Summary of 2016 National Reports
on the implementation of the Programme of Action on small arms
and the International Tracing Instrument

- 73 reports received by UNODA as of 2 June 2016
- Only yes-no answers are tallied
- Data include information from non-standardized forms

Section 1: Points of contact

National Coordination Agency
1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?

National Point of Contact
2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?

3. Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?

4. If the answer to Question 2 is ‘no’, does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?

Section 2: Manufacture

Laws, regulations and administrative procedures
5. Are there any SALW manufactured in your country?

6. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?

7. Does your country license the manufacture of SALW?

8. Is illegal manufacture of SALW considered a criminal offence in your country?

Marking at manufacture
9. Does your country require that SALW be marked at the time of manufacture?

10. What information is included in the marking (check relevant boxes)?

- a) Name of the manufacturer
- b) Country of manufacture
- c) Serial number
- d) Year of manufacture
- e) Weapon type/model
- f) Caliber
- g) Other
11. Are there exceptions to the requirement to mark SALW at the time of manufacture?

Record keeping by manufacturers
12. Does your country require that manufacturers keep records of their activities?

13. What information must be recorded (check relevant boxes)?

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<thead>
<tr>
<th></th>
<th>0</th>
<th>20</th>
<th>10</th>
<th>60</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Quantity of SALW</td>
<td>16</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) Model of SALW</td>
<td>4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c) Markings applied to</td>
<td>3</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d) Transactions</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e) Other</td>
<td>20</td>
<td></td>
<td></td>
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</tbody>
</table>

14. How long must manufacturing records be kept?

<table>
<thead>
<tr>
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<th>0</th>
<th>10</th>
<th>20</th>
<th>30</th>
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</thead>
<tbody>
<tr>
<td>a) Indefinitely</td>
<td>34</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) 10 years</td>
<td>7</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c) Other</td>
<td>20</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Actions taken during the reporting period
15. During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. prosecution)?

International assistance
16. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?

17. Has your country developed a project proposal for assistance?

Section 3: International Transfers

Laws, regulations and administrative procedures
18. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

Licensing and authorisation
19. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?

20. Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?

21. An end-user certificate (EUC) from the importing country.

22. What elements does an end-user certificate in your country contain (check relevant boxes)

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<thead>
<tr>
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<th>0</th>
<th>20</th>
<th>40</th>
<th>60</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Detailed description (type, quantity and characteristics) of</td>
<td>50</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) Contract number or other reference and date</td>
<td>15</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c) Final destination country</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d) Description of the end use of the SALW</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e) Exporter's data (name, address and business name)</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f) End-user information (name, position, full address)</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>g) Information on the parties involved in the transaction</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>h) Certification by the relevant government</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i) Use of issue</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>j) Other</td>
<td>45</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

23. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?
24. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?

25. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?

26. After exporting, does your country verify or seek to authenticate DVCs provided?

27. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?

Record keeping

22. Does your country require that exporters and importers of SALW keep records of their activities?

33. What information must be recorded (check relevant boxes)?

34. How long must records of transfers be kept?

Marking at import

28. Does your country require that SALW imported into your country be marked at the time of import?

29. What information is included in the marking on import (check relevant boxes)?

30. Are there exceptions to the requirement to mark imported SALW?

31. If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking?

Actions taken during the reporting period

35. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?

36. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

37. Has your country developed a project proposal for assistance?
**Section 4: Brokering**

**Laws, regulations and administrative procedures**

38. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW? [if no, go to 8]

39. Does your country require registration of SALW brokers?

40. Does your country require a licence, permit or other authorisation for each brokering transaction?

41. Does your country regulate activities that are closely associated with the brokering of SALW?

42. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?

<table>
<thead>
<tr>
<th>Activity</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Acting as broker</td>
<td>32%</td>
</tr>
<tr>
<td>b) Preparing technical information</td>
<td>18%</td>
</tr>
<tr>
<td>c) Training</td>
<td>27%</td>
</tr>
<tr>
<td>d) Transport</td>
<td>25%</td>
</tr>
<tr>
<td>e) Logistics / warehousing</td>
<td>12%</td>
</tr>
<tr>
<td>f) Storage</td>
<td>10%</td>
</tr>
<tr>
<td>g) Insurance</td>
<td>22%</td>
</tr>
<tr>
<td>h) Maintenance</td>
<td>17%</td>
</tr>
<tr>
<td>i) Security</td>
<td>9%</td>
</tr>
<tr>
<td>k) Other services</td>
<td>3%</td>
</tr>
</tbody>
</table>

43. During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g., prosecution)?

44. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering?

45. Has your country developed a project proposal for assistance?

**Section 5: Stockpile management**

**Laws, regulations and administrative procedures**

46. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?

47. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?

<table>
<thead>
<tr>
<th>Provision</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Appropriate locations for stocks</td>
<td>67%</td>
</tr>
<tr>
<td>b) Physical security measures</td>
<td>67%</td>
</tr>
<tr>
<td>c) Control and access to stocks</td>
<td>67%</td>
</tr>
<tr>
<td>d) Inventory management and accounting control</td>
<td>67%</td>
</tr>
<tr>
<td>e) Staff training</td>
<td>65%</td>
</tr>
<tr>
<td>f) Security, accounting and control of SALW</td>
<td>67%</td>
</tr>
<tr>
<td>g) Procedures and sanctions in the event of theft or loss</td>
<td>66%</td>
</tr>
<tr>
<td>h) Other</td>
<td>11%</td>
</tr>
</tbody>
</table>

48. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?

<table>
<thead>
<tr>
<th>Action</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Officially declare as surplus</td>
<td>42%</td>
</tr>
<tr>
<td>b) Take out of service</td>
<td>45%</td>
</tr>
<tr>
<td>c) Record by type, bx, batch, and lot number</td>
<td>47%</td>
</tr>
<tr>
<td>d) Store separately</td>
<td>44%</td>
</tr>
<tr>
<td>e) Other</td>
<td>16%</td>
</tr>
</tbody>
</table>
49. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?

- a) Destruction
- b) Sale to another State
- c) Donated to another State
- d) Transfer to another state agency
- e) Sale to civilians
- f) Sale or transfer to legal entities
- g) Other

![Chart showing distribution of methods used for surplus stocks disposal]

50. During the reporting period, has your country destroyed surplus stocks?

- Yes: 21
- No: 42
- Developing: 9
- NA: 0

51. How many SALW were destroyed?

Total reported: 277,133

52. Does your country wish to request assistance in developing standards and procedures on stockpile management?

- Yes: 28
- No: 44
- Developing: 1
- NA: 0

53. Has your country developed a project proposal for assistance?

- Yes: 30
- No: 39
- Developing: 3
- NA: 0

54. Does your country wish to request assistance in developing capacity for the destruction of weapons?

- Yes: 19
- No: 42
- Developing: 11
- NA: 0

55. Has your country developed a project proposal for assistance?

- Yes: 8
- No: 45
- Developing: 19
- NA: 0

Section 6: Collection

56. During the reporting period, did your country collect any SALW?

- Total reported: 170,477

57. How many SALW were collected?

Total reported: 170,477

58. What action was taken with respect to the SALW collected (check relevant boxes)?

- a) Seized: 31
- c) Registered or accounted for: 24
- d) Destroyed: 23
- e) Other: 7

International assistance

59. Does your country wish to request assistance in building capacity for collection of the illicit SALW?

- Yes: 27
- No: 44
- Developing: 1
- NA: 0

60. Has your country developed a project proposal for assistance?

- Yes: 19
- No: 45
- Developing: 8
- NA: 0
Section 7: Marking and record keeping

Marking

61. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?

62. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?

63. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?

Record keeping

64. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?

65. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?

International assistance

66. Does your country wish to request assistance in building capacity for record keeping?

67. Has your country developed a project proposal for assistance?

Section 8: International tracing

Laws, regulations and administrative procedures

68. Does your country have procedures in place to trace SALW?

Tracing requests

69. What information does the designated agency include in a tracing request? (check relevant boxes)

Cooperation with INTERPOL

70. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?

International assistance

71. Does your country wish to request assistance in developing procedures to trace SALW?

72. Has your country developed a project proposal for assistance?
Section 9: International cooperation & assistance
Assistance requested/received/provided

73. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?

74. If so, in what areas (check relevant boxes)?

<table>
<thead>
<tr>
<th>Area</th>
<th>Yes</th>
<th>No</th>
<th>Developing</th>
<th>NA</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Establishing/designing National Coordination</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b) Disarmament, demobilization and rehabilitation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c) Capacity building and training on NAI W issues</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d) Law enforcement</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e) Customs and borders</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f) Action-oriented research</td>
<td></td>
<td></td>
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<tr>
<td>g) Child/Youth</td>
<td></td>
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<td></td>
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<tr>
<td>h) Awareness-raising</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i) Organized crime, drug trafficking and terrorism</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>j) Other</td>
<td></td>
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</tbody>
</table>

- Total Yes: 36
- Total No: 3
- Total Developing: 33