PERMANENT MISSION OF JAPAN TO THE UNITED NATIONS NEW YORK

SC/20/158

The Permanent Mission of Japan to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 1540 (2004) and, with reference to the latter's note verbale SCA/5/20 (03) dated 2 March 2020, has the honour to submit, upon the instruction from the capital, Japan's comments on an updated draft matrix for Japan (see annex: one for clean version and the other for track-change).

On the section of "4 Develop" in "II. OP2 –Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)", the Permanent Mission of Japan to the United Nations would like to inform the Committee that Japan reserves the right to further submit relevant information at later stage, if any.

Taking this opportunity, the Permanent Mission of Japan to the United Nations would like to note that the updated draft matrix for Japan attached to the abovementioned note verbale changed in certain sections Committee's evaluation from the previously approved matrix despite the fact that there is no change as to related information such as relevant domestic laws provided by Japan. The Permanent Mission of Japan would like to share the view of the Government of Japan with the Committee that this is inappropriate, as it raises a question about the consistency of the standard adopted for Committee's evaluation and causes increased burden on the Member State for their review and examination on the matrix.

The Permanent Mission of Japan to the United Nations avails itself of the opportunity to renew to the Security Council Committee established pursuant to resolution 1540 (2004) the assurances of his highest consideration.

17 July 2020

The Security Council Committee established pursuant to resolution 1540 (2004) United Nations New York

DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State: Japan

Date of Report: 28 October 2004

Dates of Additional Reports: 17 March 2006 (addendum1)

22 May 2008 (addendum2)

23 August 29 January 20134 (addendum3)

** August 2019 (addendum4)

Last Revised: [Date of publication of final approved Matrix on the Committee website]

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

ins	nerence to legally binding truments, membership of ganisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)							
1	Nuclear Non- Proliferation Treaty (NPT)	Deposit 8 June 1976	page 3 of the report http://disarmame nt.un.org/treaties /s/japan						
2	Nuclear Weapons Free Zone/ Protocol(s)								
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 3 August 2007	https://treaties.u n.org/pages/View DetailsIII.aspx?src =TREATY&mtdsg no=XVIII- 15&chapter=18& Temp=mtdsg3&cl ang= en						
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 28 October 1988	page 3 of the report https://www-legacy.iaea.org/Publications/Documents/Conventions/cppnm_status.pdf						
5	2005 Amendment to the CPPNM	Deposit 27 June 2014	https://www- legacy.iaea.org/P ublications/Docu						

			ments/Conventio
			ns/cppnm amen
			d_status.pdf
			page 3 of the
	Comprehensive Nuclear-		<u>report</u>
6	Test-Ban Treaty (CTBT)	Deposit 8 July 1997	
	(not in force)	Deposit o saily 1337	http://disarmame
	(not in force)		nt.un.org/treaties
			<u>/s/japan</u>
			page 3 of the
			<u>report</u>
7	Chemical Weapons	Danasit 1F Cantambar 100F	
/	Convention (CWC)	Deposit 15 September 1995	http://disarmame
			nt.un.org/treaties
			/s/japan
			page 3 of the
			report
_	Biological Weapons		
8	Convention (BWC)	Deposit 18 June 1982	http://disarmame
	,		nt.un.org/treaties
			/s/japan
			http://disarmam
9	Geneva Protocol of 1925	Deposit 21 May 1970	ent.un.org/treati
	Geneva 11010001 01 1323	Seposic 21 may 1570	es/s/japan
			https://treaties.u
			n.org/Pages/View
	1997 International		Details.aspx?src=
	Convention for the		TREATY&mtdsg n
10	Suppression of Terrorist	Deposit 16 November 2001	0=XVIII-
	Bombings		9&chapter=18&cl
	Bollibiligs		
			ang= en
			https://treaties.u
	1999 International		n.org/Pages/View
44	Convention for the	Demosit 44 June 2002	Details.aspx?src=I
11	Suppression of the	Deposit 11 June 2002	ND&mtdsg no=X
	Financing of Terrorism		<u>VIII-</u>
	Ŭ		11&chapter=18
	2005 Protocol to the		
12	Convention for the		
	suppression of unlawful		

	T		1
	acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located		
14	on the continental shelf 2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments		
16	International Atomic Energy Agency (IAEA)	Since 16 July 1957	https://www.iae a.org/sites/defaul t/files/publication s/documents/infc ircs/1959/infcirc2 r83.pdf
17	Directly relevant Arrangements	Australia Group (1985)(AG) Missile Technology Control Regime (1987)(MTCR) Nuclear Suppliers Group (NSG) Wassenaar Arrangement (WA) Zangger Committee (ZC) Proliferation Security Initiative (PSI) Partner of Global Initiative to Combat Nuclear Terrorism (GICNT) WCO SAFE Framework Hague Code of Conduct (HCOC) Global Partnership (GP)	pages 3-4, 11-12 of the report http://www.gicnt .org/partners.ht ml https://www.gpw md.com/partners http://www.wco omd.org/- /media/wco/publ ic/global/pdf/topi cs/facilitation/ins truments-and- tools/tools/safe- package/wco- table-intention-

			to-implement- the-fos.pdf?la=en
18	Statement on non- provision of WMD and related materials to non-State actors	State reported that it has not intended and will never intend to provide any form of support to non-State actors as stipulated.	page 4 of the report
19	Membership in relevant international, regional or sub-regional organisations	World Customs Organization (WCO) INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) International Maritime Organization (IMO) The ASEAN Regional Forum (ARF) Asia-Pacific Economic Cooperation (APEC) Financial Action Task Force (FATF) Asia/Pacific Group on Money Laundering (APG)	http://www.wco omd.org/- /media/wco/publ ic/global/pdf/abo ut-us/wco- members/list-of- members-with- membership- date.pdf?db=web https://www.inte rpol.int/Who-we- are/Member- countries http://aseanregio nalforum.asean.o rg/about- arf/#participants http://apec.org/A bout-Us/About- APEC/Member- Economies http://www.fatf- gafi.org/countries /#Japan

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	ational legislation which				<u>N</u> ational legal framework			<u>E</u> n	forcement and civil/criminal penalties	
1	to engage in one of the		X /?	?	Source document of national implementation		X / i)		Remarks
fo	enforcement	N W		B W	law.	N W	C W	B W	Source document	
1	Manufacture	X	X	X	NW: Explosives Control Act (Act No. 32 of 1884) Article 3 Act on Punishment of Conduct Endangering Human Life by Generating Radiation (Act No. 38 of 2007) Article 4 (production of nuclear fission equipment) Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (or Reactor Regulation Act) (No. 166 of 1957) as amended through 2019, Articles 3(refining), 13(fabricating & enrichment), 44(reprocessing) (authorization requirement) CW: The Law concerning Prohibition on Chemical Weapons and Restriction on Specific Materials (No. 65 of 1995) as amended, Article 3 BW: The Law on Implementing the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction and the Other Conventions (Law No.61 of 1982), as amended, Article 4	X	X	X	NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 4 Reactor Regulation Act (No. 166 of 1957) as amended, Chapter 8 (penalties for mishandling) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Articles 39 and 43 BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
2	Acquire	x	x	x	NW: Reactor Regulation Act (Law No. 166 of 1957), as amended, Article 61: no permission if for non-peaceful purposes CW: Law No. 65 of 1995 as amended, Article 3 acceptance	x	x	х	NW: Law No. 166 of 1957 as amended, Article penalties for mishandling Law No. 38 of 2007, Article 8 CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Articles 39 and 43	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2

					BW: Law No.61 of 1982, as amended, Article 4				BW: Law No. 61 of 1982, as amended, Articles 9-10	
					NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 5				NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 5	pages 5-6 of the report
3	Possess	х	х	х	CW: Law No. 65 of 1995 as amended, Article 3	х	х	Х	CW: Law No. 65 of 1995 as amended, <u>chapter7</u> (penalties) Article 39	pages 2-4 of the addendum 1
					BW: Law No. 61 of 1982, as amended, Article 4				BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 2-4 of the addendum 2
					NW: Law No. 32 of 1884: within the scope of production, Article 3 Law No. 38 of 2007, Article 3(3)				NW: Law No. 32 of 1884: within the scope of production, Article 3 Law No. 38 of 2007, Article 3(3)	pages 5-6 of the report
4	Develop	X	X	x	CW: Law No. 65 of 1995, Article 3: within the scope of production	X	X	X	CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Article 38, 39: within the scope of production	pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
					BW: Law No. 61 of 1982, as amended, Article 3				BW: Law No. 61 of 1982, as amended, Article 10	
					NW: Law No. 32 of 1884: within the scope of possession, import or ordering Law No. 38 of 2007, Article 6 (import and export)				NW: Law No. 32 of 1884: within the scope of possession, import or ordering Law No. 38 of 2007	pages 5-6 of the report
5	Transport	х	х	х	CW: Law No. 65 of 1995 as amended, Article 3 (within the scope of possession) and Article 17 (certification of transport)	х	х	Х	CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Article 38, 39: within the scope of possession	pages 2-4 of the addendum 1
					BW: Law No. 61 of 1982, as amended, Article 4 within the scope of possession, transfer or receiving				BW: Law No. 61 of 1982: within the scope of possession, transfer or receiving	pages 2-4 of the addendum 2

6	Transfer	x	×	x	NW: Law No. 166 of 1957 as amended, Article 61 Law No. 38 of 2007 CW: Law No. 65 of 1995 as amended, Article 3: within the scope of provide BW: Law No. 61 of 1982, as amended, Article 4	x	x	x	NW: Law No. 166 of 1957 as amended, Article penalties for mishandling Law No. 38 of 2007 CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Article 38, 39 BW: Law No. 61 of 1982, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
7	Use	x	x	x	NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 3 CW: Law No. 65 of 1995, as amended, Article 38 BW: Law No. 61 of 1982, as amended, Article 4 & 9	x	х	x	NW: Law No. 32 of 1884 Law No. 38 of 2007 CW: Law No. 65 of 1995, as amended, chapter7 (penalties) Articles 38 and 43 BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
8	attempt to engage in abovementioned activities	x	x	х	NW: Law No. 38 of 2007, Article 3-6 CW: Law No. 65 of 1995, as amended, Article 38 BW: Law No. 61 of 1982, as amended, Articles 9-10	x	x	x	NW: Law No. 38 of 2007, Article 3-6 CW: Law No. 65 of 1995, as amended, chapter7 (penalties)Article 38 BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1
9	participate as an accomplice in abovementioned activities	х	х	х	NW/CW/BW: Law No. 45 of 1908 (Penal Code), as amended, Article 60-65 (complicity), with Article 8 (application of general provisions)	х	x	x	NW/CW/BW: Law No. 45 of 1908 (Penal Code)	pages 5-6 of the report
10	assist in abovementioned activities	х	х	х	NW/CW/BW: Law No. 45 of 1908 (Penal Code), as amended, Article 60-65 (complicitycollaborates), with Article 8	х	х	х	NW/CW/BW: Law No. 45 of 1908 (Penal Code)	pages 5-6 of the report

11	finance abovementioned activities	X ₂	X _?	<u>X</u> 2	NW/CW/BW: Act on Punishment of Financing to Offences of Public Intimidation (Law No.67 of 2002) on terrorist financing FEFTA (No. 228 of 1949 as amended), Article 16 and 21 CW Act on Prevention of Bodily Harm by Sarin and Similar Substances(Act No. 78 of 1995), Article 7	X 2	ž X	X ?	NW/CW/BW: Law No. 67 of 2002 on terrorist financing Law No. 45 of 1908 (Penal Code) Has a Financial Intelligence Unit No. 228 of 1949 as amended, Chapter 9 CW Act No. 78 of 1995, Article 7	pages 5-6 of the report pages 2-4 of the addendum 1
12	abovementioned activities related to means of delivery ¹	X	x	x	NW: Law No. 32 of 1884, Article 3 CW: Law No. 65 of 1995, as amended, Article 3(4)2(2) BW: Law No. 61 of 1982, as amended, Article 2	X	x	х	NW: Law No. 32 of 1884, Article 3 CW: Law No. 65 of 1995, as amended, chapter7 (penalties) BW: Law No. 61 of 1982, Article 9	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2

^{1.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

do	Measures to establish mestic controls to prevent			<u>N</u> at	ional legal and/or regulatory framework			<u>E</u> 1	nforcement and civil/criminal penalties	
	e proliferation of NW, CW, BW, and their means of delivery; controls over related materials	N W	x /? c w	B W *	Source document	N	x /? c w	B W	Source document	Remarks
1	Measures to account for production	X	X	X	NW: Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (No. 166 of 1957) as amended through 2019, Articles 3(refining) , 11(refining record), 13(fabricating & enrichment), 21(fabricating & enrichment record), 23(install and operation of research and test reactors), 34(research and test reactor record), 43-3-5 (install and operation of power reactors), 43-3-21(power reactors record), 44(reprocessing), 47(reprocessing record) INFCIRC/255 CW: The Law concerning Prohibition on Chemical Weapons and Restriction on Specific Materials (No. 65 of 1995) as amended, Article 21-22, Chapter IV BW: Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order for the Enforcement of the Law on Implementing the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction (Cabinet Order No. 396 of 1 December 1995) Act on Prevention of Infectious Diseases and Medical Care for Patients with Infectious Diseases (Law No.114 of 1998), as amended through 2014, Article 56-6	X	X	X	CW: Law No. 166 of 1957 as amended, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Article 12: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

					Ordinance of the Ministry of Health, Labour and Welfare (MHLT) (Ordinance No.99 of 1998)					
2	Measures to account for use	×	×	x	NW: Law No. 166 of 1957 as amended, Articles 52(use of nuclear fuel materials), 56-2(record), 57-7, 61-3(use international controlled material), 61-7 (record of international controlled material), 61-8(accounting of international controlled material), 61-8- 2(safeguard inspection) INFCIRC/255 CW: Law No. 65 of 1995, as amended, Articles 21,	x	×	x	NW: Law No. 166 of 1957, as amended Chapter 8 (penalties) CW: Law No. 65 of 1995, as amended, chapter7	pages 6-7 of the report pages 5-8 of the addendum 1
					BW: Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No.114 of 1998, as amended, Articles 56- 3(test and research), 56-23 (record keeping) Ordinance No.99 of 1998				(penalties) BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries	pages 4-7 of the addendum 2
3	Measures to account for storage	x	x	x	NW: Law No. 166 of 1957 as amended, Article 43-4(interim storage of spent fuel), 43-17(spent fuel record), 52(storage facilities), 61-3(5) (interim storage of international controlled material), 61-7, 61-8, 61-8-2, INFCIRC/255 CW: Law No. 65 of 1995 as amended, Article 16 Ordinance for Enforcement of Law No. 65 of 1995 (Ordinance No. 40 of 1995)	x	x	x	NW: Law No. 166 of 1957, as amended Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties)	pages 6-7 of the report pages 5-8 of the addendum 1
					BW: Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No.114 of 1998, as amended, Articles 56-3 (Class I), 56-6 (Class II), 56-16 (Class III), 56-23,				BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable	pages 4-7 of the addendum 2

4	Measures to account for transport	X	X	x	NW: Law No. 166 of 1957 as amended, Articles 59 (shipment), 59-2 CW: Law No. 65 of 1995 as amended, Article 17 BW: Law No.61 of 1982 as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No. 114 of 1998, as amended, Articles 56-3 and 56-5	x	X	X	Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries Law No. 114 of 1998, as amended, Articles NW: Law No. 166 of 1957, as amended Chapter 8 (penalties) Ministry of Land, Infrastructure, Transport and Tourism CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries Law No. 114 of 1998, as amended	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
5	Measures to secure production	x	X	x	NW: Law No. 166 of 1957 as amended, Article 11- 2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-25, 48(reprocessing), 50-3, 57-2(use of nuclear fuel materials) CW: Law No. 65 of 1995 as amended, Articles3(3)-9,21-23 Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998, as amended, Article 56-25; Ordinance No. 99 of 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	х	X	X	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended chapter7 (penalties) Act No. 45 of 1907, Article235 BW: Prevention of Infectious Diseases and Medical Care for Infectious Patients Act (Law No. 114 of 1998) Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

6	Measures to secure use	x	X	x	NW: Law No. 166 of 1957 as amended, Article 11- 2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-25, 48(reprocessing), 50-3, 56-3(use of nuclear fuel materials), 57-2 CW: Law No. 65 of 1995 as amended, Articles3(3),10-12,16,21-23 Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998, as amended, Article 56- 25; Ordinance No. 99 of 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	х	X	х	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
7	Measures to secure storage	X	х	x	NW: Law No. 166 of 1957 as amended, Articles 11- 2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-20, 43-25, 48(reprocessing), 50-3, 56-3(use of nuclear fuel materials), 57-2, 60 (storage contractor) CW: Law No. 65 of 1995 as amended, Article 16(2) BW: Law No. 114 of 1998, as amended, Article 56- 25; Ordinance No. 99 or 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	X	X	X	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
8	Measures to secure transport	Х	х	х	NW: Law No. 166 of 1957 as amended, Article 59 CW: Law No. 65 of 1995 as amended, Article 17(2)	Х	Х	Х	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties)	pages 6-7 of the report pages 5-8 of the addendum 1

					BW: Law No. 114 of 1998, as amended, Article 56- 25; Ordinance No. 99 of 1998, Article 31-36 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria				CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 4-7 of the addendum 2
9	Physical protection measures	x	x	x	NW: Law No. 166 of 1957, amended in December 2005 in accordance with the IAEA, Article 11-2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-20, 43-25, 48(reprocessing), 50, 50-3, 56-3(use of nuclear fuel materials), 57-2 CW: Law No. 65 of 1995 as amended, Article 16(2) BW: Ministry of Health, Labour and Welfare guidelines Law No. 114 of 1998, as amended, Article 56-24; Ordinance No. 99 of 1998, Articles 31-27, 31-28, 31-29	x	x	X	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Ministry of Economy, Trade, and Industry may inspect BW: Law No. 114 of 1998	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
10	Personnel Reliability	х	х	х	NW: Law No. 166 of 1957 as amended, Articles 5(refining), 15(fabricating, enrichment), 25(research and test reactor), 41(2), 43-3- 7(power reactor), 43-6(storage of spent fuel), 44-3(reprocess), 54(use for nuclear materials), 61-4(international controlled material) The Ordinance of the Nuclear Regulation Authority Concerning the Installation and Operation of Commercial Power Reactors, Article 91 (2) (v) CW: Law No. 65 of 1995 as amended, Article 5,9,11,12 (disqualification); Ordinance 40 of 1995, Article 2-2	х	х	X	NW: Law No. 166 of 1957 as amended, Chapter 8 (penalties) , and Articles 40 (1), 43-3-26 (1), 22-2 (1), 43-22 (1), 50-2 (1), 51-20 (1), 43-3-28 (1) 12-3 (1), 22-7 (1), 43-3 (1), 43-3-28 (1), 43-26 (1), 50-4 (1), 51-24 (1) 57-3 (1) The Ordinance of the Nuclear Regulation Authority Concerning the Installation and Operation of Commercial Power Reactors, Article 91 (2) (v) CW: Law No. 65 of 1995 as amended, chapter7 (penalties), Article 5	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

		BW:			
		Law No. 114 of 1998, as amended, Article 56-7		BW:	
		(Class II Pathogens).		Law No. 114 of 1998, as amended	

^{2.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

do:	Measures to establish mestic controls to prevent proliferation of NW, and their means of delivery; controls over related materials	Source document							
1	National regulatory authority	Atomic Energy Basic Act (Act No. 186 of 1955) as amended (Act No. 155 of 2004), Chapter 5 (penalties) Nuclear Regulation Authority Ministry of Economy, Trade and Industry Ministry of Land, Infrastructure and Transport	pages 5 of the report page 8 of the addendum 1 pages 6-7 of the addendum 2						
2	Licensing of nuclear installations/entities/ use of materials	Act on Regulation of Nuclear Source material, Nuclear Fuel Material and Reactors (Law No. 166 of 1957 as amended): permission of competent Minister required Nuclear Regulatory Authority	ddchddin 2						
3	IAEA Safeguards Agreements	Safeguards Agreement (INFCIRC/255) in force 2 December 1977 Additional Protocol in force 16 December 1999	page 3 of the report						
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Notification Pursuant to GC(47)/RES/7.B	page 8 of the addendum 1 pages 6-7 of the addendum 2						

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/http://www.unog.ch/80256EE600585943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/(<a

	Supplementany		http://www- ns.iaea.org/down loads/rw/imp- export/status- list.pdf
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Notification Pursuant to GC(47)/RES/10.D; Contact Point designated; Response to Questionnaire	http://www- ns.iaea.org/down loads/rw/imp- export/status- list.pdf
6	IAEA Incident and Trafficking Database	Participates in Database Programme (Joined in 1998)	https://www.iae a.org/resources/ databases/itdb
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	IPPAS follow up mission November 2018	
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	Law No. 166 of 1957, amended in December 2005 in accordance with the INFCIRC/255/Rev.5	
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident (CENNA) (9 June 1987) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (9 June 1987) Convention on Nuclear Safety (12 May 1995) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (26 Aug 2003) Convention on Supplementary Compensation for Nuclear Damage (15 January 2015)	https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/cenna status. pdf https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/cacnare statu s.pdf https://www-

			ublications/Docu ments/Conventio ns/nuclearsafety status.pdf
			https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/jointconv_stat us.pdf
			https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/supcomp stat us.pdf
10	National legislation and regulations related to nuclear material including CPPNM	Law No. 166 of 1957 Act No. 38 of 2007 Act No. 155 of 2004	pages 6-7 of the report

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

th	Measures to establish mestic controls to prevent e proliferation of CW, and their means of delivery; controls over related materials	Source document								
1	National CWC authority	National CWC Authority (organized by the Cabinet Office, the Ministry of Foreign Affairs, the Ministry of Economy, Trade and Industry and the Ministry of Defense)	page 7 of the addendum 1							
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law No. 65 of 1995 as amended Ministry of Economy, Trade and Industry	page 6 of the addendum 1 page 5 of the addendum 2							
3	Old or abandoned chemical weapons	Memorandum of Understanding between Japan and China on the Destruction of Abandoned CW in China Reports to the OPCW on weapons abandoned in Japan								

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		nestic controls to prevent proliferation of BW, their eans of delivery; controls	Source document	Remarks
	1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Law No. 114 of 1998 Minister of Health, Labour and Welfare	page 4-5 of the addendum 2

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		<u>N</u> ational legal framework								
		N W			Source document	N W	x /? c w	B W	Source document	Remarks
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Law No. 61 of 1954, as amended through 2019, Chapters IV and V Japan Coast Guard Act(Act No.28 of 1948)Coast Guard Law No. 28 of 1948 Criminal Procedure Act No. 131 of 1948 Self Defense Forces Law No. 165 of 1954 BW: Quarantine Act (Act No. 201 of 1951) Act on Domestic Animal Infectious Diseases Control (Act No.166 of 31 May 1951), includes import and export quarantines Plant Protection Act (Act No. 151 of 4 May 1950)	X	X	X	NW/CW/BW: Customs Law No. 61 of 1954, as amended, Chapter X (Penal Provisions), Chapter XI (Investigation of Criminal Cases and Disposition of Crime)) Customs Japan Coast Guard Police Self Defense Forces BW: Quarantine Act (Act No. 201 of 1951), Articles 35-39 Act on Domestic Animal Infectious Diseases Control (Act No.166 of 31 May 1951), Chapter VI Plant Protection Act (Act No. 151 of 4 May 1950), Chapter VIII	pages 8-10 of the report page 9 of the addendum 1
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	х	x	x	NW/CW/BW: Customs Law No. 61 of 1954, as amended, Chapters IV and V Japan Coast Guard Act(Act No.28 of 1948)Japan Coast Guard Law (No. 28 of 1948) Criminal Procedure Act (No. 131 of 1948) Defense Agency Establishment Act (No. 164 of 1954) Self Defense Forces Law (No. 165 of 1954)	x	x	х	NW/CW/BW: Customs Japan Coast Guard Police Self Defense Forces	pages 8-9 of the report pages 8-9 of the addendum 2
3	Border control detection measures	×			NW: Megaport Initiative Pilot Project	×			NW: Megaport Initiative Pilot Project	

4	Control of brokering	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Article 25 2009 amendments broadened control over brokers, enhancing 1 June 2007 amendment to require licensing of brokering Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended through 2018), Article 17(3)	x	х	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 70	pages 8-10 of the report pages 8-9 of the addendum 2
5	Export control legislation in place	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 25 and 48 Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended through 2019): goods Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended through 2018): technologies Customs Law No. 61 of 1954	x	х	X	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 53, 69-6(2) amendments in 2009 increased punishments Police and Coast Guard investigate cases of violations of the FEFTA (No. 228 of 1998 as amended)	pages 6,8,9 and 10 of the report page 9 of the addendum 1
6	Licensing provisions and Authority	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) Article 25 and 48 stipulate the need for an export license Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended): goods Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): technologies Customs Law No. 61 of 1954 Ministry of Economy, Industry and Trade	x	х	x	NW/CW/BW: Any FEFTA (No. 228 of 1949 as amended) violations are punishable Police and Coast Guard investigate cases of violations of the FEFTA (No. 228 of 1998 as amended) Ministry of Economy, Industry and Trade	pages 6 and 8 of the report page 9 of the addendum 1-
7	Control lists of materials, equipment and technology	x	x	x	NW/CW/BW: Items listed by the control regimes: List 1 of the Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended): goods List of the Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): Technologies	x	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) and regulations	page 10 of the report pages 179-192 of the annex to the report
8	Intangible technology transfers	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles 25(1)(i)	X	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 25-2	page 10 of the addendum 1 page 10 of the addendum 2

					Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended), Article 17: technologies					
9	Inclusion of means of delivery	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles 25 and 48, List 1 of Cabinet Order No. 378 of 1949: goods List 1 of Cabinet Order no. 260 of 1980: technology	х	х	Х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 69-6(2)	page 9 of the report
10	End-user controls	х	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009): End-users list has been established and updated annually Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use.	×	x	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009) and regulations Foreign End-User List	page 9 of the report page 10 of the addendum 1
11	Catch all clause	x	х	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009): Catch-all controls introduced April 2002 Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use. Attached List 1 of the Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended): goods Attached List of the Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): Technologies	х	X	X	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009) Foreign End-User List	page 9 of the report page 10 of the addendum 1
12	Transit control	X	<u>X</u>	X	NW/CW/BW: Cargo Inspection Act (Act No. 43 of 2010) (relevant to DPRK sanctions)	X	X	X	NW/CW/BW: Cargo Inspection Act (Act No. 43 of 2010) (relevant to DPRK sanctions)	
13	Trans-shipment control	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 48(1) extended in 1 June 2007 to cover transhipments	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended)	page 9 of the report page 10 of the addendum 1

14	Re-export control	x	x	x	Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended), Article 4(1) NW/CW/BW: FEFTA (No. 228 of 1949 as amended): Consent of the Ministry of Economy, Trade and Industry is required Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended), Article 4(1)	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended)	page 10 of the addendum 2 page 11 of the addendum 1 page 10 of the addendum 2
15	Control over financing of exports/transhipments that would contribute to proliferation	X	X	X	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles16(1) and 24(1) Act on Punishment of Financing to Offences of Public Intimidation (Act No. 67 of 2002) (on terrorism financing)	X	X	X	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Chapter 9 Law No.67 of 2002	pages 8-10 of the report
16	Control over services related to exports/ transhipments that would contribute to proliferation including transportation	Х	Х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) Articles 25(6) ₇	х	Х	Х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Chapter 9IX Law No. 45 of 1908 (Penal Code), Articles 60- 65, 61 and 62	

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

Assistance requested Assistance Resemblacy Seminar (Kaohsiung) - Oct., 2009 Japan-Vietnam Industrial Outreach Seminar (Kaohsiung) - Nov., 2009 Japan-Piclines Industrial Outreach Seminar (Bangkok) - Nov., 2009 Japan-Indonesia Industrial Outreach Seminar (Bangkok) - Mar., 2010 Japan-Thailand Industrial Outreach Seminar (Bangkok) - Examples of nonproliferation assistance projects 2004 to 2007 directly related to 1540 - Provision of three Patrol Vessels to Indonesia for the Prevention of Piracy, Maritime Terrorism and Proliferation of Weapons (Jun.06, Gran Aid for Cooperation on Counter-Terrorism and Security Enhancement; 1,921 million yen) - Asian Non-Proliferation Seminar focusing on Maritime Cooperation - Asian Non-Proliferation Seminar focusing on Maritime Cooperation - Asian Senior-level Talks on Non-Proliferation: ASTOP - Training Course for Improvements of Implementation on Security Export Controls in Asia			
Assistance Point of Contact (for assistance providers only) Permanent Mission of Japan to the United Nations Tel: 212-521-1560 Fax: 212-308-1451 Email: atsushi.morita@mofa.go.jpkentaro.kaneto@mofa.go.jp - Contributed approx. 1 million US dollars to the UN Trust Fund for Global and Regional Disarmament Activities for the full and universal implementation of 1540 in March 2017 Provides funds for IAEA, OPCW (including many assistance projects), BWC.CTBTO, and NPT - Have offered assistance in the context of the BWC and CWC implementation - Contributed approx. 0.9 million US dollars to the UNODA for the domestic BWC implementation and response to potential biological weapons use in 2018 Workshop for the CWC national authority in Indonesia in December 2017 - National CWC awareness workshop and mock industry inspection exercise in Myanmar in August 2014 - OPCW Associate Program from 2004 to 2018 - Regarding the United Nations Security Council Resolution 1540 and other international agreements, the Government of Japan has been conducting various types of outreach activities. The purpose of outreach activities is to build an effective international framework for security export controls. Recent Japan's outreach activities are as follows Aug., 2009 Japan-Chinese Taipei Industrial Outreach Seminar (Kaohsiung) - Oct., 2009 Japan-Chinese Taipei Industrial Outreach Seminar (Kaohsiung) - Oct., 2009 Japan-Inaliand Industrial Outreach Seminar (Bangkok) - Examples of nonproliferation assistance projects 2004 to 2007 directly related to 1540 - Provision of three Patrol Vessels to Indonesia for the Prevention of Piracy, Maritime Terrorism and Proliferation of Weapons (Jun.06, Gran Aid for Cooperation on Counter-Terrorism and Security Enhancement; 1,921 million ven) - Asian Non-Proliferation Seminar focusing on Maritime Cooperation - Asian Senior-level Talks on Non-Proliferation and Security Enhancement; 1,921 million ven) - Asian Non-Proliferation Seminar focusing on Maritime Cooperation - Asian Senior-level Talks on	1	Assistance offered	
implementation of 1540 in March 2017. Provides funds for IAEA, OPCW (including many assistance projects), BWC,CTBTO, and NPT Have offered assistance in the context of the BWC and CWC implementation - Contributed approx. 0.9 million US dollars to the UNODA for the domestic BWC implementation and response to potential biological weapons use in 2018 Workshop for the CWC national authority in Indonesia in December 2017 - National CWC wareness workshop and mock industry inspection exercise in Myanmar in August 2014 - OPCW Associate Program from 2004 to 2018 Regarding the United Nations Security Council Resolution 1540 and other international agreements, the Government of Japan has been conducting various types of outreach activities are as follows Aug., 2009 Japan-Singapore Industrial Outreach Seminar (Hanoi) - Sep., 2009 Japan-Singapore Industrial Outreach Seminar (Kaohsiung) - Oct., 2009 Japan-Indonesia Industrial Outreach Seminar (Singapore) - Nov., 2009 Japan-Indonesia Industrial Outreach Seminar (Jakarta) - Mar,, 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) - Mar,, 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) - Provision of three Patrol Vessels to Indonesia for the Prevention of Piracy, Maritime Terrorism and Proliferation of Weapons (Jun.06, Gran Aid for Cooperation on Counter-Terrorism and Security Enhancement; 1,921 million yen) - Asian Non-Proliferation Seminar focusing on Maritime Cooperation - Asian Senior-level Talks on Non-Proliferation: ASTOP - Training Course for Improvements of Implementation on Security Export Controls in Asia	2	Contact (for assistance	Permanent Mission of Japan to the United Nations Tel: 212-521-1560 Fax: 212-308-1451 Email: atsushi.morita@mofa.go.jpkentaro.kaneto@mofa.go.jp
- Asian Export Control Seminar (10kyo) - Export Control Seminars in Asian countries - Japan co-sponsored the seminar/workshop on Prevention and Crisis Management of Chemical/Biological Terrorism with SEARCCT	3	Assistance requested	implementation of 1540 in March 2017. Provides funds for IAEA, OPCW (including many assistance projects), BWC,CTBTO, and NPT Have offered assistance in the context of the BWC and CWC implementation Contributed approx. 0.9 million US dollars to the UNODA for the domestic BWC implementation and response to potential biological weapons use in 2018. Workshop for the CWC national authority in Indonesia in December 2017 National CWC awareness workshop and mock industry inspection exercise in Myanmar in August 2014 OPCW Associate Program from 2004 to 2018 Regarding the United Nations Security Council Resolution 1540 and other international agreements, the Government of Japan has been conducting various types of outreach activities. The purpose of outreach activities is to build an effective international framework for security export controls. Recent Japan's outreach activities are as follows. Aug., 2009 Japan-Vietnam Industrial Outreach Seminar (Hanoi) Sep., 2009 Japan-Chinese Taipei Industrial Outreach Seminar (Kaohsiung) Oct., 2009 Japan-Singapore Industrial Outreach Seminar (Singapore) Nov., 2009 Japan-Indonesia Industrial Outreach Seminar (Jakarta) Mar., 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) Mar., 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) Mar., 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) Nar., 2010 Japan-Thailand Industrial Outreach Seminar (Jakarta) Asian Senior-level Talks on Non-Proliferation: ASTOP Training Course for Improvements of Implementation on Security Export Controls in Asia Asian Export Control Seminar (Tokyo) Export Control Seminars in Asian countries

		(Courth aget Asia Pasianal Courtes for Courtes Tourseign) in Malausia in 2004, 2005 and 2007
		(Southeast Asia Regional Centre for Counter-Terrorism) in Malaysia in 2004, 2005 and 2007.
		- Hosted the seminar in 2006
		• Actively promoting outreach activities towards Asian countries aimed at enhancing efforts against proliferation and taking actions and
		measures for capacity building for combating terrorism less directly related to 1540
		- Provision of security equipment (X-ray machine, metal detector and others) for the Indonesian ports and airports (Grant Aid; 774 million
		<u>yen, Jul.04)</u>
		- Study on Major Airports Security System Enforcement Plan in Indonesia
		- Provision of Security Facilities and Equipment in Main International Ports of Cambodia (Aug.06, Grant Aid for Cooperation on Counter-
		Terrorism and Security Enhancement; 927 million yen)
		- Provision of Facilities and Equipment for Enhancement of Communications System for Maritime Safety and Security of the Philippines
		(Jul.07, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement, 609 million yen)
		 - ARF Workshop on Capacity Building for Maritime Security
		 Dispatch of Experts for enhancing maritime security capacity for Indonesia and Philippines
		- Seminar and Dispatch of Experts on Port Security
		 ASEAN-Japan Port Security Experts Meeting (Bangkok, Yokohama)
		• Have offered assistance through UNODC less directly related to 1540
		- Strengthening Border Security to Counter Terrorist Movement Through Berbera Port, Somaliland
		- Securing Containerised Supply Chains in SE Asia to Reduce the Threat Posed by DPRK's WMD Programme
		- Enhancing Air Cargo Security Through Establishment of Air Cargo Control Unit at Lahore Allama Iqbal International Airport in Pakistan
		- UNODC-WCO Global Container Control Programme in Kyrgyzstan
		- UNODC-WCO Global Container Control Programme in Uzbekistan
		- Reducing Risks from Financing of the Proliferation of Weapons of Mass Destruction
		- Strengthening the National Frameworks of South and South East Asian Countries to Prevent Non-State Actors from Financing Their
		Proliferation of Weapons of Mass Destruction
		• Integrated Support Center for Nuclear Nonproliferation and Nuclear Security (ISCN) of Japan Atomic Energy Agency (JAEA) organizes annual
		training courses on nuclear non-proliferation (Regional/International Training Course on State Systems of Accounting for and Control of Nuclear
		Material) and nuclear security (Regional Training Course on Physical Protection of Nuclear Materials and Facility) to the countries mainly in Asia,
		and contributes to strengthen nuclear non-proliferation and nuclear security. Since 2011, those two ISCN training courses have received 469
		participants from 54 countries. And also, ISCN/JAEA has been contributing to nuclear non-proliferation and nuclear security through
		development of nuclear measurement and detection technologies including nuclear forensics in collaboration with the relevant laboratories of
		US and Europe.
		The Ministry of Economy, Trade and Industry holds seminars for the industry and helps companies to establish their internal compliance
	Action taken to work	programmes
4	with and inform industry	The Centre for Information in Security Control (CISTEC) was established in 1989 for promoting the understanding of export controls
	,	Export Association gives advice to the industry regarding their internal compliance programmes
	Action taken to work	Strategic Export Control Division, Trade Control Department, METI web site opened on 25 May 2007
5	with and inform the	Japan started a web site for translations of its laws to English
	public	Issued Notification No. 293 on full translated text of UNSCR 1540, which was published in the Official Gazette on 7 June 2005
	Pablic	
		Atsushi Morita Kentaro Kaneto
	Deint of Courts at	Permanent Mission of Japan to the United Nations
6	Point of Contact	Tel: 212-521-1560
		Fax: 212-308-1451
		Email: <u>atsushi.morita@mofa.go.jp</u> kentaro.kaneto@mofa.go.jp

7	7	Voluntary National Implementation Action Plan (NAP)	
9	2	1540 Committee visits	
٥	,	to States	

DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State: Japan

Date of Report: 28 October 2004

Dates of Additional Reports: 17 March 2006 (addendum1)

22 May 2008 (addendum2)

23 August2013 (addendum3)

** August 2019 (addendum4)

Last Revised: [Date of publication of final approved Matrix on the Committee website]

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

ins	herence to legally binding truments, membership of ganisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)							
1	Nuclear Non- Proliferation Treaty (NPT)	Deposit 8 June 1976	page 3 of the report http://disarmame nt.un.org/treaties /s/japan						
2	Nuclear Weapons Free Zone/ Protocol(s)								
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 3 August 2007	page 2 of the addendum 2 https://treaties.u n.org/pages/View DetailsIII.aspx?src =TREATY&mtdsg no=XVIII- 15&chapter=18& Temp=mtdsg3&cl ang= en						
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 28 October 1988	page 3 of the report https://www-legacy.iaea.org/Publications/Documents/Conventions/cppnm_status.pdf						
5	2005 Amendment to the CPPNM	Deposit 27 June 2014	https://www- legacy.iaea.org/P ublications/Docu						

	1			
			ments/Conventio	
			ns/cppnm amen	
			d_status.pdf	
			page 3 of the	
	Comprehensive Nuclear-		report	
6	Test-Ban Treaty (CTBT)	Deposit 8 July 1997		
0	(not in force)	Deposit 8 July 1997	http://disarmame	
	(not in force)		nt.un.org/treaties	
			/s/japan	
			page 3 of the	
			report	
_	Chemical Weapons			
7	Convention (CWC)	Deposit 15 September 1995	http://disarmame	
	, ,		nt.un.org/treaties	
			/s/japan	
			page 3 of the	
			report	
	Biological Weapons	Deposit 18 June 1982	Тероге	
8	Convention (BWC)		http://disarmame	
	Convention (BWC)		nt.un.org/treaties	
			/s/japan	
			http://disarmam	
9	Geneva Protocol of 1925	Deposit 21 May 1970	ent.un.org/treati	
9	Geneva Protocol or 1923	Deposit 21 May 1970	es/s/japan	
-			https://treaties.u	
			n.org/Pages/View	
	10071			
	1997 International	Deposit 16 November 2001	Details.aspx?src=	
10	Convention for the		TREATY&mtdsg n	
	Suppression of Terrorist		o=XVIII-	
	Bombings		9&chapter=18&cl	
			ang= en	
			https://treaties.u	
	1999 International		n.org/Pages/View	
	Convention for the		Details.aspx?src=I	
11	Suppression of the	Deposit 11 June 2002	ND&mtdsg no=X	
	Financing of Terrorism		VIII- 11&chapter=18	
	i mancing or remonsiff			
L				
	2005 Protocol to the			
12	Convention for the			
	suppression of unlawful			

	acts against the safety of			
	maritime navigation			
	2005 Protocol to the			
	Protocol for the			
13	suppression of unlawful			
13	acts against the safety of			
	fixed platforms located			
	on the continental shelf			
	2010 Convention on the			
	Suppression of Unlawful			
14	Acts Relating to			
	International Civil			
	Aviation			
	Other relevant regional			
15	legally binding			
	instruments			
			https://www.iae	
			a.org/sites/defaul	
	International Atomic Energy Agency (IAEA)	State 46 July 4057	t/files/publication	
16		Since 16 July 1957	s/documents/infc	
			ircs/1959/infcirc2	
			<u>r83.pdf</u>	
			pages 3-4, 11-12	
			of the report	
			of the report	
			http://www.gicnt	
			.org/partners.ht	
		Australia Group (AG)	ml	
		Missile Technology Control Regime (MTCR)	<u></u>	
		Nuclear Suppliers Group (NSG)	https://www.gpw	
		Wassenaar Arrangement (WA)	md.com/partners	
17	Directly relevant	Zangger Committee (ZC)	ma.com/partners	
	Arrangements	Proliferation Security Initiative (PSI)	http://www.wco	
		Partner of Global Initiative to Combat Nuclear Terrorism (GICNT)	omd.org/-	
		WCO SAFE Framework	/media/wco/publ	
		Hague Code of Conduct (HCOC)	ic/global/pdf/topi	
		Global Partnership (GP)	cs/facilitation/ins	
			truments-and-	
			tools/tools/safe-	
			package/wco-	
			table-intention-	

			to-implement-
			the-fos.pdf?la=en
1	8 Statement on non- provision of WMD and related materials to non-State actors	State reported that it has not intended and will never intend to provide any form of support to non-State actors as stipulated.	page 4 of the report
	Membership in relevant international, regional or sub-regional organisations	World Customs Organization (WCO) INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) International Maritime Organization (IMO) The ASEAN Regional Forum (ARF) Asia-Pacific Economic Cooperation (APEC) Financial Action Task Force (FATF) Asia/Pacific Group on Money Laundering (APG)	http://www.wco omd.org/- /media/wco/publ ic/global/pdf/abo ut-us/wco- members/list-of- members-with- membership- date.pdf?db=web https://www.inte rpol.int/Who-we- are/Member- countries http://aseanregio nalforum.asean.o rg/about- arf/#participants http://apec.org/A bout-Us/About- APEC/Member- Economies http://www.fatf- gafi.org/countries /#Japan

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	National legislation which prohibits persons or entities		- National legal italitework					<u>E</u> n		
	to engage in one of the following activities and its	x /?			Source document of national implementation	X /?		В	Source document	Remarks
	enforcement		W		law	w		W		
					NW: Explosives Control Act (Act No. 32 of 1884) Article 3 Act on Punishment of Conduct Endangering Human Life by Generating Radiation (Act No. 38 of 2007) Article 4 (production of nuclear fission equipment)				NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 4	pages 5-6 of the report
1	Manufacture	x	×	x	CW: The Law concerning Prohibition on Chemical Weapons and Restriction on Specific Materials (No. 65 of 1995) as amended, Article 3 BW: The Law on Implementing the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction and the Other Conventions (Law	x	x	X	CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
2	Acquire	x	X	x	No.61 of 1982), as amended, Article 4 NW: Reactor Regulation Act (Law No. 166 of 1957), as amended, Article 61: no permission if for non-peaceful purposes CW: Law No. 65 of 1995 as amended, Article 3 acceptance BW: Law No.61 of 1982, as amended, Article 4	×	x	x	NW: Law No. 166 of 1957 as amended, Article penalties for mishandling Law No. 38 of 2007 CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2

3	Possess	x	x	x	NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 5 CW: Law No. 65 of 1995 as amended, Article 3 BW: Law No. 61 of 1982, as amended, Article 4	x	x	x	NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 5 CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
4	Develop	x	x	x	NW: Law No. 32 of 1884: within the scope of production, Article 3 Law No. 38 of 2007, Article 3(3) CW: Law No. 65 of 1995, Article 3: within the scope of production BW: Law No. 61 of 1982, as amended, Article 3	×	x	x	NW: Law No. 32 of 1884: within the scope of production, Article 3 Law No. 38 of 2007, Article 3(3) CW: Law No. 65 of 1995 as amended, chapter7 (penalties): within the scope of production BW: Law No. 61 of 1982, as amended, Article 10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
5	Transport	х	х	х	NW: Law No. 32 of 1884: within the scope of possession, import or ordering Law No. 38 of 2007, Article 6 (import and export) CW: Law No. 65 of 1995 as amended, Article 3 (within the scope of possession) and Article 17 (certification of transport) BW: Law No. 61 of 1982, as amended, Article 4 within the scope of possession, transfer or receiving	х	х	х	NW: Law No. 32 of 1884: within the scope of possession, import or ordering Law No. 38 of 2007 CW: Law No. 65 of 1995 as amended, chapter7 (penalties): within the scope of possession BW: Law No. 61 of 1982: within the scope of possession, transfer or receiving	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
6	Transfer	х	х	Х	NW: Law No. 166 of 1957 as amended, Article 61 Law No. 38 of 2007 CW:	х	х	Х	NW: Law No. 166 of 1957 as amended, Article penalties for mishandling Law No. 38 of 2007	pages 5-6 of the report pages 2-4 of the addendum 1

					Law No. 65 of 1995 as amended, Article 3: within the scope of provide BW: Law No. 61 of 1982, as amended, Article 4				CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, Articles 9-10	pages 2-4 of the addendum 2
7	Use	x	x	x	NW: Law No. 32 of 1884 Law No. 38 of 2007, Article 3 CW: Law No. 65 of 1995, as amended, Article 38 BW: Law No. 61 of 1982, as amended, Article 4 & 9	x	x	x	NW: Law No. 32 of 1884 Law No. 38 of 2007 CW: Law No. 65 of 1995, as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1 pages 2-4 of the addendum 2
8	attempt to engage in abovementioned activities	x	х	x	NW: Law No. 38 of 2007, Article 3-6 CW: Law No. 65 of 1995, as amended, Article 38 BW: Law No. 61 of 1982, as amended, Articles 9-10	x	x	x	NW: Law No. 38 of 2007, Article 3-6 CW: Law No. 65 of 1995, as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Articles 9-10	pages 5-6 of the report pages 2-4 of the addendum 1
9	participate as an accomplice in abovementioned activities	х	х	x	NW/CW/BW: Law No. 45 of 1908 (Penal Code), Article 60-65 (complicity), with Article 8 (application of general provisions)	х	х	х	NW/CW/BW: Law No. 45 of 1908 (Penal Code)	pages 5-6 of the report
10	assist in abovementioned activities	X	х	x	NW/CW/BW: Law No. 45 of 1908 (Penal Code), Article 60-65 (complicity), with Article 8	х	х	х	NW/CW/BW: Law No. 45 of 1908 (Penal Code)	pages 5-6 of the report
11	finance abovementioned activities	х	х	Х	NW/CW/BW: Act on Punishment of Financing to Offences of Public Intimidation (Law No.67 of 2002) on terrorist financing	х	х	х	NW/CW/BW: Law No. 67 of 2002 on terrorist financing No. 228 of 1949 as amended, Chapter 9	pages 5-6 of the report pages 2-4 of the addendum 1

					FEFTA (No. 228 of 1949 as amended), Article 16 and 21				CW Act No. 78 of 1995, Article 7	
					CW Act on Prevention of Bodily Harm by Sarin and Similar Substances(Act No. 78 of 1995), Article 7					
					NW: Law No. 32 of 1884, Article 3				NW: Law No. 32 of 1884, Article 3	pages 5-6 of the report
12	abovementioned activities related to means of delivery ¹	x	х	х	CW: Law No. 65 of 1995, as amended, Article 3(4) BW:	х	х	х	CW: Law No. 65 of 1995, as amended, chapter7 (penalties)	pages 2-4 of the addendum 1 pages 2-4 of the
					Law No. 61 of 1982, as amended, Article 2				BW: Law No. 61 of 1982, Article 9	addendum 2

^{1.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

do	Measures to establish domestic controls to prevent			<u>N</u> at	ional legal and/or regulatory framework			nforcement and civil/criminal penalties		
	e proliferation of NW, CW, BW, and their means of delivery; controls over related materials	N	X /? N C B W *		i Source document i		X /? C B Source document W W		Remarks	
1	Measures to account for production	X	X	X	NW: Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (No. 166 of 1957) as amended through 2019, Articles 3(refining) , 11(refining record), 13(fabricating & enrichment), 21(fabricating & enrichment record), 23(install and operation of research and test reactors), 34(research and test reactor record), 43-3-5 (install and operation of power reactors), 43-3-21(power reactors record), 44(reprocessing), 47(reprocessing record) INFCIRC/255 CW: The Law concerning Prohibition on Chemical Weapons and Restriction on Specific Materials (No. 65 of 1995) as amended, Article 21-22, Chapter IV BW: Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order for the Enforcement of the Law on Implementing the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction (Cabinet Order No. 396 of 1 December 1995) Act on Prevention of Infectious Diseases and Medical Care for Patients with Infectious Diseases (Law No.114 of 1998), as amended through 2014, Article 56-6	X	X	×	CW: Law No. 166 of 1957 as amended, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982, as amended, Article 12: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

					Ordinance of the Ministry of Health, Labour and Welfare (MHLT) (Ordinance No.99 of 1998)					
2	Measures to account for use	X	X	X	NW: Law No. 166 of 1957 as amended, Articles 52(use of nuclear fuel materials), 56-2(record), 57-7, 61-3(use international controlled material), 61-7 (record of international controlled material), 61-8(accounting of international controlled material), 61-8- 2(safeguard inspection) INFCIRC/255 CW: Law No. 65 of 1995, as amended, Articles 21, 22	X	X	X	NW: Law No. 166 of 1957, as amended Chapter 8 (penalties) CW: Law No. 65 of 1995, as amended, chapter7 (penalties)	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the
					BW: Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No.114 of 1998, as amended, Articles 56- 3(test and research), 56-23 (record keeping) Ordinance No.99 of 1998				BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries	addendum 2
					NW: Law No. 166 of 1957 as amended, Article 43-4(interim storage of spent fuel), 43-17(spent fuel record), 52(storage facilities), 61-3(5) (interim storage of international controlled material), 61-7, 61-8, 61-8-2, INFCIRC/255				NW: Law No. 166 of 1957, as amended Chapter 8 (penalties)	pages 6-7 of the report
3	Measures to account for storage	x	x	x	CW: Law No. 65 of 1995 as amended, Article 16 Ordinance for Enforcement of Law No. 65 of 1995 (Ordinance No. 40 of 1995) BW:	x	x	x	CW: Law No. 65 of 1995 as amended, chapter7 (penalties)	pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
					Law No.61 of 1982, as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No.114 of 1998, as amended, Articles 56-3 (Class I), 56-6 (Class II), 56-16 (Class III), 56-23,				BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable	

4	Measures to account for transport	X	X	X	NW: Law No. 166 of 1957 as amended, Articles 59 (shipment), 59-2 CW: Law No. 65 of 1995 as amended, Article 17 BW: Law No.61 of 1982 as amended, Article 5: reports to competent Ministers Cabinet Order No. 396 of 1 December 1995 Law No. 114 of 1998, as amended, Articles 56-3 and 56-5	x	X	X	Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries Law No. 114 of 1998, as amended NW: Law No. 166 of 1957, as amended Chapter 8 (penalties) Ministry of Land, Infrastructure, Transport and Tourism CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 61 of 1982: failing to make a report in accordance with the law or making a false report is punishable Cabinet Order No. 396 of 1 December 1995 identifies the relevant implementing Ministries Law No. 114 of 1998, as amended	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
5	Measures to secure production	х	x	x	NW: Law No. 166 of 1957 as amended, Article 11- 2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-25, 48(reprocessing), 50-3, 57-2(use of nuclear fuel materials) CW: Law No. 65 of 1995 as amended, Articles3(3)-9,21-23 Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998, as amended, Article 56-25; Ordinance No. 99 of 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	x	х	х	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended , chapter7 (penalties) Act No. 45 of 1907, Article235 BW: Prevention of Infectious Diseases and Medical Care for Infectious Patients Act (Law No. 114 of 1998) Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

6	Measures to secure use	x	x	x	NW: Law No. 166 of 1957 as amended, Article 11-2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-25, 48(reprocessing), 50-3, 56-3(use of nuclear fuel materials), 57-2 CW: Law No. 65 of 1995 as amended, Articles3(3),10-12,16,21-23 Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998, as amended, Article 56-25; Ordinance No. 99 of 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	х	x	x	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) Act No. 45 of 1907, Article235 BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
7	Measures to secure storage	x	х	x	NW: Law No. 166 of 1957 as amended, Articles 11- 2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 37, 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-20, 43-25, 48(reprocessing), 50-3, 56-3(use of nuclear fuel materials), 57-2, 60 (storage contractor) CW: Law No. 65 of 1995 as amended, Article 16(2) BW: Law No. 114 of 1998, as amended, Article 56- 25; Ordinance No. 99 or 1998 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria	х	x	x	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
8	Measures to secure transport	х	х	х	NW: Law No. 166 of 1957 as amended, Article 59 CW: Law No. 65 of 1995 as amended, Article 17(2)	х	Х	Х	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties)	pages 6-7 of the report pages 5-8 of the addendum 1

					BW: Law No. 114 of 1998, as amended, Article 56- 25; Ordinance No. 99 of 1998, Article 31-36 Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria				CW: Law No. 65 of 1995 as amended, chapter7 (penalties) BW: Law No. 114 of 1998 Action Plan for prevention of Terrorism of 10 December 2004	pages 4-7 of the addendum 2
9	Physical protection measures	x	x	x	NW: Law No. 166 of 1957, amended in December 2005 in accordance with the IAEA, Article 11-2(refining facilities), 12-2, 21-2(fabricating and enrichment), 22-6, 35(research and test reactors), 43-2, 43-3-22(2)(power reactors), 43-3-27, 43-18(storage of spent fuel), 43-20, 43-25, 48(reprocessing), 50, 50-3, 56-3(use of nuclear fuel materials), 57-2 CW: Law No. 65 of 1995 as amended, Article 16(2) BW: Ministry of Health, Labour and Welfare guidelines Law No. 114 of 1998, as amended, Article 56-24; Ordinance No. 99 of 1998, Articles 31-27, 31-28, 31-29	x	х	x	NW: Law No. 166 of 1957 as amended punishes mishandling, Chapter 8 (penalties) CW: Ministry of Economy, Trade, and Industry may inspect BW: Law No. 114 of 1998	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2
10	Personnel Reliability	X	X	X	NW: Law No. 166 of 1957 as amended, Articles 5(refining), 15(fabricating, enrichment), 25(research and test reactor), 41(2), 43-3- 7(power reactor), 43-6(storage of spent fuel), 44-3(reprocess), 54(use for nuclear materials), 61-4(international controlled material) The Ordinance of the Nuclear Regulation Authority Concerning the Installation and Operation of Commercial Power Reactors, Article 91 (2) (v) CW: Law No. 65 of 1995 as amended, Article 5,9,11,12; Ordinance 40 of 1995, Article 2-2	X	х	X	NW: Law No. 166 of 1957 as amended, Chapter 8 (penalties), and Articles 40 (1), 43-3-26 (1), 22-2 (1), 43-22 (1), 50-2 (1), 51-20 (1), 43-3-28 (1) 12-3 (1), 22-7 (1), 43-3 (1), 43-3-28 (1), 43-26 (1), 50-4 (1), 51-24 (1) 57-3 (1) The Ordinance of the Nuclear Regulation Authority Concerning the Installation and Operation of Commercial Power Reactors, Article 91 (2) (v) CW: Law No. 65 of 1995 as amended, chapter7 (penalties)	pages 6-7 of the report pages 5-8 of the addendum 1 pages 4-7 of the addendum 2

^{2.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

do	Measures to establish mestic controls to prevent proliferation of NW, and heir means of delivery; controls over related materials	Source document	Remarks
1	National regulatory authority	Atomic Energy Basic Act (Act No. 186 of 1955) as amended (Act No. 155 of 2004), Chapter 5 (penalties) Nuclear Regulation Authority Ministry of Economy, Trade and Industry Ministry of Land, Infrastructure and Transport	pages 5 of the report page 8 of the addendum 1 pages 6-7 of the addendum 2
2	Licensing of nuclear installations/entities/ use of materials	Act on Regulation of Nuclear Source material, Nuclear Fuel Material and Reactors (Law No. 166 of 1957 as amended): permission of competent Minister required Nuclear Regulatory Authority	
3	IAEA Safeguards Agreements	Safeguards Agreement (INFCIRC/255) in force 2 December 1977 Additional Protocol in force 16 December 1999	page 3 of the report
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Notification Pursuant to GC(47)/RES/7.B	page 8 of the addendum 1 pages 6-7 of the addendum 2

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/(http://www.unog.ch/80256943/</

			http://www- ns.iaea.org/down loads/rw/imp- export/status- list.pdf
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Notification Pursuant to GC(47)/RES/10.D; Contact Point designated; Response to Questionnaire	http://www- ns.iaea.org/down loads/rw/imp- export/status- list.pdf
6	IAEA Incident and Trafficking Database	Participates in Database Programme (Joined in 1998)	https://www.iae a.org/resources/ databases/itdb
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	IPPAS follow up mission November 2018	
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	Law No. 166 of 1957, amended in December 2005 in accordance with the INFCIRC/255/Rev.5	
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident (CENNA) (9 June 1987) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (9 June 1987) Convention on Nuclear Safety (12 May 1995) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (26 Aug 2003) Convention on Supplementary Compensation for Nuclear Damage (15 January 2015)	https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/cenna_status. pdf https://www- legacy.iaea.org/P ublications/Docu ments/Conventio ns/cacnare_statu s.pdf https://www- legacy.iaea.org/P

			<u>ublications/Docu</u>
			ments/Conventio
			ns/nuclearsafety_
			status.pdf
			https://www-
			legacy.iaea.org/P
			<u>ublications/Docu</u>
			ments/Conventio
			ns/jointconv_stat
			<u>us.pdf</u>
			https://www-
			legacy.iaea.org/P
			<u>ublications/Docu</u>
			ments/Conventio
			ns/supcomp stat
			<u>us.pdf</u>
10	National legislation and regulations related to nuclear material	Law No. 166 of 1957 Act No. 38 of 2007	pages 6-7 of the report
	including CPPNM	Act No. 155 of 2004	-1

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

	Measures to establish mestic controls to prevent e proliferation of CW, and their means of delivery; controls over related materials	Source document						
1	National CWC authority	National CWC Authority (organized by the Cabinet Office, the Ministry of Foreign Affairs, the Ministry of Economy, Trade and Industry and the Ministry of Defense)	page 7 of the addendum 1					
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law No. 65 of 1995 as amended Ministry of Economy, Trade and Industry	page 6 of the addendum 1 page 5 of the addendum 2					
3	Old or abandoned chemical weapons	Memorandum of Understanding between Japan and China on the Destruction of Abandoned CW in China Reports to the OPCW on weapons abandoned in Japan						

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials							
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Law No. 114 of 1998 Minister of Health, Labour and Welfare	page 4-5 of the addendum 2				

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

ar	order controls and export d trans-shipment controls				<u>N</u> ational legal framework			En	forcement and civil/criminal penalties	
	to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		X /?			X /?			_	_
bi m			c W	B W	Source document		c W	B W	Source document	Remarks
1	Border control to detect, deter, prevent and combat illicit trafficking	х	х	х	NW/CW/BW: Customs Law No. 61 of 1954, as amended through 2019, Chapters IV and V Japan Coast Guard Act(Act No.28 of 1948) Criminal Procedure Act No. 131 of 1948 Self Defense Forces Law No. 165 of 1954 BW: Quarantine Act (Act No. 201 of 1951) Act on Domestic Animal Infectious Diseases Control (Act No.166 of 31 May 1951), includes import and export quarantines Plant Protection Act (Act No. 151 of 4 May 1950)	х	x	x	NW/CW/BW: Customs Law No. 61 of 1954, as amended, Chapter X (Penal Provisions), Chapter XI (Investigation of Criminal Cases and Disposition of Crime)) Customs Japan Coast Guard Police Self Defense Forces BW: Quarantine Act (Act No. 201 of 1951), Articles 35-39 Act on Domestic Animal Infectious Diseases Control (Act No.166 of 31 May 1951), Chapter VI Plant Protection Act (Act No. 151 of 4 May 1950), Chapter VIII	pages 8-10 of the report page 9 of the addendum 1
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	x	x	x	NW/CW/BW: Customs Law No. 61 of 1954, as amended, Chapters IV and V Japan Coast Guard Act(Act No.28 of 1948) Criminal Procedure Act (No. 131 of 1948) Defense Agency Establishment Act (No. 164 of 1954) Self Defense Forces Law (No. 165 of 1954)	x	x	x	NW/CW/BW: Customs Japan Coast Guard Police Self Defense Forces	pages 8-9 of the report pages 8-9 of the addendum 2
3	Border control detection measures				NW:				NW:	

4	Control of brokering	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Article 25 2009 amendments broadened control over brokers, enhancing 1 June 2007 amendment to require licensing of brokering Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended through 2018), Article 17(3)	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 70	pages 8-10 of the report pages 8-9 of the addendum 2
5	Export control legislation in place	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 25 and 48 Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended through 2019): goods Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended through 2018): technologies Customs Law No. 61 of 1954	x	x	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 53, 69-6(2) amendments in 2009 increased punishments Police and Coast Guard investigate cases of violations of the FEFTA (No. 228 of 1998 as amended)	pages 6,8,9 and 10 of the report page 9 of the addendum 1
6	Licensing provisions and Authority	x	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) Article 25 and 48 stipulate the need for an export license Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended): goods Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): technologies Customs Law No. 61 of 1954 Ministry of Economy, Industry and Trade	x	x	x	NW/CW/BW: Any FEFTA (No. 228 of 1949 as amended) violations are punishable Police and Coast Guard investigate cases of violations of the FEFTA (No. 228 of 1998 as amended) Ministry of Economy, Industry and Trade	pages 6 and 8 of the report page 9 of the addendum 1
7	Control lists of materials, equipment and technology	x	x	x	NW/CW/BW: Items listed by the control regimes: List 1 of the Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended): goods List of the Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): Technologies	x	х	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) and regulations	page 10 of the report pages 179-192 of the annex to the report
8	Intangible technology transfers	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles 25(1)(i)	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 25-2	page 10 of the addendum 1 page 10 of the addendum 2

					Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended), Article 17: technologies					
9	Inclusion of means of delivery	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles 25 and 48, List 1 of Cabinet Order No. 378 of 1949: goods List 1 of Cabinet Order no. 260 of 1980: technology	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 69-6(2)	page 9 of the report
10	End-user controls	х	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009): End-users list has been established and updated annually Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use.	х	x	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009) and regulations Foreign End-User List	page 9 of the report page 10 of the addendum 1
11	Catch all clause	X	х	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009): Catch-all controls introduced April 2002 Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use. Attached List 1 of the Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended): goods Attached List of the Foreign Exchange Order (Cabinet Order No. 260 of 1980, as amended): Technologies	х	X	X	NW/CW/BW: FEFTA (No. 228 of 1949 as amended in 2009) Foreign End-User List	page 9 of the report page 10 of the addendum 1
12	Transit control	х	х	х	NW/CW/BW: Cargo Inspection Act (Act No. 43 of 2010) (relevant to DPRK sanctions)	х	х	х	NW/CW/BW: Cargo Inspection Act (Act No. 43 of 2010) (relevant to DPRK sanctions)	
13	Trans-shipment control	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Article 48(1) extended in 1 June 2007 to cover transhipments	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended)	page 9 of the report page 10 of the addendum 1

					Export Trade Control Order (Cabinet Order No. 378 of 1949 as amended), Article 4(1)					page 10 of the addendum 2
14	Re-export control	х	X	x	NW/CW/BW: FEFTA (No. 228 of 1949 as amended): Consent of the Ministry of Economy, Trade and Industry is required Export Trade Control Order (Cabinet Order No. 378 of 1949, as amended), Article 4(1)	x	x	X	NW/CW/BW: FEFTA (No. 228 of 1949 as amended)	page 11 of the addendum 1 page 10 of the addendum 2
15	Control over financing of exports/transhipments that would contribute to proliferation	х	Х	х	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Articles16(1) and 24(1) Act on Punishment of Financing to Offences of Public Intimidation (Act No. 67 of 2002) (on terrorism financing)	х	х	X	NW/CW/BW: FEFTA (No. 228 of 1949, as amended), Chapter 9 Law No.67 of 2002	pages 8-10 of the report
16	Control over services related to exports/ transhipments that would contribute to proliferation including transportation	х	х	х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended) Articles 25(6)	х	х	Х	NW/CW/BW: FEFTA (No. 228 of 1949 as amended), Chapter 9 Law No. 45 of 1908 (Penal Code), Articles 60-65	

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	Is willing to provide assistance as appropriate in response to specific requests to states lacking the legal and regulatory infrastructures, implementation experience and/or resources for fulfilling the provisions				
2	Assistance Point of Contact (for assistance providers only) Kentaro Kaneto Permanent Mission of Japan to the United Nations Tel: 212-521-1560 Fax: 212-308-1451 Email: kentaro.kaneto@mofa.go.jp					
3	Assistance requested	 Contributed approx. 1 million US dollars to the UN Trust Fund for Global and Regional Disarmament Activities for the full and universal implementation of 1540 in March 2017. Provides funds for IAEA, OPCW (including many assistance projects), BWC,CTBTO, and NPT Have offered assistance in the context of the BWC and CWC implementation Contributed approx. 0.9 million US dollars to the UNODA for the domestic BWC implementation and response to potential biological weapons use in 2018. Workshop for the CWC national authority in Indonesia in December 2017 National CWC awareness workshop and mock industry inspection exercise in Myanmar in August 2014 OPCW Associate Program from 2004 to 2018 Regarding the United Nations Security Council Resolution 1540 and other international agreements, the Government of Japan has been conducting various types of outreach activities. The purpose of outreach activities is to build an effective international framework for security export controls. Recent Japan's outreach activities are as follows. Aug., 2009 Japan-Vietnam Industrial Outreach Seminar (Hanoi) Sep., 2009 Japan-Chinese Taipei Industrial Outreach Seminar (Kaohsiung) Oct., 2009 Japan-Indonesia Industrial Outreach Seminar (Singapore) Nov., 2009 Japan-Indonesia Industrial Outreach Seminar (Bangkok) Examples of nonproliferation assistance projects 2004 to 2007 directly related to 1540 Provision of three Patrol Vessels to Indonesia for the Prevention of Piracy, Marítime Terrorism and Proliferation of Weapons (Jun.06, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement; 1,921 million yen) Asian Senior-level Talks on Non-Proliferation: ASTOP Training Course for Improvements of Implementation on Security Export Controls in Asia Asian Export Control Seminar focusing on Prevention and Crisis Manageme				

		- Hosted the seminar in 2006
		• Actively promoting outreach activities towards Asian countries aimed at enhancing efforts against proliferation and taking actions and
		measures for capacity building for combating terrorism less directly related to 1540
		- Provision of security equipment (X-ray machine, metal detector and others) for the Indonesian ports and airports (Grant Aid; 774 million yen, Jul.04)
		- Study on Major Airports Security System Enforcement Plan in Indonesia
		- Provision of Security Facilities and Equipment in Main International Ports of Cambodia (Aug.06, Grant Aid for Cooperation on Counter- Terrorism and Security Enhancement; 927 million yen)
		- Provision of Facilities and Equipment for Enhancement of Communications System for Maritime Safety and Security of the Philippines
		(Jul.07, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement, 609 million yen)
		- ARF Workshop on Capacity Building for Maritime Security
		- Dispatch of Experts for enhancing maritime security capacity for Indonesia and Philippines
		- Seminar and Dispatch of Experts on Port Security
		- ASEAN-Japan Port Security Experts Meeting (Bangkok, Yokohama)
		• Have offered assistance through UNODC less directly related to 1540
		- Strengthening Border Security to Counter Terrorist Movement Through Berbera Port, Somaliland
		- Securing Containerised Supply Chains in SE Asia to Reduce the Threat Posed by DPRK's WMD Programme
		- Enhancing Air Cargo Security Through Establishment of Air Cargo Control Unit at Lahore Allama Iqbal International Airport in Pakistan
		- UNODC-WCO Global Container Control Programme in Kyrgyzstan
		- UNODC-WCO Global Container Control Programme in Uzbekistan
		- Reducing Risks from Financing of the Proliferation of Weapons of Mass Destruction
		- Strengthening the National Frameworks of South and South East Asian Countries to Prevent Non-State Actors from Financing Their Proliferation of Weapons of Mass Destruction
		• Integrated Support Center for Nuclear Nonproliferation and Nuclear Security (ISCN) of Japan Atomic Energy Agency (JAEA) organizes annual
		training courses on nuclear non-proliferation (Regional/International Training Course on State Systems of Accounting for and Control of Nuclear
		Material) and nuclear security (Regional Training Course on Physical Protection of Nuclear Materials and Facility) to the countries mainly in Asia,
		and contributes to strengthen nuclear non-proliferation and nuclear security. Since 2011, those two ISCN training courses have received 469
		participants from 54 countries. And also, ISCN/JAEA has been contributing to nuclear non-proliferation and nuclear security through
		development of nuclear measurement and detection technologies including nuclear forensics in collaboration with the relevant laboratories of
		US and Europe.
		The Ministry of Economy, Trade and Industry holds seminars for the industry and helps companies to establish their internal compliance
4	Action taken to work	programmes
4	with and inform industry	The Centre for Information in Security Control (CISTEC) was established in 1989 for promoting the understanding of export controls
		Export Association gives advice to the industry regarding their internal compliance programmes
	Action taken to work	Strategic Export Control Division, Trade Control Department, METI web site opened on 25 May 2007
5	with and inform the	Japan started a web site for translations of its laws to English
	public	Issued Notification No. 293 on full translated text of UNSCR 1540, which was published in the Official Gazette on 7 June 2005
		Kentaro Kaneto
		Permanent Mission of Japan to the United Nations
6	Point of Contact	Tel: 212-521-1560
		Fax: 212-308-1451
		Email: kentaro.kaneto@mofa.go.jp

7	7	Voluntary National Implementation Action Plan (NAP)	
9	2	1540 Committee visits	
L	,	to States	