

1540 COMMITTEE MATRIX OF UZBEKISTAN

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: **UZBEKISTAN**
 Date of Report: **15 November 2004**
 Date of First Addendum: **28 December 2007**
 Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit -7 May 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)	X	Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ), deposit 10 May 2007	
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposit 29 April 2008	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 9 February 1998	

5	2005 Amendment to the CPPNM	X	Deposit 7 February 2013	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 29 May 1997	
7	Chemical Weapons Convention (CWC)	X	Deposit 23 July 1996	
8	Biological Weapons Convention (BWC)	X	Deposit 26 January 1996	
9	Geneva Protocol of 1925			
10	Other Conventions/Treaties	X	State party to 14 of 19 universal legal Instruments against terrorism including the following relevant to resolution 1540: - International Convention for the Suppression of Terrorist Bombings, since 30 November 1998 - International Convention for the Suppression of the Financing of Terrorism, since 9 July 2001	
11	International Atomic Energy Agency (IAEA)	X	Since 1994	
12	Hague Code of Conduct (HCOC)	X	Subscribed	
13	Other Arrangements	X	1. Agreement of the Commonwealth of Independent States on coordination of issues related to the export control of raw materials, materials, equipment, technology and services, etc of 26 June 1992 2. Agreement on export control procedures of States members of the Eurasian Economic Community of 28 October 2003 3. Agreement between the States Members of CIS on Chemical Weapons of 15 May 1992 4. Agreement on a Single procedure for Export Control by the States Members of the Eurasian Economic Community of 28 October 2003 5. Agreement between Member States of the Shanghai Cooperation Organisation on the establishment of the Regional Antiterrorist Body of 5 September 2003 6. Proliferation Security Initiative (PSI) 7. Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation			
16	General statement on non-provision of WMD and related materials to non-State actors			

17	Other ¹	X	<p>Member of:</p> <p>Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG)</p> <p>World Customs Organization (WCO)</p> <p>INTERPOL</p> <p>World Health Organization (WHO)</p> <p>Organisation for Animal Health (OIE)</p> <p>The Shanghai Cooperation Organisation (SCO)</p> <p>Commonwealth of Independent States (CIS)</p> <p>Eurasian Customs Union</p>	
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1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 Law No. 82-II on the Entry of Amendments and Additions to Some Legislative Norms of the Republic of Uzbekistan, 26 May 2000	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	
2	acquire	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Article 247	
3	possess	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Article 254	
4	stockpile/store	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Article 254	
5	develop	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	
6	transport	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Articles 255-1, 155 Law 167-II on Combating Terrorism of 15 December 2000, Art 12	

7	transfer	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Articles 255-1, 155 Law 167-II on Combating Terrorism of 15 December 2000, Art 12	
8	use	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1 NW: Article 254	
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Arts. 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Arts. 28, 29, 30	
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Arts. 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Arts. 28, 29, 30 and 155	
11	finance abovementioned activities	X	X	X	NW/CW/BW: Criminal Code Arts. 28, 29 and 30	X	X	X	NW/CW/BW: Criminal Code, Arts. 28, 29, 30 and 155 The Financial Intelligence Unit in place 04.2009	
12	abovementioned activities related to means of delivery ²	?	X	X	NW/CW/BW: Law on Transit of special goods and Military contingents No. 213-II, dated 11.05.2001	?	X	X	CW, BW: Criminal Code, Article 255-1	
13	involvement of non- State actors in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	X	X	X	NW/CW/BW: Criminal Code, Article 255-1	
14	Other									

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X			NW: INFCIRC/508		?		CW: Criminal Code Art. 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
2	Measures to account for use	X			NW: INFCIRC/508		?		CW: Criminal Code Art. 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
3	Measures to account for storage	X			NW: INFCIRC/508		?		CW: Criminal Code Art. 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
4	Measures to account for transport						?		CW: Criminal Code Art. 201 (Violation of Safety Rules of Handling of Hazardous Chemical Substances)	
5	Other measures for accounting									
6	Measures to secure production	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ)					
7	Measures to secure use	X			NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ)	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	

				Act 120-II of 31 August 2000 on radiation safety Arts 8, 22					
8	Measures to secure storage	X		NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ) Act 120-II of 31 August 2000 on radiation safety, Art 8,22	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	
9	Measures to secure transport	X		NW: Law 80 of 2 April 2007 on the ratification of the Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ) Act 120-II of 31 August 2000 on radiation safety Act on external economic activities, 2002	X			NW: 1. Criminal Code, Articles 252 to 254 2. State Inspection Agency Sanoatkontekhnazorat	
10	Other measures for securing		X	CW: Criminal Code, Article 251: unlawful acquisition of poisonous substances		X		CW: Criminal Code, Article 251: unlawful acquisition of poisonous substances	
11	Regulations for physical protection of facilities/materials/ transports								
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X		NW: 1. Act 71-II of 25 May 2000 on the licensing of specific types of activities 2. Decree No. 111 of the Cabinet of Ministers of 6 March 2004	X	X	X	NW: Criminal Code, Arts. 190 and 255 CW, BW: Criminal Code, Art. 190	
13	Reliability check of personnel								
14	Measures to account for/secure/ physically protect means of delivery								

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Act 120-II of 31 August 2000 on radiation safety, Art 22	X	State Inspection Agency Sanoatkontekhnazorat	
2	IAEA Safeguards Agreements	X	INFCIRC/508, 8 October 1994 Additional Protocol, 21 December 1998	X	INFCIRC/508, 8 October 1994 Additional Protocol, 21 December 1998	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support	X	Expressed support	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Expressed support	X	Expressed support	
5	IAEA incident and Trafficking Database	X	Participates	X	Participates	
6	Other Agreements related to IAEA	X	1. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, in force 2009-04-19 2. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA (RSA), in force 1994-06-20	X	1. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, in force 2009-04-19 2. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA (RSA), in force 1994-06-20	

7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Decree 287 of the Cabinet of Ministers of 6 June 1997	X	Commission of the Cabinet of Ministers of the Republic of Uzbekistan for the Implementation of the Convention for the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on their Destruction	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Reported	X	Reported	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work					
2	Other legislation/ regulations related to safety and security of biological materials	X	Law of 14 December 1998 on the establishment of an Uzbek National Authority for the implementation of the Biological Weapons Convention National law on State Sanitary Control No 657-XII, dated 03.07.1992	X	Commission of the Cabinet of Ministers on the implementation of the Convention on the prohibition of Biological and Toxin weapons	
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	NW: Customs Code of Uzbekistan of 1998	X	X	X	NW/CW/BW: State Border Guards Committee of Uzbekistan Criminal Code, Articles 182 and 246	
2	Technical support of border control measures	X			Customs Decision no 240 of 2 December 2006 approved instruction on radiological security	X			Check points are equipped with radiological monitors “Yantar” type and video cameras	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	?	?	?	NW/CW/BW: Act on external economic activities President Decree No. 136 dated 26.08.2005 Presidential Decree no UP-1871 of 10.10 1997 on additional measures to facilitate export of specific goods is to be updated.					
4	Enforcement agencies/authorities	X	X	X	NW/CW/BW: Law 167-II on Combating Terrorism of 15 December 2000, Art 12	X	X	X	NW/CW/BW: 1. State Border Guards Committee 2.State Customs Committee	
5	Export control legislation in place	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree on the introduction of amendments and supplements to Law "On foreign economic activity of the Republic of	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246	

					Uzbekistan" 14June 1999 Art 17, 22 (the establishment of export control over goods, equipment, scientific and technical documentation, works and services, results of intellectual activity (specified in the lists of export control objects), which in view of inherent in their characteristics and features can to contribute significantly to creation of weapons of mass destruction (nuclear, chemical, bacteriological (biological) and toxin weapons), its delivery system (rockets and other hardware that capable of delivering of weapons of mass destruction), other kinds of arms and defence technology;					
6	Licensing provisions	X	X	X	NW/CW/BW: Law "On foreign economic activity of the Republic of Uzbekistan" 14June 1999 Governmental Decree on the introduction of amendments and supplements to the Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14 June 1999 Art 21	X	X	X	NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14June 1999 Art 21	
7	Individual licensing	X	X	X	NW: Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation National law on Export Control No. 658-II dated 26.08.2004	X	X	X	NW/CW/BW: Ministry of foreign economic relations, investment and trade NW: Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation	
8	General licensing	X	X	X	NW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation	X	X	X	NW: Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation	
9	Exceptions from licensing									

10	Licensing of deemed export/visa								
11	National licensing authority	X	X	X	NW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation	X	X	X	NW: Ministry of foreign economic relations, investment and trade Commission of the cabinet of Ministers on the licensing of activities in the field of traffic of sources of ionizing radiation
12	Interagency review for licenses	X	X	X	NW: National law on Export Control No. 658-II dated 26.08.2004 Governmental Decree 111 of 6 March 2004 on regulations of licensing of activities in the field of traffic of sources of ionizing radiation	X	X	X	NW: Commission of the cabinet of Ministers on the licensing of activities in the field of traffic of sources of ionizing radiation
13	Control lists	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004	X	X	X	NW/CW/BW: Ministry of foreign economic relations, investment and trade
14	Updating of lists	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004	X	X	X	NW/CW/BW: Ministry of foreign economic relations, investment and trade
15	Inclusion of technologies	X	X	X	NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14June 1999 Art 22				
16	Inclusion of means of delivery	X	X	X	NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14June 1999 Art 22				
17	End-user controls	X	X	X	NW/CW/BW: National law on Export Control No. 658-II dated 26.08.2004	X	X	X	NW/CW/BW: Ministry of foreign economic relations, investment and trade
18	Catch all clause								

19	Intangible transfers								
20	Transit control	X	X	X	NW/CW/BW: Governmental Decree on State Regulation and Control of the Transit of Special goods No. 62 dated 21.02.2002	X	X	X	NW/CW/BW: Criminal Code, Articles 182 and 246
21	Trans-shipment control								
22	Re-export control								
23	Control of providing funds								
24	Control of providing transport services								
25	Control of importation	X	X	X	NW/CW/BW: Governmental Decree on the introduction of amendments and supplements to Law of the Republic of Uzbekistan "On foreign economic activity of the Republic of Uzbekistan" 14June 1999, Art 27	X	X	X	NW/CW/BW: Criminal Code, Article 246
26	Extraterritorial applicability	X	X	X	NW/CW/BW: Criminal Code, Arts. 11 and 12	X	X	X	NW/CW/BW: Criminal Code, Arts. 11 and 12
27	Other								

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered	X	Uzbekistan is ready to provide consultative assistance in establishment of export control legislation	
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)	X	<p>Eligible for CBRN Risk Mitigation Regional CoE assistance activities under Project Nr. 5 ("Knowledge development and transfer of best practice on CBRN import/export monitoring"), US: Cooperative Biological Engagement Programme under the DoD Cooperation Threat Reduction Programme (Source: G-8 GP 2012 Report)</p> <p>US: supporting efforts to help enhance the security of collections of dangerous pathogens and toxins / development of biosafety/biosecurity infrastructure and capabilities under the Non-proliferation and Disarmament Fund (Source: G-8 GP 2012 Report)</p> <ul style="list-style-type: none"> • US assistance under the Second Line of Defence Programme and under the EXBS Programme for "other FSU" States (Source: 2012 G-8-GP report) 	
7	Work with and inform industry			
8	Work with and inform the public			
9	Point of Contact			
10	Other ⁴			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.