finance abovementioned activities	?	?	?	<p><b>NW/CW/BW:</b> Law No 14/2013 Anti-Money Laundering Act</p>	?	?	?	<p><b>NW/CW/BW:</b> Law 14/2013 (Criminal Investigation Police (PIC))</p>

				Decree 66/2014 (Anti-Money Laundering regulations) Law 14/2007 – replaced by Law No 2/2018 (Financial Intelligence Unit)			Decree 66/2014 (Anti-Money Laundering regulations) Law 14/2007 -replaced by Law No 2/2018 (Financial Intelligence Unit / Gabinete de Informação Financeira de Moçambique (GFIM))	
12	abovementioned activities related to means of delivery <sup>1</sup>							

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

**III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>**

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X /?			Source document	X /?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	?	?	<p><b>NW:</b> INFCIRC/813, SQP and Additional Protocol (IAEA Safeguards Agreement) Atomic Energy Act No 9 of 2017, Arts. 9 (licensing), 53 (system for accounting nuclear material), 54 (manipulate/process) Decree 13/2006 (radioactive waste management)</p> <p><b>CW/BW:</b> Decree 13/2006 (toxic; infectious)</p>	X	?	?	<p><b>NW/CW/BW:</b> Decree 13/2006</p> <p><b>NW:</b> National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 21</p>	
2	Measures to account for use	X	?	?	<p><b>NW:</b> INFCIRC/813, SQP and Additional Protocol Atomic Energy Act No 9 of 2017, Arts. 9 (licensing), 53 (system for accounting nuclear material), 54 (use) Decree 13/2006</p> <p><b>CW/BW:</b> Decree 13/2006</p>	X	?	?	<p><b>NW:</b> National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 21 Decree 13/2006</p> <p><b>CW/BW:</b> Decree 13/2006</p>	
3	Measures to account for storage	X			<p><b>NW:</b> INFCIRC/813, SQP and Additional Protocol</p>	X			<p><b>NW:</b> National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 21</p>	
4	Measures to account for transport	X			<p><b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 43 (accountability for transport of nuclear material)</p>	X			<p><b>NW:</b> National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 21</p>	

5	Measures to secure production	N A			<b>NW:</b> INFCIRC/813, SQP	N A			<b>NW:</b> INFCIRC/813, SQP
6	Measures to secure use	N A			<b>NW:</b> INFCIRC/813, SQP	N A			<b>NW:</b> INFCIRC/813, SQP
7	Measures to secure storage	N A			<b>NW:</b> INFCIRC/813, SQP	N A			<b>NW:</b> INFCIRC/813, SQP
8	Measures to secure transport	X			<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 30 (security of transport of radioactive material – follow IAEA guidance)	X			<b>NW:</b> National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 21
9	Physical protection measures	X	?	?	<b>NW:</b> INFCIRC/813, SQP National Atomic Energy Agency Atomic Energy Act No 9 of 2017, Art. 54 (physical protection of nuclear material)  <b>CW/BW:</b> Decree 13/2006	X	?	?	<b>NW:</b> INFCIRC/813, SQP Atomic Energy Act No 9 of 2017, Art. 21  <b>CW/BW:</b> Decree 13/2006
10	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

**IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	National Atomic Energy Agency (Agencia Nacional De Energia Atomica) Atomic Energy Act No 8 of 2017, Art. 5	
2	Licensing of nuclear installations/entities/ use of materials		
3	IAEA Safeguards Agreements	INFCIRC/813, SQP and Additional Protocol, 1 March 2011	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support - Notification pursuant to GC(47)/RES/7.B	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support - Notification pursuant to GC(48)/RES/10.D	
6	IAEA Incident and Trafficking Database	Participating State	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		

9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident in force 29 November 2009 Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency in force 29 November 2009 Revised Supplementary Agreements Concerning the Provision of Technical Assistance by the IAEA (RSA) in force 23 February 2011	
10	National legislation and regulations related to nuclear material including CPPNM		

**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Directorate for Legal and Consular Affairs Ministry of Foreign Affairs and Cooperation	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials		
3	Old or abandoned chemical weapons		

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Decree No. 6/2007 of 25 April 2007 (Biosafety legislation on management of GMOs)	

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X / ?			Source document	X / ?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	?	?	?	<b>NW/CW/BW:</b> Diploma Ministerial No. 262/2004 of 22 December 2004 (Customs procedures) Decree No. 56/1998 of 11 November 1998 (Pre-shipment inspection)	?	?	?	<b>NW/CW/BW:</b> Diploma Ministerial No. 262/2004 of 22 December 2004 (Customs Department) Decree No. 56/1998 of 11 November 1998			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking											
3	Border control detection measures											
4	Control of brokering											
5	Export control legislation in place	X	?	?	<b>NW/CW/BW:</b> Ministry Certificate No. 202/98 of 12 November 1998 - Regulation on Registration of External Trade Agents Decree No. 49/2004 of 17 November 2004 (Licence importers / exporters)  <b>NW:</b> Atomic Energy Act No 9 of 2017, Chapter X (Control of exports and imports)	X	?	?	<b>NW/CW/BW:</b> Decree 30/2002 of 02 December 2002 - Rules for Custom Clearance Decree No. 49/2004 of 17 November 2004  <b>NW:</b> Atomic Energy Act No 9 of 2017, Arts. 21 and 70			
6	Licensing provisions and Authority	X	?	?	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 57 (export licence) Decree No. 39/2002 of 26 December 2002	X	?	?	<b>NW:</b> Agency Atomic Energy Act No 9 of 2017, Arts. 21 and 70			

				(Licences) Decree No. 49/2004 of 17 November 2004 (Licence importers / exporters)  <b>CW/BW:</b> Decree No. 39/2002 of 26 December 2002 Decree No. 49/2004 of 17 November 2004			Decree No. 39/2002 of 26 December 2002 Decree No. 49/2004 of 17 November 2004 (Ministry of Commerce)  <b>CW/BW:</b> Decree No. 39/2002 of 26 December 2002 Decree No. 49/2004 of 17 November 2004	
7	Control lists of materials, equipment and technology	X		<b>NW:</b> Atomic Energy Act, Art. 58 (authority to issue control list)				
8	Intangible technology transfers							
9	Inclusion of means of delivery							
10	End-user controls							
11	Catch all clause							
12	Transit control	X	?	<b>NW:</b> Atomic Energy Act No 9 of 2017, Art. 57 (transit licence)  <b>CW/BW:</b> Ministerial Diploma No.116/ 2013 of 8 August 2013 - Regulation of Custom Transit / Transshipment	X		<b>NW:</b> Atomic Energy Act No 9 of 2017, Arts. 21 and 70	
13	Trans-shipment control							
14	Re-export control							
15	Control over financing of exports/transshipments that would contribute to proliferation	?	?	<b>NW/CW/BW:</b> Law 14/2013 (Anti-Money Laundering Act) Decree 66/2014 (Anti-Money Laundering regulations) Law No 2/2018 (Financial Intelligence Unit)	?	?	<b>NW/CW/BW:</b> Law 14/2013 (Criminal Investigation Police (PIC)) Decree 66/2014 Law 2/2018 (Financial Intelligence Unit / Gabinete de Informação Financeira de Moçambique (GFIM))	

16	Control over services related to exports/ transshipments that would contribute to proliferation including transportation									
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**VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information**

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	