



**PERMANENT MISSION  
OF THE PRINCIPALITY OF LIECHTENSTEIN  
TO THE UNITED NATIONS  
NEW YORK**

**32/2020**

The Permanent Mission of the Principality of Liechtenstein to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 1540 (2004) and, in response to its communication referenced SCA/5/20(3), dated 2 March 2020, has the honor to submit enclosed the updated draft matrix for Liechtenstein for publication on the Committee website.

The Permanent Mission of the Principality of Liechtenstein to the United Nations avails itself of this opportunity to renew to the Security Council Committee established pursuant to resolution 1540 (2004) the assurance of its highest consideration.



New York, 30 April 2020

Security Council Committee  
established pursuant to resolution 1540 (2004)

Enclosure

Transmitted via email

# DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

**State:** **Principality of Liechtenstein**

**Date of Report:** **29 October 2004**

**Dates of Additional Reports:** **16 January 2006**

**15 January 2008**

**17 May 2013**

**Last Revised:** **[Date of publication of final approved Matrix on the Committee website]**

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 20 April 1978	
2	Nuclear Weapons Free Zone/ Protocol(s)		
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 25 September 2009	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 25 November 1986	
5	2005 Amendment to the CPPNM	Deposit 13 October 2009	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 21 September 2004	
7	Chemical Weapons Convention (CWC)	Deposit 24 November 1999	
8	Biological Weapons Convention (BWC)	Deposit 30 May 1991 (Washington), 31 May 1991 (Moscow), 6 June 1991 (London)	
9	Geneva Protocol of 1925	Deposit 6 September 1991	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 26 November 2002	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 9 July 2003	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 28 August 2009	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 28 August 2009	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	Customs Treaty between Switzerland and Liechtenstein. The Swiss export control authority acts on behalf of the Government of Liechtenstein.	
16	International Atomic Energy Agency (IAEA)	Deposit 13 December 1968	
17	Directly relevant Arrangements	Proliferation Security Initiative (PSI) HCoC since 25 November 2002 [Liechtenstein is not a member of the relevant export control arrangements (AG, MTCR, NSG, WA, ZC) but due to the Customs Union Agreement with Switzerland, it is represented by Switzerland.]	
18	Statement on non-provision of WMD and related materials to non-State actors	State has reported that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery. State adds that such support would be contrary to the Liechtenstein legislation, the international obligations Liechtenstein has entered into and to the policy it pursues at the international level.	
19	Membership in relevant international, regional or sub-regional organisations	Organization for Security and Co-operation in Europe (OSCE) MONEYVAL INTERPOL World Organisation for Animal Health (OIE) Inter-Parliamentary Union International Criminal Court	



## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material of 13 December 1996, as amended, Article 7</p> <p>(NOTE: Due to the 1923 Customs Union Agreement with Switzerland, a number of Swiss laws are applicable in Liechtenstein. The detailed account of applicable legislation can be found in the Law on Announcements of Swiss Legislation Applicable in Liechtenstein of 20 June 1996 as amended. In the following, the relevant Swiss laws and regulations applicable in Liechtenstein are marked with the prefix "Swiss", the state of play of applicable laws are based on announcement from 5 November 2019).</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material of 13 December 1996, as amended, Article 34</p>	
2	acquire	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34</p>	
3	possess	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34</p>	
4	develop	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34</p>	
5	transport	x	x	x	Swiss Federal Act on War Material, Article 7	x	x	x	Swiss Federal Act on War Material, Article 34	
6	transfer	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34</p>	
7	use	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34</p>	

				Criminal Code of 24 June 1987, Section 171 (intentional endangerment through nuclear energy or ionizing radiation)				Criminal Code of 24 June 1987, Section 171 (intentional endangerment through nuclear energy or ionizing radiation)	
8	attempt to engage in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Materials of 13 December 1996, as amended, Article 7	X	X	X	<b>NWCW/BW:</b> Swiss Federal Act on War Material of 13 December 1996, as amended, Article 34  Swiss Criminal Code of 24 June 1987, Sections 22, 23
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW:</b> Swiss Federal Act on War Materials of 13 December 1996, as amended, Article 7	X	X	X	<b>NW:</b> Swiss Federal Act on War Material of 13 December 1996, as amended, Article 34  Swiss Criminal Code of 24 June 1987, Sections 24, 25
10	assist in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34  Swiss Criminal Code of 24 June 1987, Sections 24, 25
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, as amended, Articles 7 b and c (participates in financing or brokering of financing of illicit business with war material, which also includes knowledge transfer of nuclear, biological and chemical weapons)  Liechtenstein Criminal Code, Section 278d, 287b, 278c (financing of terrorism)  Swiss Federal Act on War Material, Article 5, 7, 8b, 8c (prohibition of direct and indirect financing of the development, manufacture or acquisition of prohibited war material which includes NBC weapons)	X	X	X	<b>NW/CW/BW:</b> Act on Brokering of War Material of 10 December 2008, as amended, Article 29b  Liechtenstein Criminal Code, Section 278d, 287b, 287c (financing of terrorism)  Swiss Federal Act on War Material Article 34, 35b

12	abovementioned activities related to means of delivery <sup>1</sup>	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 7, 5 and Swiss Federal Ordinance on War Materials, Annex I	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Material, Article 34	
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1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.



**III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>**

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework			Enforcement and civil/criminal penalties			Remarks	
		X /?			X /?				
		N W	C W	B W *	N W	C W	B W		
1	Measures to account for production	X	X	X				<p><b>NW:</b> IAEA Safeguards Agreement (INFCIRC/275) and Additional Protocol December 2015</p> <p>Swiss Ordinance on Safeguards of 21 March 2012, Sections 2 – 7 (as far as import, export and transit are concerned)</p> <p>Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 6 (licensing for handling nuclear materials, handling is defined to include i.a. production, development, transit storage and transport)</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, as amended Chapter 5, Section 1</p> <p>Swiss Chemicals Act, Art. 6, 9, 18, 19</p> <p><b>BW:</b> Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 8-11</p>	<p><b>NW:</b> Exchange of Notes dated September 27, 1979 between the Embassy of the Principality of Liechtenstein in Bern and the Swiss Federal Department of Foreign Affairs on the control of nuclear material</p> <p>Swiss Ordinance on Safeguards of 21 March 2012, Section 8</p> <p><b>CW:</b> Exchange of Notes of 17 March / 1 May 2000 between the Swiss Confederation and the Principality of Liechtenstein concerning the implementation of the Chemical Weapons Convention by the competent Swiss departments</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41</p> <p>Swiss Chemicals Act of 15 December 2000, Chap. 7</p> <p><b>BW:</b> Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Article 11</p> <p>Organisms Act of 25 November 2011, Chapter</p>

				<p>Act of 25 November 2010 about the Handling of Genetically Modified, Pathogenic or Alien Organisms (Organisms Act), Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011, Art. 3, 7 and Annex</p> <p>Swiss Federal Act on the Control of Communicable Human Diseases of 28. September 2012, as amended (Swiss Law on Epidemics), Art. 26 (authorisation for confined use of pathogenic agents which includes production, use, storage and transport)</p> <p>Swiss Chemicals Act, Art. 4, 6, 10, 11 (also applies to microorganisms)</p>			<p>VIII, Containment Ordinance of 1 March 2011 Art. 19</p> <p>Swiss Chemicals Act, Chapter 7</p> <p>Swiss Law on Epidemics on Epidemics of 28 December 2012, as amended, Article 82(a) (penal provision)</p>			
2	Measures to account for use	X	X	X	<p><b>NW:</b> IAEA Safeguards Agreement (INFCIRC/275) and Additional Protocol December 2015</p> <p>Swiss Ordinance on Safeguards, Sections 2 – 7</p> <p>Swiss Nuclear Energy Act, as amended, Article 6</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chapter 5, Section 1</p> <p>Swiss Chemicals Act, Art. 6, 9, 18</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art. 3, 7 and Annex</p> <p>Swiss Law on Epidemics, Art. 26</p> <p>Swiss Chemicals Act, Art. 4, 6, 10, 11 Art 10: authorisation for use of a biocidal product which is defined as an active substance which in turn is defined as substances and</p>	X	X	X	<p><b>NW:</b> Exchange of Notes dated September 27, 1979</p> <p>Swiss Ordinance on Safeguards, Section 8</p> <p><b>CW:</b> Exchange of Notes of 17 March / 1 May 2000</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Containment Ordinance of 1 March 2011 Art. 19</p> <p>Swiss Law on Epidemics, Art. 82 (a) 3</p> <p>Swiss Chemicals Act, Chapter 7</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 8-11</p>	

				<p>microorganisms, Art 11 authorisation for use of a plant protection products which is defined as an active substance which is in turn defined as substances and microorganisms</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 8-11</p>					
3	Measures to account for storage	X	X	X	<p><b>NW:</b> IAEA Safeguards Agreement (INFCIRC/275) and Additional Protocol December 2015</p> <p>Swiss Ordinance on Safeguards, Sections 2 – 7</p> <p>Swiss Nuclear Energy Act, as amended, Art. 6</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chapter 5</p> <p>Swiss Chemicals Act, Art. 4, 6, 9, 18</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art. 3, 7 and Annex</p> <p>Swiss Law on Epidemics, Art. 26</p> <p>Swiss Chemicals Act, Art. 4, 6, 9, 18, 19</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 8-11</p>	X	X	X	<p><b>NW:</b> Exchange of Notes dated September 27, 1979</p> <p>Swiss Ordinance on Safeguards, Section 8</p> <p><b>CW:</b> Exchange of Notes of 17 March / 1 May 2000</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Swiss Law on Epidemics, as amended, Art. 82 (a);</p> <p>Containment Ordinance of 1 March 2011 Art. 19</p> <p>Swiss Chemicals Act, Chapter 7</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 8-11</p>
4	Measures to account for transport	X	X	X	<p><b>NW/CW/BW:</b> Party to Agreement concerning the international carriage of dangerous goods by road (ADR) (covers security of road transport of toxic, infectious and radioactive substances)</p>	X	X	X	<p><b>NW/CW/BW:</b> Party to Agreement concerning the international carriage of dangerous goods by road (ADR) (covers security of road transport of toxic, infectious and radioactive substances)</p>

				<p>Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 in connection with the Agreement concerning the international carriage of Dangerous goods by Road (ADR)</p> <p>Party to the treaty on international carriage of dangerous goods by rail (RID) (covers security of rail transport of toxic, infectious and radioactive substances)</p> <p>Regulations concerning the International carriage of Dangerous goods by rail (RID)</p> <p><b>NW:</b> IAEA Safeguards Agreement (INFCIRC/275) and Additional Protocol December 2015</p> <p>Swiss Ordinance on Safeguards, Sections 5</p> <p>Swiss Nuclear Energy Act, as amended, Art. 6</p> <p><b>CW:</b> Major Incidents Ordinance of 14 April 1998, Art. 26</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art. 3, 7 and Annex, Art. 20</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 26</p> <p>Swiss Law on Epidemics, Art. 26</p>				<p>Party to the treaty on international carriage of dangerous goods by rail (RID) (covers security of rail transport of toxic, infectious and radioactive substances)</p> <p>Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42</p> <p><b>NW:</b> Exchange of Notes dated September 27, 1979</p> <p>Swiss Ordinance on Safeguards, Section 8</p> <p><b>CW:</b> Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Swiss Law on Epidemics, Art. 82 (a)</p> <p>Containment Ordinance of 1 March 2011 Art. 19</p>		
5	Measures to secure production	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, Article 7 (licensing to handle nuclear materials conditioned on guarantees of nuclear safety and security)</p>	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Chapter 9 (criminal provisions related to security)</p> <p>Swiss Ordinance on Nuclear Energy of 10</p>	

				<p>Swiss Ordinance on Nuclear Energy of 10 December 2004 as amended, Article 9, Annex 2 (Principles for the security of nuclear installations, nuclear materials and radioactive waste)</p> <p>Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008 (issued further to Article 9 of the Ordinance on Nuclear Energy of 10 December 2004)</p> <p><b>CW:</b> Environmental Protection Act of 29 May 2008, Art. 8</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3</p> <p>Swiss Chemicals Act, Art. 4, 9</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Art. 11, 20, 34</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art 4, 7, 8 and Annex on security measures</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B</p> <p>Swiss Chemicals Act, Art. 4, 10</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p>			<p>December 2004, as amended Article 78</p> <p><b>CW:</b> Environmental Protection Act of 29 May 2008, Chapter VI</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act, Chapter 7</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act, Chapter 7</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p>			
6	Measures to secure use	X	X	X		X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 7</p> <p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Chapter 9</p>	

			<p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Article 9, Annex 2</p> <p>Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008, as amended</p> <p><b>CW:</b> Environmental Protection Act of 29 May 2008, Art. 8</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Art. 11, 20, 34</p> <p>Swiss Chemicals Act of 15 December 2000, e.g. Art. 19</p> <p><b>BW:</b> Swiss Law on Epidemics of 28 December 2012, as amended</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p> <p>Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art 4, 7, 8 and Annex on security measures</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B</p> <p>Swiss Chemicals Act of 15 December 2000, e.g. Art. 19</p>			<p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended Art. 78</p> <p><b>CW:</b> Environmental Protection Act of 29 May 2008, Chapter VI</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act of 15 December 2000, Chap. 7</p> <p><b>BW:</b> Swiss Law on Epidemics of 28 December 2012, as amended</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p> <p>Organisms Act of 25 November 2011, Chapter VIII</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act of 15 December 2000, Chap. 7</p>	
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7	Measures to secure storage	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 7</p> <p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Article 9, Annex 2</p> <p>Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p> <p><b>CW:</b> Swiss Chemicals Act of 15 December 2000, as amended Art. 3, 21</p> <p><b>BW:</b> Swiss Law on Epidemics of 28 December 2012, as amended</p> <p>Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011, Art 4, 7, 8 and Annex on security measures</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B</p> <p>Swiss Chemicals Act, Art.2, 3, 21</p>	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Chapter 9</p> <p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Art. 78</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p> <p><b>CW:</b> Swiss Chemicals Act of 15 December 2000, as amended Chapter 7, Article 49 (3b)</p> <p><b>BW:</b> Swiss Law on Epidemics of 28 December 2012, as amended</p> <p>Organisms Act of 25 November 2011, Chapter VIII</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act, Chapter 7</p>	
8	Measures to secure transport	X	X	X	<p><b>NW/CW/BW:</b> Party to Agreement concerning the international carriage of dangerous goods by road (ADR) (covers security of road transport of toxic, infectious and radioactive substances)</p> <p>Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 in connection with the Agreement concerning the international carriage of Dangerous goods by Road (ADR)</p>	X	X	X	<p><b>NW/CW/BW:</b> Party to Agreement concerning the international carriage of dangerous goods by road (ADR) (covers security of road transport of toxic, infectious and radioactive substances)</p> <p>Party to the treaty on international carriage of dangerous goods by rail (RID) (covers security of rail transport of toxic, infectious and radioactive substances)</p>	

				<p>Party to the treaty on international carriage of dangerous goods by rail (RID) (covers security of rail transport of toxic, infectious and radioactive substances)</p> <p>Regulations concerning the International carriage of Dangerous goods by rail (RID)</p> <p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 7</p> <p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Article 9, Annex 2</p> <p>Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Ordinance on the Handling of Organisms in the Environment of 1 March 2011</p> <p>Containment Ordinance of 1 March 2011</p> <p>Swiss Law on Epidemics of 28 December 2012, as amended, Article 45</p> <p>Swiss Environmental Release and Containment Ordinances</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012, Articles 4, 15 and 25</p>				<p>Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42</p> <p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Chapter 9</p> <p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Art. 78</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Swiss Law on Epidemics of 28 December 2012, as amended, Article 83(m)</p>		
9	Physical protection measures	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Articles 5 (protective measures) 23 (security guards for nuclear installations)</p> <p>Swiss Ordinance on Nuclear Energy of 10</p>	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Chapter 9</p>	



				<p>December 2004, Art. 9 (materials), Annex 2</p> <p>Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008</p> <p><b>CW:</b> Environmental Protection Act of 29 May 2008, Art. 8</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3</p> <p>Swiss Chemicals Act, Art. 21 (on secure storage)</p> <p><b>BW:</b> Organisms Act of 25 November 2010, Chapter III, Art. 68</p> <p>Containment Ordinance of 1 March 2011 Art 4, 7, 8 and Annex on security measures</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B</p> <p>Swiss Law on Epidemics, Art. 26</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p>				<p><b>CW:</b> Environmental Protection Act of 29 May 2008, Chapter VI</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act, Chapter 7</p> <p><b>BW:</b> Organisms Act of 25 November 2011, Chapter VIII</p> <p>Major Incidents Ordinance of 14 April 1998, Art. 33</p> <p>Swiss Chemicals Act, Chapter 7</p> <p>Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012</p>		
10	Personnel Reliability	X	X	X	<p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 24 (reliability assessments)</p> <p><b>CW:</b> Swiss Chemicals Act, Art. 24 authorises to introduce personal and professional criteria which need to be fulfilled in order for a person to work with certain substances</p> <p>Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Art. 20</p>	X	X	X	<p><b>NW:</b> Nuclear Energy Act of 21 March 2003, as amended, Article 24 (Federal Council)</p> <p><b>CW:</b> Swiss Chemicals Act, Chapter 7</p>	

					<b>BW:</b> Swiss Chemicals Act, Art. 24 authorises to introduce personal and professional criteria which need to be fulfilled in order for a person to work with these substances.					<b>BW:</b> Swiss Chemicals Act, Chapter 7	
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2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

[http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

#### IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Swiss Federal Office for Energy Federal Nuclear Safety Inspectorate, ENSI Exchange of Notes dated September 27, 1979 between the Embassy of the Principality of Liechtenstein in Bern and the Swiss Federal Department of Foreign Affairs on the control of nuclear material Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 6	
2	Licensing of nuclear installations/entities/ use of materials	Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 (material) Swiss Ordinance on Nuclear Energy of 10 December 2004	
3	IAEA Safeguards Agreements	Safeguards Agreement (INFCIRC/275) in force 4 October 1979 Additional Protocol in force 10 December 2015	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources		

5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	Convention on Early Notification of Nuclear Accident (deposit 19 April 1994) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (deposit 19 April 1994)	
10	National legislation and regulations related to nuclear material including CPPNM		

**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Liechtenstein Office of Foreign Affairs	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Swiss Chemicals Act, Chap. 2, Art. 18 Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chap. 4, 5	
3	Old or abandoned chemical weapons	Liechtenstein has never possessed chemical weapons	

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Organisms Act of 25 November 2010, Art. 29, 31 Containment Ordinance of 1 March 2011, Art. 9 Ordinance on the Handling of Organisms in the Environment of 1 March 2011, Art. 10 Swiss Law on Epidemics Act, Art. 5 Swiss Ordinance on Handling Organisms in Contained Systems of 9 May 2012	

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X / ?			Source document	X / ?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p><b>NW/CW/BW:</b> Customs Agreement with Switzerland of 29 March 1923</p> <p>Act on the Introduction of the Customs Agreement with Switzerland of 19 May 1924 Swiss Customs Law of 18 March 2005, as amended</p> <p>Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended Article 26</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Customs Act of 18 March 2005, Title 5, 6 (section 110c) and n 8 (penalties)</p> <p>Swiss Customs Ordinance of 1 November 2006, Title 4, 5, 4 Chapter</p> <p>Swiss Customs Ordinance of the Swiss Customs Administration of 4 April 2007, as amended</p> <p>Swiss Goods Control Act of 13 December 1996, Section 4 and Art. 22 (enforcement), Section 5 (penalties)</p>			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, as amended, Art 10, 11, 14, 15</p> <p>Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 3 June 2016, as amended, Art. 26</p> <p>Swiss Law on Customs of 18 March 2005, as amended, Chapter 1 (oversight of circulation of goods), as amended</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Customs Authorities, Liechtenstein Police</p> <p>Swiss Law on Customs of 18 March 2005, as amended, Title 5 (customs administration)</p> <p>Swiss Customs Ordinance of 1 November 2006, as amended, Title 4 (Federal Customs Administration)</p> <p>Swiss Goods Control Act of 13 December 1996, Art. 14, 15</p>			
3	Border control detection measures	X	X	X	<p><b>NW/CW/BW:</b> Swiss Customs Act of 18 March 2005, as amended, Title 6 ("data protection and</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Customs Administration uses an Advanced Cargo Information System for risk</p>			

				administrative assistance")  Swiss Customs Ordinance, as amended, Section 8, as amended  Swiss Goods Control Act of 13 December 1996, Art. 14, 19 ("Administrative assistance within Switzerland")				assessment, pre-screening and preliminary criminal investigations  Customs Ordinance of the Swiss Customs Administration of 4 April 2007, as amended  Swiss Goods Control Act of 13 December 1996, Art. 14		
4	Control of brokering	X	X	X	<b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, Art. 6 (prohibition to broker nuclear, biological or chemical weapons or war materials or to support such activities)  Act on Brokering of Nuclear Goods, Radioactive Waste Dual-use Goods and Special Military Goods of 10 December 2008  Ordinance on the Brokering of Nuclear Goods and radioactive Waste of 10 February 2009	X	X	X	<b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, Art. 28  Act on Brokering of Nuclear Goods, Radioactive Waste Dual-use Goods and Special Military Goods of 10 December 2008, Chapter VII	
5	Export control legislation in place	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Law on War Material, Article 7  Swiss Goods Control Act of 13 December 1996  Swiss Ordinance on the Export, Import and Transit of Dual-use Goods and Specific Military Goods of 3 June 2016, as amended  <b>NW</b> Swiss Ordinance on Safeguards of 21 March 2012, as amended, Section 5  <b>CW:</b> Swiss Ordinance on the Control of Chemicals with Civilian and Military Use of 21 August 2013, as amended, Title 4, Section 2	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Law on War Material, Articles 33 and 34  Swiss Goods Control Act, Section 5, Art 14, 15	
6	Licensing provisions and Authority				<b>NW/CW/BW:</b> Swiss Goods Control Act, Section 2  Swiss Ordinance on the Control of Export,				<b>NW/CW/BW:</b> Swiss Goods Control Act, Section 5, Art 14, 15  Swiss Ordinance on Export, Import and Transit	

				<p>Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended Chapter 2, Articles, 3, 8-12, 26</p> <p><b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 7</p> <p>Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Article 15</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications, Art. 13-15, 21-24</p>			<p>of Dual-Use Goods and Specific Military Goods, of 3 June 2016, as amended, Article 27 Swiss State Secretariat for Economic Affairs (SECO)</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 21, 22</p>			
7	Control lists of materials, equipment and technology	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, Article 2, 3</p> <p>Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 3 June 2016, as amended, Art. 1, 3, Annexes (items controlled by AG, WA, NSG and MTCR)</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 1, Annex</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, Article 2</p> <p>Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended, Art 29, Annexes</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Annex</p>	
8	Intangible technology transfers	X	X	X	<p><b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, Art. 13</p>	X	X	X	<p><b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, Art. 27</p>	
9	Inclusion of means of delivery	X	X	X	<p><b>NW/CW/BW:</b> Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended, Art 1, 2, Annexe (Control list contains MTCR controlled items)</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 3 June 2016, as amended, Annex 2 and 3</p>	
10	End-user controls	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, Art 6</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, Art 6, 14, 15</p>	



					Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, of 3 June 2016, as amended, Art. 5, 6, 8, 11				Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, of 3 June 2016, as amended, Art. 4	
11	Catch all clause	X	X	X	<b>NW/CW/BW:</b> Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, of 3 June 2016, as amended, Art. 3 (4)	X	X	X	<b>NW/CW/BW:</b> Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended, Article 4  Swiss Goods Control Act, Section 5, Art. 14, 15	
12	Transit control	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Act on War Materials of 13 December 1996, Article 7 (prohibition)  Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, of 3 June 2016, as amended, Art. 24  Swiss Law on Customs of 18 March 2005, as amended, Chapter 4, as amended  <b>NW:</b> Swiss Nuclear Energy Act of 21 March 2003, as amended, Article 6  Swiss Ordinance on Nuclear Energy of 10 December 2004, as amended, Article 15  <b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 18	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Law on War Material, Article 34 Goods Control Act of 13 December 1996, Section 5	
13	Trans-shipment control	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Law on War Material, Article 7  Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 3, 24	X	X	X	<b>NW/CW/BW:</b> Swiss Federal Law on War Material, Articles 33 and 34  Swiss Goods Control Act, Section 5	

					Swiss Law on Customs of 18 March 2005, as amended				
14	Re-export control	X	X	X	<p><b>NW/CW/BW:</b> Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, of 3 June 2016, as amended, Article 3</p> <p><b>CW:</b> Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 9</p>	X	X	X	<p><b>NW/CW/BW:</b> Swiss Goods Control Act, Section 5, 14, 15</p> <p>Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Art. 27</p>
15	Control over financing of exports/transhipments that would contribute to proliferation	X	X	X	<p><b>NW/CW/BW:</b> Act on Brokering in War Material of 10 December 2008, Art. 27 (participates in financing or brokering of financing of illicit business with war material, which also includes knowledge transfer)</p> <p>Swiss Federal Law on War Material, Article 7, 8b, 8c (prohibition of direct and indirect financing of inter alia the acquisition of prohibited war material which includes NBC weapons)</p> <p>Financial Intelligence Unit Act of 14 March 2002 Due Diligence Act of 11 December 2008</p> <p>Due Diligence Ordinance of 17 February 2009</p> <p><b>NW:</b> Liechtenstein Criminal Code 278 d (financing of terrorism)</p>	X	X	X	<p><b>NW/CW/BW:</b> Act on Brokering of War Material of 10 December 2008, Art. 27</p> <p>Swiss Federal Law on War Material, Article 34, 35b</p> <p>Financial Intelligence Unit at the Ministry of Finance</p> <p>Financial Market Authority (FMA) Due Diligence Act of 11 December 2008, Section VI</p> <p><b>NW:</b> Liechtenstein Criminal Code 278 d (financing of terrorism)</p>
16	Control over services related to exports/transhipments that would contribute to proliferation including transportation	x	x	x	<p>Swiss Federal Act on War Material of 13 December 1996, as amended, Article 7</p> <p>Act on Brokering in War Material of 10 December 2008</p>	x	x	x	<p>Swiss Federal Act on War Materials of 13 December 1996, Article 34</p> <p>Act on Brokering of War Material of 10 December 2008</p>



## VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	State is willing to assist through capacity building, particularly in areas where it has relevant experience.
2	Assistance Point of Contact (for assistance providers only)	Ms. Karin Lingg (Head, Division for Security and Human Rights, Office for Foreign Affairs) Tel: +423-236-6052 Email: karin.lingg@llv.li
3	Assistance requested	
4	Action taken to work with and inform industry	The Liechtenstein Police participates in the Swiss Federal Police "Prophylax" program (aimed at making companies aware of the dangers of proliferation).
5	Action taken to work with and inform the public	State reports that all relevant laws and international agreements are considered by Parliament and must be published in the Liechtenstein Legal Gazette. Their entry into force is announced in the national newspapers. The complete text may either be obtained at the Government Chancellery or viewed on the Internet.
6	Point of Contact	Ms. Karin Lingg (Head, Division for Security and Human Rights, Office for Foreign Affairs) Tel: +423-236-6052 Email: karin.lingg@llv.li
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	