1540 COMMITTEE MATRIX OF RUSSIAN FEDERATION

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:	RUSSIAN FEDERATION
Date of Report:	26 October 2004
Date of First Addendum:	23 August 2005
Date of Second Addendum:	24 December 2007
Date of Second Addendum:	15 July 2014
Date of Committee Approval:	

0	cally binding instruments, organizations, codes of conduct, arrangements, tements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non- Proliferation Treaty (NPT)	х	Deposit 5 March 1970	
2	Nuclear Weapons Free Zone/ Protocol(s)	х	Treaty of Tlatelolko, Additional Protocol II, deposit 8 January 1979 Treaty of Raratonga, Protocol 3 and 2, deposit 21 April 1988 Treaty of Pelindaba, Protocol II and I, deposit 5 April 2011 Treaty on a Nuclear-Weapon-Free Zone in Central Asia, signed 6 May 2014	
3	Convention for the Suppression of Acts of Nuclear Terrorism	Х	Deposit 29 January 2007	

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4	Convention on Physical Protection of Nuclear Material (CPPNM)	Х	Deposit 25 May 1983	
5	2005 Amendment to the CPPNM	Х	Deposit 19 September 2008	
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Deposit 30 June 2000	
7	Chemical Weapons Convention (CWC)	Х	Deposit 5 November 1997	
8	Biological Weapons Convention (BWC)	Х	Deposit 26 March 1975	
9	Geneva Protocol of 1925	Х	Deposit 5 April 1928. Reservations withdrawn 6 December 2000	
10	Other Conventions/Treaties	х	 State Party to 14 of the 19 universal legal instruments against terrorism, including International Convention for the Suppression of Terrorist Bombings (08/05/2001) International Convention for the Suppression of the Financing of Terrorism (27/11/2002) Convention on Early Notification of a Nuclear Accident Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency Convention on Nuclear Safety 	
11	International Atomic Energy Agency (IAEA)	Х	Since 1957	
12	Hague Code of Conduct (HCOC)	Х	Subscribed 25 November 2002	
13	Other Arrangements	х	 Zangger Committee (ZC) Nuclear Suppliers Group (NSG) Missile Technology Control Regime (MTCR) Wassenaar Arrangement Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT) 	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non- proliferation	х	Principles of the Russian Federation government policy on non-proliferation of WMD and their means of delivery (2005)	
16	General statement on non-provision of WMD and related materials to non-State actors	х	State reports that it is illegal to provide any form of support to non-State actors that are reliably believed to be involved in activities connected with WMD and their means of delivery	
17	Other ¹	Х	 Agreement on a Single System for Export Control by the Member States of the Eurasian Economic Community Agreement on the coordination of work on the export control of raw materials, materiel, equipment, technologies and services which may be used for the production of WMD and missiles for their delivery, concluded by the CIS Member States 	

Member of:	
Financial Action Task Force (FATF) Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG) Council of Europe Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism (MONEYVAL) World Customs Organization (WCO) and participates in WCO Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) International Maritime Organization (IMO) The ASEAN Regional Forum (ARF) The Shanghai Cooperation Organisation (SCO) Asia-Pacific Economic Cooperation (APEC)	

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	oes national legislation st which prohibits persons				National legal framework		<u>E</u> 1	nford	ement: civil/criminal penalties and others	
or	or entities to engage in one of the following activities ? Can violators be penalized ?		YES		if YES, source document of national		YES		if YES, source document	Remarks
			C W	B W	implementation law	N W	C W	B W	in TLS, source document	
1	manufacture/produce	x	x	x	 NW:Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 CW: Federal Act 138-FZ of 5 November 1997 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Decree 390 of the President of the Russian Federation of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons" 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355	
2	acquire	x	x	x	Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 CW: Federal Act 138-FZ of 5 November 1997 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355	

					Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Decree 390 of the President of the Russian Federation of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons"					
3	possess	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 CW: Federal Act. 138-FZ of 5 November 1997 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Decree 390 of the President of the Russian Federation of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons" 	x	x	x	NW/CW/BW : Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355	
4	stockpile/store	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 CW: Federal Act 138-FZ of 5 November 1997 Federation", Article 355 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Decree 390 of the President of the Russian 	x	x	x	NW/CW/BW : Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, article 355	

					Federation of 11 April 1992 "On ensuring implementation of international obligations in the				
					field of biological weapons".				
5	develop	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 CW: Federal Act 138-FZ of 5 November 1997 Federation", Article 355 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Decree390 of the President of the Russian Federation of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons". 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, article 355
6	transport	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, articles 247 CW: Federal Act 138-FZ of 5 November 1997 On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" Federal Act 76-FZ of 5 May 1997, "On the destruction of chemical weapons" BW: Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, articles 247 and 355
7	transfer	х	х	x	NW : 1.Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials,	x	x	x	NW/CW/BW: 1.Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, articles 189,

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	equipment, special non-nuclear materials and associated technologies" 2 Government Decision 462 of the of 14 June 2001, "On controls over foreign economic activity in relation to dual-use equipment and materials and associated technologies used for nuclear purposes"	226.1 and 355 2.Federal Act 183-FZ of 18 July 1999 "On export control", articles 19, 20, 30, 31 and 32 3.Federal Act 195-FZ of 30 December 2001,Code of the Russian Federation on Administrative Offences, article 14.20
	 CW: 1. Federal Act 138-FZ of 5 November 1997, "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" 2. Federal Act 183-FZ of 18 July 1999, "On export control" 3. Presidential Decree 1082 of 28 August 2001, "On approval of the list of chemicals, equipment and technologies which can be used for the production of chemical weapons and for which export controls have been established" (7 January 2010 version) 4. Governement Decision No. 686 of the of 24 September 2001, "On approval of the regulations on the monitoring of foreign economic activity in relation to chemicals, equipment and technology that can be 	
	used for the production of chemical weapons" BW: 1.Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975, "On ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction" 2.Federal Act 183-FZ of 18 July 1999 "On export control" 3.Governement Decision 634 of the of 29 August 2001, "On approval of the regulations on the control of foreign economic activity relating to microorganisms, toxins, equipment and technology"	
	 4.Presidential Decree 1083 of 20 August 2007, "On approval of the list of micro-organisms, toxins, equipment and technology subject to export control" 5. Government Decision 517 of 15 August 2005 "On the procedure for obtaining authorization from the Commission on Export Controls of the Russian Federation to engage in foreign economic transactions involving goods, information, work, 	

					 services or the products of intellectual activity (rights to them) that can be used by a foreign State or foreign person to create weapons of mass destruction and their means of delivery, or other types of weapons and military equipment, or are acquired for the benefit of entities or persons involved in terrorist activities" 6. Government Decision 447 of 7 June 2001, "On approval of the regulations on the monitoring of foreign economic activity relating to dual-use goods and technology which can be used to make weapons and military equipment" 					
8	use	x	x	x	 NW: Federal Act 183-FZ of 18 July 1999 "On export control", article 20 CW: Federal Act 138-FZ of 5 November 1997 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree. 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction" 2. Decision of the Central Executive Committee of the USSR of 7 March 1928 "On ratification of the instrument of accession of the Government of the USSR to the Protocol for the Prohibition of the Use in War of Asphyxiating, Poisonous or Other Gases, and of Bacteriological Methods of Warfare" 3. Presidential Decree 390 of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons" 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, articles 205 and 356	
9	participate as an accomplice in abovementioned activities	x	х	x	 NW: Federal Act 183-FZ of 18 July 1999 "On export control", article 20 CW: 1. Federal Act 138-FZ of 5 November 1997 "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" 	x	x	x	NW/CW/BW : Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 34, 189, 205, 226.1, 355 and 356	

					 2. Federal Act No. 183-FZ of 18 July 1999 "On export control" BW: Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction" Presidential Decree No. 390 of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons" Federal Act No. 183-FZ of 18 July 1999 "On export control" 				
10	assist in abovementioned activities	x	x	x	 NW: Federal Act 183-FZ of 18 July 1999, "On export control", article 20 CW: 1. Federal Act 138-FZ of 5 November 1997, "On ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" 2. Federal Act 183-FZ of 18 July 1999, "On export control", article 20 BW: 1. Decree No. 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2. Presidential Decree 390 of 11 April 1992, "On ensuring implementation of international obligations in the field of biological weapons". 3. 1.Federal Act 183-FZ of the Russian Federation of 18 July 1999,"On export control" 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 34, 189, 205, 226.1, 355 and 356
11	finance abovementioned activities	x	x	x	NW: Federal Act 183-FZ of 18 July 1999, "On export control", article 20 CW: Federal Act 183-FZ of 18 July 1999, "On export control"	х	x	х	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 34, 189, 205

					BW: 1.Decree No. 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction " 2.Presidential Decree 390 of 11 April 1992, "On ensuring implementation of international obligations in the field of biological weapons".					
					 NW: 1. Federal Act 183-FZ of the Russian Federation of 18 July 1999, "On export control" 2.Presidential Decree 1062 of 10 September 2005, "Questions relating to military and technical cooperation between the Russian Federation and foreign States 3. Government Decision No. 296 of the of 16 April 2001, "On approval of the regulations on the monitoring of foreign economic activity relating to equipment, goods and technology which can be used in the production of missiles" 4. Government Decision 447 of the of 7 June 2001, "On approval of the regulations on the monitoring of foreign economic activity relating to dual-use goods and technology which can be used in the production of weapons and military equipment" 					
12	abovementioned activities related to means of delivery ²	x	x	×	 CW: 1. Federal Act 138-FZ of 5 November 1997, "On the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction". 2. Federal Act 183-FZ of 18 July 1999, "On export control" 3. Government Decision No. 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: 1. Decrepe 008, IX of the Presidium of the 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189, 226 and 226.1	
					1.Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975 "On ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction" 2.Presidential Decree 390 of 11 April 1992, "On					

				ensuring implementation of international obligations in the field of biological weapons" 3. 1. Federal Act 183-FZ of 18 July 1999, "On export control"					
13	involvement of non- State actors in abovementioned activities	x	x	 CW: Federal Act 138-FZ of 5 November 1997, "On the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction" BW: 1. Decree 998-IX of the Presidium of the Supreme Soviet of the USSR of 11 February 1975, "On ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction" 2.Presidential Decree 390 of 11 April 1992, "On ensuring implementation of international obligations in the field of biological weapons" 3. Federal Act 183-FZ of 18 July 1999, "On export control" 	x	x	x	NW/CW/BW: 1. Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation", Articles 34, 189, 205, 205.1,226, 226.1, 335 and 356 2. Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences	
14	Other	x	x	BW: 1.Principles of State policy in the area of chemical and biological safety of the Russian Federation for the period to 2025 and beyond, approved by the President of the Russian Federation by Order No. Pr-2573 of 1 November 2013 2. Presidential Decree No. 390 of 11 April 1992 "On ensuring implementation of international obligations in the field of biological weapons"	x	x	x	NW/CW/BW: 1. Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation", Articles 189, 236, 247, 248, and 249	

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

	Are any of the following neasures, procedures or				National legal framework		<u>[</u>	nfor	cement: civil/criminal penalties and others	
	legislation in place to account for, secure or		YES				YES			Remarks
B١	otherwise protect NW, CW, BW and Related Materials? Can violators be penalized ?		c W	B W *	if YES, source document	N W	c w	B W	if YES, source document	
1	Measures to account for production	x	x	x	 NW: Federal Act 170-FZ of 21 November 1995 "On the use of atomic energy" Government Decision No. 352 of 6 May 2008 "On approval of the regulations on State accounting and control of nuclear materials" CW: Federal Act 76-FZ of 2 March 1997 "On the destruction of chemical weapons" Government Decision 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: Federal Act No. 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" Government Decision 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals (except if carried out for medical purposes) and risk level III and IV genetically modified organisms in closed systems" Government Decision 569 of 15 September 2005 "On the regulations on the implementation of State sanitary and epidemiological oversight in the Russian Federation" 	x	x	x	NW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225, 226 and 355 CW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 355 BW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 236, 247, 248, 249 and 355	

					4. Sanitary and Epidemiological Regulations 1.2.036-95, 1995 Sanitary and epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation					
2	Measures to account for use	x	x	x	 NW: Federal Act 170-FZ of 21 November 1995 "On the of use atomic energy" Government Decision No. 352 of 6 May 2008 "On approval of the regulations on State accounting and control of nuclear materials" CW: 1. Federal Act 76-FZ of 2 March 1997 "On the destruction of chemical weapons" 2. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993.System introduced for accounting and securing BW: 1. Federal Act 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" 2. Government Decision 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals" 3. Government Decision 569 of 15 September 2005 "On the regulations on the implementation of State sanitary and epidemiological Regulations 1.2.036-95, 1995 Sanitary and Epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation 	x	x	x	NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225, 226 and 356 CW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 356 BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 236, 247, 248, 249 and	
3	Measures to account for storage	x	×	x	 NW: Federal Act 170-FZ of 21 November 1995 "On the of use atomic energy" Government Decision 352 of 6 May 2008 "On approval of the regulations on State accounting and control of nuclear materials" CW: 1. Federal Act 76-FZ of 2 March 1997 "On the destruction of chemical weapons" 	x	x	x	NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225 and 226 CW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226 and 247 BW: Federal Act No. 63-FZ of 13 June 1996, Criminal	

					 2. Government Decision 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: 1. Federal Act 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" 2. Government Decision 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals" 3. Government Decision 569 of 15 September 2005 "On the regulations on the implementation of State sanitary and epidemiological oversight in the Russian Federation" 				Code of the Russian Federation, articles 225, 226, 236, 247, 248 and	
4	Measures to account for transport	x	x	x	 NW: Federal Act 170-FZ of 25 November 1995 "On the of use atomic energy" Government Decision 352 of 6 May 2008 "On approval of the regulations on State accounting and control of nuclear materials" CW: Federal Act 76-FZ of 2 March 1997 "On the destruction of chemical weapons" Government Decision No. 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: Federal Act 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" Government Decision 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals" Government Decision 569 of 15 September 2005 "On the regulations on the implementation of State sanitary and epidemiological oversight in the 	x	x	x	NW/CW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, Article 225, 247 BW: Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, Articles 225, 247 and 248	

5	Other measures for accounting		x	x	Russian Federation" 4. Sanitary and Epidemiological Regulations 1.2.036-95, 1995 Sanitary and epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation CW: 1. Federal Act 76-FZ of 2 March 1997 "On the destruction of chemical weapons" BW: 1. Sanitary and Epidemiological Regulations SP 1.2.036-95, 1995 2. Sanitary and Epidemiological Regulations SP 1.2.731-99, 1999 3. Sanitary and Epidemiological Regulations SP 1.3.2322-08, 2008 4. Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 5. Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 6. Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 7. Sanitary and Epidemiological Regulations SP 1.2.1318-07, 2007 Sanitary and epidemiological Regulations SP 1.1.2193-07, 2007 Sanitary and epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation			x	Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation	
6	Measures to secure production	x	x	x	 NW: 1.Federal Act 170-FZ of 25 November 1995 "On the use of atomic energy" 2.Government Decision 456 of 19 July 2007 "On approval of the rules for the physical protection of nuclear material, nuclear devices and nuclear storage facilities" CW: 1. Federal Act 76-FZ of 2 May 1997 "On the destruction of chemical weapons" 2. Government Decision No. 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: 1. Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 2. Sanitary and Epidemiological Regulations 	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225 and 226 CW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226 and 355 BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226, 236, 247, 248, 249 and 355 	

					SP 1.2.1288-03, 2003 3. Sanitary and Epidemiological Regulations SP 1.1.2193-07, 2007 Sanitary and epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation					
7	Measures to secure use	x	x	x	 NW: 1.Federal Act 170-FZ of 25 November 1995 "On the of use atomic energy" 2.Government Decision No. 456 of 19 July 2007 "On approval of the rules for the physical protection of nuclear material, nuclear devices and nuclear storage facilities" CW: 1. Federal Act 76-FZ of 2 May 1997 "On the destruction of chemical weapons" 2. Government Decision No. 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: 1. Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 2. Sanitary and Epidemiological Regulations SP 1.2.036-95, 1995 Sanitary and epidemiological regulations approved by decision of the Chief Medical Officer of the Russian Federation 	x	x	x	NW/CW/BW : 1.Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225, 226, 236, 247, 248 and 249	
8	Measures to secure storage	×	x	x	 NW: Federal Act 170-FZ of 25 November 1995 "On the of use atomic energy" Government Decision No. 456 of 19 July 2007 "On approval of the rules for the physical protection of nuclear material, nuclear devices and nuclear storage facilities" CW: 1. Federal Act 76-FZ of 2 May 1997 "On the destruction of chemical weapons" 2. Government Decision No. 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances 3. "Instructions on the procedure for State 	x	x	x	 NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225 and 226 CW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226 and 247 BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226, 236, 247, 248 and 249 	

					registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 System introduced for accounting and securing BW: 1. Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 2. Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 3. Sanitary and Epidemiological Regulations SP 1.2.036-95, 1995				
9	Measures to secure transport	x	x	x	 NW: Federal Act 170-FZ of 25 November 1995 "On the of use atomic energy" Government Decision No. 456 of 19 July 2007 "On approval of the rules for the physical protection of nuclear material, nuclear devices and nuclear storage facilities" CW: Federal Act 76-FZ of 2 May 1997 "On the destruction of chemical weapons" Government Decision 869 of 12 November 1992 "On State registration of potentially hazardous chemical and biological substances "Instructions on the procedure for State registration of potentially hazardous chemical and biological substances", No. 37-2-7/435 of 25 May 1993 BW: Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 Sanitary and Epidemiological Regulations SP 1.2.036-95, 1995 	x	x	x	NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221, 225 and 226 CW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226 and 247 BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226, 236, 247, 248 and
10	Other measures for securing		х	x	CW: Federal Act 76-FZ of 2 May 1997, "On the destruction of chemical weapons" BW: 1.Sanitary and Epidemiological Regulations SP1.2.036-95, 1995				
11	Regulations for physical protection of facilities/materials/	Х	Х	х	NW : 1. Federal Act 170-FZ of 21 November 1995, "On the use of atomic energy"	х	Х	х	NW: Federal Act. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215, 220, 221,

	transports								225 and 226	
	transports				 2. Government Decision 456 of the Russian Federation of 19 July 2007, "On approval of the Rules for the Physical Protection of Nuclear Material, Nuclear Devices and Nuclear Material Storage Facilities" CW: Federal Act 76-FZ of 2 May 1997 "On the destruction of chemical weapons" BW: Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003 Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 Sanitary and Epidemiological Regulations SP 1.2.036-95, approved by decision of the Chief Medical Officer of the Russian Federation, 1995 				CW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226 and 247 BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226, 236, 247, 248 and 249	
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		x	x	BW: 1. Federal Act No. 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" 2. Government Decision No. 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals" 3. Government Decision No. 501 of 4 July 2002 "On approval of the regulations on the licensing of the production of disinfecting, fumigating and rat controlling agents and 4. Regulations on the licensing of activity connected with the utilization of infectious agents" 5. Federal Act No. 128-FZ of 8 August 2001 "On the licensing of specific types of activity"			x	BW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 236 and 248	
13	Reliability check of personnel	x	x	x	 NW/CW: Procedure is set out in the departmental regulations. BW: 1. Sanitary and Epidemiological Regulations 1.3.1285-03, 2003, approved by decision of the Chief Medical Officer of the Russian Federation, 2003 					
14	Measures to account for/secure/ physically protect means of delivery	x	x	x	NW: Government Decision 352 of 6 May 2008 "On approval of the regulations on State accounting and control of nuclear materials"	x	x	x	NW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225 and 226 CW:	

	CW: System introduced for accounting and securing		Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225 and 226	
	BW: Sanitary and Epidemiological Regulations SP 1.3.1285-03, 2003, approved by decision of the Chief Medical Officer of the Russian Federation,2003		BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 225, 226, 236, 247, 248 and 249	

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

	Are any of the following neasures, procedures or		<u>N</u> ational legal framework	<u>E</u> nfor	cement: civil/criminal penalties and others	
ot	legislation in place to account for, secure or herwise protect NW and Related Materials? Can <i>t</i> iolators be penalized ?	YES	if YES, source document	YES	if YES, source document	Remarks
1	National regulatory authority	х	Rostechnadzor	х	Rostechnadzor	
2	IAEA Safeguards Agreements	х	1.Agreement between the Union of Soviet Socialist Republics and the International Atomic Energy Agency on the Application of Safeguards in the USSR, in forcesince 10 June 1985 2.Additional Protocol, ratified on 16 October 2007	х	1.Agreement between the USSR and the International Atomic Energy Agency on the Application of Safeguards in the Union of Soviet Social Republics, in force since 10 June 1985 2.Additional Protocol ratified on 16 October 2007	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	х	Support expressed to IAEA Director General in the instruction MF-117-3269 of 19 May 2004	х	Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 215 and 220	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Х	Expressed support List of dual-use equipment and materials and associated technologies used for nuclear purposes for which export controls have been established, approved by Presidential Decree No. 36 of 14 January 2003, paragraph 2.3.20 List of nuclear materials, equipment, special non- nuclear materials and associated technologies subject to export control, approved by Presidential Decree No. 202 of 14 February 1996, paragraph 1.3 Regulations on the implementation of controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes, approved by Government Decision No. 462 of 14 June 2001, paragraphs 3.18 and 24	X	Expressed support Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189, 220 and 226.1	

			Government Decision No. 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies", paragraph 10		
5	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	x	Participates	х	Participates
6	Other Agreements related to IAEA	х	Agreement on the Privileges and Immunities of the International Atomic Energy Agency. Conventions +	х	Agreement on the Privileges and Immunities of the International Atomic Energy Agency.
7	Additional national legislation/regulations related to nuclear materials including CPPNM				
8	Other	X	 Federal Act 3-FZ of 09 January 1996 "On the radiological safety of the population" Federal Act 29-FZ of 3 April 1996 "On the financing of plants and facilities of high radiological and nuclear hazard" Federal Act 174-FZ of 10 January 2002 "On environmental impact assessment" Federal Act 7-FZ of 10 January 2002 "On environmental protection" Federal Act 72 116-FZ of 21 July 1997 "On the industrial safety of hazardous production facilities" Federal Act 35-FZ of 6 March 2006, "On combating terrorism" Federal Act 68-FZ"On administrative liability of organizations for breaches of the law on the use of atomic energy" Government Decision 1298 of 11 October 1997 "On approval of the rules for the system of State accounting and control of radioactive substances and radioactive waste" Government Decision 1188 of 19 November 2012 "On the procedure for State accounting and control of radioactive waste, including registration of radioactive waste" Ministry of Atomic Energy Order 761 of 10 December 1999 "On approval of the regulations on State accounting and control of radioactive substances and radioactive waste in the Russian Federation"8. Federal standards and regulations NP-034-01 "Regulations on the physical protection of 	X	Federal Act No. 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences

radioactive sources, storage facilities and radioactive materials" 9.Federal standards and regulations NP-053-04, "Safety regulations for transporting radioactive	
materials"	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

	Are any of the following neasures, procedures or		National legal framework	<u>E</u> nfor	cement: civil/criminal penalties and others	
1	legislation in place to account for, secure or therwise protect CW and Related Materials? Can violators be penalized ?	YES	if YES, source document	YES	if YES, source document	Remarks
1	National CWC authority	х	The national CWC authority is the Ministry of Industry and Trade, Government Decision 438 of 5 June 2008, "On the Ministry of Industry and Trade of the Russian Federation"	х	The national CWC authority is the Ministry of Industry and Trade, Governmental Decision 438 of 5 June 2008, "On the Ministry of Industry and Trade of the Russian Federation"	
2	Reporting Schedule I, II and III chemicals to OPCW	х	Government Decision 421 of 2 July 2007, "On the division of competencies between federal executive agencies involved in fulfilling the international obligations of the Russian Federation in the area of chemical disarmament"	х	Decision 421 of the Government of the Russian Federation of 2 July 2007, "On the division of competencies between federal executive agencies involved in fulfilling the international obligations of the Russian Federation in the area of chemical disarmament"	
3	Account for, secure or physically protect "old chemical weapons"	x	Government Decision 347 of the of 27 May 2002 "On the approval of the provisions for the licensing of activities to perform work and provide services for the storage transport and destruction of chemical weapons"	x	Federal Act 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, Article 225, 226, 247 and 355	
4	Other legislation/ regulations controlling chemical materials					
5	Other	х	 Federal Act 174-FZ of 23 November 1995 "On environment impact assessment" Federal Act 7-FZ of 10 January 2002 "On environmental protection" Federal Act 116-FZ of 21 July 1997 "On the industrial safety of hazardous production facilities" 			

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

	Are any of the following neasures, procedures or		<u>N</u> ational legal framework	<u>E</u> nfoi	cement: civil/criminal penalties and others	
ot	legislation in place to account for, secure or therwise protect BW and Related Materials? Can violators be penalized ?	YES	if YES, source document	YES	if YES, source document	Remarks
1	Regulations for genetic engineering work	х	 Federal Act 86-FZ of 5 July 1996, "On State regulation in the field of genetic engineering" Federal Act 242-FZ of 3 December 2008 "On State genome registration in the Russian Federation" Government Decision No. 317 of 16 April 2012 "On the licensing of activities involving the use of agents that cause infectious diseases in humans and animals (except if carried out for medical purposes) and risk level III and IV genetically modified organisms in closed systems" (together with the "Regulations on the licensing of activities involving the use of agents that cause infectious diseases in humans and animals (except if carried out for medical purposes) and risk level III and IV genetically modified organisms in closed systems") Government Decision 120 of 16 February 2001 "On State registration of genetically modified organisms" Sanitary and Epidemiological Regulations on "Safe handling of recombinant DNA molecules", approved by the USSR Ministry of Health on 12 January 1989 	x	Federal Act No. 63-FZ of 13 June 1996 Criminal Code of the Russian Federation, Article 236	
2	Other legislation/ regulations related to safety and security of biological materials	x	 Sanitary and Epidemiological Regulations SP 1.2.1318-03, 2003 Sanitary and Epidemiological Regulations SP 1.2.1285-03, approved by a decision of the Chief Medical Officer, 2003 	x	1.Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation", articles 236, 247, 248 and 249	
3	Other	x	 Federal Act 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" Sanitary and Epidemiological Regulations SP 3.4.1328-03, 2003 Regulations on conducting scientific research 	х	Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation", article 236	

	 with quarantined and potentially hazardous pests, etc., approved by the Russian Ministry of Agriculture, 1983 5. Sanitary and Epidemiological Regulations SP 3.2.1288-03, 2003 6. Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to human, animal and plant disease-inducing agents (pathogens), genetically modified micro-organisms, toxins, equipment and technologies" 			
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OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

1	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other				National legal framework	E	nfoi	cem	ent: civil/criminal penalties, and measures of implementation, etc	
c e			YES				YES		if VES, course document	Remarks
an	ransfers of NW, CW, BW d Related Materials ? Can violators be penalized ?	N W		B W	if YES, source document	N W	с У	B W	if YES, source document	
1	Border control	x	x	x	 NW/CW/BW: 1. Federal Act 61-FZ of 28 May 2003, Customs Code of the Russian Federation 2. Presidential Decree of 12 March 1996 "On improving the procedure for crossing the State border" 3. Federal Act 183-FZ of 18 July 1999 "On export control" 4. Government Decision 500 of 29 July 2011 "On approval of the regulations on sanitary and quarantine control at border crossings" 5. Federal Act 52-FZ of 30 March 1999 "On the health and epidemiological well-being of the population" 6. Government Decision 872 of 20 November 2008 "On approval of the regulations on conducting controls at State border crossings of the Russian Federation" 7. Order 1342 of the Russian State Customs Committee of 15 December 2002, "On import and export controls for licensed goods" 8. Methodological guidelines, "Sanitary and quarantine control in airports open to international traffic", approved by the Chief Medical Officer of the Russian Federation, 2002 9. Methodological guidelines, "Sanitary and quarantine control in sea and river ports open to international traffic", approved by the Chief Medical Officer of the Russian Federation, 2002 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, Article 189 and 226.1	
2	Technical support of border control measures			x	BW: 1. Government Decision 872 of 20 November 2008 "On approval of the regulations on conducting					

					controls at State border crossings of the Russian Federation" 2.Methodological guidelines, "Sanitary and quarantine control in airports open to international traffic", approved by the Chief Medical Officer of the Russian Federation, 2002 2.Methodological guidelines, "Sanitary and quarantine control in sea and river ports open to international traffic", approved by the Chief Medical Officer of the Russian Federation, 2002					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	x	x	x	 NW: Federal Act 183-FZ of 18 July 1999 "On export control" Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies" Government Decision 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Federal Act 183-FZ of 18 July 1999 "On export control" Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" BW: Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" BW: Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" BW: Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" Ew: Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" Ew: Federal Act 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" Euclide activities Customs Code of the customs on the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment" Customs Code of the Customs Union (appendix to the Treaty on the Customs Code of the Customs Union, adopted by Decision No. 17 of the Interstate	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.1 Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 16.1-16.23	

			[NW/CW/BW:		1			
					Council of the Eurasian Economic Community of					
					27 November 2009)					
4	Enforcement agencies/authorities	x	x	x	Federal Service for Technology and Export Control (pursuant to the Regulations on the Federal Service for Technology and Export Control approved by Presidential Decree No. 1085 of 16 August 2004) Federal Security Service Federal Customs Service 1. Federal Act 40-FZ of 3 April 1995 "On the organs of the Federal Security Service of the Russian Federation" 2. Federal Act 144-FZ of 12 August 1995, "On operational investigative activities" 3. Federal Act 61-FZ of 28 April 2003, Customs Code of the Russian Federation Customs legislation of the Customs Union and Russian Federation legislation on customs matters	x	x	x	NW/CW/BW : Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8 Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189	
5	Export control legislation in place	x	x	x	 NW/CW/BW: Federal Act FZ of 18 July 1999 "On export control" Government Decision 691 of 15 September 2008 "On approval of the regulations on the licensing of foreign economic transactions involving goods, information, work, services and results of intellectual activity (rights to them) subject to export controls" Government Decision 517 of 15 August 2005 "On the procedure for obtaining a permit from the Export Control Commission of the Russian Federation to engage in foreign economic transactions involving goods, information, work, services or the results of intellectual activity (rights to them) which can be used by foreign governments or foreign nationals for the production of weapons of mass destruction and their means of delivery, or other types of weapons and military equipment, or are acquired for the benefit of entities or individuals involved in terrorist activities" Government Decision 294 of 16 April 2001 "On approval of the rules for conducting a State evaluation of foreign economic transactions involving goods, information, work, services and the results of intellectual activities (rights to them) for which export controls have been established" 	x	x	x	 NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.1 Federal Act 183-FZ of 18 July 1999 "On export control" Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8 Customs Code of the Customs Union (appendix to the Treaty on the Customs Code of the Customs Union, adopted by Decision No. 17 of the Interstate Council of the Eurasia Economic Community of 27 November 2009) Order 575 of the Federal Customs Service of 27 March 2012 "On export control of dual-use goods and technology that can be used for the production of weapons and military equipment and that are subject to export controls" Order 402 of the State Customs Committee of 27 June 1996, "On the procedures for the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies" Order 315 of the State Customs Committee of 	

					approval of the regulations for the control of foreign				23 May 1996, "On export control of goods that can	
					economic activity relating to dual-use goods and				be used in the production of weapons of mass	
					technology which can be used in the production of				destruction and their means of delivery"	
					weapons and military equipment"					
					N1147-				Order 1242 of the Federal Customs Service of 10 October 2007 "On export control of micro-	
					NW: Brasidantial Decrea 202 of 14 Eabruary 1006 "On				organisms, toxins, equipment and technology	
					Presidential Decree 202 of 14 February 1996 "On approval of the list of nuclear materials, equipment,				subject to export controls"	
					special non-nuclear materials and associated					
					technologies subject to export control";					
					Presidential Decree No. 36 of 14 January 2003					
					"On approval of the list of dual-use equipment and materials and associated technologies used for					
					nuclear purposes for which export controls have					
					been established";					
					Government Decision 973 of 15 December 2000					
					"On the export and import of nuclear materials, equipment, special non-nuclear materials and					
					associated technologies";					
					Government Decision 462 of 14 June 2001 "On					
					approval of the regulations on controls on foreign					
					economic activity relating to dual-use equipment					
					and materials and associated technologies used for nuclear purposes"					
					CW:					
					Presidential Decree 1082 of 28 August 2001 "On					
					approval of the list of chemicals, equipment and technology which can be used for the production of					
					chemical weapons and for which export controls					
					have been established";					
					Government Decision No. 686 of 24 September					
					2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals,					
					equipment and technology that can be used for the					
					production of chemical weapons"					
					21/					
					BW: Bresidential Decree 1083 of 20 August 2007 "On					
					Presidential Decree 1083 of 20 August 2007 "On approval of the list of micro-organisms, toxins,					
					equipment and technology subject to export					
					control";					
					Government Decision 634 of 29 August 2001 "On					
					approval of the regulations on the control of foreign economic activity relating to micro-organisms,					
					toxins, equipment and technology"					
					NW:				NW/CW/BW:	
					Federal Act 183-FZ of 18 July 1999 "On export				Federal Act 63-FZ of 13 June 1996, Criminal	
					control"				Code of the Russian Federation", article 189	
6	Licensing provisions	Х	Х	Х	Government Decision 691 of 15 September 2008	Х	х	Х	Federal Act 195-FZ of 30 December 2001, Code of	
					"On approval of the regulations on the licensing of				the Russian Federation on Administrative	
					foreign economic transactions involving goods,				Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5,	
					information, work, services and results of				19.6 and 19.8	

					intellectual activity (rights to them) subject to export controls" Government Decision 280 of 29 March 2013 "On the licensing of activities relating to the use of atomic energy"					
					Government Decision 517 of 15 August 2005 "On the procedure for obtaining authorization from the Commission on Export Controls of the Russian Federation to engage in foreign economic transactions involving goods, information, work, services or the products of intellectual activity (rights to them) that can be used by a foreign State or foreign person to create weapons of mass destruction and their means of delivery, or other types of weapons and military equipment, or are acquired for the benefit of entities or persons involved in terrorist activities"					
					Government Decision 447 of 7 June 2001 "On approval of the regulations for the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment"					
					NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision No. 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes"					
					CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons"					
					BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology"					
7	Individual licensing	x	x	x	NW/CW/BW: Federal Act 183-FZ of 18 July 1999 "On export control" Government Decision 691 of 15 September 2008	x	x	х	NW/CW/BW: 1. Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189 2. Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative	

					"On approval of the regulations on the licensing of foreign economic transactions involving goods, information, work, services and results of intellectual activity (rights to them) subject to export controls" Government Decision 447 of 7 June 2001 "On approval of the regulations for the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment" NW : Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision No. 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision No. 686 of 24 September 2001 "On approval of the regulations on controls on foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to the production of chemical weapons"				Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8	
8	General licensing	х	х	х	NW/CW/BW: Federal Act 183-FZ of 18 July 1999 "On export control" Government Decision 691 of 15 September 2008 "On approval of the regulations on the licensing of foreign economic transactions involving goods, information, work, services and results of intellectual activity (rights to them) subject to export controls" Government Decision 447 of 7 June 2001 "On approval of the regulations for the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment"	x	х	x	NW/CW/BW: 1. Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189 2. Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8 "	

					Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology"		
9	Exceptions from licensing	x	x	x	 NW/CW/BW: Federal Act 183-FZ of 18 July 1999 "On export control" Government Decision 691 of 15 September 2008 "On approval of the regulations on the licensing of foreign economic transactions involving goods, information, work, services and results of intellectual activity (rights to them) subject to export controls" Government Decision 447 of 7 June 2001 "On approval of the regulations on the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment" NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision 467 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies"; Covernment Decision 460 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the 		

		r	r –	r –	production of chamical waspana"		r			
					production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology"					
10	Licensing of deemed export/visa	x	x	x	NW/CW/BW: Federal Act No. 164-FZ of 8 December 2003, "On the principles for State regulation of foreign trade activities" Government Decision No. 517 of 15 August 2005 "On the procedure for obtaining authorization from the Commission on Export Controls of the Russian Federation to engage in foreign economic transactions involving goods, information, work, services or the products of intellectual activity (rights to them) that can be used by a foreign State or foreign person to create weapons of mass destruction and their means of delivery, or other types of weapons and military equipment, or are acquired for the benefit of entities or persons involved in terrorist activities"					
11	National licensing authority	x	x	x	NW/CW/BW: Federal Service for Technology and Export Control (pursuant to the Regulations on the Federal Service for Technology and Export Control approved by Presidential Decree No. 1085 of 16 August 2004)					
12	Interagency review for licenses	x	x	x	NW/CW/BW: Government Decision No. 294 of 16 April 2001 "On approval of the rules for conducting a State evaluation of foreign economic transactions involving goods, information, work, services and the results of intellectual activities (rights to them) for which export controls have been established"					
13	Control lists	x	x	x	NW: Presidential Decree 202 of 14 February 1996 "On approval of the list of nuclear materials, equipment, special non-nuclear materials and associated technologies subject to export control"; Presidential Decree No. 36 of 14 January 2003 "On approval of the list of dual-use equipment and materials and associated technologies used for	x	x	x	NW/CW/BW: Order 402 of the State Customs Committee of 27 June 1996, "On the procedures for the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies" Order 315 of the State Customs Committee of	

					nuclear purposes for which export controls have been established" CW: Presidential Decree 1082 of 28 August 2001 "On approval of the list of chemicals, equipment and technology which can be used for the production of chemical weapons and for which export controls have been established" BW: Presidential Decree 1083 of 20 August 2007 "On approval of the list of micro-organisms, toxins, equipment and technology subject to export control"	23 May 1996, "On export control of goods that can be used in the production of weapons of mass destruction and their means of delivery", annex 5 Order 315 of the State Customs Committee of 23 May 1996, "On export control of goods that can be used in the production of weapons of mass destruction and their means of delivery", annex 3 Order 1242 of the Federal Customs Service of 10 October 2007 "On export control of micro- organisms, toxins, equipment and technology subject to export controls"
14	Updating of lists	x	x	x	National lists are updated regularly pursuant to decisions adopted at plenary meetings of multilateral export control mechanisms (Nuclear Suppliers Group, Missile Technology Control Regime and Wassenaar Arrangement) and in implementation of the Russian Federation's obligations under the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction (1993) and the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction (1972)	Federal Customs Service lists are updated regularly in accordance with decrees of the President of the Russian Federation
15	Inclusion of technologies	x	x	x	NW: Presidential Decree 202 of 14 February 1996 "On approval of the list of nuclear materials, equipment, special non-nuclear materials and associated technologies subject to export control"; Presidential Decree No. 36 of 14 January 2003 "On approval of the list of dual-use equipment and materials and associated technologies used for nuclear purposes for which export controls have been established" CW: Presidential Decree 1082 of 28 August 2001 "On approval of the list of chemicals, equipment and technology which can be used for the production of chemical weapons and for which export controls have been established" BW: Presidential Decree 1083 of 20 August 2007 "On approval of the list of micro-organisms, toxins, equipment and technology subject to export controls Model lists of goods and technology subject to	

16	Inclusion of means of delivery	x	x	x	export control, approved by decisions of the Interstate Council of the Eurasian Economic Community No.190 of 24 September 2004 and No. 640 of 31 May 2013 NW/CW/BW: Federal Act 183-FZ of 18 July 1999 "On export control" Government Decision 296 of 16 April 2001 "On approval of the regulations on the control of foreign economic activity relating to equipment, goods and technology which can be used in the production of missiles" Government Decision 447 of 7 June 2001 "On approval of the regulations on the control of foreign economic activity relating to dual-use goods and technology that can be used to make weapons and military equipment"	x	x	x	NW/CW/BW:Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.1Order 315 of the State Customs Committee of 23 May 1996, "On export control of goods that can be used in the production of weapons of mass destruction and their means of delivery", annex 9Order 575 of the Federal Customs Service of 27 March 2012 "On export control of dual-use goods and technology that can be used for the production of weapons and military equipment and that are subject to export controls"
17	End-user controls	x	x	x	 NW/CW/BW: Council of Ministers Decision 1030 of 11 October 1993 "On approval of the regulations on monitoring compliance with obligations to safeguard the use of imported and exported dual-use goods (services) for their stated purposes" NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision No. 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology" 	x	x	x	NW/CW/BW: Council of Ministers Decision 1030 of 11 October 1993 "On approval of the regulations on monitoring compliance with obligations to safeguard the use of imported and exported dual-use goods (services) for their stated purposes"

18	Catch all clause	x	x	x	NW/CW/BW: Federal Act 183-FZ of 18 July 1999 "On export control", article 20 Government Decision 517 of 15 August 2005 "On the procedure for obtaining authorization from the Commission on Export Controls of the Russian Federation to engage in foreign economic transactions involving goods, information, work, services or the products of intellectual activity (rights to them) that can be used by a foreign State or foreign person to create weapons of mass destruction and their means of delivery, or other types of weapons and military equipment, or are acquired for the benefit of entities or persons involved in terrorist activities"	x	x	×	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189 Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8	
19	Intangible transfers	x	x	x	 NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology" 	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189 Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8	
20	Transit control	x	x	x	NW/CW/BW: Customs legislation of the Customs Union and Russian Federation legislation on customs matters Customs Code of the Customs Union (appendix to the Treaty on the Customs Code of the Customs Union, adopted by Decision 17 of the Interstate Council of the Eurasian Economic Community of 27 November 2009)	x	x	x	NW/CW/BW:Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.1Customs Code of the Customs Union (appendix to the Treaty on the Customs Code of the Customs Union adopted by Decision 17 of the Interstate Council of the Eurasian Economic Community of 27 November 2009)	
21	Trans-shipment control	х	х	х	NW/CW/BW : Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.	x	х	х	NW/CW/BW: Federal Act No. 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, articles 189 and 226.	

22	Re-export control	x	x	x	 NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision No. 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons" BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology" 	x	x	x	NW/CW/BW: 1.Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 189 2.Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 16.3, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8	
23	Control of providing funds	х	х	х	NW/CW/BW: Federal Act 281-FZ of 30 December 2006 "On special economic measures"	x	х	х	NW/CW/BW : Federal Act 281-FZ of 30 December 2006 "On special economic measures"	
24	Control of providing transport services									
25	Control of importation	x	x	x	NW/CW/BW: Council of Ministers Decision 1030 of 11 October 1993 "On approval of the regulations on monitoring compliance with obligations to safeguard the use of imported and exported dual-use goods (services) for their stated purposes" NW: Government Decision 973 of 15 December 2000 "On the export and import of nuclear materials, equipment, special non-nuclear materials and associated technologies"; Government Decision No. 462 of 14 June 2001 "On approval of the regulations on controls on foreign economic activity relating to dual-use equipment and materials and associated technologies used for nuclear purposes" CW: Government Decision 686 of 24 September 2001 "On approval of the regulations for the control of foreign economic activity relating to chemicals, equipment and technology that can be used for the production of chemical weapons"	x	x	x	NW/CW/BW: Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 226.1 Federal Act 195-FZ of 30 December 2001, Code of the Russian Federation on Administrative Offences, articles 14.20, 17.7, 17.9, 19.4, 19.5, 19.6 and 19.8	

					BW: Government Decision 634 of 29 August 2001 "On approval of the regulations on the control of foreign economic activity relating to micro- organisms, toxins, equipment and technology"				
26	Extraterritorial applicability	х	Х	х	Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 12	x x	х	Federal Act 63-FZ of 13 June 1996, Criminal Code of the Russian Federation, article 12	
27	Other	x	x	x	 Government Decision 303 of 16 May 2005 "On the division of competencies among federal executive agencies for the biological and chemical safety of the Russian Federation" Model lists of goods and technology subject to export control, approved by decisions of the Interstate Council of the Eurasian Economic Community 190 of 24 September 2004 and 640 of 31 May 2013 				

Can information be provided on the following issues ?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3 Assistance offered		х	The Russian Federation is ready to provide assistance in response to requests from States that lack legal and regulatory infrastructure; such requests may be addressed to the Permanent Missions of the Russian Federation to the UN in New York and in Vienna, the Embassies of the Russian Federation or to the Russian Ministry of Foreign Affairs	
4	Assistance requested			
5	Point of Contact for assistance		Non-proliferation and Arms Control Department, Ministry of Foreign Affairs of the Russian Federation	
6	Assistance in place (bilateral/multilateral)	х	International seminars on export control conducted; advisory services provided on request of Kyrgyzstan	
7	Work with and inform industry	х	State agencies and authorized organizations inform industry on a regular basis through conferences and seminars and by publishing information in print and on official websites; information is also conveyed on a case-by-case basis	
8	Work with and inform the public	х	State agencies inform the public on a regular basis through conferences and seminars and by publishing information in print and on official websites; information is also conveyed on a case-by-case basis	
9	Point of Contact	х	Non-proliferation and Arms Control Department, Ministry of Foreign Affairs of the Russian Federation Permanent Mission of the Russian Federation to the UN, New York, Ministry of Foreign Affairs of Russia	
10	Other			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.