

# 1540 COMMITTEE MATRIX OF UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND (UK)

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

## OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:	UK
Date of Report:	28 September 2004
Date of First Addendum:	19 September 2005
Date of Second Addendum:	14 December 2007
Date of Third Addendum:	13 December 2013
Date of Committee Approval:	

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Ratified 27 November 1968	
2	Nuclear Weapons Free Zone/ Protocol(s)	X	Ratified Treaty of Tlatelolco 19 December 1969 Ratified Treaty of Rarotonga 19 September 1997 Ratified Treaty of Pelindaba 12 March 2001	

3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Ratified 24 September 2009	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Ratified 6 September 1991	
5	2005 Amendment to the CPPNM	X	Ratified 8 April 2010	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Ratified 5 April 1998	
7	Chemical Weapons Convention (CWC)	X	Ratified 13 May 1996	
8	Biological Weapons Convention (BWC)	X	Ratified 26 March 1975	
9	Geneva Protocol of 1925	X	Ratified 9 April 1930	
10	Other Conventions/Treaties	X	State Party to 14 of the 19 legal instruments against terrorism, including the following relevant to resolution 1540 (2004): International Convention for the Suppression of Terrorist Bombings (07/03/2001): International Convention for the Suppression of the Financing of Terrorism (07/03/2001). International Convention for the Suppression of Acts of Nuclear Terrorism (24/9/2009) Protocol to the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation ((3/5/91) Protocol to the Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf (1/3/92) Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation  EURATOM Treaty	
11	International Atomic Energy Agency (IAEA)	X	Since 1957	
12	Hague Code of Conduct (HCOC)	X	Subscribing State since 25 November 2002	
13	Other Arrangements	X	Nuclear Suppliers Group (NSG) Australia Group (AG) Missile Technology Control Regime (MTCR) Zangger Committee (ZC) Wassenaar Arrangement (WA) Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT)	

14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	X	State reports having a wide range of legislative measures in place to prevent the proliferation of nuclear, chemical and biological weapons, as well as their means of delivery, including by non-State actors; does not provide any form of support to non-State actors European Council Strategy to prevent Proliferation of WMD; fully compliant with commitments under key multilateral non-proliferation treaties and disarmament conventions	
16	General statement on non-provision of WMD and related materials to non-State actors	X	State reports that its National Security Strategy identified as a priority risk: International terrorism affecting the UK or its interests, including a chemical, biological, radiological or nuclear attack by terrorists. The UK National Counter Proliferation Strategy sets the framework for cross-government action to mitigate this risk. Agreed by the National Security Council, it has among its key objectives to deny terrorists the materials and expertise to make and use weapons of mass destruction;	
17	Other <sup>1</sup>	X	The EU model non-proliferation clause is included in EU-third country mixed agreements Revised Cotonou Partnership Agreement (the CPA II) Member of OIE, WHO, WCO, INTERPOL, IMO, FATF, EU, OSCE	

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

## OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities Can violators be penalized		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.  <b>CW:</b> Chemical Weapons Act (1996), Art.2: Use etc. of chemical weapons. No person shall— Use a chemical weapon; . Develop or produce a chemical weapon; . Have a chemical weapon in his possession; . Participate in the transfer of a chemical weapon; . Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon.  <b>BW:</b> Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop, produce, stockpile, acquire or retain—. Any biological agent or toxin of a type and in a	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)  <b>CW:</b> Chemical Weapons Act (1996), Art.2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)  <b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)	

					quantity that has no justification for prophylactic, protective or other peaceful purposes; or. Any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)					
2	acquire	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or participates in the development or production of: Nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.  <b>CW:</b> Chemical Weapons Act (1996), Art.2: Use etc. of chemical weapons. No person shall— Use a chemical weapon; . Develop or produce a chemical weapon; . Have a chemical weapon in his possession; . Participate in the transfer of a chemical weapon;. Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon.  <b>BW:</b> Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1: No person shall develop, produce, stockpile, acquire or retain—. Any biological agent or toxin of a type and in a quantity that has no justification for prophylactic, protective or other peaceful purposes; or.	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)  <b>CW:</b> Chemical Weapons Act (1996), Art.2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)  <b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)	

				Any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)					
3	possess	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2: Use etc. of chemical weapons. No person shall— Use a chemical weapon; . Develop or produce a chemical weapon; . Have a chemical weapon in his possession; . Participate in the transfer of a chemical weapon; . Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon.</p> <p><b>BW:</b> Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop, produce, stockpile, acquire or retain— Any biological agent or toxin of a type and in a quantity that has no justification for prophylactic, protective or other peaceful purposes; or. Any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)</p>	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p><b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>

4	stockpile/store	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2: Use etc. of chemical weapons. No person shall— Use a chemical weapon; . Develop or produce a chemical weapon; . Have a chemical weapon in his possession; . Participate in the transfer of a chemical weapon; . Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon.</p> <p><b>BW:</b> Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop, produce, stockpile, acquire or retain— Any biological agent or toxin of a type and in a quantity that has no justification for prophylactic, protective or other peaceful purposes; or. Any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)</p>	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47 (A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life)</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p><b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>	
5	develop	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or</p>	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47 (A person guilty of an offence under this section is liable on conviction on</p>	

				<p>participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2: Use etc. of chemical weapons. No person shall— Use a chemical weapon; . Develop or produce a chemical weapon; . Have a chemical weapon in his possession; . Participate in the transfer of a chemical weapon; . Engage in military preparations, or in preparations of a military nature, intending to use a chemical weapon.</p> <p><b>BW:</b> Biological Weapons Act (BWA) 8 February 1974, Chapter 6 - Section 1 (No person shall develop, produce, stockpile, acquire or retain— Any biological agent or toxin of a type and in a quantity that has no justification for prophylactic, protective or other peaceful purposes; or. Any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)</p>			<p>indictment to imprisonment for life)</p> <p><b>CW:</b> Chemical Weapons Act (1996), Art.2 (A person contravening this section is guilty of an offence and liable on conviction on indictment to imprisonment for life)</p> <p><b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 (Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life)</p>			
6	transport	X	X	X	<p><b>NW:</b> Regulations by Office for Civil Nuclear Security (OCNS) in accordance with Nuclear Industries Security Regulations (NISR) 2003</p> <p><b>CW:</b> Chemical Weapons Act (1996) as amended by Anti-terrorism, Crime and Security Act (2001)</p>	X	X	X	<p><b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)</p> <p><b>CW:</b> Chemical Weapons Act (1996) as amended by the Anti-terrorism, Crime and Security Act</p>	



					Section 46 (Proceedings for a chemical weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved the movement of a chemical weapon into or out of any country or territory) Carriage of Dangerous Goods Regulations include security standards of Transport Security and Contingencies (TRANSEC) European Commission security measures for rail and road transports.  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001) Carriage of Dangerous Goods Regulations include security standards of Transport Security and Contingencies (TRANSEC) European Agreement concerning the international Carriage of dangerous goods by road (ADR)				(2001)  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001)	
7	transfer	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)  <b>CW:</b> Chemical Weapons Act (1996), Art.2  <b>BW:</b> Biological Weapons Act (1974) Chapter 6 Section 1 as amended by the Anti-terrorism, Crime and Security Act (2001), Sections 43 and 44: A person shall not— Transfer any biological agent or toxin to another person or enter into an agreement to do so, or Make arrangements under which another person transfers any biological agent or toxin or enters into an agreement with a third person to do so,. If the biological agent or toxin is likely to be	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life  <b>CW:</b> Chemical Weapons Act (1996), Art.2  <b>BW:</b> Biological Weapons Act (1974)((Any person contravening this section shall be guilty of an offence and shall, on conviction on indictment, be liable to imprisonment for life) Anti-terrorism, Crime and Security Act (2001), Section 43	

					kept or used (whether by the transferee or any other person) otherwise than for prophylactic, protective or other peaceful purposes and he knows or has reason to believe that that is the case.					
8	use	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001), Art. 47 (causes a nuclear weapon explosion)  <b>CW:</b> Chemical Weapons Act (1996), Art.2 as amended by the Anti-Terrorism, Crime and Security Act (2001), Section113(...involves the use of a noxious substance or other noxious thing - places any substance or other thing in any place- For the purposes of sections 113 and 114 “substance” includes any biological agent and any other natural or artificial substance (whatever its form, origin or method of production).  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001), Section 113 (...involves the use of a noxious substance or other noxious thing - places any substance or other thing in any place- For the purposes of sections 113 and 114 “substance” includes any biological agent and any other natural or artificial substance (whatever its form, origin or method of production).	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life  <b>CW:</b> Chemical Weapons Act (1996), Art.2 as amended by Anti-Terrorism, Crime and Security Act (2001), Section113  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001), Section 113 (A person guilty of an offence under this section is liable— On summary conviction, to imprisonment for a term not exceeding six months or a fine not exceeding the statutory maximum (or both); and On conviction on indictment, to imprisonment for a term not exceeding fourteen years or a fine (or both).	1
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA Anti-terrorism, Crimes and Security Act (2001)Anti-terrorism, Crimes and Security Act (2001) - ATCSA, Art. 47: A person who develops or produces, or	X	X	X	<b>NW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA Anti-terrorism, Crimes and Security Act (2001)A person guilty of an offence under this section is liable on conviction on indictment to imprisonment for life	

				<p>participates in the development or production of, a nuclear weapon; Has a nuclear weapon in his possession; Participates in the transfer of a nuclear weapon; or Engages in military preparations, or in preparations of a military nature, intending to use, or threaten to use, a nuclear weapon, is guilty of an offence.</p> <p><b>CW:</b> Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001)</p> <p><b>BW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act 2001, including an offence of aiding, abetting, counselling, procuring or inciting the commission of, or attempting or conspiring to commit, such an offence</p>				<p><b>CW:</b> Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001)</p> <p><b>BW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001): extraterritorial applicability only</p>		
10	assist in abovementioned activities	X	X	X	<p><b>NW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA Anti-terrorism, Crimes and Security Act (2001)</p> <p><b>CW:</b> Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001)</p> <p><b>BW:</b> Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001): (A person who aids, abets, counsels or procures, or incites, a person who is not a</p>	X	X	X	<p><b>NW:</b> Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA Anti-terrorism, Crimes and Security Act (2001)</p> <p><b>CW:</b> Anti-terrorism, Crime and Security Act (2001) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the ATCSA (2001)</p> <p><b>BW:</b> Biological Weapons Act (1974) Anti-terrorism, Crime and Security Act (2001): extraterritorial applicability only Accessories and Abettors Act 1861: aid, abet,</p>	

					United Kingdom person to do a relevant act outside the United Kingdom is guilty of an offence - section 1 of the Biological Weapons Act 1974 (offences relating to biological agents and toxins) Accessories and Abettors Act 1861: aid, abet, procure or counsel a prohibited activity under the Biological Weapons Act				procure or counsel a prohibited activity under the Biological Weapons Act	
11	finance abovementioned activities	X	X	X	<b>NW:</b> Anti-terrorism, Crime and Security Act (2001)  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001)  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001) (for terrorist purposes)	X	X	X	<b>NW:</b> Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalizations related to Security Council sanctions obligations.  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalisation related to Security Council sanctions obligations.  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001) Financial sanctions and criminalizations related to Security Council sanctions obligations.	
12	abovementioned activities related to means of delivery <sup>2</sup>	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)  <b>CW:</b> Chemical Weapons Act (1996)  <b>BW:</b> Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 ((b)any weapon, equipment or means of delivery designed to use biological agents or toxins for hostile purposes or in armed conflict)	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)  <b>CW:</b> Chemical Weapons Act (1996)  <b>BW:</b> Biological Weapons Act (1974)	
13	involvement of non-State actors in abovementioned activities	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)  <b>CW:</b> Chemical Weapons Act (1996)	X	X	X	<b>NW:</b> Anti-terrorism, Crimes and Security Act (2001)  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001):	

					<b>BW:</b> Biological Weapons Act (1974)				extraterritorial applicability  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001): extraterritorial applicability	
14	Other									

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials<sup>3</sup>**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W*		N W	C W	B W		
1	Measures to account for production	X	X	X	<b>NW:</b> EURATOM Treaty, Chapter VII Safeguards Commission Regulation (EURATOM) No. 302/2005 INFCIRC/263 and Add.1  <b>CW:</b> Chemical Weapons Act (1996) Sections 19 (restriction on use) and 20 (licence granted by the Secretary of State). Licence holders are required to account for their production, use and stocks of any Schedule 1 chemicals on their site. CWC National Authority inspects and maintains accounts for all Schedule 1,2 and 3 chemicals  <b>BW:</b> Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control, Article 3 defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordinate public health services surveillance networks Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.	X	X	X	<b>NW:</b> Atomic Energy Act, 1946 Nuclear Material (Offences) 1983 Terrorism Act (2006), Sections 9 and 10  <b>CW:</b> Chemical Weapons Act (1996)  <b>BW:</b> The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.	

2	Measures to account for use	X	X	X	<p><b>NW:</b> EURATOM Treaty, Chapter VII Safeguards Commission Regulation (EURATOM) No. 302/2005 INFCIRC/263 and Add.1</p> <p><b>CW:</b> Chemical Weapons Act (1996) Sections 19 (restriction on use) and 20 (licence granted by the Secretary of State). Licence holders are required to account for their production, use and stocks of any Schedule 1 chemicals on their site. CWC National Authority inspects and maintains accounts for all Schedule 1,2 and 3 chemicals</p> <p><b>BW:</b> Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control, Article 3 defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordinate public health services surveillance networks Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>	X	X	X	<p><b>NW:</b> Atomic Energy Act, 1946 Nuclear Material (Offences) 1983 Terrorism Act (2006), Sections 9 and 10</p> <p><b>CW:</b> Chemical Weapons Act (1996)</p> <p><b>BW:</b> The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>	
3	Measures to account for storage	X	X	X	<p><b>NW:</b> EURATOM Treaty, Chapter VII Safeguards Commission Regulation (EURATOM) No. 302/2005 INFCIRC/263 and Add.1</p> <p><b>CW:</b> Chemical Weapons Act (1996) Sections 19</p>	X	X	X	<p><b>NW:</b> Atomic Energy Act, 1946 Nuclear Material (Offences) 1983 Terrorism Act (2006), Sections 9 and 10</p> <p><b>CW:</b> Chemical Weapons Act (1996)</p>	

				<p>(restriction on use) and 20 (licence granted by the Secretary of State). Licence holders are required to account for their production, use and stocks of any Schedule 1 chemicals on their site.</p> <p>CWC National Authority inspects and maintains accounts for all Schedule 1,2 and 3 chemicals</p> <p><b>BW:</b></p> <p>Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control, Article 3 defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordinate public health services surveillance networks</p> <p>Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>				<p><b>BW:</b></p> <p>The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects</p> <p>Department of Health and Health Protection Agency</p> <p>Anti-terrorism, Crimes and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin. Schedule 5 to the Anti-terrorism, Crime and Security Act 2001 (Modification) Order 2007.</p>		
4	Measures to account for transport	X	X	X	<p><b>NW:</b></p> <p>Regulations by Office for Civil Nuclear Security (OCNS) in accordance with Nuclear Industries Security Regulations (NISR) 2003.</p> <p><b>CW:</b></p> <p>Council Directives 94/55/EEC and 96/49/EEC The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009 No 1348 [1], came into force on 1 July 2009</p> <p><b>BW:</b></p> <p>Council Directives 94/55/EEC and 96/49/EEC The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009 No 1348 [1], came into force on 1 July 2009</p>	X	X	X	<p><b>NW:</b></p> <p>Anti-terrorism, Crimes and Security Act (2001)</p> <p><b>CW:</b></p> <p>The Regulations implement ADR[2] 2009</p> <p><b>BW:</b></p> <p>The Regulations implement ADR[2] 2009</p>	



5	Other measures for accounting		X	X	<p><b>CW:</b> Chemical Weapons Act (1996) Restriction on use etc. Subject to section 20 (which relates to licences) no person shall— Use a Schedule 1 toxic chemical or precursor for a permitted purpose, or Produce or have in his possession a Schedule 1 toxic chemical or precursor with the intention that it will be used for a permitted purpose.</p> <p>A Schedule 1 toxic chemical or precursor is a toxic chemical or precursor listed in Schedule 1 to the annex on chemicals to the Convention; and for ease of reference that Schedule is set out in the Schedule to this Act.</p> <p><b>BW:</b> Directive 2000/54/EC Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens ) Order 2007 Anti-terrorism, Crimes and Security Act, as amended by the Order 2007: security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)</p>	X		<p><b>CW:</b> Chemical Weapons Act (1996) A person contravening this section is guilty of an offence and liable— . On summary conviction, to a fine of an amount not exceeding the statutory maximum; . On conviction on indictment, to a fine.</p>		
6	Measures to secure production	X	X	X	<p><b>NW:</b> Nuclear Industries Security Regulations (2003) EC Directive 96/29/EURATOM of 13 May 1996, Articles 2, 18, 19 and 20</p>	X	X	X	<p><b>NW:</b> Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Terrorism Act (2006), Section 9 implements the</p>	

					<b>CW:</b> Directive 98/24/EC Chemical Weapons Act (1996)  <b>BW:</b> Directive 2000/54/EC Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens ) Order 2007 Anti-terrorism, Crimes and Security Act, as amended by the Order 2007: security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)			UN convention for the Suppression of Acts of Nuclear terrorism  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by authorities  <b>BW:</b> The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by authorities		
7	Measures to secure use	X	X	X	<b>NW:</b> Nuclear Industries Security Regulations (2003) EC Directive 96/29/EURATOM of 13 May 1996, Articles 2, 18, 19 and 20  <b>CW:</b> Directive 98/24/EC Chemical Weapons Act (1996)	X	X	X	<b>NW:</b> Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Nuclear Material (Offences) Act (1983) Terrorism Act (2006), Section 9 implements the UN convention for the Suppression of Acts of Nuclear terrorism  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by authorities	

				<b>BW:</b> Directive 2000/54/EC Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens ) Order 2007 Anti-terrorism, Crimes and Security Act, as amended security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)			<b>BW:</b> The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects Department of Health and Health Protection Agency Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by authorities			
8	Measures to secure storage	X	X	X	<b>NW:</b> Nuclear Industries Security Regulations (2003) EC Directive 96/29/EURATOM of 13 May 1996, Articles 2, 18, 19 and 20  <b>CW:</b> Directive 98/24/EC Chemical Weapons Act (1996) Regulation 24 of the Carriage of Dangerous Goods by Road Regulations (1996) as amended Code of Practice for the security of dangerous goods by road Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and	X	X	X	<b>NW:</b> Protection by Civil Nuclear Constabulary (CNC) - relates only to civil sites/material. The Ministry of Defence Police (MDP) perform a similar job in relation to defence sites/material. Nuclear Material (Offences) Act (1983) Terrorism Act (2006), Section 9 implements the UN convention for the Suppression of Acts of Nuclear terrorism  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified toxins and to comply with security requirements imposed by authorities	

				<p>amending Regulation (EC) No 1907/2006</p> <p><b>BW:</b>          Directive 2000/54/EC          Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control          Anti-terrorism, Crime and Security Act (2001), Section 59: notify Home Office of holding/use of any Schedule 5 biological agent or toxin          Statutory Instrument 2007, No. 926, The part 7 of the Anti-terrorism, Crime and Security Act 2001 (Extension to Animal Pathogens ) Order 2007          Anti-terrorism, Crimes and Security Act, as amended by the Order 2007: security of the toxins and pathogens listed in Schedule 5 (harmful for human and animal health)</p>				<p><b>BW:</b>          The ECDC has established a biorisk expert group project in addition to coordinating “laboratory biosafety and biosecurity efforts across Europe” as part of its Microbiology Cooperation – Biosafety mission and projects          Department of Health and Health Protection Agency          Anti-terrorism, Crime and Security Act (2001): notify police of holding of specified disease-causing micro-organisms and toxins and to comply with security requirements imposed by authorities</p>	
9	Measures to secure transport	X	X	<p><b>NW:</b>          Nuclear Industries Security Regulations (2003)          EC Directives 94/55/EEC on the approximation of the laws of the Member States with regard to the transport of dangerous goods by road and 96/49/EEC on the approximation of the laws of the Member States with regard to the transport of dangerous goods by rail</p> <p><b>BW:</b>          EC Directive 2000/54/EC          Regulation 24 of the Carriage of Dangerous Goods by Road Regulations (1996) as amended          The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 ("CDG 2009"), SI 2009 No 1348 [1], came into force on 1 July 2009          Code of Practice for the security of dangerous goods by road</p>	X	X	X	<p><b>NW:</b>          OCNS approved security plans required          Protection by Civil Nuclear Constabulary F158l.          Nuclear Material (Offences) Act (1983)</p> <p><b>CW:</b>          Party to ADR and RID agreements</p> <p><b>BW:</b>          Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2004          Control of Substances Hazardous to Health Regulations 2002., Paras 5 and 6 of Schedule 3          Party to ADR and RID          Party to ADR and RID agreements</p>	

10	Other measures for securing	X			<b>NW:</b> Uranium Enrichment Technology (Prohibition on Disclosure) Regulations 2004 Anti-Terrorism, Crime and Security Act 2001 Official Secrets Act (1989)	X			<b>NW:</b> Uranium Enrichment Technology (Prohibition on Disclosure) Regulations 2004 Anti-Terrorism, Crime and Security Act 2001 Official Secrets Act (1989)	
11	Regulations for physical protection of facilities/ materials/ transports	X	X	X	<b>NW:</b> Nuclear Industries Security Regulations (2003): UK civil nuclear operators must have approved site security plans covering physical protection. Energy Act (2004) Joint Service Publication 440 Nuclear Materials (Offences) Act 1983 as amended  <b>CW:</b> The National Counter Terrorism Security Office (NaCTSO) and the Centre for the Protection of the National Infrastructure (CPNI)  <b>BW:</b> The National Counter Terrorism Security Office (NaCTSO) is a police unit co-located with the Centre for the Protection of the National Infrastructure (CPNI)	X	X	X	<b>NW:</b> Office for Civil Nuclear Security (OCNS) under the Health and Safety Executive. Civil Nuclear Constabulary (CNC) Civil Nuclear Police Authority (CNPA) Ministry of defence Police (MDP) for physical security of defence sites/materials. Criminal Justice and Immigration Act (2008), Sect 75, and Schedule 17. Serious Organised Crime and Police Act 2005, Sect 128.  <b>CW:</b> Overseen by the National Counter Terrorism and Security Office whose staff can offer specialist advice regarding the security of explosives and pre-cursor chemicals (including fertilisers), pathogens and toxins, radiological sources and other toxic chemicals.  <b>BW:</b> Overseen by the National Counter Terrorism and Security Office whose staff can offer specialist advice regarding the security of explosives and pre-cursor chemicals (including fertilisers), pathogens and toxins, radiological sources and other toxic chemicals.	
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<b>NW:</b> Nuclear Installations Act (1965) Radioactive Substances Act (1993) Energy Act (2004)  <b>CW:</b> Chemical Weapons Act (1996) Sections 19	X	X	X	<b>NW:</b> Civil Nuclear Constabulary (CNC) is responsible for the protection of civil nuclear sites and nuclear materials on designated UK nuclear licenced sites and transit  <b>CW:</b> CWC National Authority inspects and maintains	

				(restriction on use) and 20 (licence granted by the Secretary of State)  <b>BW:</b> European Commission Directive on Biological agents 2000/54/EC Control of Substances Hazardous to Health (COSHH) regulations Genetically Modified Organisms (Contained Use) Regulations (2000) Specified Animal Pathogens Order (1998) SAPO Plant Health (Great Britain) Order (1933) European Commission Directive 95/44/EC Anti-terrorism, Crime and Security Act (2001), Part 7 Art. 59: duty to notify Secretary of State before keeping or using dangerous substances			accounts  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001), Part 7 Art. 67 The Specified Animal Pathogens Order (SAPO) 2008 provides a legal basis for the formal transfer of the inspection and enforcement role for this legislation from the Department for Environment, Food and Rural Affairs, the Veterinary Laboratories Agency and local authorities to the Health and Safety Executive (HSE). The legislation came into effect on 28 April 2008.	
13	Reliability check of personnel	X	X	X  <b>NW:</b> Nuclear Safeguards (Notification) Regulations 2004.  <b>CW:</b> Anti-terrorism, Crime and Security Act (2001): furnish police on request with details of persons with access to specified dangerous substances  <b>BW:</b> Anti-terrorism, Crime and Security Act (2001): furnish police on request with details of persons with access to specified dangerous substances	X	X	X  <b>NW:</b> Nuclear Industries Security Regulations (2003), Regulation 9. OCNS carries out vetting  <b>CW/BW:</b> Home Secretary to direct that named individuals must not be allowed access	
14	Measures to account for/secure/ physically protect means of delivery		X	X  <b>CW:</b> Means of delivery are prohibited under Chemical Weapons Act Art. 1 definition of a Chemical weapon includes munitions and		X	X  <b>CW:</b> Means of delivery are prohibited under Chemical Weapons Act	

				<p>other devices designed to cause death or harm through the toxic properties of toxic chemicals released by them; (c) equipment designed for use in connection with munitions and devices falling within paragraph</p> <p><b>BW:</b> Means of delivery are prohibited under Biological Weapons Act</p>				<p><b>BW:</b> Means of delivery are prohibited under Biological Weapons Act</p>	
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3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

### OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, source document	YES	if YES, source document	
1 National regulatory authority	X	Nuclear Industries Security Regulations (2003)	X	Office for Civil Nuclear Security (OCNS)	
2 IAEA Safeguards Agreements	X	Safeguards Agreement (IAEA and EURATOM), INFCIRC/263 UK Additional Protocol INFCIRC/263. Add.1 in force 30 April 2004	X	Nuclear Safeguards and Electricity (Finance) Act, 1978 Nuclear Safeguards Act 2000	
3 IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.B	X	Point of Contact: Head, Special Casework Licensing Unit, Export Control Organisation - Export Control Organisation	
4 Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.B	X	Point of Contact: Head, Special Casework Licensing Unit, Export Control Organisation - Export Control Organisation	
5 IAEA Incident and Trafficking Database	X	Contributes to Database Programme	X	Office for Civil Nuclear Security (OCNS)	
6 Other Agreements related to IAEA	X	INFCIRC/254/Rev.6/Part 1* / 16 May 2003	X	Office for Civil Nuclear Security (OCNS)	
7 Additional national legislation/regulations related to nuclear materials including CPPNM	X	Nuclear Materials (Offences) Act 1983 Terrorism Act (2006)	X	Nuclear Materials (Offences) Act 1983 Extradition Act (2003), Sect 193. Terrorism Act (2006)	
8 Other	X	Uranium Enrichment Technology (Prohibition on Disclosure) Regulations (2004) From 01 July 2005 a comprehensive set of			



			transport security measures (TRANSEC) will become mandatory - relates to non-nuclear material dangerous goods			
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**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Appropriate procedures to be in place to ensure the chemicals will be used and disposed of in accordance with UK regulations	X	Department for Business, Innovation and Skills (BIS) Defence Science and Technology Laboratory (DSTL), Chemical and Biological Systems, Porton Down established on 29 Jun 1999 according to Annex 8 of the OPCW 2009 Annual Report on Implementation	
2	Reporting Schedule I, II and III chemicals to OPCW	X	BIS transmits to OPCW	X	BIS transmits to OPCW UK has submitted 13 declarations according to Annex 9 of the OPCW 2009 Annual Report on Implementation	
3	Account for, secure or physically protect "old chemical weapons"	X	Accounted for, secured and physically protected at MOD, DSTL Porton Down. Destruction of all old chemical weapons recovered to date was completed in March 2007.	X	OPCW carries out regular inspections	
4	Other legislation/ regulations controlling chemical materials					
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Genetically Modified Organisms (Contained Use) Regulations (2000) Genetically Modified Organisms (Contained Use) (Amendment) Regulations 2002	X	Genetically Modified Organisms (Contained Use) Regulations (2000) Genetically Modified Organisms (Contained Use) (Amendment) Regulations 2002	
2	Other legislation/ regulations related to safety and security of biological materials	X	Control of Substances Hazardous to Health Regulations 2002 (as amended), regulation 7(10) Schedule 3 para.62. Transboundary Movements of Genetically Modified Organisms Regulation 1946/2003	X	Control of Substances Hazardous to Health Regulations 2002 (as amended), regulation 7(10) Schedule 3 para.6 Transboundary Movements of Genetically Modified Organisms Regulation 1946/2003	
3	Other					

# OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	<b>NW:</b> Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community Customs and Excise Management Act (1979) Finance Act (1994)  <b>CW:</b> Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community Customs and Excise Management Act (1979) Finance Act (1994)  <b>BW:</b>	X	X	X	<b>NW:</b> Police Security Service Immigration Customs  <b>CW:</b> Police Security Service Immigration Customs  <b>BW:</b>	

					Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community Customs and Excise Management Act (1979) Finance Act (1994)				Police Security Service Immigration Custom	
2	Technical support of border control measures	X	X	X	<b>NW:</b> EU Regulation no. 648 of 13 April 2005 (Security Amendment) Defence Science and Technology Laboratory (Dstl) provides assistance in conjunction with other Governmental Departments and Agencies Ratings advice provided by BIS Technical Assessment Unit  <b>CW:</b> EU Regulation no. 648 of 13 April 2005 (Security Amendment) Defence Science and Technology Laboratory (Dstl) provides assistance in conjunction with other Governmental Departments and Agencies Ratings advice provided by BIS Technical Assessment Unit  <b>BW:</b> EU Regulation no. 648 of 13 April 2005 (Security Amendment) Defence Science and Technology Laboratory (Dstl) provides assistance in conjunction with other Governmental Departments and Agencies Ratings advice provided by BIS Technical Assessment Unit	X	X	X	<b>NW:</b> Scanners in main ports, X-ray machines and explosive and radioactive detectors  <b>CW:</b> Scanners in main ports, X-ray machines and explosive and radioactive detectors  <b>BW:</b> Scanners in main ports, X-ray machines and explosive and radioactive detectors	

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	<p><b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Trade in Goods (Control) Order (2003) Chemical Weapons Act as amended by the Anti-terrorism, Crime and Security Act (ATCSA) 2001, Sections 43-44</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Trade in Goods (Control) Order (2003) Chemical Weapons Act as amended by the Anti-terrorism, Crime and Security Act (ATCSA) 2001, Sections 43-44</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Trade in Goods (Control) Order (2003) Amendment of the Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security Act (ATCSA) 2001, Sections 43-44</p>	X	X	X	<p><b>NW:</b> Trade in Goods (Control) Order (2003) Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 as amended by Anti-terrorism, Crime and Security Act (ATCSA) 2001</p> <p><b>CW:</b> Trade in Goods (Control) Order (2003) Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 as amended by Anti-terrorism, Crime and Security Act (ATCSA) 2001</p> <p><b>BW:</b> Trade in Goods (Control) Order (2003) Biological Weapons Act 8 February 1974, Chapter 6 - Section 1 as amended by Anti-terrorism, Crime and Security Act (ATCSA) 2001</p>	
4	Enforcement agencies/authorities	X	X	X	<p><b>NW:</b> Customs and Excise Management Act (1979)</p> <p><b>CW:</b> Customs and Excise Management Act (1979)</p> <p><b>BW:</b> Customs and Excise Management Act (1979) Biological Weapons Act 1974: Proceedings for a biological weapons offence may be instituted by order of the</p>	X	X	X	<p><b>NW:</b> Customs officers, police, coastguard, armed forces, Restricted Enforcement Unit (REU)</p> <p><b>CW:</b> Customs officers, police, coastguard, armed forces, Restricted Enforcement Unit (REU)</p> <p><b>BW:</b> Customs officers, police, coastguard, armed forces, Restricted Enforcement Unit (REU)</p>	

					Commissioners of Customs and Excise if it appears to them that the offence has involved. The movement of any such thing into or out of any country or territory.					
5	Export control legislation in place	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764	X	X	X	<b>NW:</b> Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security (2001), Section 45 Export Control Act (2002) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003)  <b>CW:</b> Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security (2001), Section 45 Export Control Act (2002) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003)  <b>BW:</b> Biological Weapons Act 1974 as amended by the Anti-terrorism, Crime and Security (2001), Section 45 Export Control Act (2002) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003)	
6	Licensing provisions	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control)	X	X	X	<b>NW:</b> Export Control Order. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)	

				<p>Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>				<p><b>CW:</b> Export Control Order. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)</p> <p><b>BW:</b> Export Control Order n. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)</p>		
7	Individual licensing	X	X	X	<p><b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May</p>	X	X	X	<p><b>NW:</b> Export Control Order. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)</p> <p><b>CW:</b> Export Control Order n. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)</p> <p><b>BW:</b> Export Control Order n. 3231, Part 6 -</p>	



				2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764			Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)	
8	General licensing	X	X	X <b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764	X	X	X <b>NW:</b> Export Control Order n. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>CW:</b> Export Control Order n. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>BW:</b> Export Control Order n. 3231, Part 6 - Offences, Enforcement and Penalties Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)	
9	Exceptions from licensing	X	X	X <b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items - Possibility of National General Authorisation for intra-	X	X	X <b>NW:</b> An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation	

					<p>Community trade Export Control Order n. 3231 (2008), Part 2</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items - Possibility of National General Authorisation for intra-Community trade Export Control Order n. 3231 (2008), Part 2</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items - Possibility of National General Authorisation for intra-Community trade Export Control Order n. 3231 (2008), Part 2</p>				<p>Art. 17 : Transit and Transhipment exemptions and art.18 :Software and technology exceptions</p> <p><b>CW:</b> An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation Art. 17 : Transit and Transhipment exemptions and art.18 :Software and technology exceptions</p> <p><b>BW:</b> An authorisation shall be required for intra-Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation Art. 17 : Transit and Transhipment exemptions and art.18 :Software and technology exceptions</p>	
10	Licensing of deemed export/visa	X	X	X	<p><b>NW:</b> Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Official Secrets Act (1989): improper communication of information relating to nuclear weapons and related defence material</p> <p><b>CW:</b> Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control)</p>	X	X	X	<p><b>NW:</b> Since November 2007 the academic technology approval scheme (ATAS) became a mandatory requirement within the Immigration rules.</p> <p><b>CW:</b> Since November 2007 the academic technology approval scheme (ATAS) became a mandatory requirement within the Immigration rules.</p>	

					Order (2003)  <b>BW:</b> Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003)				<b>BW:</b> Since November 2007 the academic technology approval scheme (ATAS) became a mandatory requirement within the Immigration rules.	
11	National licensing authority	X	X	X	<b>NW:</b> The Export Control Organisation is responsible for issuing export licences for so-called "strategic" goods. This includes a wide range of items including military and dual-use goods, firearms, nuclear, chemical, biological products and much more, as well items that are controlled when transmitted electronically  <b>CW:</b> The Export Control Organisation is responsible for issuing export licences for so-called "strategic" goods. This includes a wide range of items including military and dual-use goods, firearms, nuclear, chemical, biological products and much more, as well items that are controlled when transmitted electronically  <b>BW:</b> The Export Control Organisation is responsible for issuing export licences for so-called "strategic" goods. This includes a wide range of items including military and dual-use goods, firearms, nuclear, chemical, biological products and much more, as well items that are controlled when transmitted electronically	X	X	X	<b>NW:</b> Export Control Organisation (ECO), Department of Business, Enterprise and Regulatory Reform (BIS)  <b>CW:</b> Export Control Organisation (ECO), Department of Business, Enterprise and Regulatory Reform (BIS)  <b>BW:</b> Export Control Organisation (ECO), Department of Business, Enterprise and Regulatory Reform (BIS)	
12	Interagency review for licenses	X	X	X	<b>NW:</b> Export Control Organisation (ECO), part of the Department for Business, Enterprise and	X	X	X	<b>NW:</b> Secretary of State for Business, Innovation and Skills and President of the Board of Trade	

				<p>Regulatory Reform (BIS) Other Departments</p> <p><b>CW:</b> Export Control Organisation (ECO), part of the Department for Business, Enterprise and Regulatory Reform (BIS) Other Departments</p> <p><b>BW:</b> Export Control Organisation (ECO), part of the Department for Business, Enterprise and Regulatory Reform (BIS) Other Departments</p>		<p>Minister of State for Universities and Science (attending Cabinet) Minister of State for Further Education, Skills and Lifelong Learning Minister of State for Business and Enterprise Minister for Employment Relations, Consumer and Postal Affairs Parliamentary Secretary for Business, Innovation and Skills Minister for Culture, Communications and Creative Industries (jointly with the Department for Culture, Media and Sport)</p> <p><b>CW:</b> Secretary of State for Business, Innovation and Skills and President of the Board of Trade Minister of State for Universities and Science (attending Cabinet) Minister of State for Further Education, Skills and Lifelong Learning Minister of State for Business and Enterprise Minister for Employment Relations, Consumer and Postal Affairs Parliamentary Secretary for Business, Innovation and Skills Minister for Culture, Communications and Creative Industries (jointly with the Department for Culture, Media and Sport)</p> <p><b>BW:</b> Secretary of State for Business, Innovation and Skills and President of the Board of Trade Minister of State for Universities and Science (attending Cabinet) Minister of State for Further Education, Skills and Lifelong Learning Minister of State for Business and Enterprise Minister for Employment Relations, Consumer and Postal Affairs Parliamentary Secretary for Business, Innovation and Skills Minister for Culture, Communications and Creative Industries (jointly with the</p>	
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								Department for Culture, Media and Sport)		
13	Control lists	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	X	X	X	<b>NW:</b> Export Control Order n. 3231 (2008), Part 2 Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>CW:</b> Export Control Order n. 3231 (2008), Part 2 Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>BW:</b> Export Control Order n. 3231 (2008), Part 2 Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)	
14	Updating of lists	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	X	X	X	<b>NW:</b> The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.  <b>CW:</b> The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	

					<b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items				<b>BW:</b> The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	
15	Inclusion of technologies	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control)	X	X	X	<b>NW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>CW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6	

					Order 2003- Customs and Excise n. 2764					
16	Inclusion of means of delivery	X	X	X	<p><b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>	X	X	X	<p><b>NW:</b> Export Control Order n. 3231 (2008) 2Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>	
17	End-user controls	X	X	X	<p><b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3</p>	X	X	X	<p><b>NW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>	

				<p>Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>				<p><b>CW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>BW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p>		
18	Catch all clause	X	X	X	<p><b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May</p>	X	X	X	<p><b>NW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764</p> <p><b>CW:</b> Export Control Order n. 3231 (2008)</p>	



					2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764				Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764  <b>BW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764	
19	Intangible transfers	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979)  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979)  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export Control Order n. 3231 (2008) - Part 3 Art. 19 End-use control on technical assistance	X	X	X	<b>NW:</b> Customs and Excise Management Act (1979)  <b>CW:</b> Customs and Excise Management Act (1979)  <b>BW:</b> Export Control Order n. 3231 (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764	

					Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order 2003- Customs and Excise n. 2764					
20	Transit control	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979) Open General Transshipment License (OGTL) Individual Transshipment License (if consistent with Consolidated Criteria)  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979) Open General Transshipment License (OGTL) Individual Transshipment License (if consistent with Consolidated Criteria)  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979)	X	X	X	<b>NW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>CW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>BW:</b> Customs and Excise Management Act (1979)	
21	Trans-shipment control	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>BW:</b>	X	X	X	<b>NW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>CW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>BW:</b>	

					Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Customs and Excise Management Act (1979) Open General Transshipment License (OGTL) Individual Transshipment License (if consistent with Consolidated Criteria)				DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6	
22	Re-export control	X	X	X	<b>NW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>CW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  <b>BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	X	X	X	<b>NW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>CW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6  <b>BW:</b> DTI Export Licensing & Sanctions - particular circumstances, Art. 3.6	
23	Control of providing funds									
24	Control of providing transport services		X	X	<b>CW:</b> Chemical weapons Act 1996 as amended by the Anti-terrorism, Crime and Security Act (2001): Proceedings for a chemical weapons offence may be instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved— . The movement of a chemical weapon into or out of any country or territory;  <b>BW:</b> Biological Weapons Act 1974 (Proceedings for a biological weapons offence may be			X	<b>BW:</b> Biological Weapons Act 1974 (Proceedings for a biological weapons offence may be	

					instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved..(b)the movement of any such thing into or out of any country or territory)				instituted by order of the Commissioners of Customs and Excise if it appears to them that the offence has involved..(b)the movement of any such thing into or out of any country or territory)	
25	Control of importation	X	X	X	<b>NW:</b> Customs and Excise Management Act (1979)  <b>CW:</b> Regulation (EC) No 648/2005 of the European Parliament and of the Council amending Council Regulation (EEC) No 2913/92 establishing the Community Customs Code Import of Schedule I chemicals requires licence  <b>BW:</b> Regulation (EC) No 648/2005 of the European Parliament and of the Council amending Council Regulation (EEC) No 2913/92 establishing the Community Customs Code Importation of Animal Pathogens Order IAPO (1980) Plant Health (Great Britain) Order (1993)	X	X	X	<b>NW:</b> Customs and Excise Management Act (1979)  <b>CW:</b> Customs and Excise Management Act (1979)  <b>BW:</b> Customs and Excise Management Act (1979)	
26	Extraterritorial applicability	X	X	X	<b>NW:</b> Export Control Act (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>CW:</b> Export Control Act (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>BW:</b> Biological Weapons Act 1974 (Section 1	X	X	X	<b>NW:</b> Export Control Act (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>CW:</b> Export Control Act (2008) Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control) Order (2003) Trade in Goods (Control) Order (2003)  <b>BW:</b> Export Control Order n. 3231 (2008)	

				<p>applies to acts done outside the United Kingdom, but only if they are done by a United Kingdom person..</p> <p>Proceedings for an offence committed under section 1 outside the United Kingdom may be taken, and the offence may for incidental purposes be treated as having been committed, in any place in the United Kingdom)</p> <p>Export Control Order n. 3231 (2008):</p> <p>Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control)</p> <p>Order 2003- Customs and Excise n. 2764</p> <p>Trade in Goods (Control) Order (2003)</p>			<p>Export of Goods, Transfer of Technology and Provision of Technical Assistance (Control)</p> <p>Order 2003- Customs and Excise n. 2764</p> <p>Trade in Goods (Control) Order (2003)</p>	
27	Other	X	X	<p><b>NW:</b></p> <p>Uranium Enrichment Technology (Prohibition on Disclosure) Regulations (2004)</p> <p><b>BW:</b></p> <p>Importation of Animal Pathogens Order IAPO (1980)</p> <p>Plant Health (Great Britain) Order (1993)</p>				

## OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	Member of NSG, AG, MTCR, ZC and WA and maintains and regularly updates detailed national export control lists	
2	Control lists - other	X	List of pathogens and toxins in schedule 5 of the Anti-Terrorism, Crime and security Act	
3	Assistance offered	X	UK is willing to provide assistance as appropriate in response to specific requests from States lacking the legal and regulatory infrastructure, implementation experience and/or resources for fulfilling the provisions of SCR 1540.	
4	Assistance requested			
5	Point of Contact for assistance	X	First Secretary, Political (Counter-Terrorism and Counter-Proliferation) Political Officer (Counter-Terrorism and Counter-Proliferation) Permanent Mission of the United Kingdom to the United Nations, New York, USA Tel: +1 212-745-9311 and +1 212-745-9224	
6	Assistance in place (bilateral/multilateral)	X	Bilateral/multilateral and EU work continuing, aimed at providing guidance and technical advice on export control implementation and co-ordination.	
7	Work with and inform industry	X	The Export Control Advisory committee with representation from trade associations and the government export control community meets regularly to discuss developments and areas of concern. In addition an extensive awareness campaign for industry around the UK continues. 25 seminars and training courses were held nationwide during 2006, attended by over 500 delegates from 180 organisations. Presentations are also given to individual companies. Along with a regularly updated website the Exports Control Organisation also maintains a web-based tool, the Goods Checker, to help exporters find out if their products are licensable.	
8	Work with and inform the public	X	Relevant information is disseminated through government web sites, publications and leaflets.	
9	Point of Contact	X	First Secretary, Political (Counter-Terrorism and Counter-Proliferation) Political Officer (Counter-Terrorism and Counter-Proliferation) Permanent Mission of the United Kingdom to the United Nations, New York, USA Tel: +1 212-745-9311 and +1 212-745-9224	
10	Other <sup>4</sup>	X	Submitted a National Implementation Action Plan 2013	

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4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.