

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State: **Commonwealth of Dominica**

Date of Report: **17 April 2008**

Dates of Additional Reports:

Date of Approval: **9 December 2020**

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)	
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 10 August 1984	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Tlatelolco: Deposit 4 June 1993	
3	International Convention for the Suppression of Acts of Nuclear Terrorism		
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 8 November 2004	
5	2005 Amendment to the CPPNM		
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)		
7	Chemical Weapons Convention (CWC)	Deposit 12 February 2001	
8	Biological Weapons Convention (BWC)	Deposit 8 November 1978	
9	Geneva Protocol of 1925		
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 24 September 2004	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 24 September 2004	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	Inter-American Convention against Terrorism (20 October 2004)	
16	International Atomic Energy Agency (IAEA)	Since 2012	
17	Directly relevant Arrangements	Proliferation Security initiative (PSI) The Hague Code of Conduct (signed 2013)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery.	
19	Membership in relevant international, regional or sub-regional organisations	Caribbean Community (CARICOM) Caribbean Financial Action Task Force (CFATF) INTERPOL International Maritime Organization (IMO) Organisation of Eastern Caribbean States (OECS) Organization of American States (OAS) World Customs Organization (SAFE Framework) World Health Organization (WHO) Inter-Parliamentary Union (IPU) International Monetary Fund (IMF) World Bank	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27</p> <p>CW: Firearms Act 37/1973, as amended in 1990, Paragraph 15 (1)</p> <p>BW: Biological Weapons Act 1974 (UK Act) Prohibits the development, production, stockpiling, acquisition, retention or use of biological or microbiological agents or toxins of a type or in quantities that have no justification for prophylactic, protective or other purposes. It also prohibits the use of these agents for hostile purposes or armed conflict</p>	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraphs 27 and 29</p> <p>CW: Firearms Act 37/1973, as amended in 1990, Paragraph 15 (3)</p>	
2	acquire	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27</p> <p>CW: Firearms Act 37/1973, as amended in 1990, Paragraph 6 (1)</p> <p>BW: Biological Weapons Act 1974 (UK Act)</p>	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27</p> <p>CW: Firearms Act 37/1973, as amended in 1990, Paragraph 6(3)</p>	
3	possess	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27</p> <p>CW: Firearms Act 37/1973, as amended in 1990,</p>	X	X	X	<p>NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27</p> <p>CW: Firearms Act 37/1973, as amended in 1990, Paragraph 6 (3)</p>	

					Paragraph 6 (2) BW: Biological Weapons Act 1974 (UK Act)				
4	develop	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27 (“designs”) BW: Biological Weapons Act 1974 (UK Act)	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 27 (designs)
5	transport								
6	transfer		X	X	CW: Firearms Act 37/1973, as amended in 1990, Paragraph 9 (1) BW: Biological Weapons Act 1974 (UK Act)		X		CW: Firearms Act 37/1973, as amended in 1990, Paragraph 9 (2)
7	use	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 29 CW: Firearms Act 37/1973, as amended in 1990, Paragraph 13 (1) BW: Genocide Act 20 of 1969 as amended by 19 of 1974, Article II (f) Biological Weapons Act 1974 (UK Act)	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 29 CW: Firearms Act 37/1973, as amended in 1990, Paragraph 13 (1)
8	attempt to engage in abovementioned activities	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 29	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 29
9	participate as an accomplice in abovementioned activities								
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended Article 17				

11	finance abovementioned activities	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 31 (1) (a) Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended, Article 17 (1) (c)	X	X	X	NW/BW/CW: Anti-Terrorism Act of 2018 Paragraph 31 (3) Suppression of the Financing of Terrorism Act (No. 3 of 2003), Article 19
12	abovementioned activities related to means of delivery ¹		X		CW: Firearms Act 37/1973 as amended in 1990		X		CW: Firearms Act 37/1973 as amended in 1990

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X /?		Source document	X /?		Source document			
		N W	C W		B W *	N W		C W	B W	
1	Measures to account for production	X	?	<p>NW: INFCIRC/513 and SQP (3 May 1996)</p> <p>CW: Pesticides Control Act No 15 of 1974, as amended by 4 of 1987, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations</p>		?	<p>CW: Pesticides Control Act, No. 15 of 1974, as amended by 4 of 1987, Section 8</p>			
2	Measures to account for use	X	?	<p>NW: INFCIRC/513 and SQP (3 May 1996)</p> <p>CW: Pesticides Control Act 1974, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations</p>		?	<p>CW: Pesticides Control Act, 1974, as amended, Sections 8</p>			
3	Measures to account for storage	x	?	<p>NW: INFCIRC/513 and SQP (3 May 1996)</p> <p>CW: Pesticides Control Act 1974, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations Noxious and Dangerous Substances (Control) Act, 1981 (No. 4 of 1982) Provides for the control of noxious and dangerous substances. Governs the licensing requirements for storage of such substances and for the inspection of premises. Grants the minister responsible for</p>		?	<p>CW: Pesticides Control Act 1974, as amended, Section 8</p>			

				trade with the power to make regulations for the purposes of carrying out the act.				
4	Measures to account for transport		?	CW: Pesticides Control Act 1974, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations		?	CW: Pesticides Control Act 1974, as amended, Section 8	
5	Measures to secure production	N A	?	NW: SQP CW: Pesticides Control Act 1974, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations	N A	?	NW: SQP CW: Pesticides Control Act 1974, as amended, Section 8	
6	Measures to secure use	N A	?	NW: SQP CW: Pesticides Control Act 1974, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations	N A	?	NW: SQP CW: Pesticides Control Act 1974, as amended, Section 8	
7	Measures to secure storage	N A	?	NW: SQP CW: Noxious and Dangerous Substances (Control) Act, 1981 (No. 4 of 1982) Provides for the control of noxious and dangerous substances. Governs the licensing requirements for storage of such substances and for the inspection of premises. Grants the minister responsible for trade with the power to make regulations for the purposes of carrying out the act. Pesticides Control Act 1974, as amended. Pesticides Control (Registration and Licensing) Regulations	N A	?	NW: SQP CW: Pesticides Control Act 1974, as amended, Section 8	

8	Measures to secure transport							
9	Physical protection measures	N A			NW: SQP	N A		NW: SQP
10	Personnel Reliability							

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority		
2	Licensing of nuclear installations/entities/ use of materials	Environmental Health Services Act (No. 8 of 1997) <ul style="list-style-type: none"> • Section 10, Chief Environmental Health Officer must issue a Certificate of Approval for facilities, processes, and use of “contaminants or pollutants” that may harm or injure • Sections 20-22 penalties for an offence 	
3	IAEA Safeguards Agreements	INFCIRC/513 and SQP (3 May 1996)	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources		
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database		
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		

9	Other Agreements related to IAEA		
10	National legislation and regulations related to nuclear material including CPPNM		

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Dominica Bureau of Standards, Ministry of Foreign Affairs, Trade and Marketing	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Environmental Health Services Act (No. 8 of 1997) <ul style="list-style-type: none"> • Section 10, Chief Environmental Health Officer must issue a Certificate of Approval for facilities, processes, and use of “contaminants or pollutants” that may harm or injure • Sections 20-22 penalties for an offence 	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
<p>1</p> <p>Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials</p>	<p>Environmental Health Services Act (No. 8 of 1997)</p> <ul style="list-style-type: none"> • Section 10, Chief Environmental Health Officer must issue a Certificate of Approval for facilities, processes, and use of “contaminants or pollutants” that may harm or injure • Section 20-22 penalties for an offence 	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Customs Act, No. 20 of 2010, Schedule 3, Paragraphs 19 and 24 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure</p> <p>CW: Pesticides Control Act No 15 of 1974, as amended by 4 of 1987, Section 9</p> <p>BW: Plant Protection and Quarantine Act No. 10 of 1986 Plant Protection (Importation) Regulations Animals Act Chapter 61:02</p>	X	X	X	<p>NW/CW/BW: Customs Comptroller Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD) Environmental Health Services Act, Sections 13 and 20-29</p>			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD) Environmental Health Services Act, Sections 13 and 20-29</p>	X	X	X	<p>NW/CW/BW: Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD) Environmental Health Services Act, Sections 13 and 20-29</p>			
3	Border control detection measures					X	X	X	<p>NW/CW/BW: Air and Sea Ports Authority Act No 8 2006 ASYCUDA system (partially electronic filings)</p>			

4	Control of brokering								
5	Export control legislation in place	?	?	?	<p>NW/CW/BW: Customs Act, No 20 of 2010, Article 49 Schedule 3 of the Customs Act Paragraph 24: restricted goods have a presumption of denial</p> <p>CW: Noxious and Dangerous Substances (Control) Act, 1981 (No. 4 of 1982)</p>	?	?	?	<p>NW/CW/BW: Customs Act 2010, Article 56 Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD)</p>
6	Licensing provisions and Authority		X	?	<p>CW: Firearms Act 37/1973, as amended in 1990 Article 17 (No licenses for prohibited weapons)</p> <p>BW: Plant Protection and Quarantine Act 1986, Article 3 (Plants require import permits from the Ministry of Agriculture Plant Protection and Quarantine Service before importation)</p>		X	?	<p>CW: Firearms Act 37/1973, as amended in 1990 Articles 31-34 Pesticides Control Board: Pesticides Commissioner of Police: Arms and Ammunition</p> <p>CW/BW: Plant Protection and Quarantine Act 1986, Article 27. Failure to follow the prescribed procedures whenever importing these items could lead to seizures, fines and possible imprisonment. Plant Protection and Quarantine Service. Commissioner of Police: Arms and ammunition</p>
7	Control lists of materials, equipment and technology								
8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control	?	?	?	<p>NW/CW/BW: Customs Act, No. 20 of 2010, Article 107 (2)</p>	?	?	?	<p>NW/CW/BW: Customs Act, No. 20 of 2010, Article 108</p>

13	Trans-shipment control	?	?	?	NW/CW/BW: Customs Act, No. 20 of 2010. Article 107 (2)	?	?	?	NW/CW/BW: Customs Act, No. 20 of 2010, Article 108	
14	Re-export control	?	?	?	NW/CW/BW: Customs Act, No. 20 of 2010, Article 107 (2)	?	?	?	NW/CW/BW: Customs Act, No. 20 of 2010, Article 108	
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	NW/CW/BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended Money Laundering Prevention Act No. 20 of 2000 Anti-Terrorism Act of 2018 Paragraph 57	X	X	X	NW/CW/BW: Anti-Terrorism Act of 2018 Paragraph 58 (provides for seizure of property) Money Laundering Supervisory Authority (MLSA) receives all suspicious report Financial Intelligence Unit (FIU) cooperates with international authorities	
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation									

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	Ms. Shearlyn Joseph (Foreign Service Officer, Ministry of Foreign and CARICOM Affairs) Tel: +767-266-3576 Fax: +767-448-5200 Email: josephls@dominica.gov.dm, shearlynj@gmail.com
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	