1540 COMMITTEE MATRIX OF SLOVAKIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: SLOVAKIA

Date of Report:2 November 2004Date of First Addendum:14 December 2004Date of Second Addendum:14 December 2007

Date of Committee Approval:

	gally binding instruments, organizations, codes of conduct, arrangements, tements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non- Proliferation Treaty (NPT)	Х	Deposit 1 January 1993	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	Х	Deposit 23 March 2006	
4	Convention on Physical Protection of Nuclear	Х	Deposit -1 January 1993	

	Material (CPPNM)			
5	2005 Amendment to the CPPNM	Х	Deposit 7 March 2013	
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Deposit -3 March 1998	
7	Chemical Weapons Convention (CWC)	Х	Deposit 27 October 1995	
8	Biological Weapons Convention (BWC)	Х	Deposit 1 January 1993	
9	Geneva Protocol of 1925	Х	Deposit 22 September 1993	
10	Other Conventions/Treaties	Х	State party to 14 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): - International Convention for the Suppression of Terrorist Bombings (8 December 2000) - International Convention for the Suppression of the Financing of Terrorism (13 September 2002) EURATOM Treaty	
11	International Atomic Energy Agency (IAEA)	Х	Since 1957	
12	Hague Code of Conduct (HCOC)	Х	Subscribed 25 November 2002	
13	Other Arrangements	Х	1. Australia Group (AG) 2. Nuclear Suppliers Group (NSG) 3. Wassenaar Arrangement (WA) 4. Zangger Committee (ZC) 5. Proliferation Security Initiative (PSI)	
14	General statement on non-possession of WMD	Х	State reports that it does not possess any WMD	
15	General statement on commitment to disarmament and non-proliferation	Х	State reports that it supports the European Union Strategy against Proliferation of WMD	
16	General statement on non-provision of WMD and related materials to non-State actors	Х	State reports that it does not provide any form of support to non-State actors	
17	Other ¹	Х	Member of:	

Organisation for Security and Cooperation in Europe (OSCE) European Union (EU) Council of Europe Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism (MONEYVAL) INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) World Customs Organization (WCO), and signed WCO Declaration on implementation of Framework of Standards to secure and facilitate global trade (SAFE Framework of Standards) Egmont Group	
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^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	Ooes national legislation	<u>N</u> ational legal framework						nforc		
or	st which prohibits persons entities to engage in one f the following activities?	YES N C B			if YES, source document of national	YES			if YES, source document	Remarks
Ca	n violators be penalized?		C W	B W			C W	B W		
1	manufacture/produce	X	X	x	NW: 1. NPT ratification 2. Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended 3. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons CW: Section 4 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons BW: 1. Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons	x	X	X	NW/CW/BW: 1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty) CW: Act 129/1998, Article 41 BW: 2. Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24	
2	acquire	х	х	х	NW: 1. Act 179/1998, Article 1(2) 2. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons CW: 1. Section 6 of Act No. 129/1998 Coll. on the	x	х	х	NW/CW/BW: 1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended CW: Act 129/1998, Article 41	

					Prohibition of Chemical Weapons 2. Act 179/1998, Article 1(2) BW: 1. Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24
					NW: 1. Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended 2. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons CW:				NW/CW/BW: 1.Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended CW:
3	possess	X	Х	Х	1. Section 6 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons 2. Act 179/1998, Article 1(2)	X	X	X	Act 129/1998, Article 41
					BW: Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24
					NW: 1. Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended 2. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons				NW/CW/BW: 1.Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended
4	stockpile/store	x	x	х	CW: Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons	х	х	x	CW: Act 129/1998, Article 41
					BW: Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24

					NW: 1. Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended 2. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons				NW/CW/BW: 1.Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	
5	develop	?	х	х	CW: Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons	?	х	х	cw: Act 129/1998, Article 41	
					BW: Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24	
					NW: 1. Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended 2. Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons				NW/CW/BW: 1.Sections 419 of Act No. 300/2005 Coll. Penal Code as amended	
6	transport	х	х	х	CW: Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons	х	Х	х	CW: Act 129/1998, Article 41	
					BW: 1. Ratification of BWC 2. Act 218/2007on the Prohibition of Biological Weapons, Article 3				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24	
					NW: Act 179/1998, Article 1(2)				NW/CW/BW: 1.Sections 419 of Act No. 300/2005 Coll. Penal Code as amended	
7	transfer	х	X	x	CW: 1. Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons 2. Act 179/1998, Article 1(2)	х	Х	x	cW: Act 129/1998, Article 41	

					BW: 1. Ratification of BWC 2. Act 218/2007on the Prohibition of Biological Weapons, Article 3				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24
					NW: Act 541/2004 - Atomic Act				NW/CW/BW: 1.Sections 419 of Act No. 300/2005 Coll. Penal Code as amended
8	use	х	х	x	CW: Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons	х	х	Х	CW: Act 129/1998, Article 41
					BW: 1. Ratification of BWC 2. Act 218/2007on the Prohibition of Biological Weapons, Article 3				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24
					NW: Section 20 of Act No. 300/2005 Coll. Penal Code as amended				NW: Section 20 in connection to Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended
9	participate as an accomplice in abovementioned activities	х	х	х	CW: Section 20 of Act No. 300/2005 Coll. Penal Code as amended	х	х	х	CW: Section 20 in connection to Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended
					BW: Section 20 of Act No. 300/2005 Coll. Penal Code as amended				BW: Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended
					NW: Section 21 of Act No. 300/2005 Coll. Penal Code as amended				NW: Sections 129, 298 and 419 of Act No. 300/2005 Coll. Penal Code as amended
10	assist in abovementioned activities	х	х	x	CW: 1. Ratification of CWC 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended	х	х	x	CW: Section 21 in connection to Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended
					BW: 1. Ratification of BWC 2. Section 21 of Act No. 300/2005 Coll. Penal				BW: Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended

					Code as amended				
					NW: 1. Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1980. 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended				NW: 1. Sections 21, 233 and 234 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime) 2. Act. N. 650 of 2005 on the execution of orders to freeze property in relation to terrorist offences) 3. Ministry of Finance Decree in force since 29 November 2001.
11	finance abovementioned activities	x	x	x	CW: 1. Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1980 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended	x	x	x	CW: 1. Sections 233 and 234 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime) 2. Act. N. 650 of 2005 on the execution of orders to freeze property in relation to terrorist offences) 3. Ministry of Finance Decree in force since 29 November 2001
					BW: 1. Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1980.				BW: Section 21 in connection to 232 and 233 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime)
	abovementioned				CW: Section 3 par. 2 of Act No. 129/1998 on the Prohibition of Chemical Weapons				CW: Section 3 par. 2 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended
12	activities related to means of delivery ²		Х	X	BW: 1. Ratification of BWC 2. Section 3 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons		X	X	BW: 1. Ratification of BWC 2. Section 3 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts
13	involvement of non- State actors in abovementioned activities	х	х	х	NW: Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended CW: Section 1 of Act No. 129/1998 on the	х	х	х	NW: Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended CW: Sections 129, 298, 299 and 419 of Act No.

				Prohibition of Chemical Weapons (legal entities and natural persons)			300/2005 Coll. Penal Code as amended	
				BW: Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended			BW: Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	
14	Other	х	х	NW, CW: Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal Code as amended	х	Х	NW/CW: Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal Code as amended	

^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

	Are any of the following measures, procedures or		<u>N</u> ational legal framework						Enforcement: civil/criminal penalties and others				
ot	legislation in place to account for, secure or otherwise protect NW, CW,		YES	В	if YES, source document		YES		if YES, source document	Remarks			
В\	BW and Related Materials? Can violators be penalized?			W *	-			B W	ii 123, 30dice document				
					NW: 1. Act 541/2004 (Atomic Act) 2. Commission Regulation 302/2005/EURATOM 3. INFCIRC/193				NW: Nuclear Regulatory Authority –(UJD)				
1	Measures to account for production	X	X	X	CW: Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 9 (Highly dangerous chemicals may be handled only by legal entity on the basis of a licence granted by the Ministry).Art. 18 (keep and preserve records on highly dangerous chemicals and present them on request to the national and international inspectors during the inspection; transport highly dangerous chemicals only in specially designed transport containers complying with specific rules on packing and transporting)	х	X	X	CW: 1. Act 129/1998 on Prohibition of CW, Art. 17 (The Ministry shall withdraw a licence if: a) licence has been granted on the basis of incorrect or incomplete data, b) Licensee ceased to meet the conditions of the licence hereunder, c) foreign policy and security interests of the Slovak Republic requires this step, d) Licensee enters into bankruptcy proceedings e) Licensee has violated more than ones this Act f) responsible representative has ceased to perform its activities and the Licensee does not establish new representative without delay and does not requested the Ministry for license modification) and Article 412. 2. Section 41 of Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.				
					BW: Act 218/2007on the Prohibition of Biological				BW: Act 218/2007on the Prohibition of Biological				

					Weapons, Article 19				Weapons, Article 23 and 24
					NW: 1. Act 541/2004 (Atomic Act) 2. Commission Regulation 302/2005/EURATOM 3. INFCIRC/193				NW: Act 541/2004 - UJD performs this activity on the basis of the Atomic Act and a relevant decree. The purpose of the SSAC is, inter alia, to prevent unauthorized nuclear materials management, to detect loses of nuclear materials, and to provide information that could lead to recovery of missing material.
2	Measures to account for use	X	x	х	CW: Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts	х	х	х	CW: 1. Act 129/1998 on Prohibition of CW, Art. 17
					BW: Act 218/2007on the Prohibition of Biological Weapons, Article 19				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24
					NW: 1. Act 541/2004 (Atomic Act) 2. Commission Regulation 302/2005/EURATOM 3. INFCIRC/193				NW: Act 541/2004 - UJD performs this activity on the basis of the Atomic Act and a relevant decree. The purpose of the SSAC is, inter alia, to prevent unauthorized nuclear materials management, to detect loses of nuclear materials, and to provide information that could lead to recovery of missing material.
3	Measures to account for storage	X	X	X	CW: Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts	x	x x	Х	CW: 1. Act 129/1998 on Prohibition of CW, Art. 17 2. Section 41 of Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.
					BW: Act 218/2007on the Prohibition of Biological Weapons, Article 19				BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24

					NW: 1. Act 541/2004 (Atomic Act) 2. Commission Regulation 302/2005/EURATOM				NW: Act 541/2004 - UJD performs this activity on the basis of the Atomic Act and a relevant decree. The purpose of the SSAC is, inter alia, to prevent unauthorized nuclear materials management, to detect loses of nuclear materials, and to provide information that could lead to recovery of missing material.
4	Measures to account for transport	X	X	X	CW: 1. Council Directive 2008/68/EC 2. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Arts. 9 and 18 BW: Council Directives 2008/68/EC	X	X	X	CW: 1. Party to ADR and RID 2. Section 41 of Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll. BW: Party to ADR and RID agreements
					Council Directives 2008/08/10				raity to ADN and NiD agreements
5	Other measures for accounting	Х			NW: 1. Council Directive 2003/122/ EURATOM 2. Directive 96/29/EURATOM	X			NW: The State System of Accounting for and Control of Nuclear Materials (SSAC) is based on requirements resulting from the Safeguards Agreement between the IAEA and the Slovak Government. The regulatory activity in the field of the accounting for and control of nuclear materials also includes review and processing of the reports of any nuclear material inventory change sent to the UJD by nuclear material users, elaboration and submission of advance notifications, special and accountancy reports for the IAEA.
6	Measures to secure production	X	х		NW: Act 541/2004 (Atomic Act) CW:	х	х	х	NW: 1. State System of Accounting and Control of Nuclear Materials (SSAC) and control of high activity sealed radioactive sources (HASS) 2. Penal Code - Law No. 300/2005, Article 298 CW:
					1. Directive 98/24/EC 2. Act 129/1998: handling				1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended

					3. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.				2. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40 BW: Section 298 of Act No. 300/2005 Coll. Penal Code as amended
7	Measures to secure use	x	x	x	NW: Act 541/2004 (Atomic Act) CW: 1. Directive 98/24/EC 2. Act 129/1998: handling 3. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll. BW: 1. Directive 2000/54/EC 2. 1. Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control 3. Act 218/2007 on the Prohibition of Biological Weapons, Article 6	x	x	x	NW: 1. State System of Accounting and Control of Nuclear Materials (SSAC) and control of high activity sealed radioactive sources (HASS) 2. Penal Code - Law No. 300/2005, Article 298 CW: 1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40 BW: Section 298 of Act No. 300/2005 Coll. Penal Code as amended
8	Measures to secure storage	X	X	X	NW: Act 541/2004 (Atomic Act) CW: 1. Directive 98/24/EC 2. Act 129/1998: handling 3. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	х	×	×	NW: 1. State System of Accounting and Control of Nuclear Materials (SSAC) and control of high activity sealed radioactive sources (HASS) 2. Penal Code - Law No. 300/2005, Article 298 CW: 1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40

					BW: 1. Directive 2000/54/EC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 6				BW: Section 298 of Act No. 300/2005 Coll. Penal Code as amended	
9	Measures to secure transport	X	X	X	NW: Council Directives 2008/68/EC CW: 1. Council Directives 2008/68/EC 2. Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 3. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 18 (transport highly dangerous chemicals only in specially designed transport containers complying with specific rules on packing and transporting) BW: Council Directives 2008/68/EC	x	x	x	NW: 1. Directive 92/3 EURATOM: prior notification of shipments 2. Route charting by UJD CW: 1. Party to ADR and RID agreements 2. Section 298 of Act No. 300/2005 Coll. Penal Code as amended BW: 1. Party to ADR and RID agreements 2. Section 298 of Act No. 300/2005 Coll. Penal	
10	Other measures for securing	x			NW: Act 272/1994 on Public Health Protection				Code as amended	
11	Regulations for physical protection of facilities/materials/transports	Х	Х	х	NW: Act 541/2004 (Atomic Act)	х	х	Х	NW: Protection system and a set of technical tools and organizational matters to prevent unauthorized use of facilities, materials, etc	

					CW: Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll. BW: Act 218/2007 on the Prohibition of Biological Weapons, Article 6				CW: Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40 BW: Act 218/2007 on the Prohibition of Biological Weapons, Article 6	
12	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	x	х	x	NW: Act 541/2004 (Atomic Act) CW: 1. Act 129/1998 on Prohibition of CW, Chapter II 2. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll. BW: 1. Directive 2000/54/EC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 5	x	x	х	NW: 1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 541/2004 CW: 1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 129/1998 on Prohibition of CW, Article 41 3. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40 BW: 1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 23 and 24	
13	Reliability check of personnel	X	X	X	NW: Act 541/2004 (Atomic Act) CW: 1. Sections 11 and 12 of Act No. 129/1998 on the Prohibition of Chemical Weapons (have a clean criminal record: A person shall be deemed to have a clean criminal record for the purposes hereof if such person, who: a) was not convicted for an offence whose merits are connected with the activity to be licensed, b) was not convicted for deliberate offence.) 2. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.		Х	X	CW: 1. Act 129/1998 on prohibition of CW, Article 42 2. Act No.163/2001Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	

			BW: Act 218/2007on the Prohibition of Biological Weapons, Article 12		BW: Act 218/2007on the Prohibition of Biological Weapons, Article 23 and 24	
14	Measures to account for/secure/ physically protect means of delivery					

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

	Are any of the following neasures, procedures or		<u>N</u> ational legal framework	<u>E</u> nfor	cement: civil/criminal penalties and others		
ot	legislation in place to account for, secure or therwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
1	National regulatory authority	Х	Act 541/2004 (Atomic Act)	Х	Nuclear Regulatory Authority (UJD SR)		
2	IAEA Safeguards Agreements	Х	INFCIRC/193, Accession : 1 Dec. 2005 Additional Protocol, Accession : 1 Dec. 2005	х	Ministry of Health		
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	х	Expressed support	х	Point of Contact for Import and Export: Head, Radiation Protection Section- Public Health Authority of the Slovak Republic		
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Х	Expressed support	Х	Expressed support		
5	IAEA incident and Trafficking Database	Х	Participates	x	Participates		
6	Other Agreements related to IAEA	Х	1. Convention on Early Notification of a Nuclear Accident, if force 1993-01-01 2. Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force 1993-01-01 3. Convention on Nuclear Safety, in force 1996-10-24 4. Vienna Convention on Civil Liability for Nuclear Damage, in force 1995-06-07	Х	1. Convention on Early Notification of a Nuclear Accident, if force 1993-01-01 2. Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force 1993-01-01 3. Convention on Nuclear Safety, in force 1996-10-24 4. Vienna Convention on Civil Liability for Nuclear Damage, in force 1995-06-07		

			5. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA, in force 1995-10-04 6. Agreement on the Privileges and immunities of the IAEA, in force 1993-09-27 7. Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, in force 1995-06-07 8. Joint Convention on the safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, 2001-06 -18		5. Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA, in force 1995-10-04 6. Agreement on the Privileges and immunities of the IAEA, in force 1993-09-27 7. Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, in force 1995-06-07 8. Joint Convention on the safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, 2001-06-18	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other	Х	Act n. 130 /1998 Operator's Responsibilities with respect to Supervision	Х	Ministry of Health	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

	Are any of the following neasures, procedures or		<u>N</u> ational legal framework	<u>E</u> nfor			
ot	legislation in place to account for, secure or therwise protect CW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
1	National CWC authority	Х	Section 3 of Act No. 129/1998 on the Prohibition of Chemical Weapons	Х	Ministry of Economy		
2	Reporting Schedule I, II and III chemicals to OPCW	х	Section 3 of Act No. 129/1998 on the Prohibition of Chemical Weapons	x	Reported		
3	Account for, secure or physically protect old chemical weapons	х	Act No. 129/1998 on the Prohibition of Chemical Weapons	х	Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40		
4	Other legislation/ regulations controlling chemical materials						
5	Other						

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

	are any of the following neasures, procedures or		<u>N</u> ational legal framework	<u>E</u> nfor		
ot	legislation in place to account for, secure or herwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1	Regulations for genetic engineering work	х	Council directive 90/219/EEC as amended by Council directive 98/81/EC	х	National BWC implementation office, Ministry of Health Section 309 of Act No. 300/2005 Coll. Penal Code as amended	
2	Other legislation/ regulations related to safety and security of biological materials	Х	Directives 89/391/EC			
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

ı	Which of the following legislation, procedures, measures, agencies exist to		<u>N</u> ational legal framework					Enforcement: civil/criminal penalties, and measures of implementation, etc				
e	control border crossings, export/import and other		YES				YES		TVES	Remarks		
an	transfers of NW, CW, BW and Related Materials? Can violators be penalized?		c W	B W	if YES, source document		N C B W W W		if YES, source document			
1	Border control	X	х	х	NW/CW/BW: 1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community 5. Customs Act 199/2004	x	X	X	NW/CW/BW: 1. Customs hazardous materials and drug enforcement unit at Bratislava airport 2. Border control is performed at the EU external border, international airports, post offices for international consignment			
2	Technical support of border control measures	х			NW: EU Regulation no. 648 of 13 April 2005 (Security Amendment) New Computerised Transit System (NCTS) - Security and Safety Aspects is to be updated in its features as required by Regulation (EC) 648/2005	x			NW: Portable x-ray, pagers for detection of radioactive substances			
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	х	х	х	NW/CW/BW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	x	X	Х	NW/CW/BW: 1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998			

					2. Act 179/1998 3. Act 21/2007 on Dual Use Goods and Technologies					
4	Enforcement agencies/authorities	x	x	х	NW/CW/BW: Act n. 199 of 2004 amending the Customs Law Memoranda on co-operation between the customs authorities and technical research institutes in 2004. The memoranda provide for an important tool in identifying, specifying and categorizing of various potential dual-use commodities.	x	x	x	NW/CW/BW: Customs Directorate A specialized bureau was set up at Bratislava International Airport by the customs hazardous materials and drug enforcement unit in 2003 in order to combat against weapons and hazardous materials trafficking	
5	Export control legislation in place	x	x		NW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. Act 21/2007 on Dual Use Goods and Technologies 3. Act 179/1998 4. Council Regulation No. 2913/1992 (Community Customs Code) 6. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 7. Customs Act 199/2004 CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. Act 21/2007 on Dual Use Goods and Technologies 3. Act 179/1998 4. Council Regulation No. 2913/1992 (Community Customs Code) 6. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 7. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 19 (Highly	х	x		NW/CW: 1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	

6	Licensing provisions	X	X	X	dangerous chemicals may be exported or imported only by a Licensee on the basis of the Licence issued for export and import of highly dangerous chemicals for the research, medical, pharmaceutical or protective purposes) 8. Customs Act 199/2004 NW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 20 (Process of granting export and import licence for highly dangerous chemical is regulated by the special Decree of the Government of the Slovak Republic No. 390/1997 Coll on custom duties if this Act does not state otherwise) BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and	x	X	х	NW/CW/BW: Customs Act No. 199/2004 Art. 73	
					transit of dual-use items				NIM/CM/DM.	
7	Individual licensing	x	x	x	NW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	x	x	x	NW/CW/BW: Customs Act No. 199/2004 Art. 73	

					2. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 20 (Process of granting export and import licence for highly dangerous chemical is regulated by the special Decree of the Government of the Slovak Republic No. 390/1997 Coll on custom duties if this Act does not state otherwise) BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items				
8	General licensing	X	x	x	NW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 20 (Process of granting export and import licence for highly dangerous chemical is regulated by the special Decree of the Government of the Slovak Republic No. 390/1997 Coll on custom duties if this Act does not state otherwise) BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	X	X	NW/CW/BW: Customs Act No. 199/2004 Art. 73	
9	Exceptions from licensing	х	х	Х	NW/CW: Council regulation (EC) n. 428/2009 of 5 May	Х	Х	NW/CW: An authorisation shall be required for intra-	

					2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items. Possibility of National General Authorisation for intra-Community trade				Community transfers of dual-use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation
					BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items. Possibility of National General Authorisation for intra-Community trade				BW: An authorisation shall be required for intra- Community transfers of dual-use items listed in Annex IV. Items listed in Part 2 of Annex IV shall not be covered by a general authorisation
10	Licensing of deemed export/visa	х	х	х	NW/CW/BW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses				
11	National licensing authority	x	x	x	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (article 9: for all other exports for which an authorisation is required under the Regulation, leaves to the national authorities the final decision as to whether to grant a national, global or individual export licence)	x	x	x	NW/CW/BW: Department of Sensitive Goods Trading Management, Ministry of Economic Affairs
12	Interagency review for licenses	x	x	x	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items sets up a Coordination Group (Article 23) chaired by the Commission, at which each Member State is represented	x	х	х	NW/CW/BW: Ministry of Economy, Ministry of Foreign Affairs, Ministry of Defence, Ministry of Interior, Ministry of Health, Customs Directorate, Slovak Intelligence Service, Nuclear Regulatory Authority
13	Control lists	Х	Х	Х	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	х	х	Х	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items

14	Updating of lists	x	×	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 15	x	x	x	NW/CW/BW: The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	
15	Inclusion of technologies	Х	Х	х	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	х	х	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24	
16	Inclusion of means of delivery	x	х	х	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	х	x	x	NW, CW ,BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24	
17	End-user controls	х	x	х	NW/CW/BW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14	x	х	х	NW/CW/BW: 1. Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14	
18	Catch all clause	Х	Х	х	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	х	х	Х	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24	
19	Intangible transfers	х	Х	Х	NW/CW/BW: Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the	Х	Х	х	NW/CW/BW: Act 21/2007 on Dual Use Goods and Technologies	

					control of exports, transfer, brokering and transit of dual-use items					
20	Transit control	X	x	x	NW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments CW, BW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments 3. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and amendments to some acts, Art. 25 (Transit of highly dangerous chemical through the Slovak Republic shall take place only with certificate provided by the Ministry to the transport contractor)	x	X	X	NW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24 CW: Act 129/1998, Art. 41 BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24	
21	Trans-shipment control	x	х	х	NW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls	x	x	x	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 24	

					including physical inspection of the means of transport and the consignments CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments BW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments				
22	Re-export control	X	x	x	NW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments CW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items	x	х	NW/CW/BW: Act 21/2007 on Dual Use Goods and Technologies	

					2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments BW: 1. Council regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items 2. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments					
23	Control of providing funds									
24	Control of providing transport services									
25	Control of importation	X	x	x	NW: 1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 4. Customs Act 199/2004 CW: 1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation No. 2454/1993 (Implementing Provisions of the Community Customs Code) 4. Act n. 129 of 1 April 1998 on Prohibition of Chemical Weapons, and on changes and	х	x	x	NW/CW/BW: Customs Act No. 199/2004 Art. 73	

amendments to some acts (Import of chemical	
weapons to the Slovak Republic or their transit	
through the territory of the Slovak Republic is	
hereby prohibited)Art. 19 (Highly dangerous	
chemicals may be exported or imported only	
by a Licensee on the basis of the Licence issued	
for export and import of highly dangerous	
chemicals for the research, medical,	
pharmaceutical or protective purposes)	
5. Customs Act 199/2004	
6. Decree of the Government of the Slovak	
Republic No. 390/1997 Coll on custom duties	
1. EU Regulation no. 648 of 13 April 2005	
(Security Amendment), Article 1.14	
2. Council Regulation No. 2913/1992	
(Community Customs Code)	
3. Commission Regulation No. 2454/1993	
(Implementing Provisions of the Community	
Customs Code)	
4. Customs Act 199/2004	
es Extraterritorial	
26 applicability	
27 Other	

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

	information be provided on the following issues?	YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered	Х	Prepared to consider any specific request from States lacking the legal and regulatory infrastructure, implementation experience and/or resources for fulfilling the provisions of the resolution	
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)	Х	EU contribution to G 8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security	
7	Work with and inform industry	Х	Relationship with industry through individual consultations; seeking more action-oriented approach to industry and public	
8	Work with and inform the public	Х	Information dissemination via Government web sites and publications and activities of the Committee for International Humanitarian Law (advisory body of the Minister of Foreign Affairs of the Slovak Republic)	
9	Point of Contact	х	Department of Disarmament, OSCE and Counter-Terrorism, Ministry of Foreign Affairs	
10	Other ⁴			

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.