

# APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

|                                     |                        |
|-------------------------------------|------------------------|
| <b>State:</b>                       | <b>Mongolia</b>        |
| <b>Date of Report:</b>              | <b>31 May 2005</b>     |
| <b>Dates of Additional Reports:</b> | <b>18 April 2014</b>   |
| <br>                                |                        |
| <b>Date of Approval:</b>            | <b>9 December 2020</b> |

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

| Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made. |   | Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)   | Remarks (information refers to the page of the English version of the national report or an official web site) |
|---|---|---|--|
| 1   | Nuclear Non-Proliferation Treaty (NPT)                                    | Deposit 14 May 1969   |  |
| 2   | Nuclear Weapons Free Zone/ Protocol(s)                                    | Law of Mongolia on its Nuclear-Weapon-Free Status adopted on 3 February 2000<br>The 63 <sup>rd</sup> UNGA adopted Resolution 63/56 entitled "Mongolia's international security and nuclear-weapon-free status." |  |
| 3   | International Convention for the Suppression of Acts of Nuclear Terrorism | Deposit 6 October 2006  |  |
| 4   | Convention on Physical Protection of Nuclear Material (CPPNM)             | Deposit 28 May 1986   |  |
| 5   | 2005 Amendment to the CPPNM   |   |  |
| 6   | Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)               | Deposit 8 August 1997   |  |
| 7   | Chemical Weapons Convention (CWC)   | Deposit 17 January 1995   |  |
| 8   | Biological Weapons Convention (BWC)                                       | Deposit 5 September 1972  |  |
| 9   | Geneva Protocol of 1925   | Deposit 6 December 1968   |  |
| 10  | 1997 International Convention for the Suppression of Terrorist Bombings   | Deposit 7 September 2000  |  |
| 11  | 1999 International Convention for the                                     | Deposit 25 February 2004  |  |

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|----|--|--|--|
|    | Suppression of the Financing of Terrorism  |  |  |
| 12 | 2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation                                     |  |  |
| 13 | 2005 Protocol to the Protocol to the Convention for the suppression of acts against the safety of fixed platforms located on the continental shelf |  |  |
| 14 | 2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation   |  |  |
| 15 | Other relevant regional legally binding instruments  |  |  |
| 16 | International Atomic Energy Agency (IAEA)  | Since 20 September 1973  |  |
| 17 | Directly relevant Arrangements   | Proliferation Security Initiative (PSI)  |  |
| 18 | Statement on non-provision of WMD and related materials to non-State actors  | State reported that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery. Such support would conflict with Mongolia's national legislation, its international obligations and the foreign policy it pursues in the international arena.  |  |
| 19 | Membership in relevant international, regional or sub-regional organisations   | World Customs Organization (WCO) and its Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme<br>INTERPOL<br>World Health Organization (WHO)<br>World Organisation for Animal Health (OIE)<br>International Maritime Organization (IMO)<br>Organization for Security and Co-operation in Europe (OSCE)<br>The ASEAN Regional Forum (ARF)<br>Asia/Pacific Group on Money Laundering (APG)<br>Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG) |  |

## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

| National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement |             | National legal framework |        |        |   |        | Enforcement and civil/criminal penalties |        |  | Remarks |
|---|-------------|--------------------------|--------|--------|---|--------|--|--------|--|---------|
|   |             | X / ?                    |        |        | Source document of national implementation law  | X / ?  |  |        | Source document  |         |
|   |             | N<br>W                   | C<br>W | B<br>W |   | N<br>W | C<br>W                                   | B<br>W |  |         |
| 1   | manufacture | X                        | X      | X      | <p><b>NW:</b><br/>Constitution, Article 10.3 (The international treaties to which Mongolia is a Party become effective as domestic legislation upon the entry into force of the laws on their ratification or accession.) in conjunction with the NPT Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.1 and 8<br/>Criminal Code, Article 300, Chapter XXX</p> <p><b>CW:</b><br/>Constitution, Article 10.3 in conjunction with the CWC<br/>Law on Hazardous and Toxic Chemicals, Article 8.3 (It shall be prohibited to export, import, and transport across state borders, produce, store, trade, purchase, transport, use and transfer to others the hazardous and toxic chemicals and their composition for the purposes of chemical weapon and terrorist acts.)<br/>Criminal Code, Article 300, Chapter XXX</p> <p><b>BW:</b><br/>Constitution, Article 10.3 in conjunction with the BTWC<br/>Criminal Code, Article 300, Chapter XXX</p> | X      | X  | X      | <p><b>NW:</b><br/>Criminal Code, Article 300.1, Chapter XXX (Acquisition, making or spreading of the chemical, biological, and other mass destruction weaponry prohibited by the international treaties to which Mongolia is a party shall be punishable by imprisonment for a term of more than 5 to 8 years.)<br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8 (An individual or legal person that violates Article 4 of the present Law shall be held liable in accordance with the Criminal Code.)</p> <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 14.3<br/>Criminal Code, Article 300.1, Chapter XXX<br/>Law on Hazardous and Toxic Chemicals, Article 19.2</p> <p><b>BW:</b><br/>Criminal Code, Article 300.1, Chapter XXX</p> |         |

|   |           |   |   |   |  |   |   |   |   |
|---|-----------|---|---|---|--|---|---|---|---|
| 2 | acquire   | X | X | X | <p><b>NW:</b><br/>Constitution, Article 10.3 in conjunction with the NPT<br/>Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.1 and 8</p> <p><b>CW:</b><br/>Constitution, Article 10.3 in conjunction with the CWC<br/>Law on Hazardous and Toxic Chemicals Article 8.3</p> <p><b>BW:</b><br/>Constitution, Article 10.3 in conjunction with the BTWC</p> | X | X | X | <p><b>NW:</b><br/>Criminal Code, Article 300.1, Chapter XXX<br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8</p> <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 14.3<br/>Criminal Code, Article 300.1, Chapter XXX<br/>Law on Hazardous and toxic chemicals Article 19.2</p> <p><b>BW:</b><br/>Criminal Code, Article 300.1, Chapter XXX</p> |
| 3 | possess   | X | X |   | <p><b>NW:</b><br/>Constitution, Article 10.3 in conjunction with the NPT<br/>Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.1 and 8</p> <p><b>CW:</b><br/>Law on Hazardous and Toxic Chemicals Article 8.3</p>  |   |   | X | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8</p> <p><b>CW:</b><br/>Law on Hazardous and Toxic Chemicals Article 19.2</p>  |
| 4 | develop   | X | X | X | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.2 and 8</p> <p><b>CW:</b><br/>Constitution, Article 10.3 in conjunction with the CWC</p> <p><b>BW:</b><br/>Constitution, Article 10.3 in conjunction with the BTWC</p>   |   |   | ? | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8</p> <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 14.3</p>   |
| 5 | transport | X | X |   | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.2, 4.2 and 8</p>   |   |   | X | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8</p>  |

|   |  |   |   |  |  |   |  |   |   |
|---|--|---|---|--|--|---|--|---|---|
|   |  |   |   | <p><b>CW:</b><br/>Law on Hazardous and Toxic Chemicals Article 8.3</p> |  |   | <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 15.3<br/>Law on Hazardous and Toxic Chemicals Article 19.2</p> |   |   |
| 6 | transfer                                       | X | X | X  | <p><b>NW:</b><br/>Criminal Code, Article 300.1, Chapter XXX, "Distribution"</p> <p><b>CW:</b><br/>Constitution, Article 10.3 in conjunction with the CWC<br/>Law on Hazardous and Toxic Chemicals Article 8.3<br/>Criminal Code, Article 300.1, Chapter XXX</p> <p><b>BW:</b><br/>Constitution, Article 10.3 in conjunction with the BTWC<br/>Criminal Code, Article 300.1, Chapter XXX</p>  | X | X  | X | <p><b>NW:</b><br/>Criminal Code, Article 300.1, Chapter XXX, "Distribution"</p> <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 14.3<br/>Criminal Code, Articles 299.2, Chapter XXX<br/>Law on Hazardous and Toxic Chemicals Article 19.2</p> <p><b>BW:</b><br/>Criminal Code, Article 300.1, Chapter XXX</p>   |
| 7 | use  | X | X | X  | <p><b>NW:</b><br/>Constitution, Article 10.3 in conjunction with International Convention for the Suppression of Terrorist Bombings<br/>Law on Mongolia's nuclear-weapon-free-status (2000), Articles 4.1.3 and 8</p> <p><b>CW:</b><br/>Constitution, Article 10.3 in conjunction with the CWC and the International Convention for the Suppression of Terrorist Bombings<br/>Law on Hazardous and Toxic Chemicals Article 8.3</p> <p><b>BW:</b><br/>Constitution, Article 10.3 in conjunction with International Convention for the Suppression of Terrorist Bombings</p> | X | X  | X | <p><b>NW:</b><br/>Criminal Code, Article 299.2, Chapter XXX (Use of the mass-destruction weapon prohibited by an international treaty to which Mongolia is a party shall be punishable by imprisonment for a term of more than 15 to 20 years.)</p> <p><b>CW:</b><br/>Law on Protection against Toxic Chemicals (1995), Article 14.3<br/>Criminal Code, Articles 299.2, Chapter XXX<br/>Law on Hazardous and Toxic Chemicals Article 19.2</p> <p><b>BW:</b><br/>Criminal Code, Article 299.2, Chapter XXX</p> |
| 8 | attempt to engage in abovementioned activities | X | X | X  | <p><b>NW/CW/BW:</b><br/>Criminal Code, Articles 30-32, Chapter VI</p>  | X | X  | X | <p><b>NW/CW/BW:</b><br/>Criminal Code, Articles 30-32, Chapter VI</p>   |

|    |   |   |   |   |  |   |   |   |  |
|----|---|---|---|---|--|---|---|---|--|
| 9  | participate as an accomplice in abovementioned activities           | X | X | X | <b>NW/CW/BW:</b><br>Constitution, Article 10.3 in conjunction with International Convention for the Suppression of Terrorist Bombings<br>Criminal Code, Articles 34-39, Chapters VI-VII                            | X | X | X | <b>NW/CW/BW:</b><br>Criminal Code, Articles 34-39, Chapters VI-VII   |
| 10 | assist in abovementioned activities                                 | X | X | X | <b>NW/CW/BW:</b><br>Constitution, Article 10.3 in conjunction with International Convention for the Suppression of Terrorist Bombings<br>Criminal Code, Articles 34-39, Chapters VI-VII                            | X | X | X | <b>NW/CW/BW:</b><br>Criminal Code, Articles 34-39, Chapters VI-VII   |
| 11 | finance abovementioned activities                                   | X | X | X | <b>NW/CW/BW:</b><br>Constitution, Article 10.3 in conjunction with International Convention for the Suppression of Terrorist Financing<br>Law on Combating Money Laundering and Terrorism Financing of 31 May 2013 | X | X | X | <b>NW/CW/BW:</b><br>Law on Combating Money Laundering and Terrorism Financing of 31 May 2013, Article 21 (Cooperation with similar foreign institutions)<br>Financial Information Unit |
| 12 | abovementioned activities related to means of delivery <sup>1</sup> |   | X | X | <b>CW:</b><br>Constitution, Article 10.3 in conjunction with the CWC<br><br><b>BW:</b><br>Constitution, Article 10.3 in conjunction with the BTWC  |   |   |   |  |

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

**III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>**

| Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials |                                    | National legal and/or regulatory framework |        |             | Enforcement and civil/criminal penalties |        |        | Remarks   |   |
|--|------------------------------------|--|--------|-------------|--|--------|--------|---|---|
|  |                                    | X /?                                       |        |             | X /?                                     |        |        |   |   |
|  |                                    | N<br>W                                     | C<br>W | B<br>W<br>* | N<br>W                                   | C<br>W | B<br>W |   |   |
| 1  | Measures to account for production | X  | X      |             |  |        |        | <p><b>NW:</b><br/>INFCIRC/188<br/>Nuclear Energy Law, as amend 2012<br/>Minerals Law October 2006 Article 8.1.6 (authorizes a special regulatory regime for mining, storage and transport for radioactive materials)</p> <p><b>CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21 (Procedures for the production, storage, transportation, use and disposal of radioactive and toxic chemicals shall be established by law)<br/>Law on Hazardous and Toxic Chemicals Article 9</p> | <p><b>CW:</b><br/>Joint Ordinance 86/1/120 in 1998<br/>Procedure for obtaining permission for the production, export, import, trade and use of toxic chemicals of the Minister of Nature and the Environment and the Minister of Health</p>                                   |
| 2  | Measures to account for use        | X  | X      | X           | X  | X      | X      | <p><b>NW/CW/BW:</b><br/>Law on Labor Safety and Hygiene Article 12.2</p> <p><b>NW:</b><br/>INFCIRC/188<br/>Nuclear Energy Law, as amend 2012.<br/>Minerals Law October 2006 A.8.1.6 (authorizes a special regulatory regime for mining, storage and transport for radioactive materials)</p> <p><b>CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21(Procedures for the production, storage, transportation, use and disposal of radioactive and toxic chemicals shall be</p>     | <p><b>NW/CW/BW:</b><br/>Law on Labor Safety and Hygiene Article 36.4</p> <p><b>CW:</b><br/>Joint Ordinance 86/1/120 in 1998<br/>Procedure for obtaining permission for the production, export, import, trade and use of toxic chemicals of the Minister of Nature and the</p> |

|   |                                   |   |   |   |   |   |  |  |
|---|-----------------------------------|---|---|---|---|---|--|--|
|   |                                   |   |   | established by law)<br>Law on Hazardous and Toxic Chemicals Article 9 and 13  |   |   | Environment and the Minister of Health   |  |
| 3 | Measures to account for storage   | X | ? | <p><b>NW:</b><br/>INFCIRC/188<br/>Nuclear Energy Law, as amend 2012<br/>Minerals Law October 2006 A. 8.1.6 (authorizes a special regulatory regime for mining, storage and transport for radioactive materials)</p> <p><b>CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21 (Procedures for the production, storage, transportation, use and disposal of radioactive and toxic chemicals shall be established by law)<br/>Law on Hazardous and Toxic Chemicals Article 10</p> |   |   |  |  |
| 4 | Measures to account for transport | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21 (Procedures for the production, storage, transportation, use and disposal of radioactive and toxic chemicals shall be established by law)</p> <p><b>CW:</b><br/>Ordinance 84 Procedure for storing, protecting, transporting and disposing of toxic chemicals</p>  |   |   |  |  |
| 5 | Measures to secure production     | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21</p>  | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 38</p> |  |
| 6 | Measures to secure use            | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21</p>  | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 38</p> |  |
| 7 | Measures to secure storage        | ? | X | <p><b>NW:</b><br/>Environmental Protection Law of 30 March 1995, Article 21</p>   | ? | ? | <p><b>NW/CW:</b><br/>Environmental Protection Law of 30 March 1995, Article 38</p> |  |



**IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

| Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials |   | Source document  | Remarks |
|--|---|--|---------|
| 1  | National regulatory authority   | Nuclear Energy Law, as amended 2012, Article10<br>Nuclear Energy Agency  |         |
| 2  | Licensing of nuclear installations/entities/ use of materials   | Nuclear Energy Law, as amended 2012, Article. 15 and Article 50  |         |
| 3  | IAEA Safeguards Agreements  | Safeguards Agreement (INFCIRC 188) in force 5 September 1972 and SQP<br>Additional protocol, in force 12 May 2003  |         |
| 4  | IAEA Code of Conduct on Safety and Security of Radioactive Sources  |  |         |
| 5  | Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources |  |         |
| 6  | IAEA Incident and Trafficking Database  | Participates   |         |
| 7  | Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)                                   |  |         |
| 8  | Applying the physical protection recommendations in INFCIRC/225/Rev.5   |  |         |
| 9  | Other Agreements related to IAEA  | Convention on Early Notification of a Nuclear Accident (CENNA): Deposit 11 June 1987<br>Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency: Deposit 11 June 1987 |         |

|    |  |   |  |
|----|--|---|--|
|    |  | Mongolia-IAEA Country Programme Framework for the period of 2016-2021 |  |
| 10 | National legislation and regulations related to nuclear material including CPPNM |   |  |

**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

| Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials |   | Source document   | Remarks |
|--|---|---|---------|
| 1  | National CWC authority  | Ministry of Nature and Environment<br>National Working Group for Implementation of CWC              |         |
| 2  | Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials | Joint Ordinance 86/a/120 of the Minister of Nature and Environment and Minister of Agriculture 1998 |         |
| 3  | Old or abandoned chemical weapons   |   |         |

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

| Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials |   | Source document | Remarks |
|--|---|-----------------|---------|
| 1  | Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials |                 |         |

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

| Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials |  | National legal framework |        |        | Enforcement and civil/criminal penalties  |        |        | Remarks |  |  |
|---|--|--------------------------|--------|--------|---|--------|--------|---------|--|--|
|   |  | X /?                     |        |        | Source document   | X /?   |        |         |  |  |
|   |  | N<br>W                   | C<br>W | B<br>W |   | N<br>W | C<br>W |         | B<br>W   |  |
| 1   | Border control to detect, deter, prevent and combat illicit trafficking  | X                        | X      | X      | <p><b>NW/CW/BW:</b><br/>The Customs Law of 1996 as amended in 2004, Articles 5 and 34<br/>Order No. 694 of the Customs General Administration: Examination of goods must be finished within eight working hours for dangerous goods requiring special treatment (e.g. uranium and highly toxic chemical substances) or animals.</p> <p><b>CW/BW:</b><br/>Ordinance 29 of the Government 2000</p> <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 6.2</p> | X      | X      | X       | <p><b>NW/CW/BW:</b><br/>Customs Law of 1996 as amended, Articles 71-72<br/>Customs Inspector General</p> <p><b>CW:</b><br/>Toxic chemicals are controlled by customs agency and customs health control service</p>     |  |
| 2   | Law enforcement to detect, deter, prevent and combat illicit trafficking | X                        | X      | X      | <p><b>NW/CW/BW:</b><br/>Customs Law of 1996 as amended, Chapter 6, Articles 48-52</p>   | X      | X      | X       | <p><b>NW/CW/ BW:</b><br/>Customs Law of 1996 as amended, Articles 48-52<br/>Customs Inspector General<br/>Border Patrol</p> <p><b>NW:</b><br/>Law on Intelligence Organization Article 12<br/>Intelligence Service</p> |  |

|   |                                     |   |   |   |  |   |   |   |  |  |
|---|-------------------------------------|---|---|---|--|---|---|---|--|--|
|   |                                     |   |   |   | <b>CW:</b><br>Joint Ordinance 86/a/120 of the Minister of Nature and Environment and of Agriculture: permission of export and import of toxic chemicals  |   |   |   | <b>CW:</b><br>Law on Hazardous and toxic Chemicals. A.18.1   |  |
| 3 | Border control detection measures   | X | X | X | <b>NW/CW/BW:</b><br>Customs Law of 1996 as amended, Articles 36 and 38   | X | X | X | <b>NW/CW/BW:</b><br>Mongolia has introduced a national Automated System for Customs Information Management (GAMAS) since 2001<br><br><b>NW:</b><br>National Report: Radiation Detection monitors have been installed at 15 major border entry points.                                |  |
| 4 | Control of brokering                |   |   |   |  |   |   |   |  |  |
| 5 | Export control legislation in place | X | X |   | <b>NW:</b><br>Nuclear Energy Law, as amended 2012, Chapter 3, Article 15 and 37<br><br><b>CW:</b><br>Government Resolution No. 54 of 2001 controls the export of prohibited CWC chemicals and poisonous chemicals used in the production of weapons, Poisonous chemicals, the use of which is prohibited in Mongolia<br>Government Resolution No.219 of 2002 Joint Ordinance 86/a/120 of the Minister of Nature and Environment and of Agriculture: permission of export and import of toxic chemicals | X | X |   | <b>NW:</b><br>Nuclear Energy Law, as amended 2012, Chapter 8<br><br><b>CW:</b><br>Customs Law of 1996, Articles 71-72<br>Customs Inspector General<br>96 types of chemicals indicated in joint order No. 83/A/160 of 1998 by the Ministers of Environment, Health and Social Welfare |  |
| 6 | Licensing provisions and Authority  | X | X |   | <b>NW:</b><br>Nuclear Energy Law, as amended 2012, A. 15<br>Law on Licensing, Article 16.1.1<br>Government Resolution No. 219 of 25 October 2002 identifies licensing agencies   | X | X |   | <b>NW/CW:</b><br>Law on Licensing, Article 18.1.1<br><br><b>NW:</b><br>State Professional Control Office<br>Government Resolution No. 219 of 25 October 2002 identifies licensing agencies   |  |

|    |  |   |   |   |  |   |   |   |  |  |
|----|--|---|---|---|--|---|---|---|--|--|
|    |  |   |   |   | <p><b>CW:</b><br/>Law on Licensing, Article 15.10.4<br/>Law on hazardous and toxic chemicals, Article 5</p>  |   |   |   | <p><b>CW:</b><br/>Ministry of Nature and Environment<br/>Government Resolution No. 219 of 25 October 2002 identifies licensing agencies</p> <p><b>BW:</b><br/>Ordinance No. 219 of 25 October 2002 identifies licensing agencies</p> |  |
| 7  | Control lists of materials, equipment and technology | X | X | ? | <p><b>NW/CW:</b><br/>List of code-named goods (Ordinance no. 219) Resolution no. 5 of the Parliament 1998</p> <p><b>BW:</b><br/>Ordinance No. 219 on Modifications to the List and Procedure</p> | X | X | X | <p><b>NW/CW/BW:</b><br/>List of Prohibited and Restricted Goods<br/>Mongolia Customs Official Website:<br/><a href="http://ecustoms.mn/">http://ecustoms.mn/</a><br/>Accessed on 29 August 2019</p>                                  |  |
| 8  | Intangible technology transfers                      |   |   |   |  |   |   |   |  |  |
| 9  | Inclusion of means of delivery                       |   |   |   |  |   |   |   |  |  |
| 10 | End-user controls                                    | X |   |   | <p><b>NW:</b><br/>Nuclear Energy Law, as amended 2012, Chapter 3, Article 37</p>   |   |   |   |  |  |
| 11 | Catch all clause                                     |   |   |   |  |   |   |   |  |  |
| 12 | Transit control                                      | X | ? | ? | <p><b>NW/CW/BW:</b><br/>Customs Law of 1996, Article 17</p> <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 4.2</p>   | X | X | ? | <p><b>NW:</b><br/>Law on Mongolia's nuclear-weapon-free-status (2000), Article 8.1<br/>Customs Law of 1996, Articles 71-72</p> <p><b>CW:</b></p>   |  |



## VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

| 1 | Assistance offered  |   |
|---|---|---|
| 2 | Assistance Point of Contact (for assistance providers only) |   |
| 3 | Assistance requested  | Financial and technical support for establishing the 3rd grade laboratory on Biosecurity.<br>Technical assistance on drafting the list of dual-use items and monitoring.  |
| 4 | Action taken to work with and inform industry               |   |
| 5 | Action taken to work with and inform the public             | Relevant laws are made public through the "State Information" publication issued by the Parliament  |
| 6 | Point of Contact  | The National Counter Terrorism Council (NCTC) of Mongolia<br><a href="tel:+976-92263493">Tel:+976-92263493</a><br>Fax:+976-92263656<br>Email: <a href="mailto:office@nctc.gov.mn">office@nctc.gov.mn</a>  |
| 7 | Voluntary National Implementation Action Plan (NAP)         |   |
| 8 | 1540 Committee visits to States                             | Consultative Meeting on Mongolia's draft National Action Plan on UNSCR 1540 (2004), organized by the UN Office for Disarmament Affairs (UNODA) through its Regional Centre for Peace and Disarmament in Asia and the Pacific (UNRCPD) in collaboration with the Organization for Security and Co-operation in Europe (OSCE), 18-20 September 2017, Vienna, Austria, attended by two 1540 experts. |