

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State:	Republic of Croatia
Date of Report:	29 November 2004
Dates of Additional Reports:	20 December 2005
	19 December 2007
	10 August 2011
	10 April 2013
	1 May 2013
	25 June 2014
	3 March 2016

Date of Approval:	9 December 2020
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I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 29 June 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)		
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 30 May 2007	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 29 September 1992	
5	2005 Amendment to the CPPNM	Deposit 11 September 2006	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 2 March 2001	
7	Chemical Weapons Convention (CWC)	Deposit 23 May 1995	
8	Biological Weapons Convention (BWC)	Deposit 28 April 1993	
9	Geneva Protocol of 1925	Deposit 16 August 2006	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 2 June 2005	
11	1999 International Convention for the	Deposit 1 December 2003	

	Suppression of the Financing of Terrorism		
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 18 August 2005	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	EURATOM Treaty	
16	International Atomic Energy Agency (IAEA)	Member since 1994	
17	Directly relevant Arrangements	Nuclear Suppliers Group Wassenaar Arrangement Zangger Committee Australia Group Proliferation Security Initiative (PSI) Missile Technology Control Regime (MTCR) Global Initiative to Combat Nuclear Terrorism (GICNT) Hague Code of Conduct (HCoC)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reported to the 1540 Committee on 19 December 2007 that non-proliferation of weapons of mass destruction, as well as of strategically sensitive and dual-use goods, technology, equipment and their means of delivery, is Croatia's top security policy priority. Croatia considers efforts and activities aimed at preventing the proliferation of weapons of mass destruction (WMD) crucial in the fight against contemporary security threats, and, in particular, the threat of terrorism and the risk of non-State actors, terrorist groups or individuals acquiring weapons of mass destruction.	
19	Membership in relevant international, regional or sub-regional organisations	WCO (SAFE Framework of Standards) IMO WHO INTERPOL OIE	

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II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW/CW/BW: Criminal Code of 2011, Art. 97</p> <p>NW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with NPT and Nuclear Terrorism Convention (Art. 2a: Possesses radioactive material or makes ... a device)</p> <p>CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013, Art. 2a)</p> <p>BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with BWC</p>	X	X	X	<p>NW/CW/BW: Criminal Code of 2011, Art. 97</p>	
2	acquire	X	X	X	<p>NW/CW/BW: Criminal Code of 2011, Art. 97</p> <p>NW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with NPT</p> <p>CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2 a</p>	X	X	X	<p>NW/CW/BW: Criminal Code of 2011, Art. 97</p>	

					BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with BWC				
3	possess	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97 NW: Constitution of Republic of Croatia as amended (version 2014), Art. 134 together with Nuclear Terrorism Convention (Art. 2a: Possesses radioactive material or makes ... a device) (NN-MU 4/07) CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2a BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with BWC	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97
4	develop	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97 CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2a BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with BWC	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97
5	transport	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97

					CW/BW: Criminal Code of 2011, Art. 163				
6	transfer	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97 (supply) CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2a BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with BWC	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97 (supply)
7	use	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97 NW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with International Convention for the Suppression of Acts of Nuclear Terrorism and International Convention for the Suppression of Terrorist Bombings CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2a BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with 1925 Geneva Protocol, International Convention for the Suppression of Terrorist Bombings	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97
8	attempt to engage in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code of 2011, Arts. 34, 97	X	X	X	NW/CW/BW: Criminal Code of 2011, Arts. 34, 97

					CW: Law on Implementation of the CWC (NN 127/2013), Art. 2a				
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with International Convention for the Suppression of Terrorist Bombings Criminal Code of 2011, Arts. 35, 36, 97	X	X	X	NW/CW/BW: Criminal Code of 2011, Arts. 35, 36
10	assist in abovementioned activities	X	X	X	NW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with International Convention for the Suppression of Acts of Nuclear Terrorism (NN-MU 4/07) CW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC and Law on Implementation of the CWC (NN 127/2013), Art. 2a BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with International Convention for the Suppression of Terrorist Bombings	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 38
11	finance abovementioned activities	X	X	X	NW/CW/BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with International Convention for the Suppression of the Financing of Terrorism Criminal Code of 2011, Arts. 98 and 97	X	X	X	NW/CW/BW Criminal Code of 2011, Arts. 98 and 97
12	abovementioned activities related to means of delivery ¹		X	X	CW/BW: Constitution of Republic of Croatia, as amended (version 2014), Art. 134 together with CWC		X	X	CW/BW: Criminal Code of 2011, Arts. 97, 331

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

	Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework			Enforcement and civil/criminal penalties			Remarks	
		X /?			X /?				
		N W	C W	B W *	N W	C W	B W		
1	Measures to account for production	X	X				<p>NW: Commission Regulation (Euratom) No. 302/2005 of 8 February 2005 on the application of Euratom safeguards Act on Nuclear Safety, October 2003, Arts. 2, 4, 13-17 Ordinance on the Register of Activities, Requirements and the Manner of Issuing, and the Validity of Licences for Work with Sources of Ionising Radiation (NN 125/06) Regulations of 20 Oct 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) INFCIRC/463</p> <p>CW: Law on Implementation of the CWC (NN 127/2013, Art. 8)</p>	<p>NW: EURATOM Treaty, Art. 83 Commission Recommendation of 11 February 2009 on the implementation of a nuclear material accountancy and control system by operators of nuclear installations Act on Nuclear Safety, October 2003, Arts. 22 (State Office of Nuclear Safety), 29-31 (penalties)</p> <p>CW: Law on Implementation of the CWC (NN 127/2013, Chapter VII)</p>	
2	Measures to account for use	X	X	X			<p>NW: Act on Nuclear Safety, October 2003, Arts. 2, 413-17 Ordinance on the Register of Activities, Requirements and the Manner of Issuing, and the Validity of Licences for Work with Sources of Ionising Radiation (NN 125/06) Regulations of 20 October 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20)</p>	<p>NW: Act on Nuclear Safety, October 2003, Arts. 22, 29-31 EURATOM Treaty, Art. 83 Commission Recommendation of 11 February 2009 on the implementation of a nuclear material accountancy and control system by operators of nuclear installations</p>	

				<p>INFCIRC/463 Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards</p> <p>CW: Law on Implementation of the CWC (NN 127/2013, Art. 8)</p> <p>BW: Directive 2000/54/EC</p>				<p>CW: Law on Implementation of the CWC (NN 127/2013, Chapter VII)</p>		
3	Measures to account for storage	X		<p>NW: Act on Nuclear Safety, October 2003, Arts. 2, 4, 13-17 Ordinance on the Register of Activities, Requirements and the Manner of Issuing, and the Validity of Licences for Work with Sources of Ionising Radiation (NN 125/06) Regulations of 20 October 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) INFCIRC/463 Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards</p>	X			<p>NW: Act on Nuclear Safety, October 2003, Arts. 22, 29-31 EURATOM Treaty, Art. 83 Commission Recommendation of 11 February 2009 on the implementation of a nuclear material accountancy and control system by operators of nuclear installations</p>		
4	Measures to account for transport	X	X	X	<p>NW/CW/BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (adapting ADR, RID and ADN and for intra-EU transfers), as amended ADR (European Agreement concerning the international carriage of dangerous goods) Act on the Transport of Dangerous Goods of 2007 (NN 79/07)</p> <p>NW: Ordinance on the methods and procedures of the surveillance during import and export of the materials suspected to be contaminated with radionuclides, to contain radioactive</p>	X	X	X	<p>NW/CW/BW: Act on the Transport of Dangerous Goods (NN 79/07), Arts. 59-60 (penalties)</p>	

				<p>substances or that radioactivity is increased (NN 114/07) Commission Regulation (Euratom) No. 302/2005 of 8 February 2005 on the application of Euratom safeguards, Art. 26</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Art. 7</p>				<p>CW: Law on Implementation of the CWC (NN 127/2013, Art. 7 IV</p>	
5	Measures to secure production	X	X	<p>NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety, Art. 3 Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06)</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Arts. 6, 9, 10, 13 Act of 9 December 2005 on Chemicals (Text No. 2899) (NN 150/05)</p>	X	X		<p>NW: Act on Nuclear Safety, October 2003, Arts. 22, 29-31</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Chapter VII Act of 9 December 2005 on Chemicals (Text No. 2899) (NN 150/05)</p>	
6	Measures to secure use	X	X	<p>NW: Act on Nuclear Safety, October 2003, Part II Nuclear Safety, Art. 3 Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06) Regulations (20 October 2006) on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp. 8303-8320)</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Arts. 6, 9, 10, 13</p>	X	X		<p>NW: Act on Nuclear Safety, October 2003, Arts. 22, 29-31</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Chapter VII</p>	
7	Measures to secure storage	X		<p>NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety, Art. 3 Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06)</p>	X			<p>NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety, Arts. 22, 29-31 (penalties)</p>	

8	Measures to secure transport	X	X	X	<p>NW/CW/BW: Law on the Transport of Dangerous Goods (NN 79/07) EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended</p> <p>CW: Law on Implementation of the CWC (NN 127/2013), Arts. 4a Nr. 12, 6 Act of 9 December 2005 on chemicals (Text No. 2899) (NN 150/05)</p>	X	X	X	<p>NW/CW/BW: Law on the Transport of Dangerous Goods 2007 (NN 79/07), Arts. 59-60</p> <p>CW: Act of 9 December 2005 on chemicals (Text No. 2899) (NN 150/05) Law on Implementation of the CWC (NN 127/2013), Chapter VII</p>
9	Physical protection measures	X	?	X	<p>NW: Act on radiological and nuclear safety (OG 141/13, 39/15) Ordinance on the physical protection of radioactive sources, nuclear materials and nuclear installations (OG38/12)</p> <p>CW: Law on Implementation of the CWC (NN 127/2013)</p> <p>BW: Directive 2000/54/EC (Protection of workers exposed to biological agents at work includes containment measures to reduce risks)</p>	X			<p>NW: Act on radiological and nuclear safety (OG 141/13, 39/15)</p>
10	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

[http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Act on Nuclear Safety, October 2003, Art. 22 State Office for Radiological and Nuclear Safety	
2	Licensing of nuclear installations/entities/ use of materials	Act on Nuclear Safety, October 2003 Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for Work with Sources of Ionising Radiation and the Use of Sources of Ionising Radiation (NN 125/06) Act on Nuclear Safety, October 2003, Arts. 22, 29-31 State Institute for Radiation Protection	
3	IAEA Safeguards Agreements	Agreement between the Republic of Croatia and the IAEA for the Application of Safeguards in connection with the Treaty on the Non-Proliferation of Nuclear Weapons (INFCIRC/463 (with Protocol)) Protocol Additional to the Agreement between the Republic of Croatia and the International Atomic Energy Agency for the Application of Safeguards in Connection with the Treaty on the Non-Proliferation of Nuclear Weapons	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		

8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	Vienna Convention on Civil Liability for Nuclear Damage (INFCIRC/500/Add.1) Convention on Early Notification of Nuclear Accidents (INFCIRC/335) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (INFCIRC/336) Joint Convention on the Safety of Spent Fuel Management and the Safety of Radioactive Waste Management (INFCIRC/546)	
10	National legislation and regulations related to nuclear material including CPPNM		

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	<p>Law on Implementation of the CWC</p> <p>The National Committee is a commission appointed by the Government of the Republic of Croatia, with the task of being a central body for monitoring the implementation of the provisions of the Convention.</p> <p>National Authority for the Implementation of CWC is Ministry of Foreign Affairs.</p> <p>The Ministry of Economy at national level is the competent authority for the management of chemicals contained in the CWC.</p>	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law on Implementation of the CWC	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/persons/entities/use/handling of materials		

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended, especially Arts. 264 and 267 Law on State Border Control of November 2003 Customs Act (NN 78/99, 138/06) Customs Service Act (NN 67/01)	X	X	X	NW/CW/BW: Customs Administration, Ministry of Finance Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Arts. 2, 10, 12, 21			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Act (NN 78/99, 138/06) Customs Service Act (NN 67/01) Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended, especially Art. 198 Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Arts. 2, 10, 12, 21 NW: Ordinance on the Methods and Procedures of the Surveillance during Import and Export of the Materials Suspected to be Contaminated with Radionuclides or to contain Radioactive Sources (NN 114/07)	X	X	X	NW/CW/BW: Customs Administration, Ministry of Finance Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Arts. 2, 10, 12, 21			
3	Border control detection measures	X	X	X	NW/CW/BW: Union Customs Code, as amended, Arts. 4, 13, 15, Title IX	X	X	X	NW/CW/BW: Customs Administration uses implementation measures, such as risk analysis systems, for documentation checks or physical inspection of goods for export, import and transit. The			

					<p>NW: Ordinance on the Methods and Procedures of the Surveillance during Import and Export of the Materials Suspected to be Contaminated with Radionuclides or to Contain Radioactive Sources (NN 114/07)</p> <p>CW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Economy, Labour and Entrepreneurship</p> <p>BW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Health and Social Welfare</p>			<p>Customs Administration also uses electronic information system of the European Union for a quick exchange of information with other European Union Member States. Customs officers have equipment such as portal monitors, radioactivity detectors, mobile vehicles for detecting radiation, etc. Union Customs Code, as amended, Art. 22</p>		
4	Control of brokering	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 5 Act on the Amendments to the Law on Export of Dual-Use Items, 2008 Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended</p>	X	X	X	<p>NW/CW/BW: Criminal Code of 2011, Art. 163 (penalty for “intermediates in the purchase or sale”) Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Arts. 22-23</p>	
5	Export control legislation in place	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended Act on the Amendments to the Law on Export of Dual-Use Items, 2008</p>	X	X	X	<p>NW/CW/BW Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Arts. 22, 23</p>	

				Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended					
6	Licensing provisions and Authority	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Arts. 3, 4, 5, 9, Chapter III (intra-Community transfers do not require an authorisation, except for items listed in Annex IV and items listed in Part 2 of Annex IV shall not be covered by a general authorisation (Art. 22)), Annexes II (EU General Export Authorisations) and IIIa and b (models) Act on the Trade Control of Dual use Items, 2011</p> <p>NW: Act on radiological and nuclear safety (OG 141/13, 39/15) Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for Work with Sources of Ionising Radiation and the Use of Sources of Ionising Radiation (NN 125/06) Decree specifying goods subject to export and import licences</p>	X	X	X	<p>NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods Customs Administration</p> <p>NW: State Office for Radiological and Nuclear Safety</p>
7	Control lists of materials, equipment and technology	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Art. 2 (“dual-use items shall mean items, including software and technology...”), Annex I Official Gazette No. 138/12 is harmonized with Annex I of the EU Regulation 388/2012 of the European Parliament and the Council. Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)</p>	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Annex I Official Gazette No. 138/12 is harmonized with Annex I of the EU Regulation 388/2012 of the European Parliament and the Council. Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods</p>
8	Intangible technology transfers	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Art. 2 Act on the Trade Control of Dual-use Items,</p>				<p>NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended</p>

				(OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)				
9	Inclusion of means of delivery	X	X	X NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Annex I Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods
10	End-user controls	X	X	X NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods
11	Catch all clause	X	X	X NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Art. 4 Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended Ministry of Economy, Labour and Entrepreneurship
12	Transit control	X	X	X NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended, Art. 6 Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended, especially Arts. 5, 141, 158, 210, 226, 233 Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009) Customs Act (NN 78/99, 138/06) Customs Service Act (NN 67/01) NW: Act on Ionising Radiation Protection and the Security of Ionising Radiation Sources (NN 64/06)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended, Customs Administration NW: State Office for Radiation Protection

13	Trans-shipment control	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May 2009, as amended (would be handled either as an “export” or a “transit” as defined by the Regulation) Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009) Customs Act (NN 78/99, 138/06) Customs Service Act (NN 67/01)</p>	X	X	X	<p>NW/CW/BW: Customs Administration</p>
14	Re-export control	X	X	X	<p>NW/CW/BW: Council regulation (EC) No. 428/2009 of 5 May as amended, Art. 2 (defines “re-export” as an “export” for the purposes of the Regulation) Union Customs Code, as amended, Art. 270 Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended (implementation of Council regulation (EC) No. 428/2009)</p>	X	X	X	<p>NW/CW/BW: Act on the Trade Control of Dual-use Items, (OG 80/11) 2011, as amended</p>
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	<p>NW/CW/BW: National transposition measures communicated by the Member States concerning: Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, Croatian Transition Act hereto 108/17 from 2017, Arts. 3, 9, 11</p>	X	X	X	<p>NW/CW/BW: Criminal Code, Arts. 97, 98</p>
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation								

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	<p>Ms Mihaela Baric, Head of Division for International Security, Directorate-General for Multilateral Affairs and Global Issues, Ministry of Foreign and European Affairs Tel: +385-1-4598008 Fax: +385-1-4597416 Email: mihaela.baric@mvep.hr</p> <p>Ms Lada Glavaš Kovacic, Minister Counsellor, Permanent Mission of Croatia to the United Nations Tel: 212-986-1585 Fax: 212-986-2011 Email: Lada.Glavas-Kovacic@mvep.hr</p>
4	Action taken to work with and inform industry	The Ministry of Foreign and European Affairs, as the competent authority for dual-use items, conducts a number of activities in order to raise awareness among private actors, industry, academia and the research community. In that regard, it organises industry outreach events at least once a year, updates information on the export control web page, publishes handbooks and other guidance material and promotes events related to internal compliance programmes.
5	Action taken to work with and inform the public	Croatia has been continuously working on raising awareness of the importance of the control of dual-use goods. Croatia will continue to undertake and fulfil obligations regarding the resolution. Taking into account the importance of such activities, the Ministry of Foreign and European Affairs will continue its efforts to promote export control compliance among different stakeholders. The Ministry of Foreign and European Affairs also organised a seminar for academia and the research community, e.g., in November 2014. The aim of the seminar was to inform the academic community and research institutes about all aspects of export control of dual-use items and to raise awareness of the intangible transfer of technology.
6	Point of Contact	<p>Ms Mihaela Baric, Head of Division for International Security, Directorate-General for Multilateral Affairs and Global Issues, Ministry of Foreign and European Affairs Tel: +385-1-4598008 Fax: +385-1-4597416 Email: mihaela.baric@mvep.hr</p>

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7	Voluntary National Implementation Action Plan (NAP)	Submitted on 8 April 2014
8	1540 Committee visits to States	