1540 COMMITTEE MATRIX OF LUXEMBOURG

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: LUXEMBOURG

Date of Report:29 October 2004Date of First Addendum:29 December 2005

Date of Second Addendum: 25 June 2013

Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
Nuclear Non- 1 Proliferation Treaty (NPT)	Х	Deposit 2/4 May 1975	
Nuclear Weapons Free Zone/ Protocol(s)			
Convention for the 3 Suppression of Acts of Nuclear Terrorism	Х	Ratified 2 October 2008	
Convention on Physical 4 Protection of Nuclear Material (CPPNM)	Х	Deposit 6 September 1991	

5	2005 Amendment to the CPPNM	Х	Deposit 24 February 2012	
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Deposit 26 May 1999	
7	Chemical Weapons Convention (CWC)	Х	Deposit 15 April 1997	
8	Biological Weapons Convention (BWC)	Х	Deposit 23 March 1976	
9	Geneva Protocol of 1925	Х	Deposit 1 September 1936	
10	Other Conventions/Treaties	Х	EURATOM Treaty State Party to 14 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): International Convention for the Suppression of Terrorist Bombings (6/2/2004), International Convention for the Suppression of the Financing of Terrorism (5/11/2003)	
11	International Atomic Energy Agency (IAEA)	Х	Member since 29 January 1958	
12	Hague Code of Conduct (HCOC)	Х	Subscribed on 25 November 2002	
	Other Arrangements	Х	Nuclear Suppliers Group (NSG) Zangger Committee (ZC) Missile Technology Control Regime (MTCR) Australia Group (AG) Wassenaar Arrangement (WA) Participates in the Proliferation security Initiative (PSI) Partner country of the Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	Х	State refers to the European Union Strategy against Proliferation of WMD of 2003, as well as the "New Lines of Action" developed therein	
16	General statement on non-provision of WMD and related materials to non-State actors	Х	State reports that it does not provide any support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery.	
17	Other ¹	Х	EU Council Decision of 17 November 2003 to include WMD provisions in Agreements with third countries - Revised Cotonou Partnership Agreement (the CPA II) Member of: The European Union (EU) The Organization for Security and co-operation in Europe (OSCE) INTERPOL	

	International Maritime Organisation (IMO) World Customs Organization (WCO)	
	World Health Organization (WHO) World Organisation of Animal Health (OIE)	
	Financial Action Task Force (FATF)	

^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?				<u>N</u> ational legal framework		<u>E</u> nf	orce	ement: civil/criminal penalties and others	and others
or			YES	;	if YES, source document of national	YES			if YES, source document	Remarks
			C W	B W			C W	B W	ii 123, source document	
1	manufacture/produce	x	x	x	NW: Act of 20 December 1974 ratifying the Nuclear Non-Proliferation Treaty Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 (the prohibition to import, manufacture, process, repair, acquire, buy, hold, deposit, transport, carry, sell, sell, export or trade "prohibited" weapons and ammunition defined as Cat. I.) CW: Act of 10 April 1997 ratifying the Convention on the Prohibition of Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, as amended, Art. 3 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4	x	x	x	NW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5 Act of 15 March 1983 on arms and ammunition, Art. 28	
					BW: Act of 28 November 1975ratifying the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4				BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28	
2	acquire	х	х	Х	NW: Act of 20 December 1974 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4	х	х	Х	NW: Act of 11 April 1985, as amended, Art. 2.1. Act of 15 March 1983 on arms and ammunition, Art. 28	

				Act of 11 April 1985 approving the Implementation of the Convention on Physica Protection of Nuclear Material, as amended, Art. 2.1 ("Shall be punished those who committed intentionally the following facts: a) the receipt, possession, use, transfer, alteration, disposal or dispersal of nuclear material, without the required authorization, and causing or likely to cause death or serious injury to any person or substantial damage to property or the environment") CW: Act of 10 April 1997, as amended, Art. 3 Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 BW: Act of 28 November 1975 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4				CW: Act of 10 April 1997, as amended, Art. 5 Act of 15 March 1983 on arms and ammunition, Art. 28 BW: Act of 15 March 1983 on arms and ammunition, Art. 28	
3 possess	x		x	NW: Act of 11 April 1985, as amended, Art. 2.1. Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 CW: Act of 10 April 1997, as amended, Art. 3 X Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 BW: Act of 28 November 1975 Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4	x	x	x	NW: Act of 11 April 1985, as amended, Art. 2.1. Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5 Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28	
4 stockpile/store	x)	K	NW: Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 CW: Act of 10 April 1997, as amended, Art. 3	х	х	х	NW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5	

					Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 BW: Act of 28 November 1975 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4				Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28
5	develop	x	x	×	NW: Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 CW: Act of 10 April 1997, as amended, Art. 3 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 BW:	×	×	X	NW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5 Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 BW: Act of 15 March 1983 on arms and
6	transport	х	х	x	Act of 28 November 1975 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4	x	x		Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28
7	transfer		X	x	NW: Act of 20 December 1974 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 Act of 11 April 1985, as amended, Art. 2.1. CW: Act of 10 April 1997, as amended, Art. 3 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4 BW: Act of 28 November 1975 Act of 15 March 1983 on arms and ammunition, as amended, Arts 1 and 4	X	X	X	NW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 Act of 11 April 1985, as amended, Art. 2.1. CW: Act of 10 April 1997, as amended, Art. 5 Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28

8	use	x	x	x	Penal Code (Law of 16 June 1979, as amended), Art. 135-9, 135-10 (terrorist bombing) Act of 29 July 2008 approving the International Convention for the Suppression of Nuclear Terrorism, Art. 2 Act of 11 April 1985, as amended, Art. 2.1. CW: Act of 10 April 1997, as amended, Art. 3 Penal Code (Law of 16 June 1979, as amended), Art. 135-9, 135-10 (terrorist bombing) BW: Penal Code (Law of 16 June 1979, as amended), Art. 135-9, 135-10 (terrorist bombing) Act of 15 July 1936 ratifying the Geneva Protocol of 1925		X		NW: Penal Code (Law of 16 June 1979, as amended), Art. 135-9 (terrorist bombing) Law of 29 July 2008 approving the International Convention for the Suppression of Nuclear Terrorism, Art. 2 Act of 11 April 1985, as amended, Art. 2.1. CW: Act of 10 April 1997, as amended, Art. 5 Penal Code (Law of 16 June 1979, as amended), Art. 135-9 (terrorist bombing) BW: Penal Code (Law of 16 June 1979, as amended), Art. 135-9 (terrorist bombing)	
	participate as an accomplice in abovementioned activities	х	х	х	NW/CW/BW: See prohibitions / penalties as mentioned above CW: Act of 10 April 1997, as amended, Art. 3	х	х	х	NW/CW/BW: Penal Code, as amended, Chapter VII CW: Act of 10 April 1997, as amended, Art. 5	
10	assist in abovementioned activities	x	x	x	NW/CW/BW: See prohibitions / penalties as mentioned above CW: Act of 10 April 1997, as amended, Art. 3 BW: Act of 28 November 1975	x	x		NW/CW/BW: Penal Code, as amended, Chapter VII CW: Act of 10 April 1997, as amended, Art. 5	
11	finance abovementioned activities	Х	Х	х	NW/CW/BW: Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money	х	х	х	NW/CW/BW: Penal Code, as amended, Arts. 135-5, 135-6 (terrorist financing) Act of 12 November 2004 on combating, money-laundering and the financing of	

					laundering and terrorist financing, as amended Act of 12 August 2003 on the suppression of terrorism and of its financing and the approval of the International Convention for the Suppression of the Financing of Terrorism Act of 12 November 2004 on combating, money-laundering and the financing of terrorism, as amended (includes amendments to a series of different Luxembourg's laws, namely the Penal Code) Act of 27 October 2010 on the implementation of resolutions of the Security Council of the United Nations and acts adopted by the European Union including bans and restrictive measures in financial matters against certain persons, entities and groups in the fight against the financing of terrorism Grand-Ducal Regulation of 29 October 2010 implementing the law of 27 October 2010, as amended				terrorism, as amended, Art. 9 Act of 27 October 2010, Art. 7	
12	abovementioned activities related to means of delivery ²	x	×	x	NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 CW: Act of 10 April 1997, as amended, Art. 3 BW: Act of 28 November 1975	x	x		NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5	
13	involvement of non- State actors in abovementioned activities	x	x	x	NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Arts. 1 and 4 CW: Act of 10 April 1997, as amended, Art. 3 (prohibition "for any person or entity")	х	х	X	NW/CW/BW: Act of 15 March 1983 on arms and ammunition, as amended, Art. 28 CW: Act of 10 April 1997, as amended, Art. 5	
14	Other	х	х	х	NW/CW/BW: Grand-Ducal Regulation of 31 October 1995 on the import, export and transit of arms,	Х	х	Χ	NW/CW/BW: Act of 5 August 1963 on the monitoring of the import, export and transit of goods, as	

munitions and equipment specifically intended for military use and related technology, as amended, Art. 2 (prohibition o import, export and transit of arms listed in Category 1)		
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^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

Are any of the following measures, procedures or				<u>N</u> ational legal framework		<u>E</u> n	ford	cement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW, CW,		YES	,	if YES, source document	YES			if YES, source document	Remarks
BW and Related Materials? Can violators be penalized?	N W	N C W W		·		c W	B W		
Measures to account for production	x		x	NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards Act of 25 March 1963 on the protection of the general public against the dangers of ionising radiation, as amended (provides legal basis for implementation of special provisions related to production, possession, use and marketing of nuclear equipment and substances) Grand-Ducal Regulation of 14 December 2000 on the protection of the population against the dangers arising from ionising radiation (sets rules governing such activities) Act of 1 August 2001 ratifying the Additional Protocol BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 establishing an European Centre for Disease Prevention and Control (ECDC) (Article 3 defines the mission to include identification and assessment of emerging threats, including the collection of relevant data and coordinate public health services surveillance networks) Act of 16 July 1984 concerning medical laboratories, as amended Grand Ducal Regulation of 27 May 2004 establishing the minimum criteria to observe in overall operations of a medical laboratory	X		x	Act of 25 March 1963, as amended, Art. 4 (investigations of breaches to Regulations made pursuant to this Act), Art. 7 (penalties) Grand-Ducal Regulation of 14 December 2000 (includes licensing requirements and defines in particular the jurisdiction of the Radiation Protection Division of the Ministry of Health which is responsible for the protection of the public against the dangers of radiation) The Radiation Protection Division is responsible for maintaining an updated inventory of substances, materials and equipment that emit ionising radiation BW: European Centre for Disease Prevention and Control (ECDC) The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories. Act of 16 July 1984, as amended, Art. 15	

2	Measures to account for use	x		x	NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards Act of 25 March 1963, as amended Grand-Ducal Regulation of 14 December 2000, as amended Act of 01 August 2001 BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 Act of 16 July 1984 concerning medical laboratories, as amended Grand Ducal Regulation of 27 May 2004	x		x	Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000, as amended Inventory maintained by the Radiation Protection Division BW: ECDC The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories. Act of 16 July 1984, as amended, Art. 15	
3	Measures to account for storage	х		х	NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards Act of 25 March 1963, as amended Grand-Ducal Regulation of 14 December 2000, as amended Act of 1 August 2001 BW: Regulation (EC) n. 851/2004 of the European Parliament and the Council of 21 April 2004 Act of 16 July 1984 concerning medical laboratories, as amended Grand Ducal Regulation of 27 May 2004	x		x	NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000, as amended Inventory maintained by the Radiation Protection Division BW: ECDC The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories	
4	Measures to account for transport	х	х	х	NW: Commission Regulation (Euratom) No. 302/2005 on the application of Euratom safeguards Act of 25 March 1963, as amended Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 3 Act of 1 August 2001 EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as	x	x		NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000, as amended Inventory of the Radiation Protection Division Grand Ducal Regulation of 31 January 2003, as amended, Art. 62 Grand Ducal Regulation of 3 June, as amended, Art. 29	

	amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended	CW/BW: Grand Ducal Regulation of 31 January 2003, as amended, Art. 62 Grand Ducal Regulation of 3 June, as amended, Art. 29	
	CW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended		
	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand Ducal Regulation of 27 May 2004		
5 Other measures for accounting X	NW: Council Directive 2013/59/Euratom of 5 December 2013 laying down basic safety standards for protection against the dangers		

					arising from exposure to ionising radiation				
					NW: Council Directive 2013/59/Euratom of 5 December 2013 laying down basic safety standards for protection against the dangers arising from exposure to ionising radiation Grand-Ducal Regulation of 14 December 2000, as amended (implementing the relevant EU Directives) Grand Ducal Regulation of 31 July 1989 on transfers of nuclear materials, equipment and technology and on their physical protection measures, as amended, Art. 9 (production of nuclear materials etc. is required to be the object of effective physical protection measures)			NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000 Grand Ducal Regulation of 31 July 1989, as amended, Art. 14 (penalties), 13 and 15 (enforcement)	
6	Measures to secure production	х	x	x	CW: Council Directive 98/24/EC of 7 April 1998 on the protection of the health and safety of the workers from the risks related to chemical agents at work, as amended Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended	x	x	CW: Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended, Art. 14	
					BW: Directive 2000/54/EC of the European Parliament and of the Council of 18 September 2000 on the protection of workers from risks related to exposure to biological agents at work Grand Ducal Regulation of 4 November 1994 on the protection of workers against the risks related to exposure to biological agents at work, as amendedAct of 16 July 1984, as amended Grand Ducal Regulation of 27 May 2004			BW: Grand Ducal Regulation of 4 November 1994, Art. 18 Act of 16 July 1984, as amended, Art. 15 The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories.	
7	Measures to secure use	Х	Х	Х	NW: Council Directive 2013/59/Euratom of 5 December 2013	х	Х	NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000,	

				Grand-Ducal Regulation of 14 December 2000, as amended			as amended	
				CW: Council Directive 98/24/EC of 7 April 1998 on the protection of the health and safety of the workers from the risks related to chemical agents at work, as amended Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended			CW: Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended, Art. 14	
				BW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended Act of 16 July 1984, as amended Grand Ducal Regulation of 27 May 2004			BW: Grand Ducal Regulation of 4 November 1994, Art. 18 Act of 16 July 1984, as amended, Art. 15 The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories	
				NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended Grand Ducal Regulation of 31 July, as amended, Art. 9 (possession of nuclear materials etc. is required to be the object of effective physical protection measures)			NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000 Grand Ducal Regulation of 31 July 1989, as amended, Art. 14, 13 and 15	
8	Measures to secure storage	x	x	X Council Directive 98/24/EC of 7 April 1998 on the protection of the health and safety of the workers from the risks related to chemical agents at work, as amended Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended	x	X	CW: Grand Ducal Regulation of 30 July 2002 on the protection of health and safety of workers against the risks related to chemical agents at work, as amended, Art. 14	
				BW:			BW:	

					September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended Act of 16 July 1984, as amended Grand Ducal Regulation of 27 May 2004			Art. 18 Act of 16 July 1984, as amended, Art. 15 The Office of Quality Control (BCQ) is responsible for organizing, coordinating and monitoring of national programmes for quality control of laboratories	
					NW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 3			NW: Act of 25 March 1963, as amended, Art. 4, 7 Grand-Ducal Regulation of 14 December 2000, as amended Grand Ducal Regulation of 31 January 2003, as amended, Art. 62 Grand Ducal Regulation of 3 June, as amended, Art. 29	
9	Measures to secure transport	x	x	x		X	x	CW/BW: Grand Ducal Regulation of 31 January 2003, as amended, Art. 62 Grand Ducal Regulation of 3 June, as amended, Art. 29	
					CW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending				

				Regulation (EC) No 1907/2006 (CLP)					
				BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand Ducal Regulation of 27 May 2004					
Other measures for securing									
Regulations for physical protection of facilities/materials/ transports	x			NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended	x			Grand Ducal Regulation of 31 July 1989, as	
Licensing/registration of installations/facilities/persons/entities/use/handling of materials	x		х	NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations, depending on the risk they present). BW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended Act of 16 July 1984, as amended	x			Art. 18	
	protection of facilities/materials/ transports Licensing/registration of installations/facilities/persons/entities/use/	Regulations for physical protection of facilities/ materials/ transports Licensing/registration of installations/facilities/ persons/entities/use/	Regulations for physical protection of facilities/ materials/ transports Licensing/registration of installations/facilities/ persons/entities/use/	Regulations for physical protection of facilities/ X materials/ transports Licensing/registration of installations/facilities/ persons/entities/use/	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand Ducal Regulation of 27 May 2004 Other measures for securing NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations, depending on the risk they present). BW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994,	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand Ducal Regulation of 27 May 2004 Other measures for securing NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations/facilities/persons/entities/use/handling of materials X X X X September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by rail, as amended Grand Ducal Regulation of 27 May 2004 Other measures for securing NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations/facilities/ persons/entities/use/ handling of materials X BW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 27 May 2004 Other measures for securing NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the X Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations, depending on the risk they present). X BW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended	BW: EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (implementing ADR, RID and ADN), as amended Grand Ducal Regulation of 31 January 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 3 June 2003 on the transport of dangerous goods by road, as amended Grand Ducal Regulation of 27 May 2004 Other measures for securing NW: Act of 11 April 1985 approving the Convention on the Physical Protection of Nuclear Material (CPPNM), as amended (in particular through the Act of 28 July 2011 approving the Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended, Art. 14, 13 and 15 NW: Amendment to the CPPNM) Grand Ducal Regulation of 31 July 1989, as amended, Art. 14, 13 and 15 NW: Council Directive 2013/59/Euratom of 5 December 2013 Grand-Ducal Regulation of 14 December 2000, as amended, Chap. 2 (authorization requirements for different categories of installations/facilites/ persons/entitles/use/ handling of materials NW: Council Directive 2000/54/EC of 18 September 2000, as amended Grand Ducal Regulation of 4 November 1994, as amended Art. 18 Act of 16 July 1984, as amended, Art. 15

13	Reliability check of personnel	Ş	NW: Grand-Ducal Regulation of 14 December 2000 (qualifications of the staff responsible for receiving, producing, distributing, using, maintaining or monitoring radioactive substances and apparatus) BW: Grand Ducal Regulation of 18 December 1998 determining the disciplines of bio-medical analysis laboratories and regulating the specialized training of laboratory managers.				
14	Measures to account for/secure/ physically protect means of delivery	х	NW: Grand-Ducal Regulation of 31 July 1989, as amended (Annex I, Part 3)	х		NW: Grand Ducal Regulation of 31 July 1989, as amended, Art. 14, 13 and 15	

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others			
legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks		
National regulatory authority	Х	Act of 21 November 1980 on the Organisation of the Directorate of Health Act of 25 March 1963, as amended, Art. 4 Grand-Ducal Regulation of 14 December 2000, as amended	х	Directorate of Health - Department of Radiation Protection (DRP)/ Division de la Radioprotection			
2 IAEA Safeguards Agreements	Х	Safeguards Agreement 78/164/Euratom or INFCIRC193 of 14 September 1973; Additional Protocol 1999/188/Euratom or INFCIRC/193/Add.8 of 12 January 2005	Х	Safeguards Agreement in force 21 February 1977 Additional protocol in force 30 April 2004			
IAEA Code of Conduct on 3 Safety and Security of Radioactive Sources	Х	Notification pursuant to GC(47)/RES./7.B	Х	Point of Contact for Import and Export: Division de la Radioprotection - Direction de la Santè			
Supplementary Guidance on the Import and Export of 4 Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Notification pursuant to GC(48)/RES/10.D	Х	Notification pursuant to GC(48)/RES/10.D			
5 IAEA Incident and Trafficking Database	X	Participates in ITDB	Х	Participates in ITDB			
Other Agreements related to IAEA	Х	Agreement on the Privileges and Immunities of the IAEA 1972-03-24	Х	Acceptance: 1972-03-24			
Additional national legislation/regulations 7 related to nuclear materials including CPPNM	Х	Law of 11 April 1985 approving the Convention on the Physical Protection of nuclear Material, as amended (including through the Law of 28 July 2011 approving the Amendment to the CPPNM)					
8 Other	Х	Advisory bodies					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	Remarks	
legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document		
1 National CWC authority	х	Act of 10 April 1997 ratifying the Convention on the Prohibition of Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, as amended, Art. 2 Grand Ducal Regulation of 3 June 1997 concerning the enforcement of the Act of 10 April 1997	X	National Authority under the authority of the Ministry of Foreign Affairs. The National Authority is chaired by the Ministry of Foreign Affairs and consists of representatives from the Customs administration, the Department of Radiation Protection, the Police, the Ministry of Economy, the Service de Renseignement de l'Etat and the licensing office (which also assumes the role of the Secretariat)		
Reporting Schedule I, II 2 and III chemicals to OPCW						
Account for, secure or 3 physically protect "old chemical weapons"						
Other legislation/ 4 regulations controlling chemical materials	X	Act of 10 April 1997, as amended Grand-Ducal Regulation of 03 June 1997 Regulation (EG) 1907/2006 of the European Parliament and of the Council of 18 December 2008 concerning the Registration, Evaluation and Restriction of Chemicals (REACH), as amended Act of 16 December 2011 implementing REACH and CLP	X	Act of 10 April 1997, as amended, Art. 5 Act of 16 December 2011, Chap. 2		
5 Other		The State reported in 2013 that it is currently preparing new legislation aimed at strengthening the legal framework for implementation of the CWC				

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others		
legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
Regulations for genetic engineering work	X	Directive 2009/41/EC of the European Parliament and of the Council of 6 May 2009 on the contained use of genetically modified micro-organisms Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms Act of 13 January 1997 on the control of the use and release of genetically modified organisms, as amended and a series of related Grand Ducal Regulations	X	Act of 13. January 1997, as amended, Art. 42		
Other legislation/ regulations related to safety and security of biological materials	Х	Directive 89/391/EC				
3 Other						

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, and agencies exist				<u>N</u> ational legal framework	En	force	eme	nt: civil/criminal penalties, and measures of implementation, etc.	
to control border crossings, export/import and other		YES		if VEC course decument		YES		if YES, source document	Remarks
transfers of NW, CW, BW and Related Materials? Can violators be penalized?	N W	c W	B W	if YES, source document	N W	c W	B W	ii YES, Source document	
1 Border control	x	X	x	NW/CW/BW: Council Regulation No. 2913/1992 (Community Customs Code), as amended Commission Regulation No. 2454/1993 (Implementing Provisions to the Community Customs Code), as amended Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community General Act on Customs and Excise of 18 July 1977, as amended (Ministerial Regulation of 4 October 1977 on the coordination of Terms relating to customs and excise)	x	X	Х	NW/CW/BW: General Act on Customs and Excise, Arts. 231, 249-253 and 263-284	
Technical support of border control measures	x	х	x	NW/CW/BW: Community Customs Code, as amended Decision No 70/2008/EC of the European Parliament and the Council of 15 January 2008 on a paperless environment for customs and trade	х	х	Х	NW/CW/BW: Customs and Excise Administration uses X-ray machines and has established a special unit solely dedicated for air freight issues in the air freight section of Luxembourg airport (the "Risk-Analysis and Targeting Unit" / "Unité d'analyse de risque et de ciblage"	
Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 5	х	х	v	NW/CW/BW: Act of 5 August 1963 regarding the import, export and transit of goods and related technology, as amended (in particular by the Act of 4 March 1998), Art. 9	
4 Enforcement agencies/authorities	х	Х	х	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9	х	Х	Χ	NW/CW/BW: Customs and Excise Department, including its Risk Analysis and Targeting Unit	

						Department of Radiation Protection Licensing Office State Intelligence Service (responsible for proactively gathering, analysing and processing intelligence relating to any activity that threatens or is likely to threaten the security of the State)	
Export control legislation in place	X	X	NW: Council Regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended Act of 5 August 1963, as amended Grand-Ducal Regulation of 31 October 1995 on the import, export and transit of arms, ammunition and equipment specifically intended for military use and related technology, as amended Grand-Ducal Regulation of 2 September 2011 regulating the export and transit of dual use goods and technologies Grand Ducal Regulation of 31 July 1989 on transfers of nuclear materials, equipment and technology and on their physical protection measures, as amended Council Common Position 2008/944/CFSP of 8 December 2008 defining common rules governing control of exports of military technology and equipment Grand-Ducal Regulation of 14 December 2000 on the protection of the population against the dangers arising from ionising radiation (import, export, transport, transit, sale of radioactive substances are subject to prior authorization) CW/BW: Council Regulation (EC) n. 428/2009 of 5 May	x	X	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	

					2009, as amended Act of 5 August 1963, as amended Grand-Ducal Regulation of 31 October 1995, as amended Grand-Ducal Regulation of 2 September 2011				
€	S Licensing provisions	x	x	x	NW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Arts. 3,4,5, Chap. III Act of 05 August 1963, as amended Grand Ducal Regulation of 16 November 2000 on general conditions for granting and use of prior authorization for the import, export and transit of goods and related technology Grand-Ducal regulation of 2 September 2011 Grand-Ducal Regulation of 31 October 1995, as amended Grand Ducal Regulation of 31 July 1989, Art. 2, as amended Grand-Ducal regulation of 14 December 2000 BW/CW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 3,4,5, Chap. III Act of 05 August 1963, as amended Grand Ducal Regulation of 16 November 2000 Grand-Ducal regulation of 2 September 2011 Grand-Ducal Regulation of 31 October 1995, as amended	x	x	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	
7	Individual licensing	X	x		NW Council Regulation (EC) n. 428/2009 of 5 May 2009 ,as amended Act of 5 August 1963, as amended Grand-Ducal regulation of 2 September 2011 Grand-Ducal Regulation of 31 October 1995, as amended Grand Ducal Regulation of 31 July 1989, Art. 2	x	x	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	

					Grand-Ducal regulation of 14 December 2000					
					BW/CW: Council regulation (EC) n. 428/2009 of 5 May 2009, as amended Act of 5 August 1963 as amended Grand-Ducal regulation of 2 September 2011 Grand-Ducal Regulation of 31 October 1995					
8	General licensing	х	x	x	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May, as amended, Art. 9 Act of 5 August 1963, as amended, Art. 3 Grand-Ducal regulation of 2 September 2011, Art. 4	х	х	x	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, Annexes IIa to IIf (EU General Export Authorisations) Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	
9	Exceptions from licensing	x	x	x	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended (intra-Community transfers do not require an authorisation, except for items listed in Annex IV, Art. 22) Grand-Ducal Regulation of 2 September 2011, Art. 2 (no licenses required for Belgium and Netherlands)	x	х		NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	
10	Licensing of deemed export/visa	?	?	?	NW/CW/BW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses					
11	National licensing authority	Х	х	x	NW/CW/BW: Act of 5 August 1963, as amended, Art. 5 Grand-Ducal Regulation of 2 September 2011, Art. 7 Grand-Ducal Regulation of 27 July 2009 on the establishment of ministries NW: Act of 5 August 1963, as amended, Art. 5 Grand-Ducal Regulation of 2 September 2011, Art. 7 Grand-Ducal Regulation of 27 July 2009 on the establishment of ministries	Х	X		NW/CW/BW: Licensing Office/ Office des Licenses at the Ministry of Economy and Foreign Trade	

					Grand Ducal Regulation of 31 July 1989, Art. 2, as amended, Art. 4					
12	Interagency review for licenses	x	x	x	NW/CW/BW: Licensing Office Ministry of Foreign Affairs Department of Radiation Protection Customs and Excise Department State Intelligence Service NW: Grand Ducal Regulation of 31 July 1989, as amended, Art. 4	×	x		NW/CW/BW: Licensing Office Ministry of Foreign Affairs Department of Radiation Protection Customs and Excise Department State Intelligence Service	
13	Control lists	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Annex I Grand-Ducal Regulation of 31 October 1995, as amended, Annex, Cat. II (Common Military List of the European Union)	х	х		NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009, as amended, Annex I Grand-Ducal Regulation of 31 October 1995, as amended, Annex, Cat. II (Common Military List of the European Union)	
14	Updating of lists	х	X	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 15, 23a, 23b	х	x	x	NW/CW/BW: The list of dual-use items set out in Annex I of Regulation 428/2009 is updated "in conformity with the relevant obligations and commitments, and any modification thereof, that Member States have accepted as members of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties" (delegated to EU Commission). The Council Regulation is automatically applicable after changes become effective	
15	Inclusion of technologies	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 2 ("dual-use items shall mean items, including software and technology")	х	х	Х	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284	
16	Inclusion of means of delivery	Х	Х	Х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May	Х	Х	Х	NW/CW/BW: Annex I of Council Regulation (EC) n.	

					2009, as amended, Annex I				428/2009 of 5 May 2009, as amended, contains the items controlled by the MTCR Act of 05 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284
17	End-user controls	X	x	x	NW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended Grand-Ducal Regulation of 2 September 2011, Art. 3 (requirement of end user certificates) Grand Ducal Regulation of 31 July 1989, Art. 3 CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended Grand-Ducal Regulation of 2 September 2011, Art. 3 (requirement of end user certificates)	X	x		NW/CW/BW: State reports that every application is processed taking into account the relevant goods and final destination/country of destination. An end-user certificate is required for every export application. Applications are processed on the basis of criteria established by European Union working groups. The Licensing Office may seek information from the State Intelligence Service on sensitive firms and end-users
18	Catch all clause	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 4	Х			NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284
19	Intangible transfers	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended	х	х	Х	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, articles 231, 249-253 and 263-284
20	Transit control	х	х	Х		Х	Х	Χ	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, Articles

									231, 249-253 and 263-284 The Risk-Analysis and Targeting Unit of the Customs and Excise Department inspects goods and documents transiting through Luxembourg Airport	
					NW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 6 EU Regulation no. 648 of 13 April 2005 (Security Amendment to the Community Customs Code) Act of 5 August 1963, as amended Grand Ducal Regulation of 2 September 2011, Art. 5 Grand-Ducal Regulation of 31 October 1995, as amended Grand-Ducal Regulation of 14 December 2000, Chap. 3					
					CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009, as amended. Art. 6 EU Regulation no. 648 of 13 April 2005 (Security Amendment to the Community Customs Code) Act of 05 August 1963, as amended Grand Ducal Regulation of 2 September 2011, Art. 5 Grand-Ducal Regulation of 31 October 1995, as amended					
21	Trans-shipment control	х	x	Х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended (would be handled either as an "export" or a "transit" as defined by the Regulation) EU Regulation no. 648 of 13 April 2005 (Security Amendment to the Community Customs Code) Act of 05 August 1963, as amended Grand Ducal regulations of 2 September 2011, Art. 5 Grand-Ducal Regulation of 31 October 1995,	x	x	X	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, Articles 231, 249-253 and 263-284 The Risk-Analysis and Targeting Unit of the Customs and Excise Department inspects goods and documents transiting through Luxembourg Airport	

					as amended				
22	Re-export control	х	х	х	NW/CW/BW: Council Regulation (EC) n. 428/2009 of 5 May 2009, as amended, Art. 2 (defines "re-export" as an "export" for the purposes of the Regulation)	Х	Х	Y	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, Articles 231, 249-253 and 263-284
233	Control of providing funds	x	X		NW/CW/BW: Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing, as amended Act of 12 August 2003 on the suppression of terrorism and of its financing and the approval of the International Convention for the Suppression of the Financing of Terrorism Act of 12 November 2004 on combating money-laundering and the financing of terrorism, as amended (includes amendments to a series of different Luxembourg laws, namely the Penal Code and stipulates due diligence requirements) Act of 27 October 2010 on the implementation of resolutions of the Security Council of the United Nations and acts adopted by the European Union including bans and restrictive measures in financial matters against certain persons, entities and groups in the fight against the financing of terrorism Grand-Ducal Regulation of 29 October 2010 implementing the law of 27 October 2010, as amended	X	х	X	NW/CW/BW: Financial Intelligence Unit: "Cellule de Renseignement Financier (CRF) du Parquet Economique et Financier de Luxembourg Penal Code, as amended, Arts. 135-5, 135-6 (terrorist financing) Act of 12 November 2004 on combating money-laundering and the financing of terrorism, as amended, Art. 9 Act of 27 October 2010, Art. 7
24	Control of providing transport services	х	Х		NW/CW/BW: Act of 5 August 1963, as amended, Art. 7bis, 9 NW: Grand-Ducal Regulation of 14 December 2000, Chap. 3	х	X		NW/CW/BW: Act of 5 August 1963, as amended, Art. 9

25	Control of importation	×	×	x	NW/CW/BW: Act of 5 August 1963, as amended Grand-Ducal Regulation of 31 October 1995 (military items only) NW: Grand-Ducal Regulation of 14 December 2000 Grand Ducal Regulation of 31 July 1989, as amended	x	x	NW/CW/BW: Act of 5 August 1963, as amended, Art. 9 General Act on Customs and Excise, Articles 231, 249-253 and 263-284	
26	Extraterritorial applicability								
27	Other								

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?	YES		Remarks
Control lists - items 1 (goods/ equipment/ materials/ technologies)	Х	See above (Op. 3c/d, field 13).	
2 Control lists - other	Х	Common EU List of Military Equipment (regularly updated) Lists of persons / entities etc. as established by EU Restrictive Measures	
3 Assistance offered	Х	Luxembourg recognizes that certain States may require outside assistance in order to implement effectively the provisions of the resolution. Through the European Union, Luxembourg assists certain States in setting up export control mechanisms	
4 Assistance requested			
5 Point of Contact for assistance	Х	Disarmament, non-proliferation and Common Security and Defence Policy desk, Political Affairs Directorate, Ministry of Foreign Affairs Permanent Mission of Luxembourg to the United Nations	
6 Assistance in place (bilateral/multilateral)			
7 Work with and inform industry	Х	State reports that it collaborates closely with industry. The Licensing Office is responsible for informing enterprises of their obligations in the area of non-proliferation	
Work with and inform the public	Х	All relevant authorities provide information on their respective websites	
9 Point of Contact	Х	Disarmament, non-proliferation and Common Security and Defence Policy desk, Political Affairs Directorate, Ministry of Foreign Affairs Permanent Mission of Luxembourg to the United Nations	
10 Other ⁴			

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.