

# 2015 QCPR Monitoring Survey of UN Resident Coordinators

## Welcome to the 2015 QCPR Monitoring Survey of UN Resident Coordinators

Welcome to this survey on progress in implementing UN General Assembly resolution 67/226 on the 2012 quadrennial comprehensive policy review (QCPR) of UN operational activities for development.

The survey is a follow up to the survey of Resident Coordinators in 2013 and 2014, which were designed to assist in monitoring the implementation of the QCPR resolution at country level, particularly in terms of the coherence, relevance, effectiveness and efficiency of UN operations. The results of the survey will be reported to the next QCPR by the UN General Assembly and to ECOSOC, as requested by the resolution.

The respondents to the survey are UN Resident Coordinators in programme countries. Resident Coordinators are encouraged to consult with UNCT members on relevant questions, as necessary. Please note that only one survey response can be accepted per country/location.

In this questionnaire the term 'UN agencies' is used as shorthand for UN specialized agencies, UN funds and programmes, and departments of the UN Secretariat.

Responses and comments will be treated in strict confidence. The reporting will not contain any data or statement from which a reader can identify the source of any answers or comments.

The survey must be completed online, and takes approximately 30 minutes. The software allows you to exit the questionnaire and reopen it later, provided you use the same computer and do not in the meantime clear the history and website data from visited sites. Your answers will be saved. You can also navigate forwards and backwards within the questionnaire and click the "Exit Survey" button when you have finished it.

Kindly complete the questionnaire within four weeks of notification.

Questions can be addressed to Rebekah Chew at [chewr@un.org](mailto:chewr@un.org) with copy to the QCPR team at [qcpr@un.org](mailto:qcpr@un.org).

## Country Profile

### 1. Please select your country/location from the list below:

Other (please specify)

### 2. Do you currently serve as Resident Coordinator or Resident Coordinator a.i. for more than one country/territory?

Yes

No

Optional comments:

## Country Profile

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**3. Please indicate the number of UNCTs in which you currently serve, and for the remaining questions in the survey, please respond with reference to your country of residence:**

- 1
- 2
- 3
- 4 or more

Optional comments:

**4. How many agencies are currently represented in the UN country team?**

- 5 or less
- 6-10
- 11-15
- 16 and above

Optional comments:

**5. What is the approximate number of UN agency national and international core professional staff currently in post in the country?**

- 10 or less
- 11-20
- 21-30
- 31-40
- 41-50
- 51 and above

Optional comments:

**Programming**

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## 6. Please indicate the status of the country in regard to Delivering as One (DaO):

- Original DaO pilot country
- Not a pilot, but implementing all elements of DaO
- Implementing some elements of DaO
- Not implementing any elements of DaO
- Government has requested or is actively considering DaO

Optional comments: (Please use this comment box in case any clarification is needed on the status of DaO in your country of assignment)

## Programming

## 7. Please specify the elements of DaO that are being implemented.

**Please select all that apply.**

- One Leader
- One Programme
- One Budgetary Framework
- Operating as One
- Communicating as One
- Other (please explain using the comment box below)

Optional comments: (Please use this comment box in case any clarification is needed on the status of DaO in your country of assignment)

## UN Country Analysis

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## 8. Please rate the adequacy of the data the UNCT had access to for each of the following types of demographic information:

	Very adequate data	Somewhat adequate data	Somewhat inadequate data	Very inadequate data	This topic is not applicable in this country
Income level	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Gender	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Age	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Disability	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Minorities (ethnic, religious, language, other)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Indigenous peoples	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Optional comments: (please use this comment box in case any clarification is needed)

## UN Development Assistance Framework

### 9. Is the period of the UNDAF (or equivalent instrument) aligned with the Government's planning cycle (where applicable)?

- Yes
- No, but we plan to align the period of the next UNDAF with the Government's cycle
- No, and there are no plans to align with the Government's planning cycle
- Not applicable, the UNDAF or equivalent framework is not being used in the country.

Optional comments: (please use this box in case any clarification is needed)

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### 10. Regarding the preparation of the UNDAF (or equivalent instrument) please check one of the following:

- The current UNDAF was prepared during the penultimate year of the previous UNDAF
- The current UNDAF was prepared in the first half of the final year of the previous UNDAF (as provided for in the DaO Standard Operating Procedures)
- Other (please explain)
- Not applicable, the UNDAF or equivalent framework is not being used in the country.

Optional comments: (please use this comment box in case any clarification is needed)

### 11. The activities of the UN and the country's development needs and priorities are:

- Very closely aligned
- Closely aligned
- Somewhat aligned
- Not aligned at all

Optional comments: (please use this comment box to mention any steps the UN could in your opinion take to align more closely with the country's development needs and priorities)

## Delivering as One (DaO)

The Standard Operating Procedures for DaO envisage a major role for results groups in programme implementation. Thus, the following questions apply primarily to countries where all or some aspects of DaO have been adopted. At the same time, non-DaO countries use theme groups or programme coordination groups to serve some of the same purposes. If you are in a DaO country, please respond to all the following questions. If you are in a non-DaO country, please respond to the questions to the extent they are applicable.

## 12. To what extent do the results groups or theme groups or joint teams or programme coordination groups form part of a national coordination mechanism?

- All these groups are part of a national coordination mechanism
- Some of these groups are part of a national coordination mechanism
- None of these groups are part of a national coordination mechanism
- Not applicable

Optional comments: (please use this comment box in case any clarification is needed)

## 13. In regard to chairing of the groups:

- All groups are co-chaired by the Government
- Some groups are co-chaired by the Government
- No group is co-chaired by the Government
- Not applicable

Optional comments: (please use this comment box in case any clarification is needed)

## 14. On the UN side:

- All groups are chaired or co-chaired by a UNCT member
- Some groups are chaired or co-chaired by a UNCT member
- No group is chaired or co-chaired by a UNCT member
- Not applicable

Optional comments: (please use this comment box in case any clarification is needed)

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## 15. In regard to the membership of the groups:

- All groups have non-governmental partners as members
- Some groups have non-governmental partners as members
- No group has a non-governmental partner as a member
- Not applicable

Optional comments: (please use this comment box in case any clarification is needed)

## 16. The UNDG envisages that the UNCT would provide the Government with a report on progress with the UNDAF (or equivalent instrument) at least once in its lifetime, and annually in DaO countries.

### Did the UNCT provide the Government with such a report in the last five years?

- Yes
- No
- Don't Know

## Programming

### 17. Did the UNCT provide the Government with such a report in the last year?

- Yes
- No

### 18. Regardless of when the last report was provided to the Government, please indicate the extent to which the report:

	Fully	Partially	Not at all
...was structured around the UNDAF outcomes	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
...was linked to national development results	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
...informed government on the results of the UNCT as a whole	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Optional comments: (please use this comment box in case any clarification is needed)

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**19. If you responded that no report was submitted to the Government, please briefly explain why:**

## Programming

**20. The Regional undg Peer Support Group has provided the UNCT with the support we needed in regard to the following key UNDAF steps:**

	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree	Don't know
Road map	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Country analysis	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Strategic planning	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Monitoring and evaluation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Optional comments: (please use this box to provide any clarification)



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## 21. Please indicate the extent to which you agree that the UN has used each of the following as much as possible:

	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree	Don't know
National experts in the design of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National institutions in the design of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National institutions in the implementation of programmes and projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National procurement systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National financial systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National monitoring and reporting systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National statistical systems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
National evaluation capacities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Please mention briefly any obstacles in terms of UN agency policies, procedures or practices that in your experience have prevented the UN system from being fully effective in strengthening national capacities or from making more use of national capacities in your country of assignment.

## 22. To what extent has the UN country team studied with the Government how results achieved by UN development assistance in your country are defined, measured and reported on, with a view to ensuring compatibility between the national and UN results-based management (RBM) systems?

- To a great extent
- To some extent
- Not at all

Optional comments:

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### 23. Has the Government expressed interest in receiving support from the UN country team in strengthening national RBM systems?

- Yes, but UNCT has not been able to respond positively
- Yes, and the UNCT has been able to respond positively
- No

Please mention any good practices or challenges encountered in this regard

### 24. Considering the financial management and other capacities available in the country, to what extent do you agree that the UN system is using parallel implementation units (PIUs) as little as possible:

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments: (please mention briefly any good practices or challenges that have been faced in this regard)

## Harmonized Approach to Cash Transfer (HACT) Implementation

### 25. Did your UNCT establish a Harmonized Approach to Cash Transfers (HACT) working group?

- Yes
- No

Optional comments:

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### 26. Did your country conduct a macro-assessment?

Yes

No

Optional comments:

### 27. Did your country complete all micro-assessments?

Yes

No

Optional comments:

## Harmonized Approach to Cash Transfer (HACT) Implementation

### 28. Did your country assume high-risk for implementing partners if no assessment (macro and micro) was conducted?

Yes

No

Optional comments:

### 29. Does your UNCT have a Common Assurance Plan in place?

Yes

No

Optional comments:

## Harmonized Approach to Cash Transfer (HACT) Implementation

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**30. Please explain what has been preventing your UNCT from establishing a Common Assurance Plan.**

### Harmonized Approach to Cash Transfer (HACT) Implementation

**31. Is the implementation of the Common Assurance Plan on track?**

- Yes
- No

### Harmonized Approach to Cash Transfer (HACT) Implementation

**32. Briefly mention the reasons why assurance activities are not on track in your country.**

### South-South Cooperation

**33. To what extent does the current UNDAF or equivalent framework include programming of support to south-south and triangular cooperation?**

- To a great extent
- To some extent
- Not at all

Optional comments: (please use this comment box in case any clarification is needed)

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**34. As far as you know, has the government requested the UN system to support its cooperation with other developing countries?**

- Yes
- No
- Don't know

Optional comments: (please use this comment box in case any clarification is needed)

### South-South Cooperation

**35. Based on what you know, what type of support has been requested?**

**Please select all that apply.**

- Identification of cooperation partners
- Provision of access to knowledge and expertise of other developing countries
- Serving as an administrative agent to manage cooperation projects
- Delivery of cross-border or inter-regional projects or programmes
- Support towards regional integration
- Support for negotiation capacity development
- Capacity building on management of south-south cooperation
- Financial support
- Others (please specify in the comment box below)

Optional comments: (please use this comment box in case any clarification is needed)

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### 36. What have been the main challenges, if any, for the UNCT in providing the requested support for south-south and triangular cooperation?

- Lack of dedicated resources and capacity
- Business rules and procedures
- Nature of the request
- Need for corporate strategy and policy
- Other (please specify in the comment box below)

If you checked any of the items above, please provide a brief explanation:

### Transition from relief to development (recovery)

Please note that the following question is addressed to UNCTs in countries in transition from relief to development only. Please check "not applicable" if you are not located in a transition country.

### 37. Has the UNCT concluded an agreement/arrangement for response to crisis, such as a joint needs assessment, with key partners in the past three years?

- Yes
- No
- Not applicable, since I am not located in a transition country

If you answered 'yes', please include a brief description:

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**38. With reference to the Bretton Woods institutions in particular, please indicate whether any of the following types of cooperation have taken place in the last two years:**

- Joint needs assessment
- Joint planning and results frameworks
- Joint funding mechanisms
- Staff secondment

Optional comments: (please use this box in case any clarification is needed)

### Funding

**39. Does a Common Budgetary Framework (CBF) exist covering the current UNDAF (or equivalent instrument) programming period in your country?**

- Yes
- No

If you do not have a CBF, please state the reasons:

**40. Do you receive information on available and projected financial contributions from agency representatives, when requested?**

- Yes
- No

Please mention briefly any challenges encountered:

### Funding

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**41. Please assess the timeliness and quality of the data received from agency representatives, in terms whether it is adequate to make the CBF a useful and effective instrument:**

- Very adequate
- Somewhat adequate
- Somewhat inadequate
- Very inadequate

Please use this comment box to mention briefly any good practices or challenges encountered.

**42. If you have a CBF, how often is it updated?**

- More than once a year
- Once a year
- Less than once a year
- Not applicable, there is no CBF in the country

Optional comments: (please use this box in case any clarification is needed)

**43. If you have a CBF, is it at all times accessible for the government, development cooperation partners (donors) and other stakeholders?**

- Yes
- No
- Not applicable, since we do not have a CBF

Optional comments: (please use this box in case any clarification is needed)

**Delivering as One (DaO)**



## 2015 QCPR Monitoring Survey of UN Resident Coordinators

### 44. In your opinion, have DaO modalities led to additional funding for your country of assignment?

- Yes
- No
- Not applicable, since we do not have relevant DaO modalities

Optional comments: (please use this comment box in case any clarification is needed)

### 45. The Regional UNDG provides satisfactory support to the UNCT in the implementation of SOPs for countries wishing to adopt the DaO approach:

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments: (please use this comment box in case any clarification is needed)

## Management

### 46. The Resident Coordinator has contributed to the performance appraisal of UNCT members in the past 12 months:

- All of them
- Most of them
- Some of them
- None

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**47. The job descriptions of UNCT members, as heads of agencies, recognize the role of the Resident Coordinator:**

- All of them
- Most of them
- Some of them
- None

**48. Agencies report regularly to the Resident Coordinator on resource mobilization activities and programme implementation performance of UNDAF elements led by the agency:**

- All of them
- Most of them
- Some of them
- None

**49. The performance appraisals of UNCT members, as agency heads, include UNCT results:**

- All of them
- Most of them
- Some of them
- None

**50. Please indicate which of the following positions UNDP has in the country?**

**Please select all that apply.**

- Country Director
- Deputy Resident Representative
- Other second-ranking official, if there is neither a CD nor a DRR in the country

## Management

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### 51. Has the RC/RR signed delegation of authority letters, including for resource mobilization, with the second-ranking UNDP official?

- Yes, with the Country Director
- Yes, with the Deputy Resident Representative
- Yes, with another official (please specify below)
- No

Optional comments: (please use this comment box in case any clarification is needed)

### 52. The RC has been provided with adequate resources (human and financial) for an effective response to national development priorities of the country:

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

### 53. What was the total cash income including funds received through the global arrangements of the RC Office in 2014 expressed in US\$?

- Up to \$50,000
- \$50,000 to \$100,000
- \$100,000 to \$200,000
- \$200,000 to \$300,000
- \$300,000 to 400,000
- Over \$400,000

Other (please specify):

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**54. The agreed QCPR monitoring indicators include in-kind contributions to the RC system (Indicator 67b). With this in mind, please indicate how readily you have been able to access agencies' technical resources:**

- Have accessed the technical resources of all agencies without difficulty
- Have accessed the technical resources of some agencies without difficulty
- Have generally encountered difficulty in accessing agencies' technical resources

Optional comments: (please use this comment box in case any clarification is needed)

**55. To what extent do you agree that the UN system field representatives in general enjoy sufficient delegated authority to respond effectively and efficiently to national needs and priorities?**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree

Optional comments: (please use this comment box in case any clarification is needed)

### Communicating as One

**56. Does the UNCT have a Joint Communications strategy?**

- Yes
- No

If a joint Communications Strategy does not exist, please state the reasons briefly.

### Communicating as One

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**57. In your opinion, to what extent does the strategy promote adequate linkages between the UN's normative and operational activities:**

- To a large extent
- To a moderate extent
- To a small extent
- Not at all

Optional comments: (please use this comment box in case any clarification is needed)

## Regional Issues

**58. Regional UNDG Teams provide effective support on the highest priority regional or sub-regional issues of relevance to the country.**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments:

**59. Regional coordination mechanisms provide effective support on the highest priority regional or sub-regional issues of relevance to the country.**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments:

**60. Regional Commissions provide effective support on the highest priority regional or sub-regional issues of relevance to the country.**

- Strongly agree
- Somewhat agree
- Somewhat disagree
- Strongly disagree
- Don't know

Optional comments:

## 2015 QCPR Monitoring Survey of UN Resident Coordinators

**61. Please describe a best practice and/or innovation in any area of work that you would like to share.**

**In your response, please consider the following:**

**Title:**

**Timeframe [beginning/end]:**

**Entities & Partners involved:**

**A brief description of:**

- the issue that needed to be addressed
- the solution that was developed
- what was put in place/developed/achieved?
- lessons learned

**(It may be useful to draft your response in a Word Document and then copy and paste your response into the text box below)**

**62. Finally, please provide any additional comments you may wish to make on any aspect of implementing the QCPR resolution at country level.**