

2014 QCPR Monitoring Survey of UN Resident Coordinators

Welcome to this survey on progress in implementing UN General Assembly resolution 67/226 on the 2012 quadrennial comprehensive policy review (QCPR) of UN operational activities for development.

The survey is a follow up to the survey of Resident Coordinators in 2013 which aimed to establish a baseline for monitoring the implementation of the QCPR resolution at country level, particularly in terms of the coherence, relevance, effectiveness and efficiency of UN operations. The results of the survey will be reported to the General Assembly and ECOSOC, as requested by the resolution.

This survey will be supplemented by the Coordination Survey administered by UNDG/DOCO and addressed to RCs and UNCTs. The respondents for the current survey are all Resident Coordinators in UN programme countries. Resident Coordinators are encouraged to consult with UNCT members on relevant questions, as necessary. Please note that only one survey response can be accepted per country/location.

In this questionnaire the term 'UN agencies' is used as shorthand for UN specialized agencies, UN funds and programmes, and departments of the UN Secretariat.

Responses and comments will be treated in strict confidence. The reporting will not contain any data or statement from which a reader can identify the source of any answers or comments.

Please note that the software allows you to exit the questionnaire and reopen it later provided you use the same computer. Your answers will be saved. You can also navigate forwards and backwards within the questionnaire and click the "Exit Survey" button when you have finished it.

This survey takes approximately 25 minutes to complete. Please complete the questionnaire within four weeks of notification, and click the "Exit Survey" button when you have finished it.

If you have any questions, please contact the QCPR team at qcpr@un.org.

Country Profile

***1. Please select your country/location from the list below:**

Other (please specify)

***2. Do you currently serve as Resident Coordinator or Resident Coordinator a.i. for more than one country/territory?**

Yes

No

Optional Comments

Country Profile

***3. Please indicate the number of UNCTs in which you currently serve, and for the remaining questions in the survey, please respond with reference to your country of residence:**

- 2
- 3
- 4 or more

Optional Comments

***4. How many agencies are currently represented in the UN country team?**

- 5 or less
- 6-10
- 11-15
- 16 and above

Optional Comments

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***5. What is the approximate number of UN agency core professional staff currently in post in the country?**

- 10 or less
- 11-20
- 21-30
- 31-40
- 41-50
- 51 and above

Optional Comments

Country Profile

*6. What are your functions?

Please select all that apply.

- Resident Coordinator or Resident Coordinator a.i.
- Designated Official
- Humanitarian Coordinator or Humanitarian Coordinator a.i.
- Deputy Special Representative of the Secretary-General
- Director of UN Information Centre
- Other (please specify)

Optional Comments

Programming

*7. Please indicate the status of the country in regard to Delivering as One (DaO):

- Original DaO pilot country
- Not a pilot, but implementing all elements of DaO
- Implementing some elements of DaO
- Not implementing any elements of DaO
- Government has requested or is actively considering DaO

Optional Comments

Programming

*8. Please specify the elements of DaO that are being implemented.

Please select all that apply.

- One Leader
- One Programme
- One Budgetary Framework
- Operating as One
- Communicating as One
- Other (please explain using the comment box below)

Optional Comments

*9. Please indicate which UN country analysis processes are used in the country.

Please select all that apply.

- Common Country Assessment (CCA)
- Selective country analyses in support of developing the UNDAF or equivalent framework
- The UNCT has not undertaken any joint or common country analysis.
- Other (please specify)

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***10. Please rate the adequacy of the data the UNCT had access to for each of the following types of demographic information:**

(At the country analysis stage, the UNCT needs data that is disaggregated by various demographic groups as a basis for UNDAF (or equivalent instrument) strategies that properly address their specific situations and needs.)

	Very adequate data	Somewhat adequate data	Somewhat inadequate data	Very inadequate data	This topic is not applicable in this country
Income level	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Gender	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Age	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Disability	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Minorities (ethnic, religious, language, other)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Indigenous peoples	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Optional Comments

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***11. Please indicate which UN programming frameworks are currently used in the country.**

Please select all that apply.

- UNDAF
- UNDAF Action Plan (UNDAP)
- UN Integrated Strategic Framework or similar framework in a country in transition from crisis to development
- Common Country Programme document
- One Programme
- Other (Please specify in the comment box below)
- None of the above

Optional Comments

***12. Is the period of the UNDAF (or equivalent instrument) aligned with the Government's planning cycle (where applicable)?**

- Yes
- No
- Not applicable, the UNDAF or equivalent framework is not being used in the country.

Optional Comments

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***13. Regarding the preparation of the UNDAF (or equivalent instrument) please check one of the following:**

- The UNDAF was prepared during the penultimate year of the previous UNDAF
- The UNDAF was prepared in the first half of the final year of the previous UNDAF (as provided for in the DaO Standard Operating Procedures)
- Other (please explain in the comment box below)
- Not applicable, the UNDAF or equivalent framework is not being used in the country.

Optional Comments

***14. The activities of the UN and the country's development needs and priorities are:**

- Very closely aligned
- Closely aligned
- Somewhat aligned
- Not aligned at all

Optional Comments

***15. Are there country-led and inclusive mechanisms to coordinate support to national priorities?**

- Yes
- No

Please provide a brief description:

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***16. Is there a joint Government or national UN Steering Committee (or similar body) on the UNDAF (or equivalent instrument)?**

- Yes
- No

Programming

***17. Did the joint Steering Committee (or similar body) conduct an annual review of the UNDAF (or equivalent instrument) in the past 12 months?**

Yes

No

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Programming

The Standard Operating Procedures for DaO envisage a major role for results groups in programme implementation. Thus, the following questions apply primarily to countries where all or some aspects of DaO have been adopted. At the same time, non-DaO countries use theme groups or programme coordination groups to serve some of the same purposes. If you are in a DaO country, please respond to all the following questions. If you are in a non-DaO country, please respond to the questions to the extent they are applicable.

***18. Have results groups as defined in the Standard Operating Procedures for DaO been established in your country?**

- Yes
- No
- Not applicable

***19. To what extent do the results groups or theme groups or programme coordination groups form part of a national coordination mechanism?**

- All these groups are part of a national coordination mechanism
- Some of these groups are part of a national coordination mechanism
- None of these groups are part of a national coordination mechanism
- Not applicable

***20. In regard to chairing of the groups:**

- All groups are co-chaired by the Government
- Some groups are co-chaired by the Government
- No group is co-chaired by the Government
- Not applicable

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***21. On the UN side:**

- All groups are chaired or co-chaired by a UNCT member
- Some groups are chaired or co-chaired by a UNCT member
- No group is chaired or co-chaired by a UNCT member
- Not applicable

***22. In regard to the membership of the groups:**

- All groups have non-governmental partners as members
- Some groups have non-governmental partners as members
- No group has a non-governmental partner as a member
- Not applicable

***23. To what extent do the groups have joint annual work plans?**

- All groups have joint annual work plans
- Some groups have joint annual work plans
- No group has a joint annual work plan
- Not applicable

***24. The UNDG envisages that the UNCT would provide the Government with a report on progress with the UNDAF (or equivalent instrument) at least once in its lifetime, and annually in DaO countries.**

Did the UNCT provide the Government with such a report in the last 4 years?

- Yes
- No
- Don't Know

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***25. Did the UNCT provide the Government with such a report in the last year?**

- Yes
- No

***26. Regardless of when the last report was provided to the Government, please indicate the extent to which the report:**

	Fully	Partially	Not at all
...was structured around the UNDAF outcomes	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
...was linked to national development results	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
...informed government on the results of the UNCT as a whole	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

***27. Was there a formal evaluation of the UNDAF (or equivalent instrument) during the last five years?**

- Yes
- No
- Not applicable, there is no UNDAF or equivalent instrument in this country

***28. Was a formal management response prepared on the evaluation of the UNDAF (or equivalent instrument)?**

- Yes
- No
- Not applicable, there was no UNDAF evaluation

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***29. Please indicate the extent to which you agree that the UN has used each of the following as much as possible:**

	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree	Don't know
National experts in the design of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National institutions in the design of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National institutions in the implementation of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National procurement systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National financial systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National monitoring and reporting systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National statistical systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National evaluation capacities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

30. Please mention briefly any obstacles in terms of UN agency policies, procedures or practices that in your experience have prevented the UN system from being fully effective in strengthening national capacities or from making more use of national capacities in your country of assignment.

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***31. Considering the financial management and other capacities available in the country, to what extent do you agree that the UN system is using parallel implementation units (PIUs) as little as possible:**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments

South-south cooperation

*** 32. To what extent does the current UNDAF or equivalent framework include programming of support to south-south and triangular cooperation?**

- To a great extent
- To some extent
- Not at all

*** 33. As far as you know, has the government requested the UN system to support its cooperation with other developing countries?**

- Yes
- No
- Don't know

South-south cooperation

*** 34. What have been the main challenges for the UNCT in providing the requested support for south-south and triangular cooperation?**

- Lack of dedicated resources and capacity
- Business rules and procedures
- Nature of the request
- Need for corporate strategy and policy
- Other (please specify in the comment box below)

If you checked any of the items above, please provide a brief explanation:

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*35. Based on what you know, what type of support has been requested?

Please select all that apply.

- Identification of cooperation partners
- Provision of access to knowledge and expertise of other developing countries
- Serving as an administrative agent to manage cooperation projects
- Delivery of cross-border or inter-regional projects or programmes
- Support towards regional integration
- Support for negotiation capacity development
- Capacity building on management of south-south cooperation
- Financial support
- Others (please specify in the comment box below)
- Not applicable - not aware of any support

Optional Comments

Gender

*** 36. Has the UNCT completed the gender scorecard in your country in the last three years?**

- Yes
- No

Gender

*** 37. Does the UNCT have plans to complete the gender scorecard in the next 12 months?**

- Yes
- No

Gender

*** 38. How many of the scorecard areas received a rating of 4 or above?**

- None
- 1 to 3
- 4 or 5
- 6 to 8

*** 39. Did the UNCT discuss and endorse a management response to the scorecard findings?**

- Yes
- No

Transition from relief to development

Please note that the following question is addressed to UNCTs in countries in transition from relief to development only. Please check "not applicable" if you are not located in a transition country.

***40. Has the UNCT concluded an agreement/arrangement initiative for response to crisis, such as a joint needs assessment, with key partners such as the World Bank in the past two years?**

- Yes
- No
- Not applicable, this is not a transition country

If you answered Yes, please include a brief description:

Funding

***41. Does a Common Budgetary Framework (CBF) exist covering the current UNDAF (or equivalent instrument) programming period in your country?**

- Yes
- No

***42. Do you receive information on available and projected financial contributions from agency representatives, when requested?**

- Yes
- No

Please mention briefly any challenges encountered:

***43. If you have a CBF, how often is it updated?**

- More than once a year
- Once a year
- Less than once a year
- Not applicable, there is no CBF in the country

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***44. If you have a CBF, is it at all times accessible for the government, development cooperation partners (donors) and other stakeholders?**

- Yes
- No
- Not applicable, there is no CBF in the country

Optional comments

***45. Does the UNCT in the country have a joint resource mobilization strategy?**

- Yes
- No

Optional comments

***46. Is there a One-UN-Fund in the country?**

- Yes
- No

Funding

*47. Has the joint national/UN Steering Committee established allocation criteria for the One Fund?

- Yes
- No

Optional Comments

*48. Please estimate the percentage of available or firmly committed UN resources that are channelled through the One-UN-Fund in your country

- 0-10%
- 11-20%
- 21-30%
- 31-40%
- 41-50%
- More than 50%

Optional Comments:

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***49. Please estimate the percentage of currently available or committed UN resources in your country that relate to joint programmes and that are subject to pooled or pass-through and/or MDTF fund management arrangements (as against parallel funding)**

- 0-10%
- 11-20%
- 21-30%
- 31-40%
- 41-50%
- More than 50%

Optional Comments

***50. Have DaO modalities led to additional funding for your country of assignment?**

- Yes
- No
- Not applicable, we do not have relevant DaO modalities

Optional Comments:

Management

***51. Please indicate which of the following positions UNDP has in the country?**

Please select all that apply.

- Country Director
- Deputy Resident Representative
- Other second-ranking official (Please specify in the comment box below)

Optional Comments:

***52. Has the RC/RR signed delegation of authority letters, including for resource mobilization, with the second-ranking UNDP official?**

- Yes
- No

Optional Comments:

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***53. Please indicate the total cash income of the RC Office in 2013 expressed in US\$**

- Up to \$50,000
- \$50,000 to \$100,000
- \$100,000 to \$200,000
- \$200,000 to \$300,000
- \$300,000 to 400,000
- Over \$400,000

Optional comments

***54. The agreed QCPR monitoring indicators include in-kind contributions to the RC system (Indicator 67b). With this in mind, please indicate how readily you have been able to access agencies' technical resources:**

- Have accessed the technical resources of all agencies without difficulty
- Have accessed the technical resources of some agencies without difficulty
- Have generally encountered difficulty in accessing agencies' technical resources

Optional Comments:

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***55. To what extent do you agree that the UN system field representatives in general enjoy sufficient delegated authority to respond effectively and efficiently to national needs and priorities?**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree

Optional Comments:

Communicating as One

***56. Does a UN Communications Group (UNCG) exist in the country?**

- Yes
- No

***57. Does the UNCT have a Joint Communications strategy?**

- Yes
- No

Communicating as One

***58. To what extent does the strategy promote adequate linkages between the UN's normative and operational activities:**

- To a large extent
- To a moderate extent
- To a small extent
- Not at all

***59. Does the UNCT have a budgeted work plan to implement the Joint Communications Strategy?**

- Yes
- No

***60. Does the UNCT have a common UN website?**

- Yes
- No

***61. Has the UNCT agreed on guiding principles for common messaging from the UN in the country?**

- Yes
- No

62. Please provide any additional comments you may wish to make on any aspect of implementing the QCPR resolution at the country level.

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63. Finally, please provide any comments you might have on the survey itself: