

# 1540 COMMITTEE MATRIX OF SAUDI ARABIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

## OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Saudi Arabia  
 Date of Report: 1 November 2004  
 Date of First Addendum: 28 March 2006  
 Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 3 October 1988	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposited 21 January 2011	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposited 7 January 2009	

5	2005 Amendment to the CPPNM	X	Deposited 21 January 2011	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)			
7	Chemical Weapons Convention (CWC)	X	Deposit 9 August 1996	
8	Biological Weapons Convention (BWC)	X	Deposit 24 May 1972	
9	Geneva Protocol of 1925	X	Deposit 27 January 1971	
10	Other Conventions/Treaties	X	State Party to 16 of 19 universal legal instrument against terrorism, including the following relevant to resolution 1540 (2004): - 1997 International Convention for the Suppression of Terrorist Bombing - 1999 International Convention for the Suppression of Financing of Terrorism - 2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation - 2005 Protocol to the Convention for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	
11	International Atomic Energy Agency (IAEA)	X	Since 1962	
12	Hague Code of Conduct (HCOC)			
13	Other Arrangements	X	Global Initiative to Counter Nuclear Terrorism (GICNT) WCO Safe Frame Work of Standard to Facilitate Global Trade Proliferation Security Initiative (PSI)	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	X	Saudi Arabia is convinced that the proliferation of WMD and their means of delivery constitutes a threat to international peace and security	
16	General statement on non-provision of WMD and related materials to non-State actors			
17	Other <sup>1</sup>	X	Member of: FATF via the Gulf Cooperation Council WCO WHO INTERPOL OIE IMO	

			GCC League of Arab States	
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1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

## OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce		X		CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), 2005, Art. 2	?	X	?	NW: Arms and Ammunition Regulations 2007, Articles 1 (radiation), 36-37, 41-42, 47, and 49-51  CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), 2005, Art. 17  BW: Arms and Ammunition Regulations 2007, Arts 1 (toxin), 34-37, 41	
2	acquire		X		CW: Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 2	?	X	?	NW: Arms and Ammunition Regulations, Arts 1 (radiation), 34-37, 41  CW: Implementation Act of the CWC, Art. 17  BW: Arms and Ammunition Regulations, Arts 1 (toxin), 34-37, 41	
3	possess		X		CW: Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 2 (retain)		X		CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 17	
4	stockpile/store		X		CW: Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 2		X		CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 17	

5	develop		X		CW: Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 2		X		CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 17		
6	transport						?	?	CW: Arms and Ammunition Regulations, Articles 1, 41  BW: Arms and Ammunition Regulations, Articles 1, 41		
7	transfer		X		CW: Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 2		?	X	?	NW: Arms and Ammunition Regulations, Articles 36-37, 41-42, 47, and 49-51  CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 17  BW: Arms and Ammunition Regulations, Articles 34-37, 41-42, 47	
8	use		X		CW: Implementation Act of the CWC, Art 2		?	X	?	NW: Arms and Ammunition Regulations, Article 34  CW: Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 17  BW: Arms and Ammunition Regulations, Article 34	
9	participate as an accomplice in abovementioned activities		X		CW: Implementation Act of the CWC (Council of		?	X	?	NW: Arms and Ammunition Regulations, Article 48 (shared in violation)  CW: Implementation Act of the CWC (Council of	

					Ministers Resolution No. 261), Art 23 (The penalties provided for in this Act shall apply to any person who assists, encourages or induces another by any means to carry out activities prohibited by the Convention.)				Ministers Resolution No. 261), Art 23 (The penalties provided for in this Act shall apply to any person who assists, encourages or induces another by any means to carry out activities prohibited by the Convention.)  <b>BW:</b> Arms and Ammunition Regulations, Article 48 (shared in violation)	
10	assist in abovementioned activities		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 23 (The penalties provided for in this Act shall apply to any person who assists, encourages or induces another by any means to carry out activities prohibited by the Convention.)	?	X	?	<b>NW:</b> Arms and Ammunition Regulations, Article 48 (shared in violation)  <b>CW:</b> Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 23 (The penalties provided for in this Act shall apply to any person who assists, encourages or induces another by any means to carry out activities prohibited by the Convention.)  <b>BW:</b> Arms and Ammunition Regulations, Article 48 (shared in violation)	
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> The Law of Combating Money Laundering [003], promulgated by Royal Decree No. M-39 of August 2003, especially Article 2 and 3 (Financing of terrorism, terrorist acts and terrorist organizations)	X	X	X	<b>NW/CW/BW:</b> The Law of Combating Money Laundering, 2003, Article 11 and 12, Anti-money laundering Law and its implementation Regulations- promulgated by Royal Decree No. M-39, Article 11 and 12. SA-Financial Intelligence Unit since Sep 2005	
12	abovementioned activities related to means of delivery <sup>2</sup>									
13	involvement of non-State actors in abovementioned activities		X		<b>CW:</b>	?	X	?	<b>NW:</b> Arms and Ammunition Regulations, Arts 34-37, 41-42, 47-49  <b>CW:</b>	

					Implementation Act of the CWC(Council of Ministers Resolution No. 261),				Implementation Act of the CWC (Council of Ministers Resolution No. 261), Arms and Ammunition Regulations, Arts 34-37, 41-42, 47-49  <b>BW:</b> Arms and Ammunition Regulations, Arts 34-37, 41-42, 47-49	
14	Other									

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials<sup>3</sup>**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized ?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X		<b>NW:</b> INFCIRC/746 and SQP  <b>CW:</b> Implementation Act of the CWC(Council of Ministers Resolution No. 261), Art 9		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 21	
2	Measures to account for use	X	X		<b>NW:</b> INFCIRC/746 and SQP  <b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 9		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 21	
3	Measures to account for storage	X	X		<b>NW:</b> INFCIRC/746 and SQP  <b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 9		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 21	
4	Measures to account for transport									
5	Other measures for accounting									
6	Measures to secure production		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 5		X		<b>CW:</b> Implementation Act of the CWC, (Council of Ministers Resolution No. 261), Article 18	



7	Measures to secure use		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 5		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 18	
8	Measures to secure storage		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 5		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 18	
9	Measures to secure transport									
10	Other measures for securing		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 6		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Article 18	
11	Regulations for physical protection of facilities/materials/ transports									
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Chapter 3, Article 5 – 8		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Articles 18 and 21	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority			?	King Abdulaziz City for Atomic and Renewable Energy (K.A.CARE), is Nuclear Energy Programme Implementing Organization (NEPIO)	
2	IAEA Safeguards Agreements	X	INFCIRC/746 13 January 2009 and SQP	X	Safeguards Agreement in force 13 January 2009	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources					
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources					
5	IAEA Incident and Trafficking Database	X	Participates	X	Participates	
6	Other Agreements related to IAEA					
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other	?	Developing a national system for protection against ionizing radiation			

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Implementation Act of the CWC (Council of Ministers Resolution No. 261),	X	Directorate for International Organisations, Ministry of Foreign Affairs	
2	Reporting Schedule I, II and III chemicals to OPCW					
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	?	Acceded 2 January 2006 to Statutes of the International Centre for Genetic Engineering and Biotechnology (Madrid, 13 September 1983)	?	Statutes enter into force on 1 February 2006, 30th day after deposit of accession instrument	
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials**

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials ? Can violators be penalized ?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	<b>NW:</b> Border Security Statute and implementing regulations The Common Customs Law for the Arab States of the Gulf Decision No. (85) Dated 01/04/1421H  <b>CW:</b> Border Security Statute and implementing regulations The Common Customs Law for the Arab States of the Gulf Decision No. (85) Dated 01/04/1421H Import Licensing Guidelines Council of Ministers Resolution No. 84 dated 03.07.2000  <b>BW:</b> Border Security Statute and implementing regulations The Common Customs Law for the Arab States of the Gulf Decision No. (85) Dated 01/04/1421H	X	X	X	<b>NW:</b> Customs Department General Ports Authority Frontier Force  <b>CW:</b> Customs Department General Ports Authority Frontier Force  <b>BW:</b> Customs Department Animal and Plant Quarantine Department, Ministry of Agriculture and Water Ministry of Commerce and Industry (for Sanitary and Phyto-Sanitary reporting) General Ports Authority Frontier Force	
2	Technical support of border control measures									
3	Control of brokering, trading in, negotiating, otherwise assisting in									

	sale of goods and technology								
4	Enforcement agencies/authorities								
5	Export control legislation in place		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 8		X		<b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art. 21
6	Licensing provisions								
7	Individual licensing								
8	General licensing								
9	Exceptions from licensing								
10	Licensing of deemed export/visa								
11	National licensing authority	?	X	?	<b>NW:</b> Arms and Ammunition Regulations, Art 1 (radiation)  <b>CW:</b> Import Licensing Guidelines, Council of Ministers Resolution No. 84 dated 03.07.2000: chemicals Arms and Ammunition Regulations, Art 1 (gases)  <b>BW:</b> Arms and Ammunition Regulations 2007, Arts 1 (toxins), 4	?	X	?	<b>NW:</b> Arms and Ammunition Regulations, Articles 36-37, 41-42, 47, and 49-51 Ministry of Interior  <b>CW:</b> Ministry of Commerce: chemicals Ministry of Interior: dangerous chemicals and explosives  <b>BW:</b> Arms and Ammunition Regulations, Articles 36-37, 41-42, 47, and 49-51 Ministry of Interior
12	Interagency review for licenses								
13	Control lists								
14	Updating of lists								
15	Inclusion of technologies								

16	Inclusion of means of delivery								
17	End-user controls								
18	Catch all clause								
19	Intangible transfers								
20	Transit control								
21	Trans-shipment control								
22	Re-export control								
23	Control of providing funds								
24	Control of providing transport services								
25	Control of importation	?	X	?	<p><b>NW:</b> Arms and Ammunition Regulations Art 1 (radiation)</p> <p><b>CW:</b> Implementation Act of the CWC (Council of Ministers Resolution No. 261), Art 8 Import Licensing Guidelines, Council of Ministers Resolution No. 84 dated 03.07.2000: chemicals</p> <p><b>BW:</b> Import Licensing Guidelines, Council of Ministers Resolution No. 84 dated 03.07.2000 Arms and Ammunition Regulations 2007, Arts 1, 4</p>	X	X	?	<p><b>NW:</b> No entry of chemicals and radioactive materials without prior authorization Arms and Ammunition Regulations, Articles 36-37, 41-42, 47, and 49-51 Ministry of Interior</p> <p><b>CW:</b> Implementation Act of the CWC, Art 21 Import Licensing Guidelines, Council of Ministers Resolution No. 84 dated 03.07.2000, Article 13</p> <p><b>BW:</b> Arms and Ammunition Regulations, Articles 34-37, 41-42, 47, and 49-51 Ministry of Interior Ministry of Health Ministry of Agriculture and Water</p>
26	Extraterritorial applicability								
27	Other								

## OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues ?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry			
8	Work with and inform the public			
9	Point of Contact			
10	Other <sup>4</sup>			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.