1540 COMMITTEE MATRIX OF NORWAY

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Norway
Date of First Report: 12 October 2005
Date of Addendum: 28 October 2004

Last revised: March 2015

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
Nuclear Non- 1 Proliferation Treaty (NPT)	Х	Deposit 5 February 1969	
2 Nuclear Weapons Free Zone/ Protocol(s)			
Convention for the 3 Suppression of Acts of Nuclear Terrorism	Х	Deposit 20 Feb 2014	
Convention on Physical 4 Protection of Nuclear Material (CPPNM)	X	Deposit 15 August 1985	
5 2005 Amendment to the CPPNM	Х	Deposit 20 August 2009	
Comprehensive Nuclear- 6 Test-Ban Treaty (CTBT) (not in force)		Deposit 15 July 1999	

7 Chemical Weapons Convention (CWC)	Х	Deposit 7 April 1994	
8 Biological Weapons Convention (BWC)	Х	Deposit 1 August 1973	
9 Geneva Protocol of 1925	Х	Deposit 27 July 1932	
Other Conventions/Treaties	х	State Party to 16 of 19 universal legal instrument against terrorism, including the following relevant to resolution 1540 (2004): The International Convention for the Suppression of Terrorist Bombings (20/9/1999), The International Convention for the Suppression of the Financing of Terrorism (15/7/2002) The Protocol to the Convention for the Suppression of Unlawful acts against the safety of maritime Navigation (30/9/2013) The Protocol to the Protocol for the Suppression of Unlawful acts against the safety of fixed platforms located on the continental shelf (30/9/2013)	
11 International Atomic Energy Agency (IAEA)	Х	Since 10 June 1957	
Hague Code of Conduct (HCOC)	Х	Subscribed 25 November 2002	
13 Other Arrangements	х	Wassenaar Arrangement (WA) Australia Group (AG) Zangger Committee (ZC) Nuclear Suppliers Group (NSG) Missile Technology Control Regime (MTCR) Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT)	
General statement on non-possession of WMD	Х	States reports that it does not possess nor develop WMD.	
General statement on commitment to disarmament and nonproliferation	Х	States reports that it reaffirms its strong support to arms control, disarmament and non-proliferation.	
General statement on non-provision of WMD and related materials to non-State actors	х	States reports that it is fully committed to preventing any form of support to non-State actors that seek WMD and their means of delivery.	
17 Other ¹	х	Member of: World Customs Organization (WCO) and its 2005 Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework) INTERPOL World Health Organization (WHO)	

	Organisation for Animal Health (OIE) Organization for Security and Co-operation in Europe (OSCE) Financial Action Task Force (FATF) Egmont Group	
	G7 Global Partnership Against the Spread of Weapons and Materials og Mass Destruction (GP)	

^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons					<u>N</u> ational legal framework		<u>E</u> nf	forc	ement: civil/criminal penalties and others	
or	entities to engage in one the following activities?		YES		if YES, source document of national		YES		if YES, source document	Remarks
	n violators be penalized?	N W	C W	B W			C W			
1	. manufacture/produce	x	X	X	NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to use/alter any material consisting of or containing plutonium or uranium without lawful permission) CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1 Penal Code of 1902, as amended 2005, as amended, Section 161 (manufactures explosives) BW: Penal Code of 1902, as amended 2005, Art. 153 a (makes it an offence to produce bacteriological or other biological weapons, equipment or means of dissemination)	X	X	x	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code , as amended, Section 147 a and 152a CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of1902, as amended 2005, Section 161 (manufactures explosives) BW: Penal Code of 1902 , as amended 2005, Sections 153 a and 147 a	
2	acquire	х	х	×	NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to receive any material consisting of or containing plutonium or uranium without lawful permission) CW:	x	x	x	NW: Nuclear Energy 2 Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 152 a and 147a CW:	

					Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1 Penal Code of1902, as amended2005, Section 161 (procures explosives) BW: Penal Code of 1902, as amended 2005, Art. 153 a (makes it an offence to obtain bacteriological or other biological weapons, equipment or means of dissemination)				Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of 1902, as amended2005, Section 161 (procures explosives) BW: Penal Code of 1902, as amended 2005, Sections 153a and 147a	
3	possess	х	x	X	NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to possesses any material consisting of or containing plutonium or uranium without lawful permission) CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1 Penal Code of 1902, as amended 2005, as amended, Section 161 (stores explosives) BW: Penal Code of 1902, as amended 2005, Art. 153 a (makes it an offence to possess bacteriological or other biological weapons, equipment or means of dissemination)	x	х	х	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 152a and 147a CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 BW: Penal Code of 1902, as amended 2005, Sections 153a and 147a	
2	stockpile/store	x	X	x	NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to receive/possesses any material consisting of or containing plutonium or uranium without lawful permission)	x	x	x	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Section 152a and 147a	

					CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention of 1994, Section 1 Penal Code of1902, as amended2005, Section 161 (stores explosives) BW: Penal Code of 1902, as amended 2005, Art. 153 a (makes it an offence to store bacteriological or other biological weapons, equipment or means of dissemination)				CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of1902, as amended2005, Section 161 (stores explosives) BW: Penal Code of 1902, as amended 2005, Sections 153a and 147a	
					NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) CW:				NW: Nuclear Energy Act of 1972, as amended, Section 55 CW:	
5	develop	х	х	х	Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	Х	х	х	Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
					BW: Penal Code of 1902, as amended 2005, Art. 153 a (makes it an offence to develop bacteriological or other biological weapons, equipment or means of dissemination)				BW: Penal Code of 1902, as amended 2005, Sections 153a and 147a	
6	transport	x			NW: Nuclear Energy Act of 1972, as amended, Section 51 (Control of the peaceful utilisation of nuclear energy)	x			NW: Nuclear Energy Act of 1972, as amended, Section 55	
7	'transfer	х	x		NW: Nuclear Energy Act of 1972, as amended, Section 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to transfer any material consisting of or containing plutonium or uranium without lawful permission)	x	x		NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 147 (terrorist acts), 147 a and 152 a	
<u></u>					cw:				CW:	

					Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1				Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
					NW: Nuclear Energy Act of 1972, as amended, Section 4,5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code of 1902, as amended 2005, Art. 152 a (makes it an offence to use any material consisting of or containing plutonium or uranium without lawful permission)				NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 147a and 152a	
8	3 use	X	Х	x	CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1 Penal Code of 1902, as amended 2005, Arts. 107(b) (punishable as a war crime to employ chemical weapons), 152 (adds noxious substances to reservoirs or water-courses), 153 (adds poison or other such substances to any product intended for general use) and 160 (gives or offers instruction in the use of explosives or poison)	x	×	X	CW: Act relating No. 10 of 6 May 1994 to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of 1902, as amended 2005, Sections 107(b) (punishable as a war crime to employ chemical weapons), 147 a and 160 (gives or offers instruction in the use of explosives or poison)	
					BW: Penal Code of 1902, as amended 2005, Arts. 107(b) (punishable as a war crime to employ biological weapons), 153a and 154 (introduce or spread dangerous contagious disease) and 156 (contravenes the regulations and violates the decision made pursuant to the Act relating to Control of Communicable Diseases)				BW: Penal Code of 1902, as amended 2005, Sections 107(b) (punishable as a war crime to employ biological weapons), 147a and 153a	
g	participate as an accomplice in abovementioned activities	x	x	x	NW: Penal Code of 1902, as amended 2005, Art. 152 a (aid and abet such an offence shall be liable to the same penalty) CW: Act No. 10 of 6 May 1994 relating to the	x	х	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 140, 147a and 152a CW: Act No. 10 of 6 May 1994 relating to the	

					Convention, Section 1				Convention, Section 5 Penal Code , as amended, Section 147a	
					BW: Penal Code of 1902, as amended 2005, Arts. 153 a (aid and abet such an offence shall be liable to the same penalty) and 156				BW: Penal Code of 1902, as amended 2005, Sections 140 and 153a, and Section 147a	
					NW: Penal Code of 1902, as amended 2005, Art. 152 a (aid and abet such an offence shall be liable to the same penalty)				NW: Nuclear Energy Activities Act of 1978, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 140, 147a and 152a	
10	assist in abovementioned activities	Х	Х	х	CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	х	Х	CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code, as amended,, Sections 140 and 147a	
					BW: Penal Code of 1902, as amended 2005, Art. 153 a (aid and abet such an offence shall be liable to the same penalty)				BW: Penal Code of 1902, as amended 2005, Sections 140 and 153, and Section 147 (terrorist acts)	
11	finance abovementioned activities	X	x	X	NW/CW/BW: Penal Code of 1902, as amended, 2005, Section 147b (finance terrorist acts) Act of 6 March 2009 No. 11 relating to measures to combat money laundering and the financing of terrorism, etc. (Money Laundering Act, MLA) Regulations concerning measures to combat money laundering and the financing of terrorism, etc. (Money Laundering Regulations, MLR)	X	X		NW/CW/BW: Penal Code of 1902, as amended 2005, Section 147b (finance terrorist act) National Authority for Investigation and Prosecution of Economic and Environmental Crime - The Money Laundering Unit (ØKOKRIM/ EFE - Enheten for finansiell etteretning) Money Laundering Regulations 2009	
					NW: Penal Code of 1902, as amended 2005, Art. 152 a (aid and abet such an offence shall be liable to the same penalty) BW:					

					Penal Code of 1902, as amended 2005, Arts. 153 a (aid and abet such an offence shall be liable to the same penalty) and 156					
12	abovementioned activities related to means of delivery ²		x	х	CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1		х		CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of 1902, as amended 2005, Section 153: toxins only	
	·				BW: Penal Code of 1902, as amended 2005, Section147a and 153a				BW: Penal Code of 1902, as amended 2005, Section147a and 153a	
					NW/CW/BW: Penal Code of 1902, as amended 2005, 147a				NW/CW/BW: Penal Code of 1902, as amended 2005, Section 147 a	
13	involvement of non- State actors in abovementioned activities	X	x	x	NW: Nuclear Energy Act of 1972, Section 4 and 5 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention	х	х	X	NW: Nuclear Energy Act of 1972, Section 55 CW: Act relating to the Implementation of the Chemical Weapons Convention of 1994, Section 5	
14	Other	х	х	x	NW/CW/BW: Penal Code of 1902, as amended 2005, Chapter 14 (increased penalties when a crime is regarded as an act of terror).	х	х	Х	NW/CW/BW: Penal Code of 1902, as amended 2005, Chapter 14 (increased penalties when a crime is regarded as an act of terror).	

^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

m	re any of the following easures, procedures or				<u>N</u> ational legal framework		<u>E</u> n	forc	ement: civil/criminal penalties and others	
	legislation in place to account for, secure or otherwise protect NW, CW,		YES		if YES, source document		YES		if YES, source document	Remarks
BW	BW and Related Materials? Can violators be penalized?			B W*			C W	B W	ii YES, Source document	
1	Measures to account for production	X	X	x	NW: INFCIRC/177 in force 1 March 1972 Additional Protocol in force 16 May 2000 Nuclear Energy Act of 1972, as amended, Section 4 and 5 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods (regulate the control and accountancy of nuclear material as required in the Additional Protocol to the Safeguards Agreement between Norway and IAEA) CW: Regulations relating to the implementation of the CWC, of 16 May 1997 Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substance and the Fire services (Fire and Explosions Act) Regulation relating to the handling of hazardous substances, 2009 No. 1142 Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004, No. 992	×	X		NW: Nuclear Energy Act of 1972, as amended, section 55 Penal Code 1902, as amended 2005, Sections 148 and 152 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, section 16 CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Hazardous Substances and the Fire services (Fire and Explosions Act), sections 33-35 and 42 Regulation relating to the handling of hazardous substances, 2009 No. 1142, Section 24 Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004 No. 92, Section 7-5	
					Regulation No 903 of 12 September 1996 on import, transport and handling of materials					

					infectious to humans					
2	Measures to account for use	X	X	X	NW: INFCIRC/177 Additional Protocol in force 16 May 2000 (INFIRC/177/Add. 1) Nuclear Energy Act of 1972, as amended, Section 55 Regulations No. 433 of 12 May 2000 on possession, transfer and transportation of nuclear material and dual-use goods CW: Regulations relating to the implementation of the CWC, of 16 May 1997 Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act). Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004, No. 992 BW: Act relating to control of communicable diseases, Sections 4 and 6 Decree No. 144 of 1990, as amended by Decree No. 74 of 2004 and Decree No. 819 of 2008 (reporting on Class A, B, and C diseases classified by the Veterinary Act).	X	X	×	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 148 and 152 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, section 16 CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act), Section 33-35 and 42. Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004 No. 92, Section 7-542. BW: Act relating to control of communicable diseases, Sections 4 and 6 Decree No. 144 of 1990, as amended by Decree No. 74 of 2004 and Decree No. 819 of 2008 (reporting on Class A, B, and C diseases classified by the Veterinary Act).	
3	Measures to account for storage	х	х	х	NW: INFCIRC/177 Additional Protocol in force 16 May 2000 (INFIRC/177/Add. 1) Nuclear Energy Act of 1972 Regulations No 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods	x	x		NW: Nuclear Energy Act of 1972, as amended , Section 16 Penal Code of 1902, as amended 2005, Sections 148 and 152 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, Section 16	

					Regulations relating to the implementation of the CWC, of 16 May 1997 Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act). Regulation relating to the handling of hazardous substances, 2009 No. 1142.				CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act)), Section 33-35 and 42. Regulation relating to the handling of hazardous substances, 2009 No. 1142, Section 12 and 24.	
					BW: Regulation No 903 of 12 September 1996 on import, transport and handling of materials infectious to humans					
					NW/CW/BW: Regulations No. 384 of 1 April 2009 on Land Transport Regulations No. 1331 of 1 December 2006 on the Transport of Dangerous Goods by Road and Rail (implements International Regulations for Carriage of Dangerous Goods by Road (ADR) and Rail (RID)) Regulations No 41 of 11 January 2003 on the Transport of dangerous Goods by Air.				NW/CW/BW: Regulations No. 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail The Maritime Directorate of the Ministry of Foreign Affairs (issuing regulations on the maritime transport of dangerous goods) The Directorate for Fire and Explosion Prevention (competent authority for the transport of dangerous goods by road and rail)	
1 4	Measures to account for transport	x	x	x	NW: Nuclear Energy Act of 1972, as amended Regulations No. 433 of 12 May 2000 on possession, transfer and transportation of nuclear material and dual-use goods	X	X	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 148 and 152 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, Section 16	
					CW: Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail				CW: Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Section 6, 30 and 35.	

	Other measures for							
5	accounting							
€	Measures to secure	X	X	Х	NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities CW: Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act), Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness BW: Regulation no 903 of 12 September 1996 on import, transport and handling of materials infectious to humans	X	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 148 and 152 CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Section 7, 11 and 15.
7	Measures to secure use	x	x	x	NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness Regulation relating to the handling of hazardous substances, 2009 No. 1142 BW: Regulation no 903 of 12 September 1996 on import, transport and handling of materials infectious to humans	X	x	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code of 1902, as amended 2005, Sections 148 and 152 CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Section 7, 11 and 15. 3. Regulation relating to the handling of hazardous substances, 2009 nr. 1142, Section 12, 14 and 24

8	Measures to secure storage	x	x	x	NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Section 7, 11 and 15 3. Regulation relating to the handling of hazardous substances, 2009 No. 1142 BW: Regulation No. 903 of 12 September 1996 on import, transport and handling of materials infectious to humans	X	x		NW: Nuclear Energy Act of 1972, as amended Penal Code of 1902, as amended 2005, Sections 148 and 152 CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Section 7, 11 and 15. 3. Regulations relating to the handling of hazardous substances, 2009 No. 1142, Section 12, 14 and 24	
9	Measures to secure transport	x	X	x	NW/CW/BW: Regulation No. 384 of 1 April 2009 on Land transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended Act of 11 June 1993 on Civil Aviation, Section 9(1) and (4) (responsibility for maritime transport lies in the Maritime Directorate of the Ministry of Foreign Affairs and the Civil Aviation Administration for air transport). Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities Regulations No. 433 of 12 May 2000 on	X	X	x	NW/CW/BW: Regulation No. 384 of 1 April 2009 on Land transport Regulations of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail The Maritime Department of the Ministry of Trade, Industry and Fisheries (issuing regulations on the maritime transport of dangerous goods) The Directorate for Fire and Explosion Prevention (competent authority for the transport of dangerous goods by road and rail) NW: Nuclear Energy Act of 1972, as amended Penal Code of 1902, as amended 2005, Sections 148 and 152	

					possession, transfer and transportation of nuclear material and dual-use equipment CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Chapter 2 BW: Regulations No 1331 of 1 December 2006 on the Transport of Dangerous Goods by Road and Rail. Regulations No 41 of 11 January 2003 on the Transport of dangerous Goods by Air				CW: Fire and Explosion Act, Section 42 Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Section 4-6, 30, 31 and 35.	
10	Other measures for securing	Х	Х	Х	Law on preventive security (Sikkerhetsloven)	Х	х	Х	Law on preventive security (Sikkerhetsloven)	
11	Regulations for physical protection of facilities/materials/transports	x	X	x	NW/CW/BW: Regulations No 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 2 November 1984 on relating to the physical protection of nuclear materials and nuclear facilities, as revised Royal Decree of 2 November 1984, especially Sections 1, 13-15 and Annexes (including minimum standards for transport and storage) Regulations 1362 of 21 November 2003 on Radiation Protection and Use of Radiation (Radiation Protection Regulations), as amended by Regulations 1380 of 29 Oct 2010 CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness	X	x	x	NW/CW/BW: Regulations No 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended Penal Code of 1902, as amended 2005, Sections 148 and 152, as amended in 1984 for unlawful possession, utilization, transfer or distribution of plutonium or uranium CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Section 7, 11 and 15.	

									Regulation relating to the handling of nazardous substances, 2009 nr. 1142, Section 12, 14, 15 and 24.	
					BW: Regulation 1356 of 6 December 2011 Concerning the design and layout of work places and premises					
					NW: Nuclear Energy Act of 1972, as amended, Section 4 and 5				NW: Norwegian Radiation Protection Authority Nuclear Energy Activities of 1972, as amended, Section 55	
12	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	x	x	x	CW: Regulations relating to the implementation of the CWC, of 16 May 1997 Regulation relating to the handling of hazardous substances, 2009 nr. 1142. Regulation 1356 of 6 December 2011 Concerning the design and layout of work places and premises	x	x	 	Act No. 10 of 06 May 1994 relating to the implementation of the CWC, Section 3-5 and 8. 2. Regulation relating to the handling of mazardous substances, 2009 nr. 1142, Section 12, 17 and 24	
					BW: Regulation 1356 of 6 December 2011 Concerning the design and layout of work places and premises					
13	Reliability check of personnel	Х			NW: Nuclear Energy Act of 1972, as amended Regulations No. 1809 of 2 November 1984 relating to the physical protection of nuclear materials and nuclear facilities, as revised	X			NW: Nuclear Energy Ac of 1972, as amended, Section 55	
14	Measures to account for/secure/ physically protect means of delivery									

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E87OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
National regulatory authority	х	Nuclear Energy Act of 1972, as amended (creating the Norwegian Radiation Protection Authority), Sections 10.	Х	Norwegian Radiation Protection Authority (NRPA)	
2 IAEA Safeguards Agreements	Х	INFCIRC/ 177 in force 1 March 1972 Additional Protocol in force 16 May 2000	Х	INFCIRC/ 177 in force 01 March 1972 Additional Protocol in force 16 May 2000	
IAEA Code of Conduct on 3 Safety and Security of Radioactive Sources	Х	Expressed support.	Х	Expressed support.	
Supplementary Guidance on the Import and Export of 4 Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	х	Expressed support.	х	Expressed support.	
5 IAEA Incident and trafficking Database	Х	Participates.	Х	Participates.	
Other Agreements related to IAEA	Х	Party to: Convention on Early Notification of a Nuclear Accident (Deposit 26 September 1986); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (Deposit26 September 1986) Convention on Nuclear Safety (Deposit 29	Х	Party to: Convention on Early Notification of a Nuclear Accident (Deposit 26 September 1986); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (Deposit26 September 1986) Convention on Nuclear Safety (Deposit 29	

		September 1994); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (Deposit 12 January 1998).	September 1994); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (Deposit 12 January 1998).	
Additional national legislation/regulatio related to nuclear materials including CPPNM	ns			
8 Other	х	Act on Radiation Protection and Use of Radiation of 2000 Regulations 1380 of 29 October 2010 on Radiation Protection and Use of radiation	Act on Radiation Protection and Use of Radiation of 2000 Regulations 1380 of 29 October 2010 on Radiation Protection and Use of radiation	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others		
legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
1 National CWC authority	Х	Regulations relating to the implementation of the CWC, of 16 May 1997, section 10	Х	Ministry of Foreign Affairs, Section for Disarmament and Nuclear Security		
Reporting Schedule I, II 2 and III chemicals to OPCW	Х	Reported.	Х	Reported.		
Account for, secure or 3 physically protect "old chemical weapons"	х	State reports that Chemical Weapons from WWII were dumped at sea. The localization and condition of the weapons surveyed by the Norwegian Defence Research Establishment. Conclusion: safest and most environmentally friendly option to leave them at site.	х	State reports that Chemical Weapons from WWII were dumped at sea. The localization and condition of the weapons surveyed by the Norwegian Defence Research Establishment. Conclusion: safest and most environmentally friendly option to leave them at site.		
Other legislation/ 4 regulations controlling chemical materials	х	Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act) Regulation relating to the handling of hazardous substances, 2009 No. 1142 Regulation No. 588 of June 2 2015 on the handling of explosives precursors	х	Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act) Regulation relating to the handling of hazardous substances, 2009 No. 1142 Regulation No. 588 of June 2 2015 on the handling of explosives precursors		
5 Other						

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others		
legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
Regulations for genetic engineering work	х	Act No. 56 of 5 August 1994 relating to the application of biotechnology in medicine Act of 2 April 1993 No. 38 relating to the production and use of genetically modified organisms (Gene Technology act)	х	Act No. 56 of 5 August 1994 relating to the application of biotechnology in medicine, Section 8-5 Act of 2 April 1993 No. 38 relating to the production and use of genetically modified organisms (Gene Technology act)		
Other legislation/ regulations related to safety and security of biological materials	Х	Act No. 124 of 19 December 2003 relating to food production and food safety, etc. (Food Act)	Х	Act No. 124 of 19 December 2003 relating to food production and food safety, etc. (Food Act)		
3 Other						

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?				<u>N</u> ational legal framework	En	forc	eme	nt: civil/criminal penalties, and measures of implementation, etc	
		YES	;			YES		:EVEC assumed assument	Remarks
		c W	B W	if YES, source document	N W	C W	B W	if YES, source document	
	V			NW/CW/BW: The Act on Customs Duties and Movement of Goods (Customs Act) 21 December 2007, No. 119, Sections 1-5, 3-1, 3-7, 4-10, 4-11 Regulations to the Act on Customs Duties and Movement of Goods (Customs Regulations) 2009 Nuclear Energy Act of 1972, as amended, Section 4 and 5				NW/CW/BW: The Act on Customs Duties and Movement of Goods (Customs Act) 21 December 2007, No. 119, Chapters 13 (enforcement powers) and 16 (penalties) Regulations to the Act on Customs Duties and Movement of Goods (Customs Regulations) 2009, Chapter 16 Nuclear Energy Act of 1972, as amended, Section 55	
1 Border control	X	X	X	BW: Decree No. 1347 of 2005 carrying into effect Commission Regulation (EC) No. 136/2004 laying down procedures for veterinary checks at Community border inspection posts on products imported from third countries. Plant Diseases Act of 1964, as amended Decree No. 1602 of 1983, as amended by Decree No. 291 of 1988 (prevention of imports of plant diseases).	X	X		BW: Offences to Decree No. 1347 are prescribed by the Food Act, No. 124 of 2003 Plant Diseases Act of 1964, as amended, Section 10 Food Control Agency State Plant Inspection Office	
2 Technical support of border control measures	?	?	?	NW/CW/BW: Customs Act No. 119 of 2007, Sections 4-13 (permits electronic submission of data)					
Control of brokering, 3 trading in, negotiating, otherwise assisting in	Х	Х	Х	NW/CW/BW: Act of 18 December 1987 No. 93 relating to control of the export of strategic goods,	Х	Х		NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7	

	sale of goods and technology				services, technology, etc. (Export Control Act) 1987, as amended in 2005 Export Control Regulations as amended 2015, Section 1			Export Control Regulations, as amended 2015, Section 1, Ministry of Foreign Affairs	
4	Enforcement agencies/authorities	x	х	x	NW/CW/BW: Police Act Customs Act Act of 18 December 1987 No. 93 relating to control of the export of strategic goods, services, technology, etc. (Export Control Act) 1987, as amended 2005	x	х	NW/CW/BW: Directorate for Customs and Excise Norwegian Police Security Service	
5	Export control legislation in place	x	х	x	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005 Export Control Regulations, as amended 2015 (Regulations on export of defense, multipurpose products, technologies and services) Customs Act No. 119 of 2007, Sections 3-7, 4-11, and 4-23 MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology CW: Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations)	x	x	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7 Export Control Regulations, as amended 2015	
6	Licensing provisions	x	x	x	NW/CW/BW: Export Control Regulations, as amended 2015 Guidelines for the Ministry of Foreign affairs when dealing with applications for export of arms and military equipment MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology	x	x	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7 Export Control Regulations, as amended 2015	
7	Individual licensing	Х	х	х	NW/CW/BW: Export Control Regulations , as amended 2015	х	х	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7	

					Guidelines for the Ministry of Foreign affairs when dealing with applications for export of arms and military equipment MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology				Export Control Regulations, as amended 2015	
8	General licensing	x	x	x	NW/CW/BW: Export Control Regulations 1989, as amended 2007 Guidelines for the Ministry of Foreign affairs when dealing with applications for export of arms and military equipment MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology	x	x	x	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7 Export Control Regulations, as amended 2015	
9	Exceptions from licensing	х	х	х	NW/CW/BW: Export Control Regulations, as amended 2015, Sections 3 and 14	Х	х	х	NW/CW/BW: Export Control Regulations, as amended 2015, Section 3	
10	Licensing of deemed export/visa									
11	National licensing authority	х	х	х	NW/CW/BW: Royal Decree of 18 December 1987 No. 967 on delegation of authority pursuant to the Act of 18 December 1987 No. 93 relating to control of the export of strategic goods, services, technology, etc.	х	х	х	NW/CW/BW: Ministry of Foreign Affairs	
12	Interagency review for licenses	х	х	х	NW/CW/BW: Export Control Regulations , as amended 2015	х	х		NW/CW/BW: The Ministry of Foreign Affairs is engaged in inter-institutional cooperation with the Norwegian Police Security Service, Norwegian Customs and Excise and the defence authorities.	
13	Control lists	х	х	х	NW/CW/BW:: Export Control Regulations , as amended , Sections 1 and 4-6 and 2013 Export Control Regulations , as amended (Regulations on export of defense, multi- purpose products, technologies and services,	Х	х	х	NW/CW/BW: Ministry of Foreign Affairs' List II for dual-use items and List I for military items	

					No. 718 of 19 June 2013) Appendix II contains List II – the dual-use items subject to export controls (Regulation 2012/388/EU of 19 April 2012)					
14	Updating of lists	х	х	Х	NW/CW/BW: Export Control Regulations , as amended 2015, Sections 1 and 4-6	х	х		NW/CW/BW: Export Control Regulations , as amended 2015: Lists are regularly updated (AG, WA, NSG, ZC, MTCR)	
15	Inclusion of technologies	x	х	Х	NW/CW/BW: Export Control Regulations, as amended 2015, Sections 1 and 4-6	x	х	х	NW/CW/BW: Ministry of Foreign Affairs' Lists I and II Guidelines 28 February 1992 for the Ministry of Foreign Affairs, as amended 2008	
16	Inclusion of means of delivery	х	х	Х	NW/CW/BW: Export Control Regulations 19, as amended 2015, Sections 1 and 4-6	х	х	х	NW/CW/BW: Ministry of Foreign Affairs' Lists I and II Guidelines 28 February 1992 for the Ministry of Foreign Affairs, as amended 2008	
17	End-user controls	х	х	Х	NW/CW/BW: Export Control Regulations, as amended 2015, Sections 1 and 4-6	Х	х	Х	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7 Export Control Regulations, as amended 2015: revocation of license	
18	Catch all clause	Х	х	х	NW/CW/BW: Export Control Regulations, as amended 2015, Section 1	Х	х	Х	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7 Export Control Regulations, as 2015, Section 4, Ministry of Foreign Affairs, re licencing	
19	Intangible transfers	Х	х	х	NW/CW/BW: Export Control Regulations , as amended 2015, Section 1	Х	х	Х	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005, Sections 5 and 7	
20	Transit control	х	х	х	NW/CW/BW:: Export Control Regulations, as amended 2015: arms and military equipment only Customs Act No. 119 of 2007, Sections 4-22	Х	х	х	NW/CW/BW: Export Control Regulations, as amended 2015	
21	Trans-shipment control	Х	Х	Х	NW/CW/BW: Export Control Regulations, as amended 2015:	Х	Х	Х	NW/CW/BW: Export Control Regulations, as amended 2015	

					arms and military equipment only Customs Act No. 119 of 2007, Sections 4-22					
22	Re-export control	х	х	х	NW/CW/BW: Export Control Act No. 93 1987, as amended 2005 Export Control Regulations, as amended 2015	х	х		NW/CW/BW: Export Control Regulations, as amended 2015	
233	Control of providing funds	х	х	x	NW/CW/BW: Act of 6 March 2009 No. 11 relating to measures to combat money laundering and the financing of terrorism, etc. (Money Laundering Act, MLA) Regulations concerning measures to combat money laundering and the financing of terrorism, etc. (Money Laundering Regulations, MLR) NW: Penal Code, as amended, Art. 152 a (aids and abets such an offence shall be liable to the same penalty) BW: Penal Code, as amended, Arts. 153 a (aids and abets such an offence shall be liable to the same penalty) and 156	х	х		NW/CW/BW: Penal Code, as amended, Section 147 (terrorist acts) National Authority for Investigation and Prosecution of Economic and Environmental Crime - The Money Laundering Unit (ØKOKRIM/ EFE - Enheten for finansiell etteretning) Money Laundering Regulations 2009	
24	Control of providing transport services	X	x	X	NW/CW/BW: Export Control Regulations, as amended 2015, Sections 1 and 6	X	X	X	NW/CW/BW: Regulations of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail (implements International Regulations for Carriage of Dangerous Goods by Road (ADR) and Rail (RID)) The Maritime Directorate of the Ministry of Foreign Affairs (issuing regulations on the maritime transport of dangerous goods) Civil Aviation Administration (for air transport of dangerous goods) The Directorate for Fire and Explosion Prevention (competent authority for the transport of dangerous goods by road and rail)	

					Act of 11 June 1993 on Civil Aviation, Section 9 (1) and (4) (responsibility for maritime transport lies in the Maritime Directorate of the Ministry of Foreign Affairs and the Civil Aviation Administration for air transport).				NW: Act No. 36 of 12 May 2000 on Radiation Protection and Use of Radiation 2000, Sections 18-19 and 23 Act No. 28 of 1978 on Nuclear Energy Activities, as amended Penal Code, as amended, Sections 148 and 152 The Norwegian Radiation Protection Authority (NRPA)	
					NW: Nuclear Energy Act of 1972, as amended, Sections 4 and 5				NW: Ministry of Health and Social Affairs regulates, with permits issued by the Norwegian Radiation Protection Authority	
25	Control of importation	х	ý	x	CW: Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations)	X	?	×	CW: Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations)	
					BW: Plant Diseases Act of 1964, as amended Decree No. 1602 of 1983, as amended by Decree No. 291 of 1988 (prevention of imports of plant diseases). Regulations relating to import, transportation and handling of pathogens				BW: Plant Diseases Act of 1964, as amended Decree No. 1602 of 1983, as amended by Decree No. 291 of 1988 (prevention of imports of plant diseases). Regulations relating to import, transportation and handling of pathogens	
26	Extraterritorial applicability									
27	Other									

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?	YES		Remarks
Control lists - items 1 (goods/ equipment/ materials/ technologies)	Х	Ministry of Foreign Affairs' List II for dual-use items and List I for military items regularly updates in accordance with international obligations as member of NSG, AG, ZC, WA, MTCR.	
2 Control lists - other	Х	Implementing sanctions list.	
3 Assistance offered	х	Ready to provide assistance with respect to legislation as well as regulatory infrastructure	
4 Assistance requested			
5 Point of Contact for assistance			
6 Assistance in place (bilateral/multilateral)			
7 Work with and inform industry	Х	Dialogue with industry is established, also awareness raising programmes for business	
Work with and inform the public	Х	 The government makes reports on export controls annually to the parliament and makes them available to the public. Dialogue with public is established, also awareness raising programmes for universities 	
9 Point of Contact			
10 Other ⁴			

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.