1540 COMMITTEE MATRIX OF SLOVENIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: SLOVENIA

Date of First Report: 28 Oct 2004

Additional Information Reports: 8 Nov 2005

18 July 2012

Approved by the Committee on:

		Tipperson by the committee on	
Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
Nuclear Non- 1 Proliferation Treaty (NPT)	Х	Deposit 7 April 1992	
Nuclear Weapons Free Zone/ Protocol(s)			
Convention for the 3 Suppression of Acts of Nuclear Terrorism	Х	Deposit 17 December 2009	
Convention on Physical 4 Protection of Nuclear Material (CPPNM)	Х	Deposit 25 June 1991	

5	2005 Amendment to the CPPNM		Deposit 09 January 2009	
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Deposit 31 August 1999	
	Chemical Weapons Convention (CWC)	Х	Deposit 11 June 1997	
	Biological Weapons Convention (BWC)	Х	Deposit 25 June 1991	
9	Geneva Protocol of 1925	Х	Deposit 8 April 2008	
10	Other Conventions/Treaties	Х	EURATOM Treaty State Party to 14 of the 19 International Conventions and Protocols on Terrorism, including the following relevant to the implementation of Resolution 1540 (2004) a. 1997 International Convention for the Suppression of Terrorist Bombing b. 1999 International Convention for the Suppression of the Financing of Terrorism Partial Test Ban Treaty Sea-Bed Treaty	
11	International Atomic Energy Agency (IAEA)	Х	Since 21 September 1992	
12	Hague Code of Conduct (HCOC)	Х	Subscribed	
13	Other Arrangements	х	Nuclear Suppliers Group Zangger Committee Australia Group Wassenaar Arrangement Proliferation Security Initiative	
1.41	General statement on non-possession of WMD	Х	Slovenia stated in 2005 that, The Government of the Republic of Slovenia takes this opportunity to formally state that Slovenia has never and shall never manufacture, develop or in any other way acquire weapons of mass destruction (nuclear, biological or chemical) and it will therefore never act as broker to non-state actors, such as unrecognised political entities, or extremist, terrorist, criminal and similar groups.	
15	General statement on commitment to disarmament and non-proliferation	Х	European Union Strategy against Proliferation of WMD	
16	General statement on non-provision of WMD and related materials to non-State actors	Х	The 2005 report states that, The Government of Slovenia follows a strict policy in the fight against terrorism and is investing all available means in preventing any unauthorised transfer of sensitive materials and technologies that could facilitate weapons of mass destruction coming into the possession of the non-state actors referred to in the resolution.	
	Other ¹	Х	EU Council Decision demanding a non-proliferation clause in all mixed bilateral agreements on cooperation with third states - Revised Cotonou Partnership Agreement (the CPA II) Proliferation Security Initiative (PSI) Member of:	

	FAO	
	ICAO	
	INTERPOL	
	wco	
	OIE	
	WHO	

^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	Does national legislation exist which prohibits persons				<u>N</u> ational legal framework		<u>E</u> nf	orce	ement: civil/criminal penalties and others	
or	entities to engage in one the following activities?		YES	•	if YES, source document of national		YES		if YES, source document	Remarks
	violators be penalized?	N W	C W	B W		N C B W W W			ii 113, source document	
1	manufacture/produce	x	x	×	NW: Ionising Radiation Protection and Nuclear Safety Act, Article 121 CW: CWC ratification Act Law on control of strategic goods of particular significance to safety and health, Art. 6 BW: Act of ratification of BWC Law on control of strategic goods of particular significance to safety and health, Art. 6		X	x	NW: Ionising Radiation Protection and Nuclear Safety Act, Article 121 (1) Nuclear goods may not be used for nuclear weapons or other explosives or for research and development of nuclear weapons or explosives. (2) Only a person who has a licence for carrying out a radiation practice in accordance with this Act may be in possession of nuclear goods. NW/CW/BW: Article 307 of the Criminal Code, as amended (Whoever unlawfully manufactures, acquires, offers, sells, barters or imports or exports chemical, biological or nuclear weapons,or who illegally acquires or keeps such weapons shall be given a prison sentence of between six months and five years.) CW/BW: Law on control of strategic goods of particular significance to safety and health, Art.31 (fine from 300.000 to 30.000.000 SIT for violation of art. 6)	
2	acquire	х	х	х	NW: Ionising Radiation Protection and Nuclear Safety Act, Article 121 Criminal Code, article 307 CW:	х	х	х	NW/CW/BW: Article 307 of the Criminal Code, as amended (Whoever unlawfully manufactures, acquires, offers, sells, barters or imports or exports chemical, biological or nuclear weapons,or who illegally acquires or keeps such	

					CWC ratification Act BW: Act of ratification of BWC				weapons shall be given a prison sentence of between six months and five years.)	
3	possess	x	X	X	NW: Ionising Radiation Protection and Nuclear Safety Act, Article 121 Criminal Code article 307 CW/BW: Law on control of strategic goods of particular significance to safety and health, Arts. 2 and 6	X	X	х	NW/CW/BW: Article 307 of the Criminal Code, as amended (Whoever unlawfully manufactures, acquires, offers, sells, barters or imports or exports chemical, biological or nuclear weapons,or who illegally acquires or keeps such weapons shall be given a prison sentence of between six months and five years.) NW: Criminal Code article 307 Ionising Radiation Protection and Nuclear Safety Act, Article 115 (A financial penalty between 300,000 and 30,000,000 SIT shall be for the violation imposed on a legal person who: 29) uses or possesses nuclear material in contradiction with the provisions stipulated in Article 121 of this Act) CW/BW: Criminal Code, article 310 Law on control of strategic goods of particular significance to safety and health, Art.31	
4	stockpile/store	Х	Х	х	NW: Ionising Radiation Protection and Nuclear Safety Act, Article 121 CW: CWC ratification Act Law on control of strategic goods of particular significance to safety and health, Art. 6 BW: Act of ratification of BWC Law on control of strategic goods of particular	Х	x	v	NW: Criminal Code article 307 Ionising Radiation Protection and Nuclear Safety Act, Article 115 (A financial penalty between 300,000 and 30,000,000 SIT shall be for the violation imposed on a legal person who: 29) uses or possesses nuclear material in contradiction with the provisions stipulated in Article 121 of this Act) CW/BW: Criminal Code, article 307	

					significance to safety and health, Art. 6				Law on control of strategic goods of particular significance to safety and health, Art.31	
5	develop	х	х	х	NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 121 (Nuclear goods may not be used for nuclear weapons or other explosives or for research and development of nuclear weapons or explosives) CW: CWC ratification Act Law on control of strategic goods of particular significance to safety and health, Art. 6 BW: Act of ratification of BWC Law on control of strategic goods of particular significance to safety and health, Art. 6	х	х	X	NW: Criminal Code article 307 Ionising Radiation Protection and Nuclear Safety Act, Article 139, (A financial penalty between 300,000 and 30,000,000 SIT shall be imposed on a legal person who: 29) uses or possesses nuclear material in contradiction with the provisions stipulated in Article 121 of this Act) CW/BW: Criminal Code, article 307 Law on control of strategic goods of particular significance to safety and health, Art.31	
6	transport	Х	Х	х	NW: European Agreement concerning the international Carriage of dangerous goods by road (ADR) CW/BW: European Agreement concerning the international Carriage of dangerous goods by road (ADR) Law on control of strategic goods of particular significance to safety and health, Art. 6 (transit)	х	X		NW: Criminal Code No. 319 CW/BW: Law on control of strategic goods of particular significance to safety and health, Art.31	
7	transfer	х	х	x	NW: Criminal Code, article 307, as amended CW: Law on control of strategic goods of particular significance to safety and health, Art. 5 BW: Act of ratification of BWC	x	x		NW: Criminal Code, article 307, as amended CW/BW: Criminal Code, article 307, as amended	

					Law on control of strategic goods of particular significance to safety and health, Art. 5					
					NW: Ionising Radiation Protection and Nuclear Safety Act, Article 7 and Art. 121				NW/CW/BW: Criminal Code, article 307, as amended	
8	use	х	х	х	CW: CWC ratification Act Law on control of strategic goods of particular significance to safety and health, Art. 6	Х	x	Х		
					BW: Act of ratification of BWC Law on control of strategic goods of particular significance to safety and health, Art. 5					
g	participate as an accomplice in abovementioned activities	x	x	x	NW/CW/BW: Criminal Code, Articles 20, 38, and 298; and Articles 36a, 37, and 38.1 of the Criminal Code (adopted 15 May 2012) state that: a. the provisions of the Criminal Code applicable to the offender will also apply to a participant who aids or abets; b A person who intentionally aids or abets a crime is punished as if they had committed it;	x	x	Y	NW/CW/BW: Criminal Code, Articles 20, 38, and 298; and Articles 36a, 37, and 38.1 of the Criminal Code (adopted 15 May 2012) state that: a. the provisions of the Criminal Code applicable to the offender will also apply to a participant who aids or abets; b. A person who intentionally aids or abets a crime is punished as if they had committed it;	
					CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 6				CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 6	
10	assist in abovementioned activities	x	х	x	NW/CW/BW: Criminal Code, Articles 20, 38, and 298; and Articles 36a, 37, and 38.1 of the Criminal Code (adopted 15 May 2012) state that: a. the provisions of the Criminal Code applicable to the offender will also apply to a participant who aids or abets; b. A person who intentionally aids or abets a crime is punished as if they had committed it;	x	x	X	NW/CW/BW: Criminal Code, Articles 20, 38, and 298; and Articles 36a, 37, and 38.1 of the Criminal Code (adopted 15 May 2012) state that: a. the provisions of the Criminal Code applicable to the offender will also apply to a participant who aids or abets; b. a person who intentionally aids or abets a crime is punished as if they had committed it;	
					CW/BW: Law on control of strategic goods of particular				CW/BW: Law on control of strategic goods of particular	

					significance to safety and health, Art. 6				significance to safety and health, Art. 6	
11	finance abovementioned activities	x	x	x	NW/CW/BW: Directive 2005/60/EC of the European Parliament and the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorism financing The prevention of money laundering and terrorism financing Act in force since January 2008	х	x		NW/CW/BW: Office for Money Laundering Prevention for detection and prevention of financing of terrorism Article 333a of the Criminal Code	
12	abovementioned activities related to means of delivery ²	?	?	?	NW/CW/BW: Criminal Code article 307, as amended 201 Law on control of strategic goods of particular significance to safety and health, Art. 6	Х	х		NW/CW/BW: Criminal Code, article 307, as amended	
13	involvement of non- State actors in abovementioned activities	x	x	x	NW: Criminal Code, Art. 17 (The perpetrator who is found responsible for and guilty of an offence shall be held to be liable under criminal law) CW: The Constitution of the Republic of Slovenia, Art. 8 CWC ratification Act Law on control of strategic goods of particular significance to safety and health, Art. 6 BW: The Constitution of the Republic of Slovenia, Art. 8 Act of ratification of BWC Law on control of strategic goods of particular significance to safety and health, Art. 6	X	x	X	NW/CW/BW: Criminal Code, article 307, as amended	
14	Other									_

^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

Are any of the following measures, procedures or				<u>N</u> ational legal framework		<u>E</u> n	forc	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW, CW,	N	YES	В	if YES, source document		YES	В	if YES, source document	Remarks
BW and Related Materials? Can violators be penalized?		C W				N C W W			
1 Measures to account for production	X	x	x	NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004, as amended The Agreement1 of 5 April 1973 between Belgium, Denmark, the Federal Republic of Germany, Ireland, Italy, Luxembourg, the Netherlands, the European Atomic Energy Community and the Agency in implementation of [the NPT] and the Protocol Additional thereto, entered into force for the Republic of Slovenia on 1 September 2006. CW: Law on control of strategic goods of particular significance to safety and health BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Law on control of strategic goods of particular significance to safety and health	X	X	X	NW: Criminal Code of the Republic of Slovenia, Art. 319 (Whoever possesses, uses, deposits, transports or otherwise disposes of nuclear materials contrary to regulations or the technical rules concerning safety measures shall be sentenced to imprisonment for not more than three years.) Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: European Centre for Disease and Control National Institute of Public Health, Centre for Communicable diseases Law on control of strategic goods of particular significance to safety and health, Art. 31	
2 Measures to account for use	х	х	х	NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004 The Agreement1 of 5 April 1973 between Belgium, Denmark, the Federal Republic of Germany, Ireland, Italy, Luxembourg, the Netherlands, the European Atomic Energy	х	х		NW: Criminal Code of the Republic of Slovenia, Arts. 307 and 319 (Whoever possesses, uses, deposits, transports or otherwise disposes of nuclear materials contrary to regulations or the technical rules concerning safety measures shall be sentenced to	

					Community and the Agency in implementation of [the NPT] and the Protocol Additional thereto, entered into force for the Republic of Slovenia on 1 September 2006. CW: Law on control of strategic goods of particular significance to safety and health BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Law on control of strategic goods of particular significance to safety and health				imprisonment for not more than three years.) Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: European Centre for Disease and Control National Institute of Public Health, Centre for Communicable diseases Law on control of strategic goods of particular significance to safety and health, Art. 31	
3	Measures to account for storage	×	x	X	NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004, as amended The Agreement1 of 5 April 1973 between Belgium, Denmark, the Federal Republic of Germany, Ireland, Italy, Luxembourg, the Netherlands, the European Atomic Energy Community and the Agency in implementation of [the NPT] and the Protocol Additional thereto, entered into force for the Republic of Slovenia on 1 September 2006. CW: Law on control of strategic goods of particular significance to safety and health BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Law on control of strategic goods of particular significance to safety and health	X	x	×	NW: Criminal Code of the Republic of Slovenia, Arts. 319 (Whoever possesses, uses, deposits, transports or otherwise disposes of nuclear materials contrary to regulations or the technical rules concerning safety measures shall be sentenced to imprisonment for not more than three years.) Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: European Centre for Disease and Control National Institute of Public Health, Centre for Communicable Diseases Law on control of strategic goods of particular significance to safety and health, Art. 31	

4	Measures to account for transport	x	x	х	NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004, as amended Transport of Dangerous Substances Act, Articles 22 and 39 CW: Transport of Dangerous Substances Act of 1999, Articles 22 and 39 Law on control of strategic goods of particular significance to safety and health, Art. 30 BW: Transport of Dangerous Substances Act of 1999, Articles 22 and 39 Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7	х	x	x	NW: Criminal Code of the Republic of Slovenia, Art. 307/1 Law on control of strategic goods of particular significance to safety and health, Art. 31 CW: Criminal Code of the Republic of Slovenia, Art. 307/1 Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: European Centre for Disease and Control National Institute of Public Health, Centre for Communicable diseases Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7	
1 5	Other measures for accounting	Х			NW: Council Directives 2003/122/ EURATOM Directive 96/29/EURATOM	х			NW: Council Directives 2003/122/ EURATOM Directive 96/29/EURATOM	
6	Measures to secure production	X	х		NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004, Chapter 4:Radiation and Nuclear Safety, Arts 54-58 CW: Directive 98/24/EC Law on control of strategic goods of particular significance to safety and health, Art. 30 BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Directive 2000/54/EC	х	X	X	NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: Law on control of strategic goods of particular significance to safety and health, Art. 31	

					Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7					
7	Measures to secure use	x	x		NW: Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004, Chapter 4:Radiation and Nuclear Safety, Arts 54-58 CW: Directive 98/24/EC Law on control of strategic goods of particular significance to safety and health BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Directive 2000/54/EC Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7	X	X	x	NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: Law on control of strategic goods of particular significance to safety and health, Art. 31	
8	Measures to secure storage	х	x	x	NW: The Agreement1 of 5 April 1973 between Belgium, Denmark, the Federal Republic of Germany, Ireland, Italy, Luxembourg, the Netherlands, the European Atomic Energy Community and the Agency in implementation of [the NPT] and the Protocol Additional thereto, entered into force for the Republic of Slovenia on 1 September 2006. Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004,as amended Articles 118 and 119 CW: Directive 98/24/EC Law on control of strategic goods of particular significance to safety and health, V BW: Regulation (EC) n. 851/2004 of the European	x	х		NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW: Law on control of strategic goods of particular significance to safety and health, Art. 31 BW: Law on control of strategic goods of particular significance to safety and health, Art. 31	

				Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control Directive 2000/54/EC Law on control of strategic goods of particular significance to safety and health					
Measures to secure transport	x	x	x	NW: Directive 2008/68/EC Ionising Radiation Protection and Nuclear Safety Act, n. 102 of 2004,as amended Articles 118 and 119 The Agreement1 of 5 April 1973 between Belgium, Denmark, the Federal Republic of Germany, Ireland, Italy, Luxembourg, the Netherlands, the European Atomic Energy Community and the Agency in implementation of [the NPT] and the Protocol Additional thereto, entered into force for the Republic of Slovenia on 1 September 2006. (INFCIRC/193) CW: Directive 2008/68/EC European Agreement Concerning the International Carriage of Dangerous Goods by Road (ADR) and national regulations on the transport by road, rail, sea and inland waterways Law on control of strategic goods of particular significance to safety and health Art. 6 and Art. 7 BW: Directive 2008/68/EC European Agreement Concerning the International Carriage of Dangerous Goods by Road (ADR) and national regulations on the transport by road, rail, sea and inland waterways Law on control of strategic goods of particular significance to safety and health, Art. 6 and waterways Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7	×	x	×	NW: Directive 92/3 EURATOM: prior notification of shipments CW: Party to ADR and RID Agreements Law on control of strategic goods of particular significance to safety and health BW: Party to ADR and RID Agreements Law on control of strategic goods of particular significance to safety and health	

10	Other measures for securing		Х	x	NW: CW/BW: Law on control of strategic goods of particular significance to safety and health	х	x	NW/CW/BW: Ministry of the Interior and the Police Force secure transfers
11	Regulations for physical protection of facilities/materials/transports	х	x	х	NW: Ionising Radiation Protection and Nuclear Safety Act, art.118 and 119 Rules on the physical protection of nuclear facilities and nuclear and radioactive material 13.3.2013 CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 6 and Art. 7	X	x	NW: Ministry of Environment, Health, Internal Affairs and of Civil Defence regulation CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 31
12	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	х	X	x	NW: Directive 96/29 EURATOM: prior authorization lonising Radiation Protection and Nuclear Safety Act of 2004, as amended CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 13 (Authorization to perform strategic activities) BW: Law on control of strategic goods of particular significance to safety and health, Art. 13 (Authorization to perform strategic activities)	Х	x	NW: Ionising Radiation Protection and Nuclear Safety Act, Arts. 114-116 Ministry of Environment, Health, Internal Affairs and of Civil Defence regulation Expert council on issues relating to radiation and nuclear safety, to the physical protection of nuclear substances and facilities, to the protection of nuclear materials CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 31
13	Reliability check of personnel	x	х	x	NW: Ionising Radiation Protection and Nuclear Safety Act of 2004, as amended Art. 120 (security screening of persons considered for employment) CW/BW: Law on control of strategic goods of particular significance to safety and health, Arts. 2 and 8	х	x	NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 101, Chapter 15 (Criminal provisions) CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 31

14	Measures to account for/secure/ physically protect means of delivery	· ?	CW: Law on control of strategic goods of particular significance to safety and health, Arts. 2 and 8 BW: Law on control of strategic goods of particular significance to safety and health, Arts. 2 and 8	?	CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 2 and 8	
			one in the control of			

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
National regulatory authority	х	lonising Radiation Protection and Nuclear Safety Act of 2004,as amended	х	The Ministry of Environment and Spatial Planning is competent authority in the field of nuclear and radiation safety Slovenian Nuclear Safety Administration The Ministry of Health for medical or veterinary practices	
2 IAEA Safeguards Agreements	х	Safeguards Agreement between the States, the EURATOM and IAEA in connection with the NPT (INFCIRC/193) Additional Protocol to the Safeguards Agreement (INFCIRC/193/Add.8)	х	Safeguards Agreement between the States, the EURATOM and IAEA in connection with the NPT (INFCIRC/193) Additional Protocol to the Safeguards Agreement (INFCIRC/193/Add.8)	
IAEA Code of Conduct or 3 Safety and Security of Radioactive Sources	х	Notification pursuant to GC(47)/RES/7.B	х	The Slovenian Nuclear Safety Administration's (SNSA) scope of competence includes carrying out administrative and professional tasks and regulating of nuclear and radiological safety of nuclear facilities, nuclear trade, transport and handling of nuclear and radioactive materials, accountability and control of nuclear materials	
Supplementary Guidance on the Import and Export of 4 Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	х	Notification pursuant to GC(48)/RES/10.D	х	Notification pursuant to GC(48)/RES/10.D	

5	IAEA Incident and trafficking Database	Х	Participates	Х	The Slovenian Nuclear Safety Administration's (SNSA) is responsible for reporting	http://www.ursjv.gov.si/en/
6	Other Agreements related to IAEA	х	Agreement on the Privileges and Immunities of the IAEA (1992) Convention on the Early Notification of a Nuclear Accident (1992) Convention on assistance in the Case of a Nuclear Accident or Radiological Emergency Convention on Nuclear Safety (1996) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (1999)	х	Agreement on the Privileges and Immunities of the IAEA (1992) Convention on the Early Notification of a Nuclear Accident (1992) Convention on assistance in the Case of a Nuclear Accident or Radiological Emergency Convention on Nuclear Safety (1996) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (1999)	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1 National CWC authority	Х	Domestic law establishing the National Authority	Х	Ministry of Health Chemicals Office of the Republic of Slovenia	
Reporting Schedule I, II 2 and III chemicals to OPCW	Х	Law on control of strategic goods of particular significance to safety and health	Х	Law on control of strategic goods of particular significance to safety and health	
Account for, secure or 3 physically protect old chemical weapons					
Other legislation/ 4 regulations controlling chemical materials	Х	Rules on the Conditions for the Production of Toxic Chemicals and the Operation of Facilities for the Production Thereof	Х	Rules on the Conditions for the Production of Toxic Chemicals and the Operation of Facilities for the Production Thereof	
5 Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or					
legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
Regulations for genetic engineering work	Х	Law on control of strategic goods of particular significance to safety and health, Arts. 2 and 8		Law on control of strategic goods of particular significance to safety and health, Art. 31	
Other legislation/ regulations related to safety and security of biological materials	Х	Directives 89/391/EC (Occupational Health and Safety)	х	Directives 89/391/EC (Occupational Health and Safety)	
3 Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures,				<u>N</u> ational legal framework	En	forc	eme	nt: civil/criminal penalties, and measures of implementation, etc.	
measures, agencies exist to control border crossings, export/import and other		YES		16VFG		YES		Remarks	
transfers of NW, CW, BW and Related Materials? Can violators be penalized?	N W	c w	B W	if YES, source document	N W	N C B W W		if YES, source document	
1 Border control	x	x	x	NW: Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) State Border Control Act, 2006 CW/BW: Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) State Border Control Act, 2006 Law on control of strategic goods of particular significance to safety and health, Art. 29	х	x		NW/CW/BW: Border Control Act Criminal Code, art. 310 European Customs Rules Implementation Act of 2004	
2 Technical support of border control measures	х	х	х	NW/CW/BW: Computer support system Equipped to detect nuclear and radioactive materials	х	х	X	NW/CW/BW: Computer support system Equipped to detect nuclear and radioactive materials	

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	х	X	X	Cw/bw: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Cw/bw: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on control of strategic goods of particular significance to safety and health	х	X		NW/CW/ BW: Criminal Code, article 307, as amended License required
4	Enforcement agencies/authorities	Х	Х	х	NW/CW/BW: Customs Service Act, Art. 3 State Border Control Act, 2006, Art. 4	Х	х	x	NW/CW/BW: Customs Administration Police Force
5	Export control legislation in place	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended CW/BW: Law on control of strategic goods of particular significance to safety and health, Art. 29	x	x	X	NW: Export of Dual-Use Goods Act European Customs Rules Implementation Act of 2004 Ionising Radiation Protection and Nuclear Safety Act, art. 139 CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation) Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31 Export of Dual-Use Goods Act European Customs Rules Implementation Act of 2004
6	Licensing provisions	Х	Х	х	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the	X	х	Χ	NW: SNSA issues permits for import and export of radioactive and nuclear materials

					control of exports, transfer, brokering and transit of dual-use items lonising Radiation Protection and Nuclear Safety Act Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended				CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation) Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31 Export of Dual-Use Goods Act European Customs Rules Implementation Act of 2004	
7	Individual licensing	х	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items lonising Radiation Protection and Nuclear Safety Act Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended	х	х	x	NW: SNSA issues permits for import and export of radioactive and nuclear materials CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation) Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31 Export of Dual-Use Goods Act European Customs Rules Implementation Act of 2004	
8	3 General licensing	x	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items lonising Radiation Protection and Nuclear Safety Act	x	x	х	NW: SNSA issues permits for import and export of radioactive and nuclear materials CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the	

					Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Export of Dual-Use Goods Act of 2004 Customs Service Act of 1999 Defense Act of 1994 as amended				control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation) Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31 Export of Dual-Use Goods Act European Customs Rules Implementation Act of 2004	
9	Exceptions from licensing	x	x	х	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items) Possibility of National General Authorisation for intra-Community trade	х	х	х	NW/CW/BW: An authorisation shall be required for intra- Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation	
10	Licensing of deemed export/visa	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items) Foreign Trade and Payments Ordinance, Section 45, referring to technical assistance: visa screening system established	x	x		NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	
11	National licensing authority	x	x	x	NW/CW/BW: Directorate for Foreign Economic Relations- The Division for Dual-Use Goods and NATO's Invitation to Tenders is responsible for issuing authorisations for the export of dual-use goods and international import certificates for dual-use goods	х	x		NW/CW/BW: Ministry of the Economy Ministry of Health-National Chemicals Bureau-Department for chemicals	
12	Interagency review for licenses	х	х	х	NW/CW/BW: Interagency Commission	Х	х	х	NW/CW/BW: Ministry of the Economy Ministry of Health-National Chemicals Bureau-Department for chemicals	

13	Control lists	х	х	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	х	х	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	
14	Updating of lists	x	x	×	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	x	х	x	NW/CW/BW: The list of dual-use items set out in Annex I shall be updated in conformity with the relevant obligations and commitments and any modification thereof, that Member States have accepted as members of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	
15	Inclusion of technologies	х	х	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on control of strategic goods of particular significance to safety and health, Art. 5	х	х	х	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation CW/BW: Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31	
16	Inclusion of means of delivery	Х	х	х	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on control of strategic goods of particular significance to safety and health, Art. 5	X	X	Х	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items CW/BW: Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31	

177	End-user controls	X	X	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Regulation on Executing of Export Control of Dual-Use Goods Export of Dual-Use Goods Act, arts. 5 and 11 CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	X	X	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation)	
18	Catch all clause	x	x	x	NW/CW/BW: 1. Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Regulation on Executing of Export Control of Dual-Use Goods Export of Dual-Use Goods Act, arts. 5 and 11	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation)	
19	Intangible transfers	x	x	х	NW/CW/BW: 1.Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Regulation on Executing of Export Control of Dual-Use Goods Export of Dual-Use Goods Act, arts. 5 and 11	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation)	

20	Transit control	x	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items EU Regulation no. 648 of 13 April 2005 (Security Amendment) Ionising Radiation Protection and Nuclear Safety Act CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items EU Regulation no. 648 of 13 April 2005 (Security Amendment) Law on control of strategic goods of particular significance to safety and health, Arts. 6 and 29	X	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation lonising Radiation Protection and Nuclear Safety Act, art. 139 CW/BW: Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31	
21	Trans-shipment control	x	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items EU Regulation no. 648 of 13 April 2005 (Security Amendment) Ionising Radiation Protection and Nuclear Safety Act CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items EU Regulation no. 648 of 13 April 2005 (Security Amendment)	X	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation lonising Radiation Protection and Nuclear Safety Act, art. 139 CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation	

22	Re-export control	x	х	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items lonising Radiation Protection and Nuclear Safety Act CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on control of strategic goods of particular significance to safety and health, Art. 2	х	x	x	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items(Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation lonising Radiation Protection and Nuclear Safety Act, art. 139 CW/BW: Criminal Code, Art. 307 Law on control of strategic goods of particular significance to safety and health, Art. 31	
23	Control of providing funds	x	x	x	NW/CW/BW: Prevention Of Money Laundering And Terrorist Financing Act, 2009 (incorporates into Slovenia's laws Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing)	x	x	X	NW/CW/BW: Prevention Of Money Laundering And Terrorist Financing Act, 2009 (incorporates into Slovenia's laws Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing)	
24	Control of providing transport services	x			NW: Ionising Radiation Protection and Nuclear Safety Act, Art. 108 (In the case of the transport of nuclear materials, radioactive substances, spent fuel or radioactive waste, the shipper of radioactive substances shall have the duty of notifying the competent authorities in accordance with the previous paragraph)	x			NW: Ionising Radiation Protection and Nuclear Safety Act, art. 139	
25	Control of importation	x	x	x	NW: Council Regulation 2913/1992 (Community Customs Code) Commission Regulation 2454/1993 (Implementing provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment)	х	x		NW: Criminal Code, articles 307 and 335 Ionising Radiation Protection and Nuclear Safety Act, art. 139 CW/BW: Criminal Code, Art. 307 Law on control of strategic goods of particular	

			lonising Radiation Protectic Safety Act, Art. 101 CW/BW: Council Regulation 2913/1 Customs Code) Commission Regulation 24 (Implementing provisions to Customs Code) EU Regulation no. 648 of 1 (Security Amendment) Law on control of strategic significance to safety and heads	992 (Community 954/1993 to the Community 3 April 2005 c goods of particular		significance to safety and health, Art. 31	
26	Extraterritorial applicability						
27	Other						

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?	YES		Remarks
Control lists - items 1 (goods/ equipment/ materials/ technologies)	Х	The list of dual-use items set out in Annex I shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that Member States have accepted as members of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	
2 Control lists - other	X	Authorization can be required for non-listed goods	
3 Assistance offered	Х	Prepared to provide assistance in response to specific requests	
4 Assistance requested			
5 Point of Contact for assistance		Mr. Boštjan Jerman (Minister Counsellor, Department for Security Policy, Ministry of Foreign Affairs) Tel: +386-1-478-2256 Fax: +386-1-478-2229 E-mail: bostjan.jerman@gov.si Mr. Aljaž Arih (Permanent Mission of Slovenia to the United Nations) Tel: 212-370-3007 Fax: 212-370-1824 E-mail: aljaz.arih@mzz-dkp.gov.si	
6 Assistance in place (bilateral/multilateral)	Х	EU contribution to G 8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security	
7 Work with and inform industry	Х	Government bodies organize seminars to explain the legal obligations EU Commission organises regular meetings with industry	
8 Work with and inform the public	Х	http://www.carina.gov.si/en/ General awareness	
9 Point of Contact		Mr. Boštjan Jerman (Minister Counsellor, Department for Security Policy, Ministry of Foreign Affairs) Tel: +386-1-478-2256	

		Fax: +386-1-478-2229	
		E-mail: bostjan.jerman@gov.si	
		Mr. Aljaž Arih	
		(Permanent Mission of Slovenia to the United Nations)	
		Tel: 212-370-3007	
		Fax: 212-370-1824	
		E-mail: aljaz.arih@mzz-dkp.gov.si	
10	Other ⁴		

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.