1540 COMMITTEE MATRIX OF VANUATU

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Vanuatu
Date of Report: 22 February 2007

Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
Nuclear Non- 1 Proliferation Treaty (NPT)	X	Deposit 24 August 1995	
Nuclear Weapons Free Zone/ Protocol(s)	Х	Treaty of Rarotonga, 9 February 1996	
Convention for the			
3 Suppression of Acts of			
Nuclear Terrorism			
Convention on Physical			
4 Protection of Nuclear			
Material (CPPNM)			

5	2005 Amendment to the CPPNM			
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Deposit 16 September 2005	
/	Chemical Weapons Convention (CWC)	Х	Deposit 16 September 2005	
8	Biological Weapons Convention (BWC)	Х	Deposit 12 October 1990	
9	Geneva Protocol of 1925			
10	Conventions/Treaties	х	State party to 10 of 19 universal legal instruments against terrorism including the following relevant to resolution 1540 (2004): 1999 International Convention for the Suppression of the Financing of Terrorism 2005 Protocol to the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation 2005 Protocol to the Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf State Party to Waigani Convention (Convention to Ban the Importation into Forum Island Countries of Hazardous and Radioactive Wastes and to Control the Trans-boundary Movement and Management of Hazardous Wastes within the South Pacific Region)	
	International Atomic Energy Agency (IAEA)	?	2014 (membership has been approved by the IAEA General Conference and will take effect once the State deposits the necessary legal instruments with the IAEA)	
12	Hague Code of Conduct (HCOC)	Х	Subscribed 4 December 2002	
13	Other Arrangements	Х	PSI: participating state	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation			
16	General statement on non-provision of WMD and related materials to non-State actors	Х	State reported that it withholds any support to State or non-State actors, attempting to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery	
17	Other ¹	х	Member WCO Member WHO Member OIE Member IMO Member Oceania Customs Organisation	

	Member of PIF	
	Member of Asia/Pacific Group of FATF	
	Member (Cotonou Agreement)	

^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons					<u>N</u> ational legal framework		<u>E</u> n	forc	ement: civil/criminal penalties and others	
or	entities to engage in one the following activities?		YES		if YES, source document of national	YES			if YES, source document	Remarks
	Can violators be penalized ?		C W	B W	implementation law	N W	C W			
					NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 5, (Preparatory act)				NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5,32(nuclear material) and 33F: (Preparatory act & alter) Penal Code as amended, Section 73 D	
1	manufacture/produce	x	х	?	NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections, 32 (nuclear material- alter) and 33F (make radioactive device) South Pacific Nuclear-Free Zone Treaty (Ratification) Act of 1995 Treaty on the Non-Proliferation of Nuclear Weapons (Ratification) Act of 1995	x	x	?	NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections, 32 (nuclear material - alter) and 33F (make radioactive device)	
					CW: Firearms Act 1987, Section 8				CW: Firearms Act 1987, Section 40	
					NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5				NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5 Penal Code as amended, Section 73 D	
2	acquire	X	X	?	NW: Counter Terrorism and Transnational Organized Crimes Act, as amended, Section 32 Treaty on the Non-Proliferation of Nuclear Weapons (Ratification) Act of 1995 South Pacific Nuclear-Free Zone Treaty (Ratification) Act of 1995	х	x	?	NW: Counter Terrorism and Transnational Organized Crimes Act, as amended, Section 32	

					CW: Firearms Act 1987, Section 8				CW: Firearms Act 1987, Section 40	
					NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5				NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act (Act. No. 29 of 2005), Sections 5 Penal Code as amended, Section 73 D	
3	possess	x	x	?	NW: Counter Terrorism and Transnational Organized Crimes Act, as amended, Section 32 and 33F Treaty on the Non-Proliferation of Nuclear Weapons (Ratification) Act of 1995 South Pacific Nuclear-Free Zone Treaty (Ratification) Act of 1995	x	x	?	NW: Counter Terrorism and Transnational Organized Crimes Act, as amended, Section 32 and 33F	
					CW: Firearms Act 1987, Section 8				CW: Firearms Act 1987, Section 40	
4	stockpile/store	?	?	?	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5 and 32	?	?	2	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5 and 32	
5	develop	?	?	?	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5 and 32	?	?	2	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5 and 32	
6	transport	х	х	х	NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 31 (nuclear material), 33B	Х	Х		NW: Counter Terrorism and Transnational Organized Crime Act,, as amended, Sections 31 (nuclear material) Penal Code as amended, Section 73 D	
					CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 32B				CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 32B	
7	transfer	Χ	Х	Х	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 2,	Х	Χ	Χ	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 2,	

					3, 5 and 10 (to be read in conjunction- preparatory action, providing weapons) CW: Firearms Act 1987, Section 8				3, 5 and 10 (to be read in conjunction- preparatory action, providing weapons) Penal Code as amended, Section 73 D CW: Firearms Act 1987, Section 40	
8	use	х	х	х	NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 2, 3, 5, 27,32 and 33B&F South Pacific Nuclear-Free Zone Treaty (Ratification) Act of 1995, Section 6: testing Firearms Act No. 7 of 1987, Art. 5 CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 2, 3,5, 27 and 33B	х	x	x	NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5, 27, 32 and 33B&F Penal Code as amended, Section 73 D CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 5, 27 and 33B Penal Code as amended, Section 73 D	
9	participate as an accomplice in abovementioned activities	х	х	х	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 7,10 and 10A Penal Code as amended, Section 30 - 33,	х	х	X	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 7, 10 and 10A Penal Code as amended, Section 73 D,	
10	assist in abovementioned activities	х	Х	х	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 7, 10 and 10A Penal Code as amended, Section 30 – 34	Х	х		NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 7, 10 and 10A Penal Code as amended, Section 73 D	
11	finance abovementioned activities	х	X	х	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 6 Financial Transactions Reporting Act as amended, Section 5 A	X	x	X	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Section 6 Financial Transactions Reporting Act as amended Section 5 A Financial Intelligence Unit- Financial Transactions Reporting Act, as amended, Section 12	

12	abovementioned activities related to means of delivery ²		?	CW: Firearms Act, Section 8		?		CW: Firearms Act, Sections 40
13	involvement of non- State actors in abovementioned activities	х	х	NW/ CW/ BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 3 and 5	х	Х	х	NW/CW/BW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 3 and 5 Penal Code (Amendment) Act No.17 of 2003, Section 73 B
14	Other	х	х	NW/CW/BW: United Nations Act 2002	x	х	Х	NW/CW/BW: United Nations Act 2002 Penal Code, Section 4: Extraterritorial application if offence abroad as well

^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

m	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?				<u>N</u> ational legal framework		<u>E</u> n	forc	ement: civil/criminal penalties and others	
			YES				YES			Remarks
BW			C W	B W*	if YES, source document	N W	C W	B W	if YES, source document	
1	Measures to account for production	X			NW: INFCIRC/852 and SQP (31 may 2013)					
2	Measures to account for use	х			NW: INFCIRC/852					
3	Measures to account for storage	Х			NW: INFCIRC/852					
4	Measures to account for transport									
5	Other measures for accounting		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 15		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 36	
6	Measures to secure production		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 8		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Sections 32 and 36	
7	Measures to secure use		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 8		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Sections 32 and 36	
8	Measures to secure storage		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 25 and 28		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Sections 32 and 36	
9	Measures to secure transport		?	?	CW/BW: Pesticides Control Act, No. 11 of 1993, Section 8	?	?	?	NW/CW/BW: Ports Act of 1988, Section 17 Shipping Act, Sections 35-36: Applies to IMDG Code	

							CW/BW: Pesticides Control Act, No. 11 of 1993, Sections 32 and 36	
1 10	Other measures for securing		X	BW: Animal Importation and Quarantine Act No. 7 of 1988, Sections 16-19		Х	BW: Animal Importation and Quarantine Act No. 7 of 1988, Sections 20	
11	Regulations for physical protection of facilities/materials/transports							
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	?	7	CW:BW: Pesticides Control Act, No. 11 of 1993, Section 8-14	?	?	CW:BW: Pesticides Control Act, No. 11 of 1993, Sections 32 and 36	
1.5	Reliability check of personnel							
14	Measures to account for/secure/ physically protect means of delivery							

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1 National regulatory authority					
2 IAEA Safeguards Agreements	Х	INFCIRC/852 and SQP (31 may 2013)	Х	INFCIRC/852 and SQP (31 may 2013)	
IAEA Code of Conduct on 3 Safety and Security of Radioactive Sources					
Supplementary Guidance on the Import and Export of 4 Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources					
IAEA Incident and Trafficking Database of Nuclear Materials and other Radioactive Sources Other Agreements					
related to IAEA Additional national legislation/regulations related to nuclear materials including CPPNM					
8 Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the formeasures, proce	dures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others		
legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?		YES	if YES, source document	YES	if YES, source document	Remarks	
1 National CWC	authority	Х	CWC Ratification Act 2005		Department of Foreign Affairs, International Cooperation and External Trade		
Reporting School 2 and III chemical OPCW							
Account for, so 3 physically prot chemical weap	tect "old						
Other legislations co chemical mate	ntrolling						
5 Other		Х	United Nations Act 2002	Х	United Nations Act 2002		

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ment: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1 Regulations for genetic engineering work					
Other legislation/ regulations related to safety and security of biological materials	Х	Plant Protection Act No. 14 of 1997	х	Plant Protection Act No. 14 of 1997	
3 Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		<u>N</u> ational legal framework					eme	ent: civil/criminal penalties, and measures of implementation, etc	
		YES	;		YES				Remarks
		c W	B W				B W	if YES, source document	
1 Border control	x	x	x	NW/CW/BW: Customs Act, No.7 of 2013, as amended, Sections 14, 15, 26-28, 30 and 31 BW: Animal Importation and Quarantine Act No. 7 of 1988, Sections 7-9 Import of Plants Act, Sections 1-3 and 8 Quarantine Act, Section 6 and 23	x	×	X	NW/CW/BW: Customs Act, No. 7 of 2013, as amended, Part 13, Sections 160-178 BW: Import of Plants Act, Sections 7 Quarantine Act, Section 40 Animal Importation and Quarantine Act No. 7 of 1988, Section 20	
Technical support of border control measures					?	?		NW/CW/ BW: ASYCUDA ++	
Control of brokering, trading in, negotiating, 3 otherwise assisting in sale of goods and technology									
Enforcement agencies/authorities					x	x	X	NW/CW/BW: Customs Department Police Immigration Service Quarantine Service Air and Sea Port Authorities Combined Law Enforcement Intelligence Team (CLEIT) Pacific Transnational Crime Coordination Centre (PTCCC)	
5 Export control legislation in place	Х	?	х	NW/CW/BW Customs Act, No. 7 of 2013, as amended,	Х	?	X	NW/CW/BW: Customs Act, No. 7 of 2013, as amended,	

			Sections 61-64, 66-68		Sections 68
			NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 31-32 BW:		NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 31-32 BW:
			Plant protection Act No. 14 of 1997, Sections 5, 6 and 22		Plant protection Act No. 14 of 1997, Section 23
6	Licensing provisions				
7	Individual licensing				
8	General licensing				
9	Exceptions from licensing				
10	Licensing of deemed export/visa				
11	National licensing authority				
12	Interagency review for licenses				
13	Control lists				
14	Updating of lists				
15	Inclusion of technologies				
	Inclusion of means of delivery				
17	End-user controls				
18	Catch all clause				
19	Intangible transfers				
20	Transit control				
21	Trans-shipment control				

22	Re-export control								
23	Control of providing funds								
24	Control of providing transport services								
25	Control of importation	X	x	x	NW/CW/BW: Customs Act, No. 7 of 2013, as amended, Sections 51-60, 65, 67-69 and 70 NW Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 31-32 CW: Firearms Act, Sections 7, 14-19, 22 and 23 BW: Animal Importation and Quarantine Act, Sections 2, 16, 18 and 19: (Includes biological products as well as animals) Import of Plants Act, Sections 1-3 and 8	X	x	x	NW/CW/BW: Customs Act, No. 7 of 2013, as amended, Sections 68 and 69 NW: Counter Terrorism and Transnational Organized Crime Act, as amended, Sections 31-32 CW: Firearms Act, Sections 40 BW: Animal Importation and Quarantine Act, Sections 8 – 10 and 20: (Includes biological products as well as animals) Import of Goods (Control) Act, Sections 4-5 Import of Plants Act, Sections 4-7 Quarantine Act, Section 40
26	Extraterritorial applicability	Х	х	х	NW/CW/BW: Penal Code, Section 4: Extraterritorial application	Х	х		NW/CW/BW: Penal Code, Section 4: Extraterritorial application
27	Other	x	×	х	NW/CW/BW: Waigani Convention NW/CW: United Nations Act of 2002 NW: South Pacific Nuclear-Free Zone Treaty (Ratification) Act of 1995, Article 4: (Not to supply source material to non-nuclear weapon or nuclear weapon States unless under IAEA safeguards)	х	x		NW/ CW/ BW: United Nations Act of 2002 NW: Shipping Act, Chapter 53: Dangerous Goods

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues ?	YES		Remarks
Control lists - items 1 (goods/ equipment/ materials/ technologies)			
2 Control lists - other			
3 Assistance offered			
4 Assistance requested	Х	The government of Vanuatu would welcome any technical assistance available to assist it fully implement the requirements of UNSCR 1540, particularly in developing enhanced operational capability within Police and border security agencies and the practical implementation of obligations relating to national control lists.	
5 Point of Contact for assistance			
Assistance in place (bilateral/multilateral)	Х	The OPCW-OCO Implementation Support Branch, International Cooperation and Assistance Division, organized dedicated sub-regional training course for customs Legal authorities and representatives of CWC National Authorities from Pacific Island States, including Solomon Islands and Timor Leste, on Technical Aspects of the Transfers' regime of the CWC, at Brisbane, Australia Nadi, Fiji, 30 June- 2 July 2010 20 – 24 May 2014	
7 Work with and inform industry			
Work with and inform the public			
9 Point of Contact			
10 Other ⁴			

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.