

# APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

<b>State:</b>	<b>Republic of Latvia</b>
<b>Date of Report:</b>	<b>28 October 2004</b>
<b>Dates of Additional Reports:</b>	<b>22 December 2005</b>
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<b>Date of Approval:</b>	<b>9 December 2020</b>

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)	
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 31 January 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)		
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 25 July 2006	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 6 November 2002	
5	2005 Amendment to the CPPNM	Deposit 23 November 2010	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 20 November 2001	
7	Chemical Weapons Convention (CWC)	Deposit 23 July 1996	
8	Biological Weapons Convention (BWC)	Deposit 6 February 1997	
9	Geneva Protocol of 1925	Deposit 3 June 1931	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 25 November 2002	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 14 November 2002	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 16 November 2009	
13	2005 Protocol to the Protocol to the Convention for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 16 November 2009	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	EURATOM Treaty	
16	International Atomic Energy Agency (IAEA)	Since 10 April 1997	
17	Directly relevant Arrangements	Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Arrangement (WA) PSI participating State GICNT The Hague Code of Conduct (HCoC)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery. Such support is penalised under the Criminal Law.	
19	Membership in relevant international, regional or sub-regional organisations	European Union (EU) International Maritime Organization (IMO) Inter-Parliamentary Union (IPU) INTERPOL MONEYVAL Organization for Security and Cooperation in Europe (OSCE) International Monetary Fund (IMF) and World Bank Group World Customs Organization (WCO) World Health Organization (WHO) World Organisation for Animal Health (OIE)	

## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?				
		N W	C W	B W		N W	C W	B W		
1	Manufacture	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p> <p><b>NW:</b> Nuclear Non-Proliferation Treaty Ratification Law.</p> <p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended.</p> <p><b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p> <p><b>CW:</b> Criminal Law of 1999, as amended, Art. 248 (unauthorised manufacture, acquisition, storage, or sale of poisonous or powerfully acting substances &amp; violation of provisions regarding production, storage, dispensation, registration, transportation or forwarding).</p>	
2	Acquire	?	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p> <p><b>NW:</b> Nuclear Non-Proliferation Treaty Ratification Law.</p> <p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical</p>	?	X	?	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p> <p><b>CW:</b> Criminal Law of 1999, as amended, Art. 248</p>	

				<p>Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended.</p> <p><b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997.</p>					
3	possess	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p> <p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended.</p> <p><b>BW:</b> Law on ratification of the BWC.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73.</p>
4	develop		X	X	<p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended.</p> <p><b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and Their Destruction, of 03 January 1997.</p>		X	X	<p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996.</p> <p><b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and Their Destruction, of 03 January 1997.</p>

5	transport	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 (movement).</p> <p><b>CW:</b> Regulations of Cabinet of Ministers on Prohibitions, limitations or restrictions on the handling, use and marketing of certain dangerous chemicals of 25 April 2000.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 (movement).</p>
6	transfer	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 (distribution).</p> <p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended.</p> <p><b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and Their Destruction, of 03 January 1997.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 (distribution).</p>
7	use	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Articles 73 and 79 No 1.</p> <p><b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996. Chemical Substances Law of 01 April 1998, as amended Regulations of the Cabinet of Ministers on Prohibitions, Limitations or Restrictions on the</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Arts. 73 and 79 No 1.</p>

					Handling, Use and Marketing of Certain Dangerous Chemicals of 25 April 2000.				
8	attempt to engage in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 20.	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 20, 79 No 1 and 79 No 3.
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Sections 18, 19, 20 and 54 (participation).	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 and 79 No 1.
10	assist in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Section 20: Joint Commission (acts jointly; organized or directed; induced; provide advice, direction, means).	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Section 20: Joint Commission; Section 79 No 1 (financing) and 79 No 3 (training).
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Law on Prevention of the Laundering of the Proceeds of Crime, as amended.	X	X	X	<b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 73 No 1 (finance).
12	abovementioned activities related to means of delivery <sup>1</sup>	X	X	X	<b>NW:</b> Law on the circulation of Strategic Goods, as amended, Section 5 No 1.  <b>CW:</b> Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996.  <b>BW:</b> Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and Their Destruction, of 03 January 1997.	X	X	X	<b>CW/BW:</b> Criminal Law of 1999, as amended.  <b>NW:</b> Law on the circulation of Strategic Goods, as amended, Section 18.

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

### III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework						Enforcement and civil/criminal penalties						Remarks
	X/?			Source document	X/?			Source document					
	N W	C W	B W *		N W	C W	B W						
1	Measures to account for production	X	X		<p><b>NW:</b> INFCIRC/193 and Additional Protocol EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards Law on Radiation and Nuclear Safety of 26 October 2000, Section 26; as amended. Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment. Cabinet of Ministers Regulation No. 752 of 22 December 2015 on the Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, Art 1, 5, 7 No 2.</p>	X	X	?	<p><b>CW/BW:</b> Criminal Law of 1999, as amended, Art. 98 (violation of provisions regarding the production, acquisition, transport, storage or use of radioactive substances or other sources of ionising radiation, hazardous biological, bacteriological, toxic, chemical substances or goods, or other hazardous substances or materials, preparations or viruses if substantial harm has been caused).</p> <p><b>NW:</b> EURATOM Treaty, Art. 83 Criminal Law of 1999, as amended, Art. 98 and 346 (Violation of Provisions Regarding Storage, Use, Accounting for and Transportation of Weapons, Ammunition, Explosive Substances, Radioactive and Other Dangerous Substances).</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, Arts. 19 and 20.</p>				

				<p>Cabinet Regulation No. 795 Adopted 22 December 2015, (Database).</p> <p>Regulations of the Control Committee of Strategic Goods (demand ... any information necessary for implementation of requirements of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996 connected with ... chemical industry or export and import of chemical substances).</p>					
2	Measures to account for use	X	X	X	<p><b>NW:</b> INFCIRC/193 and Additional Protocol EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards. Law on Radiation and Nuclear Safety of 26. October 2000, as amended, Section 26. Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment. Cabinet of Ministers Regulation No. 752 of 22 December 2015 on the Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, 1, 5, 7 No 2. Cabinet Regulation No. 795 Adopted 22 December 2015, (Database).</p> <p><b>BW:</b> Directive 2000/54/EC.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 98.</p> <p><b>NW:</b> EURATOM Treaty, Art. 83. Criminal Law 1999, as amended, Art. 346.</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, Arts. 19 and 20.</p>
3	Measures to account for storage	X	X			X	X	?	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 98.</p>

				<p><b>NW:</b> INFCIRC/193 and Additional Protocol EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards. Law on Radiation and Nuclear Safety of 26 October 2000, as amended. Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment. Cabinet of Ministers Regulation No. 752 of 22 December 2015 on the Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, 1, 5, 7 No 2. Cabinet Regulation No. 795 Adopted 22 December 2015, (Database).  Cabinet of Ministers Regulation 575 of 29 June 2010 regarding the procedures for registration of chemical substances and mixtures and their database.</p>			<p><b>NW:</b> EURATOM Treaty, Art. 83. Criminal Law 1999, as amended, Art. 346.</p> <p><b>CW:</b> Chemical Substances Law of 1998, as amended, Art. 19 and 20.</p>			
4	Measures to account for transport	X	X	X	<p><b>NW/CW/BW:</b> EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (adapting ADR, RID and ADN and for intra-EU transfers), as amended. Party to ADR and RID agreements.</p> <p><b>NW:</b> EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards, Art 26.  Cabinet of Ministers Regulation No. 307 of 3 July 2001 on Protection against Ionizing Radiation and Transporting Radioactive Materials.</p>	X	X	X	<p><b>NW/CW/BW:</b> Party to ADR and RID agreements. Criminal Law of 1999, as amended, Art. 98.</p> <p><b>NW:</b> Criminal Law 1999, as amended, Art. 346.</p>	

5	Measures to secure production	X		<p><b>NW:</b>  Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22 (Physical protection of ionising radiation sources).  Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment.  Cabinet of Ministers Regulation No. 752 of 22 December 2015 on the Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.  The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources.</p>	X	?	?	<p><b>NW/CW/BW:</b>  Criminal Law of 1999, as amended, Art. 98.</p>	
6	Measures to secure use	X	?	<p><b>NW:</b>  Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22 (Physical protection of ionising radiation sources).  Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment.  Cabinet of Ministers Regulation No. 752 of 22 December 2015 on the Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.  The Cabinet Ministers Regulation No. 508 of 4 November 2002 on physical protection of ionising radiation sources.</p>	X	X	X	<p><b>NW/CW/BW:</b>  Criminal Law of 1999, as amended, Art. 98</p> <p><b>NW:</b>  Criminal Law 1999, as amended, Art. 346.</p>	

					<b>CW:</b> Chemical Substances Law of 1998, as amended (on safety).				
7	Measures to secure storage	X	X		<p><b>NW:</b> Law on Radiation and Nuclear Safety of 26 October 2000, as amended Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22, (Physical protection of ionising radiation sources). Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment. Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources.</p> <p><b>CW:</b> Directive 98/24/EC.</p>	X	X	?	<p><b>NW/CW/BW:</b> Criminal Law of 1999, as amended, Art. 98.</p> <p><b>NW:</b> Criminal Law 1999, as amended, Art. 346.</p>
8	Measures to secure transport	X	X	X	<p><b>NW/CW/BW:</b> EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (adapting ADR, RID and for intra EU transfers), as amended. Party to ADR and RID agreements.</p> <p><b>NW:</b> Cabinet of Ministers Regulations No. 307 of 3 July 2001 on Protection against Ionising Radiation transporting Radioactive Materials Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources.</p>	X	X	X	<p><b>NW/CW/BW:</b> Party to ADR and RID agreements.</p> <p><b>CW/BW</b> Criminal Law of 1999, as amended, Art. 98.</p> <p><b>NW:</b> Directive 2006/117/Euratom: supervision and control of shipments. Criminal Law of 1999, as amended, Art. 98, 346.</p>

9	Physical protection measures	X	X	<p><b>NW:</b> Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical Protection Requirements for Sources of Ionizing Radiation.</p> <p><b>BW:</b> Directive 2000/54/EC.</p>	?	?	?	<p><b>NW/CW/BW:</b> Section 87 No 1. of the Latvian Administrative Violations Code (violation of storage, leaving without supervision in vehicle).</p>
10	Personnel Reliability	?		<p><b>NW:</b> The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources (paragraphs 24, 25, 26).</p>	?	?	?	<p><b>NW/CW/BW:</b> Section 87.<sup>1</sup> of the Latvian Administrative Violations Code.</p>

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

**IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	INFCIRC/193. Law on Radiation Safety and Nuclear Safety.	
2	Licensing of nuclear installations/entities/ use of materials	Cabinet of Ministers Regulation No. 752 of 22 December 2015 Procedures for Licensing and Registering Activities with Sources of Ionising Radiation.	
3	IAEA Safeguards Agreements	INFCIRC/193. Additional Protocol in force 12 July 2001.	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support.	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support.	
6	IAEA Incident and Trafficking Database	Participates.	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	The Cabinet Regulations of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources.	

9	Other Agreements related to IAEA	<p>Convention on Early Notification of a Nuclear Accident, deposit 28 December 1992.  Vienna Convention on Civil Liability for Nuclear Damage, in force since 15 June 1995.  Protocol to Amend the Vienna Convention on Civil Liability for Nuclear Damage (28 June 2001).  Joint Protocol relating to the Application on the Vienna Convention and the Paris Convention (26 November 1994).  Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, deposit 28 December 1992.  Convention on Nuclear Safety, deposit 25 October 1996.  Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, deposit 27 March 2000.</p>	
10	National legislation and regulations related to nuclear material including CPPNM	<p>The Cabinet Regulations of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources</p>	

**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007.	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007. Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010. Chemical Substances Law of 1998, as amended.	
3	Old or abandoned chemical weapons	Declaration on old chemical weapons produced before 1925 that have been found in the territory of Latvia; submitted to Organisation for the Prohibition of Chemical Weapons on 27 April 2018 (quantity – 8 projectiles).	

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
<p>1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials</p>		

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p><b>NW/CW/BW:</b>            Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended, especially Art. 264 and 267.            Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community.            Border Guard Law, 1997.            Customs Law (last amendment 2002), Section 2 (Restrictions Regarding the Movement of Goods across the Customs Border).            Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters.</p>	X	X	X	<p><b>NW/CW/BW:</b>            Criminal Law, Article 190, Clause 3.</p>			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<p><b>NW/CW/BW:</b>            Customs Law, as amended.            Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended; especially Art. 198.</p> <p><b>NW:</b>            Border Guard Law, 1997.            Law on Radiation Safety and Nuclear Safety.            The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on</p>	X	X	X	<p><b>NW/CW/BW:</b>            State Revenue Service – Customs Authority.</p> <p><b>NW:</b>            Criminal Law of 1999, Art. 73 and 98.            Section 87.<sup>1</sup> of the Latvian Administrative Violations Code.</p>			

				<p>Physical protection of ionising radiation sources.</p> <p>Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters.</p> <p><b>CW:</b> Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007.</p>				<p><b>CW:</b> Criminal Law, Art. 73, 98, 100.</p>		
3	Border control detection measures	X	X	X	<p><b>NW/CW/BW:</b> Union Customs Code, as amended, Art. 4, 13, 15, title IX. Law of the State Border of Latvia, 2012. Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters.</p>	X	X	X	<p><b>NW/CW/BW:</b> Union Customs Code, as amended, Art. 22. Criminal Law, Art 190 No 1.</p>	
4	Control of brokering	X	X	X	<p><b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 5. Law on Circulation of Goods of Strategic Significance of 19 July 2007, as amended.</p>	X	X	X	<p><b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation).</p>	
5	Export control legislation in place	X	X	X	<p><b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended. Law on the Circulation of Strategic Goods, as amended.</p>	X	X	X	<p><b>NW/CW/BW:</b> Criminal Code, Art. 98 (moving ... radioactive or hazardous substances, goods of strategic importance or other valuable property, across the border). Criminal Code, Art. 73 (WMD violation). Criminal Code, Art. 84 (Sanctions violation).</p>	



10	End-user controls	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 12. Law on the Circulation of Goods of Strategic Significance, as amended, section 9.	X	X	X	<b>NW/CW/BW:</b> Law on Circulation of Goods of Strategic Significance. Criminal Code, Art. 237 No 1 (Violation of circulation of strategic goods).
11	Catch all clause	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 4 Law on the Circulation of Goods of Strategic Significance, as amended, section 17.	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended Law on the Circulation of Goods of Strategic Significance. Criminal Code, Art. 237 No 1 (Violation of circulation of strategic goods).
12	Transit control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 6 Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended; especially Art. 5, 141, 158, 210, chapter on transit, Art. 226, 233. Law on the Circulation of Goods of Strategic Significance, as amended, section 3 para 2.	X	X	X	<b>NW/CW/BW:</b> Law on the Circulation of Goods of Strategic Significance. Administrative Violations Code, Art. 179.1 and 201.10.
13	Trans-shipment control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended (would be handled either as an “export” or a “transit” as defined by the Regulation). Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended. Law on the Circulation of Goods of Strategic Significance.	X	X	X	<b>NW/CW/BW:</b> Administrative Violations Code, Art. 181, 183 and 201. Criminal Code, Art. 237 No 1 (Violation of circulation of strategic goods).
14	Re-export control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 2 (defines “re-export” as an “export” for the purposes of the Regulation). Union Customs Code, as amended, Art. 270	X	X	X	<b>NW/CW/BW:</b> Law on the Circulation of Goods of Strategic Significance. Administrative Violations Code, Art. 179.1 and 201.10.

				setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items. Law on Circulation of Goods of Strategic Significance.					
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	<b>NW/CW/BW:</b> Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, Latvian Transition Acts hereto	X	X	X	<b>NW/CW/BW:</b> Criminal Law, Article 73. <sup>1</sup> (financing) and Article 84 (violation of sanctions) Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, Latvian Transition Acts hereto
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation	?	?	?	<b>NW/CW/BW:</b> Law on Circulation of Goods of Strategic Significance of 19 July 2007. Cabinet Regulation No. 535 of 22 September 2015 Procedures for Performing the Radiometric Control of Goods, Luggage, Persons and Vehicles at the Border Crossing Points, and the Requirements for Training of the Persons Involved in Radiometric Control in Radiation Safety Matters.	?	?	?	<b>NW/CW/BW:</b> Section 87. <sup>1</sup> of the Latvian Administrative Violations Code.

## VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	Control Committee of Strategic Goods regularly informs firms dealing with import, export and transit of their obligations and provides updates with regard to amendments in legislation or in appropriate lists. In addition to that, Latvia also regularly organises seminars for entrepreneurs dealing with the circulation of strategic goods.
5	Action taken to work with and inform the public	There is a section on import, export or transit of strategic goods on the website of the Ministry of Foreign Affairs.
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	