

1540 COMMITTEE MATRIX OF SAINT LUCIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:
Date of Report

Saint Lucia
3 December 2009

Last Revised
Date of Committee Approval

January 2015

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 28 December 1979	
2	Nuclear Weapons Free Zone/ Protocol(s)	X	Treaty of Tlatelolco, deposit 2 June 1995	
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Ratified 12 November 2012	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 14 September 2012	

5	2005 Amendment to the CPPNM	X	Deposit 8 November 2012	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 05 April 2001	
7	Chemical Weapons Convention (CWC)	X	Deposit 09 April 1997	
8	Biological Weapons Convention (BWC)	X	Deposit 26 November 1986	
9	Geneva Protocol of 1925	X	Deposit 14 December 1988	
10	Other Conventions/Treaties	X	<p>1. State Party to 17 of the 19 universal legal instrument against terrorism, including the following relevant to resolution 1540 (2004):</p> <ul style="list-style-type: none"> a. 1997 Convention for the Suppression of Terrorist Bombing 17 October 2012 b. 1999 International Convention for the Suppression of the Financing of Terrorism 18 November 2011 c. 2005 Protocol to the Convention For the Suppression of Unlawful Acts Against the safety of Maritime Navigation 6 February 2013 d. Protocol to the Protocol on for the Suppression of Unlawful Acts Against the Safety of Fixed Platforms Located on the Continental Shelf 6 February 2013 e. 2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation 12 September 2012 	
11	International Atomic Energy Agency (IAEA)			
12	Hague Code of Conduct (HCOC)			
13	Other Arrangements			
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation			
16	General statement on non-provision of WMD and related materials to non-State actors			
17	Other ¹	X	Member of Caribbean Community International Maritime Organisation Organization of Eastern Caribbean States (OECS)	

			Organisation of American States World Customs Organisation (SAFE Framework) World Health Organisation Cotonou Agreement signed 23 June 2000	
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1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X		NW, Penal Code 152 a (alters) CW: Pesticides and Toxic Chemicals Control Act of 2005 [designed also to implement CWC], Section 26 BW:	X	X		NW, Penal Code 152 a (alters) CW: Pesticides and Toxic Chemicals Control Act of 2005 [designed also to implement CWC], Section 64 [penalty] BW:	
2	acquire	X	X	X	NW: Penal Code 152 a CW: Pesticides and Toxic Chemicals Control Act of 2005 [designed also to implement CWC], Section 26 BW:	X	X		NW: - Penal Code 152 a CW: Pesticides and Toxic Chemicals Control Act of 2005 [designed also to implement CWC], Section 64 [penalty] BW:	
3	possess	X	X		NW, Penal Code 152 a CW: Firearms Act No. 9 of 2003, Sections 3, 22, 26 and 30 (noxious gases) Pesticides and Toxic Chemicals Control Act of	X	X		NW, Penal Code 152 a CW: Firearms Act No. 9 of 2003, Sections 3, 22, 26 and 52	

				2005 [designed also to implement CWC], Section 26 CHECK ARTICLE BW:				BW:	
4	stockpile/store		X	NW: CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 26 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 64 BW:	
5	develop		X	NW: CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 26 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 64 BW:	
6	transport								
7	transfer	X	X	NW: Penal Code 152 a CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 26 BW:	X	X		NW: Penal Code 152 a CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 26 BW:	
8	use	X	X	X NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended NW Penal Code 152 a CW Pesticides and Toxic Chemicals Control Act of 2005, Section 26	X	X	X	NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended NW Penal Code 152 a CW: Pesticides and Toxic Chemicals Control Act of 2005, Section 26	

9	participate as an accomplice in abovementioned activities				NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended				NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended	
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended CW: Pesticides and Toxic Chemicals Control Act of 2005, BW:	X	X		NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended CW: Pesticides and Toxic Chemicals Control Act of 2005	
11	finance abovementioned activities	X	X	X	NW/CW/BW: Money Laundering Prevention Act 2010 Anti-Terrorism (Amendment) Act No 5 of 2010 Anti-Terrorism Act of 2003 came into force through the Anti-Terrorism Act (Commencement) Order (2008)	X	X	X	NW/CW/BW: Money Laundering Prevention Act 2010: Section 4 - continues Financial Intelligence Authority (FIA) (created in 2003 legislation repealed by 2010 version) 17th May 2010, Money laundering (Prevention) (Guidance Notes) Regulations made by Attorney General pursuant to Section 43 of MLPA 2010 , incorporating guidelines issued by FIA Anti-Terrorism (Amendment) Act No 5 of 2010	
12	abovementioned activities related to means of delivery ²		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		x		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:	
13	involvement of non-State actors in abovementioned activities	X	X	X	NW/CW/BW: Anti-Terrorism Act 2003, No. 36 as amended CW: Pesticides and Toxic Chemicals Control Act of 2005	V	X		NW/CW/BW: Penal Code No 9 of 2004 as amended Art 101 CW: Pesticides and Toxic Chemicals Control Act of 2005	
14	Other									

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X		NW: INFCIRC/379 2 February 1990 SQP CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:	
2	Measures to account for use	X	X		NW: INFCIRC/379 2 February 1990 SQP CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:	
3	Measures to account for storage	X	X		NW: INFCIRC/379 2 February 1990 SQP CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:	
4	Measures to account for transport									

5	Other measures for accounting								
6	Measures to secure production		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:
7	Measures to secure use		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:
8	Measures to secure storage		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 BW:
9	Measures to secure transport								
10	Other measures for securing		X	?	NW: CW: Customs Act, Section 60 - Dangerous goods which are not subject to any other special regulations to be immediately secured after examination BW: Plant Protection Act, No. 15 of 2005 Customs Act, Section 60 - Dangerous goods which are not subject to any other special regulations to be immediately secured after examination	?	?	?	NW/CW: St. Lucia Air and Sea Ports Authority (SLASPA) for ISPS Code compliance BW: Crop Protection and Quarantine Unit St. Lucia Air and Sea Ports Authority (SLASPA) for ISPS Code compliance
11	Regulations for physical protection of facilities/materials/ transports								

12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		X		NW: CW: Pesticides and Toxic Chemicals Control Act No. 15 of 2005, BW:		X		NW: CW: Pesticides and Toxic Chemicals Control Act No. 15 of 2005 BW:	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority					
2	IAEA Safeguards Agreements	X	INFCIRC/379 2 February 1990 SQP	X	INFCIRC/379 2 February 1990 SQP	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources					
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources					
5	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources					
6	Other Agreements related to IAEA					
7	Additional national legislation/regulations related to nuclear materials including CPPNM					

8	Other					
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OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Pesticides and Toxic Chemicals Control Act No. 15 of 2005,	X	Pesticides and Toxic Chemicals Control Board to also serve as CWC National Authority OPCW website lists: The Permanent Secretary, Ministry of External Affairs, International Trade and Civil Aviation	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Reported	X	Reported	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including
Related Materials (BW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		<u>N</u> ational legal framework		<u>E</u> nforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work					
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	NW/CW: Customs (Control and Management) Act No. 23 of 1990, as amended External Trade Act No. 5 of 1968 External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 BW: Customs (Control and Management) Act No. 23 of 1990, as amended External Trade Act No. 5 of 1968 External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 Plant Protection Act, No. 21 of 1988 Animals (Diseases and Importation) Ordinance Chapter 41	X	X	X	NW/CW: Customs (Control and Management) Act, as amended, Sections 104-118 for offences St. Lucia Customs & Excise Department St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001 BW: Customs (Control and Management) Act, as amended, Sections 40-41, 104-118 for offences St. Lucia Customs & Excise Department St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001	
2	Technical support of border control measures				NW: CW: BW:	X	X	X	NW/CW/BW: Uses ASYCUDA++ electronic filing system	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology									

4	Enforcement agencies/authorities									
5	Export control legislation in place		X	?	NW: CW: Pesticides and Toxic Chemicals Control Act of 2005 Firearms Act of 2003, Section 21 BW: Plant Protection Act, No. 15 of 2005 Customs (Control and Management) Act, as amended, Sections 40-41,104-118		X	?	NW: CW: 1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001 4. Firearms Act of 2003, Section 21 BW: 1. Customs (Control and Management) Act, as amended, Sections 40-41,104-118 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit	
6	Licensing provisions									
7	Individual licensing									
8	General licensing									
9	Exceptions from licensing									
10	Licensing of deemed export/visa									
11	National licensing authority	?	X	X	NW: Customs (Control and Management) Act, as amended, Sections 104-118 for offences CW/BW: Ministry of Trade, Industry, and Commerce for the External Trade Act, import licenses Ministry of Agriculture, Forestry, and Fisheries, Plant Protection Act, No. 21 of 1988 Ministry of Agriculture, Forestry, and Fisheries,	?	X	?	NW/CW Customs (Control and Management) Act, as amended, Sections 104-118 for offences St. Lucia Customs & Excise Department St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001 Firearms Act 2003 Commissioner of Police BW:	

					Animals (Diseases and Importation) Ordinance Chapter 41 Firearms Act of 2003				Crop Protection and Quarantine Unit Veterinary Services Unit	
12	Interagency review for licenses									
13	Control lists									
14	Updating of lists									
15	Inclusion of technologies									
16	Inclusion of means of delivery									
17	End-user controls									
18	Catch all clause									
19	Intangible transfers									
20	Transit control	X	X	X	NW/CW/BW: Customs (Management and Control) Act No. 23 of 1990, External Trade Act No. 5 of 1968, and the External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	X	X	X	NW/CW/BW: Customs (Control and Management) Act, as amended, Sections 104-118 for offences St. Lucia Customs & Excise Department Crop Protection and Plant Quarantine Unit Veterinary Services Unit	
21	Trans-shipment control									
22	Re-export control CHECK	?	X	X	NW/CW/BW: Customs (Control and Management) Act, as amended, Sections 104-118 for offences St. Lucia Customs & Excise Department Crop Protection and Plant Quarantine Unit Veterinary Services Unit	?	X	X	NW/CW/BW: Customs (Control and Management) Act, as amended, Sections 104-118 for offences St. Lucia Customs & Excise Department Crop Protection and Plant Quarantine Unit Veterinary Services Unit	
23	Control of providing funds	X	X	X	NW/CW/BW: Money Laundering (Prevention) Act, 2010	X	X	X	NW/CW/BW: Money Laundering (Prevention) Act, 2010 Penal Code 2004 as amended	

24	Control of providing transport services									:
25	Control of importation	?	X	X	NW: Customs (Control and Management) Act, as amended, Schedule 3 CW: Pesticides and Toxic Chemicals Control Act of 2005 Firearms Act of 2003, Section 21 BW: 1. Customs (Management and Control) Act No. 23 of 1990 2. External Trade Act No. 5 of 1968 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 4. Plant Protection Act, No. 21 of 1988 5. Animals (Diseases and Importation) Ordinance Chapter 41 6. Firearms Act 2003	X	X	X	NW: 1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences check 2. St. Lucia Customs & Excise Department 3. Firearms Act of 2003, Section 21 CW: 1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Firearms Act of 2003, Section 21 BW: 1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit 4. Veterinary Services Unit	
26	Extraterritorial applicability									
27	Other	X	X	X	NW: Importers and Exporters must register The External Trade Act No. 5 of 1968 was under review in 2007 CW: Importers and Exporters must register The External Trade Act No. 5 of 1968 was under review in 2007 BW: Importers and Exporters must register The External Trade Act No. 5 of 1968 was under review in 2007 Public Health (Communicable and Notifiable	X	X	X	NW/CW/BW: All ports are ISPS Code compliant	

					Diseases) (Amendment) Regulations, 1991 (S.I.1991, No. 21)					
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OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	Pesticides and Toxic Chemicals Control Act of 2005, Schedules	
2	Control lists - other			
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry			
8	Work with and inform the public			
9	Point of Contact			
10	Other ⁴			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.