



Latvijas Republikas pastāvīgā pārstāvniecība ANO Ņujorkā
Permanent Mission of the Republic of Latvia to the United Nations

No. UN-N-867

The Permanent Mission of the Republic of Latvia to the United Nations presents its compliments to the Chair of the 1540 Committee of the United Nations Security Council and has the honor to forward, enclosed herewith, an updated 1540 National Report Matrix of the Ministry of Foreign Affairs of the Republic of Latvia.

The Permanent Mission of the Republic of Latvia to the United Nations avails itself of this opportunity to renew to the 1540 Committee of the United Nations Security Council the assurances of its highest consideration.

New York, 10 January 2020



Chair of the Committee of the United Nations Security Council
established pursuant to resolution 1540 (2004)
New York

APPROVED 1540 COMMITTEE MATRIX (2017)

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

State:	Latvia
Date of Report:	28 Oct 2004
Dates of Additional Reports	
Last Revised:	19 Dec 2019

<p>Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.</p>	<p>Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)</p>	<p>Remarks (information refers to the page of the English version of the national report or an official web site)</p>
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1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 31 Jan 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)	NA	
3	Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 25 Jul 2006	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 6 Nov 2002	
5	2005 Amendment to the CPPNM	Deposit 23 Nov 2010	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 20 Nov 2001	
7	Chemical Weapons Convention (CWC)	Deposit 23 Jul 1996	
8	Biological Weapons Convention (BWC)	Deposit 6 Feb 1997	
9	Geneva Protocol of 1925	Deposit 3 June 1931	
10	1997 International Convention for the Suppression of Terrorist Bombing	14 Nov 2002	
11	1999 International Convention for the Suppression of the Financing of Terrorism	25 Nov 2002	
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	16 Nov 2009	
13	2005 Protocol to the Convention for the suppression of acts against the safety of fixed platforms located on the continental shelf	28 July 2010	

14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	NA	
15	Other relevant regional legally binding instruments	EURATOM Treaty	
16	International Atomic Energy Agency (IAEA)	Since 10 April 1997	
17	Directly relevant Arrangements	Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Arrangement (WA) PSI participating State GICNT Hague Code of Conduct (HCOC) (Subscribing State)	
18	[Statement on non-provision of WMD and related materials to non-State actors]	The report states that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use chemical, biological, radiological and nuclear weapons and their means of delivery. Such support is penalized under the Criminal Law.	
19	Membership in relevant international, regional or sub-regional organisations	International Maritime Organization (IMO) International Monetary Fund (IMF) Inter-Parliamentary Union (IPU) INTERPOL Organization for Security and Cooperation in Europe (OSCE) World Bank Group World Customs Organization (WCO) World Health Organization (WHO) World Organisation for Animal Health (OIE)	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework						Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document		
		N W	C W	B W		N W	C W	B W			
1	manufacture	X	X	X	<p>NW: Nuclear Non-Proliferation Treaty Ratification Law Criminal Law of 1999, as amended, Article 73 [preparation]</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Criminal Law of 1999, as amended, Article 73 Chemical Substances Law of 01 April 1998, as amended</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997 Criminal Law of 1999, as amended, Article 73</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Article 73</p> <p>CW: Criminal Law of 1999, as amended, Article 248 [unauthorised manufacture, acquisition, storage, or sale of poisonous or powerfully acting substances & violation of provisions regarding production, storage, dispensation, registration, transportation or forwarding]</p>		

2	acquire	?	X	X	<p>NW: Criminal Law of 1999, as amended, Article 73 [preparation, storage, movement, use or distribution] Nuclear Non-Proliferation Treaty Ratification Law</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997</p>	?	X	?	<p>NW/CW/BW: Criminal Law of 1999, as amended, Article 73</p> <p>CW: Criminal Law of 1999, as amended, Article 248</p>	
3	possess	X	X	X	<p>NW: Nuclear Non-Proliferation Treaty Ratification Law Criminal Law of 1999, as amended, Art. 73 [preparation, storage, movement, use or distribution]</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Art. 73 (Manufacture, Amassment, Deployment and Distribution of Weapons of Mass Destruction)</p>	

				<p>Chemical Substances Law of 01 April 1998, as amended Criminal Law of 1999, as amended, Art. 73</p> <p>BW: Law on ratification of the BWC Criminal Law of 1999, as amended, Art. 73</p>					
4	develop	?	X	X	<p>NW:</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997;</p>	?	X	X	<p>NW:</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997</p>
5	transport	X	X	X	<p>NW/CW/BW: Criminal Law, as amended, Article 248 Law on Circulation of Goods of Strategic Significance of 19 July 2007 [transit, export]</p> <p>Criminal Law of 1999, as amended, Article 73 [movement]</p> <p>CW: Regulations of Cabinet of Ministers on Prohibitions, limitations or</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Article 73 [movement]</p> <p>CW: Criminal Law, as amended, Article 248</p>

				restrictions on the handling, use and marketing of certain dangerous chemicals of 25 April 2000					
6	transfer	x	x	x	<p>NW: Law on Circulation of Goods of Strategic Significance of 19 July 2007 Criminal Law of 1999, as amended, Article 73: distribution</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Chemical Substances Law of 01 April 1998, as amended Law on Circulation of Goods of Strategic Significance of 19 July 2007 Criminal Law of 1999, as amended, Article 73: distribution</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997; Criminal Law of 1999, as amended, Article 73: distribution</p>	x	x	x	<p>NW/CW/BW: Criminal Law of 1999, as amended, Article 73: distribution</p> <p>CW: Criminal Law, as amended, Article 248</p>
7	use	x	x	x	<p>NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 79</p>	x	x	x	<p>NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 79</p>

				<p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended Regulations of the Cabinet of Ministers on Prohibitions, Limitations or Restrictions on the Handling, Use and Marketing of Certain Dangerous Chemicals of 25 April 2000</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997; Criminal Law of 1999, as amended, Articles 73 and 79</p>					
8	attempt to engage in abovementioned activities	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Section 20: Joint Commission [acts jointly; organized or directed; induced; provide advice, direction, means]	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Sections 20: Joint Commission; Section 79 ¹ [financing]; and 79 ³ [training]
9	participate as an accomplice in abovementioned activities	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Sections 18,19, 20 and 54:Participation	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 79
10	assist in abovementioned activities	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Section 20: Joint Commission [acts jointly; organized or directed; induced; provide advice, direction, means]	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Sections 20: Joint Commission; Section 79 ¹ [financing]; and 79 ³ [training]

11	finance abovementioned activities	x	x	x	NW/CW/BW: Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing , as amended	x	x	x	NW/CW/BW: Criminal Law of 1999, as amended, Article 73. ¹ : finance
12	abovementioned activities related to means of delivery ¹		x	x	NW: Law on the Circulation of Strategic Goods CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996 BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997;		x	x	NW: CW/BW: Criminal Law of 1999, as amended

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X / ?			Source document	X / ?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	x	x	?	<p>NW: INFCIRC/193 Law on Radiation and Nuclear Safety of 26 October 2000, Section 26; as amended Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No.752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation</p> <p>CW: Criminal Law of 1999, as amended, Article 98 Chemical Substances Law of 1998, as amended, Article 9</p> <p>Regulations of the Control Committee of Strategic Goods [demand ... any information necessary for implementation of requirements of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996 connected with ... chemical industry or export and import of chemical substances]</p>	x	x	?	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346 (Violation of Provisions Regarding Storage, Use, Accounting for and Transportation of Weapons, Ammunition, Explosive Substances, Radioactive and Other Dangerous Substances)</p> <p>CW: Criminal Law of 1999, as amended, Article 98 Chemical Substances Law of 1998, as amended, Art. 19 and 20</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>	

					BW: Criminal Law of 1999, as amended, Article 98				
2	Measures to account for use	x	x	x	<p>NW: INFCIRC/193 Law on Radiation and Nuclear Safety of 26 October 2000, Section 26; as amended Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No.752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation</p> <p>CW: Chemical Substances Law of 1998, as amended, Article 9;</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>	x	x	x	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346 (Violation of Provisions Regarding Storage, Use, Accounting for and Transportation of Weapons, Ammunition, Explosive Substances, Radioactive and Other Dangerous Substances)</p> <p>CW: Criminal Law of 1999, as amended, Article 98 - violation of provisions regarding the production, acquisition, transport, storage or use of radioactive substances or other sources of ionising radiation, hazardous biological, bacteriological, toxic, chemical substances or goods, or other hazardous substances or materials, preparations or viruses if substantial harm has been caused Chemical Substances Law of 1998, as amended,, Arts. 19 and 20</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>

3	Measures to account for storage	X	X	X	<p>NW: INFCIRC/193 Law on Radiation and Nuclear Safety of 26 October 2000, Section 26; as amended Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No.752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation</p> <p>CW: Chemical Substances Law of 1998, as amended, Article 9</p> <p>Cabinet of Ministers Regulation 575 of 29 June 2010 regarding the procedures for registration of chemical substances and mixtures and their database.</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Criminal Law of 1999, as amended, Article 98 - Chemical Substances Law of 1998, as amended, Art.19 and 20</p>	
4	Measures to account for transport	X	X	X	<p>NW: Cabinet of Ministers Regulation No. 307 of 3 July 2001 on Protection against Ionizing Radiation and Transporting Radioactive Materials</p> <p>CW: Council Directives 94/55/EEC [transport of dangerous goods by road] and 96/49/EEC [transport by rail]</p> <p>BW: Council Directives 94/55/EEC and 96/49/EEC</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98</p> <p>BW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Articles 98</p>	
5	Measures to secure production	X	X	X	<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p>	

				<p>safety and nuclear safety); and Section 22 (Physical protection of ionising radiation sources);</p> <p>Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment;</p> <p>Cabinet of Ministers Regulation No.752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation</p> <p>The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources,</p> <p>CW: Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006</p> <p>BW: Directive 2000/54/EC [biological agents at work]</p>				<p>CW: Criminal Law of 1999, as amended, Article 98</p> <p>BW: Criminal Law of 1999, as amended Article 98</p>	
6	Measures to secure use	X	X	X	<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety); and Section</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW:</p>

				<p>22 (Physical protection of ionising radiation sources); Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment;</p> <p>Cabinet of Ministers Regulation No.752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation</p> <p>The Cabinet Ministers Regulation No. 508 of 4 November 2002 on physical protection of ionising radiation sources.</p> <p>CW: Directive 98/24/EC; Chemical Substances Law of 1998, as amended</p> <p>BW: Directive 2000/54/EC</p>			<p>Criminal Law of 1999, as amended, Article 98</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>			
7	Measures to secure storage	x	x	x	<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22, (Physical protection of ionising radiation sources);</p> <p>Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment;</p>	x	x	x	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Criminal Law of 1999, as amended, Article 98</p> <p>BW: Criminal Law of 1999, as amended, Articles 98</p>	

				<p>Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources,</p> <p>CW: Directive 98/24/EC; Chemical Substances Law of 1998, as amended, Article 16</p> <p>BW: Directive 2000/54/EC</p>					
8	Measures to secure transport	x	x	x	<p>NW: Council Directives 94/55/EEC and 96/49/EEC; Cabinet of Ministers Regulations No.307 of 3 July 2001 on Protection against Ionising Radiation transporting Radioactive Materials Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources.</p> <p>CW: Council Directives 94/55/EEC and 96/49/EEC; Chemical Substances Law of 1998, as amended, Article 12;</p> <p>Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006</p> <p>BW: Council Directives 94/55/EEC and 96/49/EEC</p>	x	x	x	<p>NW: Directive 2006/117/Euratom: supervision and control of shipments;</p> <p>Criminal Law of 1999, as amended, Articles 98 and 233: transport without Permit</p> <p>CW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98</p> <p>BW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98</p>

9	Physical protection measures				The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources				Section 87. ¹ of the Latvian Administrative Violations Code (violation of storage, leaving without supervision in vehicle)	
10	Personnel Reliability				The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources (paragraphs 24, 25, 26)				Criminal Law of 1999, Article 12, 98 Section 87. ¹ of the Latvian Administrative Violations Code	

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	INFCIRC/193; Law on Radiation Safety and Nuclear Safety;	
2	Licensing of nuclear installations/entities/ use of materials	Cabinet of Ministers Regulation No. 752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation	
3	IAEA Safeguards Agreements	INFCIRC/193; Additional Protocol in force 12 July 2001	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Notification pursuant to GC(47)/RES/7.	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Notification pursuant to GC(48)/RES/10.D	
6	IAEA Incident and Trafficking Database	Participates Radiation Safety Centre of State Environmental Service participates in ITDB as contact point	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPAS)	Iekšlietu ministrijas kompetence Nav izstrādāts šāds plāns	
8	Applying the physical protection	The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources	

	recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	Convention on Early Notification of Nuclear Accident (28.01.1993); Vienna Convention on Civil Liability for Nuclear Damage, in force since 15.06.1995; Protocol To Amend The Vienna Convention on Civil Liability For Nuclear Damage (28.06.2001); Joint Protocol relating to the Application on the Vienna Convention and the Paris Convention (26.11.1994); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (28.01.1993); Convention on Nuclear Safety (23.01.1997); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (18.06.2001)	
10	National legislation and regulations related to nuclear material including CPPNM	The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	<p>Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007</p> <p>Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010</p> <p>Chemical Substances Law of 01 April 1998, as amended</p>	
3	Old or abandoned chemical weapons	Declaration on old chemical weapons produced before 1925 that have been found in the territory of Latvia; submitted to Organisation for the Prohibition of Chemical Weapons on 27 April 2018 (quantity – 8 projectiles)	

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		
2	Other		

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials	National legal framework					Enforcement and civil/criminal penalties					Remarks
	X/?			Source document	X/?			Source document			
	N W	C W	B W		N W	C W	B W				
1 Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Council Regulation (EEC) No. 2913/92 of 12 October 1992 (Community Customs Code); Commission Regulation No. 2454/1993 (Implementing Provisions to the Community Customs Code); EU Regulation no. 648 of 13 April 2005 (Security Amendment); Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community; Customs Law (last amendment 2002), Section 2(Restrictions Regarding the Movement of Goods across the Customs Border); Border Guard Law, 1997 Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters</p>	X	X	X	<p>NW/CW/BW: Criminal Law, Article 190, Clause 3</p>			

2	Law enforcement to detect, deter, prevent and combat illicit trafficking			<p>NW Border Guard Law, 1997; Law on Radiation Safety and Nuclear Safety The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources Cabinet of Ministers Regulation No. 535 (22.09.2015.) Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters</p> <p>CW Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007</p>			<p>NW Criminal Law of 1999, Article 73 and 98 Section 87.¹ of the Latvian Administrative Violations Code</p> <p>CW Criminal Law, Article 73, Article 98, Article 100</p>		
3	Border control detection measures			Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters			Criminal Law, Article 190. ¹		
4	Control of brokering	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-

				use items Law on Circulation of Goods of Strategic Significance of 19 July 2007			use items (Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation)		
5	Export control legislation in place	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Strategic Goods	X	X	X	NW/CW/BW: Criminal Law, Article 98 [moving ... radioactive or hazardous substances, goods of strategic importance or other valuable property, across the border] Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, and explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods). Administrative Violations Code, Article 179.1, 201.10.
6	Licensing provisions and Authority	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 ; Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010	X	X	X	NW/CW/BW: Criminal Code, Article 98 Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods). Administrative Violations Code, Article 179.1, 201.10.
7	Control lists of materials, equipment and technology	X	X	X	Council regulation (EC) n. 428/2009 of 5 May 2009, Annex I.				Criminal Law of 1999, Article 98, 190. ¹
8	Intangible technology transfers	X	X	X	Law on the Circulation of Goods of Strategic Significance				Administrative Violations Code, Article 179.1; Criminal Code, Article 2371 (Violation of circulation of strategic goods)
9	Inclusion of means of delivery	X	X	X	NW/CW/BW:	X	X	X	NW/CW/BW:

					Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance				Council regulation (EC) n. 428/2009 Law on the Circulation of Goods of Strategic Significance	
10	End-user controls	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on Circulation of Goods of Strategic Significance	
11	Catch all clause	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	
12	Transit control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	
13	Trans-shipment control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on the Circulation of Goods of Strategic Significance	?	?	?	NW/CW/BW: Administrative Violations Code, Article 181, 183 and 201	
14	Re-export control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	NW/CW/BW: Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing	X	X	X	NW/CW/BW: Criminal Law, Article 73. ¹ (financing) and Article 84 (violation of sanctions)	
16	Control over services related to exports/transshipments that would contribute to				Law on Circulation of Goods of Strategic Significance of 19 July 2007				Criminal Law of 1999, Article 98 Section 87. ¹ of the Latvian Administrative Violations Code	

	proliferation including transportation			Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters					
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VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	Control Committee of Strategic Goods regularly informs firms dealing with import, export and transit of their obligations and provides updates in regards to amendments in legislation or in appropriate lists. In addition to that, Latvia also regularly organises seminars for entrepreneurs dealing with the circulation of strategic goods.
5	Action taken to work with and inform the public	There is a section on the import, export and transit of strategic goods on the website of the Ministry of Foreign Affairs
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	