

1540 COMMITTEE MATRIX OF LIECHTENSTEIN

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:	LIECHTENSTEIN
Date of Report:	29 November 2004
Date of First Addendum:	16 January 2006
Date of Second Addendum:	15 January 2008
Date of Third Addendum:	17 May 2013
Date of Committee Approval:	

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 20 April 1978	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Ratified 25 September 2009	
4	Convention on Physical Protection of Nuclear	X	Deposit 25 November 1986	

	Material (CPPNM)			
5	2005 Amendment to the CPPNM	X	Ratified 13 October 2009	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 21 September 2004	
7	Chemical Weapons Convention (CWC)	X	Deposit 24 November 1999	
8	Biological Weapons Convention (BWC)	X	Deposit 30 May 1991	
9	Geneva Protocol of 1925	X	Deposit 06 September 1991	
10	Other Conventions/Treaties	X	Sea-Bed Treaty State Party to 16 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1997 International Convention for the Suppression of Terrorist Bombings 1999 International Convention for the Suppression of the Financing of Terrorism 2005 Protocol to the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation 2005 Protocol to the Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf	
11	International Atomic Energy Agency (IAEA)	X	Since 1968	
12	Hague Code of Conduct (HCOC)	X	Subscribed 26 August 2003	
13	Other Arrangements	X	Proliferation Security Initiative (PSI) Liechtenstein is not a member of the relevant export control arrangements (AG, MTCR, NSG, WA, ZC) but due to the Customs Union Agreement with Switzerland, it is represented by Switzerland.	
14	General statement on non-possession of WMD	X	State has reported that it has never possessed WMD and their means of delivery	
15	General statement on commitment to disarmament and non-proliferation	X	State has reported that it is committed to full implementation, universal acceptance and where necessary strengthening of the multilateral non-proliferation treaties	
16	General statement on non-provision of WMD and related materials to non-State actors	X	State has reported that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer of use WMD and their means of delivery.	
17	Other ¹	X	Member of: Organization for Security and Co-operation in Europe (OSCE) MONEYVAL INTERPOL	

			World Organisation for Animal Health (OIE)	
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1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7 (NOTE: Due to the 1923 Customs Union Agreement with Switzerland, a number of Swiss laws are applicable in Liechtenstein. The detailed account of applicable legislation can be found in the Law on Announcements of Swiss Legislation Applicable in Liechtenstein of 20 June 1996 and the Announcement of Applicable Swiss Laws due to the Customs Union Treaty of 29 April 2014. In the following, the relevant Swiss applicable in Liechtenstein are marked with the prefix “Swiss”)	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
2	acquire	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
3	possess	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
4	stockpile/store	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
5	develop	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
6	transport	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
7	transfer	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	

8	use	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34	
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34 Penal Code of 24 June 1987, Section 12, 13	
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 34 Penal Code of 24 June 1987, Section 12, 13	
11	finance abovementioned activities	X	X	X	NW/CW/BW: Law on Brokering in War Material of 10 December 2008, Art. 7 b and c (direct and indirect financing) Liechtenstein Criminal Code 278 d (financing of terrorism) Swiss Federal Act on War Material, Article 7, 8b, 8c	X	X	X	NW/CW/BW: Law on Brokering of War Material of 10 December 2008, Art. 29b Liechtenstein Criminal Code 278 d (financing of terrorism) Swiss Federal Act on War Material Article 34, 35b	
12	abovementioned activities related to means of delivery ²	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7 (Switzerland has clarified that means of delivery would fall under the general provisions of Art 7 and Art 34; this was confirmed by Liechtenstein authorities)	X	X	X	NW/CW/BW: Swiss Federal Act on War Material Article 34	
13	involvement of non-State actors in abovementioned activities	X	X	X	NW/CW/BW: Swiss Federal Act on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Act on War Material Article 34	
14	Other	X	X	X	NW/CW/BW: Law on Brokering in War Material of 10 December 2008, Art. 6 prohibits all activities related to the brokerage of nuclear, chemical and biological weapons as well as the transfer of knowhow The Swiss Federal Act on War Material, Article 7 also prohibits other activities, such as the import, export, transit. It also addressed extraterritorial applicability	X	X	X	NW/CW/BW: Law on Brokering in War Material of 10 December 2008, Art. 28 Swiss Federal Act on War Material Article 34	

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W*		N W	C W	B W		
1	Measures to account for production	X	X	X	NW: IAEA Safeguards Agreement (INFCIRC/275) Swiss Ordinance on Safeguards of 21 March 2012, Sections 2 – 7 (as far as import, export and transit are concerned) CW: Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chapter 5 BW: Act of 25 November 2010 about the Handling of Genetically Modified, Pathogenic or Alien Organisms (Organisms Act), Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Swiss Federal Act on the Control of Communicable Human Diseases of 18 December 1970 (Epidemics Act), Art. 29c Swiss Physical Security Ordinance(as applicable) Swiss Chemicals Act, Art 19 (also applies to microorganisms)	X	X	X	NW: Exchange of Notes dated September 27, 1979 between the Embassy of the Principality of Liechtenstein in Bern and the Swiss Federal Department of Foreign Affairs on the control of nuclear material Swiss Ordinance on Safeguards of 21 March 2012, Section 8 CW: Exchange of Notes of 17 March / 1 May 2000 between the Swiss Confederation and the Principality of Liechtenstein concerning the implementation of the Chemical Weapons Convention by the competent Swiss departments Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41 BW: Organisms Act of 25 November 2011, Chapter VIII, Swiss Epidemics Act, Art. 35 Para 1f; Swiss Chemicals Act, Chapter 7	

2	Measures to account for use	X	X	X	NW: IAEA Safeguards Agreement (INFCIRC/275) Swiss Ordinance on Safeguards, Sections 2 – 7 CW: Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chapter 5 BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance (as applicable) Swiss Chemicals Act, Art. 19	X	X	X	NW: Exchange of Notes dated September 27, 1979 Swiss Ordinance on Safeguards, Section 8 CW: Exchange of Notes of 17 March / 1 May 2000 Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41 BW: Organisms Act of 25 November 2011, Chapter VIII Swiss Epidemics Act, Art. 35 Para 1f Swiss Chemicals Act, Chapter 7	
3	Measures to account for storage	X	X	X	NW: IAEA Safeguards Agreement (INFCIRC/275) Swiss Ordinance on Safeguards, Sections 2 – 7 CW: Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chapter 5 BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance (as applicable) Swiss Chemicals Act, Art. 19	X	X	X	NW: Exchange of Notes dated September 27, 1979 Swiss Ordinance on Safeguards, Section 8 CW: Exchange of Notes of 17 March / 1 May 2000 Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 41 BW: Organisms Act of 25 November 2011, Chapter VIII Swiss Epidemics Act, Art. 35 Para 1f; Swiss Chemicals Act, Chapter 7	
4	Measures to account for transport	X	X	X	NW: IAEA Safeguards Agreement (INFCIRC/275) Swiss Ordinance on Safeguards, Sections 2 – 7 CW: Major Incidents Ordinance of 14 April 1998, Art. 26	X	X	X	NW: Exchange of Notes dated September 27, 1979 Swiss Ordinance on Safeguards, Section 8 CW: Major Incidents Ordinance of 14 April 1998, Art. 33	

					Swiss Chemicals Act, Art.19 BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Major Incidents Ordinance of 14 April 1998, Art. 26 Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance				Swiss Chemicals Act, Chapter 7 BW: Organisms Act of 25 November 2011, Chapter VIII Swiss Epidemics Act, Art. 35 Para 1f	
5	Other measures for accounting	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Art. 11 Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 21 Swiss Radiological Protection Act of 22 March 1991, Art. 36 CW/BW: Swiss Chemicals Act, Art. 15 (institutions dealing with dangerous goods have to nominate a person responsible for Health and Safety who can provide authorities with all necessary information)	X	X		NW: Swiss Nuclear Energy Act of 21 March 2003, Art. 93 Swiss Radiological Protection Act of 22 March 1991, Art. 44 CW/BW: Swiss Chemicals Act, Chapter 7	
6	Measures to secure production	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 Swiss Ordinance on Nuclear Energy of 10 December 2004 Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008 CW: Environmental Protection Act of 29 May 2008, Art. 8 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3 Swiss Chemicals Act, Art. 19	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 9 Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 78 CW: Environmental Protection Act of 29 May 2008, Chapter VI Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Chemicals Act, Chapter 7	

					BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance Swiss Chemicals Act, Art. 19				BW: Organisms Act of 25 November 2011, Chapter VIII Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Epidemics Act, Art. 35 Para 1f Swiss Chemicals Act, Chapter 7	
7	Measures to secure use	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 Swiss Ordinance on Nuclear Energy of 10 December 2004 Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008 CW: Environmental Protection Act of 29 May 2008, Art. 8 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3 Swiss Chemicals Act, Art. 19 BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance Swiss Chemicals Act, Art. 19	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 9 Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 78 CW: Environmental Protection Act of 29 May 2008, Chapter VI Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Chemicals Act, Chapter 7 BW: Organisms Act of 25 November 2011, Chapter VIII Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Epidemics Act, Art. 35 Para 1f Swiss Chemicals Act, Chapter 7	
8	Measures to secure storage	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 Swiss Ordinance on Nuclear Energy of 10 December 2004 Swiss Ordinance on the Threat Assumptions and Security Measures for Nuclear	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 9 Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 78	

				<p>Installations and Nuclear Materials of 16 April 2008</p> <p>CW: Swiss Chemicals Act, Art. 21</p> <p>BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B Swiss Epidemics Act, Art. 29c Swiss Containment Ordinance Swiss Chemicals Act, Art. 19</p>				<p>CW: Swiss Chemicals Act, Chapter 7</p> <p>BW: Organisms Act of 25 November 2011, Chapter VIII Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Epidemics Act, Art. 35 Para 1f Swiss Chemicals Act, Chapter 7</p>		
9	Measures to secure transport	X	X	X	<p>NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 Swiss Ordinance on Nuclear Energy of 10 December 2004 Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008 Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 in connection with the Agreement concerning the international carriage of Dangerous goods by Road (ADR) Regulations concerning the International carriage of Dangerous goods by rail (RID)</p> <p>CW: Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 in connection with the Agreement concerning the international carriage of Dangerous goods by Road (ADR) Regulations concerning the International carriage of Dangerous goods by rail (RID)</p> <p>BW: Organisms Act of 25 November 2010, Chapter</p>	X	X	X	<p>NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 9 Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 78 Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42</p> <p>CW: Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42</p> <p>BW: Organisms Act of 25 November 2011, Chapter</p>	

					III, Art. 68 Ordinance on the Handling of Organisms in the Environment of 1 March 2011 Containment Ordinance of 1 March 2011 Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 in connection with the Agreement concerning the international carriage of Dangerous goods by Road (ADR) Regulations concerning the International carriage of Dangerous goods by rail (RID) Swiss Epidemics Act, Art 29d Swiss Environmental Release and Containment Ordinances				VIII Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42 Swiss Epidemics Act, Art. 35 Para 2	
10	Other measures for securing			X	BW: Ordinance on the Handling of Organisms in the Environment of 1 March 2011			X	BW: Organisms Act of 25 November 2011, Chapter VIII	
11	Regulations for physical protection of facilities/ materials/ transports	X	X	X	NW: Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 9 (materials) Ordinance on the Threat Assumptions and Security Measures for Nuclear Installations and Nuclear Materials of 16 April 2008 Ordinance on the Transport of Dangerous Goods by Road (VTGGS) of 3 March 1998 and ADR CW: Environmental Protection Act of 29 May 2008, Art. 8 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2, Annex 3 Swiss Chemicals Act, Art. 21 BW: Organisms Act of 25 November 2010, Chapter III, Art. 68 Containment Ordinance of 1 March 2011 Major Incidents Ordinance of 14 April 1998, Art. 8, 9, Annex 2B, Annex 3B Swiss Epidemics Act, Art. 29	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 9 Ordinance on the Transport of Dangerous Goods by Road, Art. 41, 42 CW: Environmental Protection Act of 29 May 2008, Chapter VI Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Chemicals Act, Chapter 7 BW: Organisms Act of 25 November 2011, Chapter VIII Major Incidents Ordinance of 14 April 1998, Art. 33 Swiss Epidemics Act, Art. 35 Para 1f Swiss Chemicals Act, Chapter 7	

					Swiss Containment Ordinance					
12	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chapter 3 (material) Swiss Ordinance on Nuclear Energy of 10 December 2004 CW: Swiss Chemicals Act, Chap. 2, Art. 18 Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Chap. 4, 5 BW: Organisms Act of 25 November 2010, Art. 29, 31 Containment Ordinance of 1 March 2011, Art. 9 Ordinance on the Handling of Organisms in the Environment of 1 March 2011, Art. 10 Swiss Epidemics Act, Art. 5 Swiss Containment Ordinance	X	X	X	NW: Swiss Nuclear Energy Act of 21 March 2003, Chap. 9 Swiss Ordinance on Nuclear Energy of 10 December 2004 CW: Swiss Chemicals Act, Chap. 7 Swiss Ordinance on the Control of Chemicals for Civil and Military Applications, Article 41 BW: Organisms Act of 25 November 2011, Chapter VIII	
13	Reliability check of personnel		X	X	CW: Swiss Chemicals Act, Art. 24 authorises to introduce personal and professional criteria which need to be fulfilled in order for a person to work with certain substances The Swiss Ordinance on the Reduction of Risks in Handling certain Particularly Dangerous Substances, Preparations and Objects (Particularly Dangerous Goods Ordinance; SR 814.81) demands stringent controls of the professional qualification of employees dealing with dangerous goods. BW: Swiss Chemicals Act, Art. 24 authorises to introduce personal and professional criteria which need to be fulfilled in order for a		X	X	CW: Swiss Chemicals Act, Chapter 7 BW: Swiss Chemicals Act, Chapter 7	

				person to work with these substances.					
14	Measures to account for/secure/ physically protect means of delivery								

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

[http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Exchange of Notes dated September 27, 1979 between the Embassy of the Principality of Liechtenstein in Bern and the Swiss Federal Department of Foreign Affairs on the control of nuclear material Swiss Ordinance on Nuclear Energy of 10 December 2004, Art. 6	X	Swiss Federal Office for Energy Federal Nuclear Safety Inspectorate, ENSI	
2	IAEA Safeguards Agreements	X	Safeguards Agreement in force 04 October 1979 Additional Protocol signed 14 July 2006	X	Safeguards Agreement in force 04 October 1979 Additional Protocol signed 14 July 2006	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources					
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources					
5	IAEA Incident and Trafficking Database					
6	Other Agreements related to IAEA					
7	Additional national legislation/regulations related to nuclear materials including					

	CPPNM				
8	Other				

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, source document	YES	if YES, source document	
1 National CWC authority	X	Liechtenstein Office of Foreign Affairs	X	Liechtenstein Office of Foreign Affairs	
2 Reporting Schedule I, II and III chemicals to OPCW	X	Exchange of Notes of 17 March / 1 May 2000 between the Swiss Confederation and the Principality of Liechtenstein concerning the implementation of the Chemical Weapons Convention by the competent Swiss departments Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 5	X	Liechtenstein Office for Foreign Affairs: submission of periodic national reports (based on information collected by competent Swiss authorities)	
3 Account for, secure or physically protect "old chemical weapons"		Liechtenstein has never possessed chemical weapons			
4 Other legislation/ regulations controlling chemical materials	X	Swiss Chemicals Act of 15 December 2000 Swiss Chemicals Ordinance of 18 May 2005 Swiss Chemical Risk Reduction Ordinance of 18 May 2005	X	Chemicals Act of 15 December 2000 Chemicals Ordinance of 18 May 2005 Chemical Risk Reduction Ordinance of 18 May 2005	
5 Other	X	Exchange of Notes of 17 March / 1 May 2000 between the Swiss Confederation and the Principality of Liechtenstein concerning the implementation of the Chemical Weapons Convention by the competent Swiss departments	X	The competent Swiss authorities are collecting relevant information on behalf of the Government of Liechtenstein	

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Organisms Act of 25 November 2010 Ordinance on the Handling of Genetically Modified Organisms of 1 March 2011 Swiss Act on Non-human Gene Technology of 23 March 2003 Swiss Containment Ordinance	X	Organisms Act of 25 November 2010, Chapter VIII	
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other	X	Agreement between the Swiss Federal Council and the Government of the Principality of Liechtenstein on the Cooperation in the areas of Assessment and Reporting in accordance with the International Health Regulations (2005) of the World Health Organization (2 December 2011/28 March 2012) Swiss Ordinance on the Notification of Communicable Human Diseases of 13 January 1999 Swiss Act on the Control of Animal Epidemics of 1 July 1966 Swiss Ordinance on the Control of Animal Epidemics of 27 June 1995 Swiss Federal Law on the Agriculture of 29 April 1998 Swiss Ordinance on Plant Protection of 27 October 2010			

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	NW/CW/BW: Customs Agreement with Switzerland of 29 March 1923 Act on the Introduction of the Customs Agreement with Switzerland of 19 May 1924 Swiss Customs Law Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 26	X	X	X	NW/CW/BW: Swiss Customs Act of 18 March 2005, Section 8 (penalties) Swiss Customs Ordinance of 1 November 2006 Customs Ordinance of the Swiss Customs Administration of 4 April 2007 Swiss Goods Control Act of 13 December 1996, Section 4 and Art. 22 (enforcement), Section 5 (penalties) Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 25 June 1997, Art. 27 (Customs and Border controls are conducted by Swiss authorities in accordance with Swiss law as quoted above)	
2	Technical support of border control measures	X	X	X	NW/CW/BW: Swiss Customs Act of 18 March 2005, Section 6 (“data protection and administrative assistance”) Swiss Customs Ordinance of the Swiss Customs Administration of 4 April 2007 Swiss Goods Control Act of 13 December 1996, Art. 19 (“Administrative assistance within Switzerland”)	X	X	X	NW/CW/BW: Swiss Customs Administration uses an Advanced Cargo Information System for risk assessment, pre-screening and preliminary criminal investigations	
3	Control of brokering, trading in, negotiating, otherwise assisting in	X	X	X		X	X	X	NW/CW/BW: Act on Brokering in War Material of 10 December 2008, Art. 28	

	sale of goods and technology				NW: Act on Brokering in War Material of 10 December 2008, Art. 6 (prohibition to broker nuclear, biological or chemical weapons or to support such activities) Act on Brokering of Nuclear Goods, Radioactive Waste Dual-use Goods and Special Military Goods of 10 December 2008 Ordinance on the Brokering of Nuclear Goods and radioactive Waste of 10 February 2009, CW/BW: Act on Brokering in War Material of 10 December 2008, Art. 6 (prohibition to broker nuclear, biological or chemical weapons or to support such activities) Act on Brokering of Nuclear Goods, Radioactive Waste Dual-use Goods and Special Military Goods of 10 December 2008				Act on Brokering of Nuclear Goods, Radioactive Waste Dual-use Goods and Special Military Goods of 10 December 2008, Chapter VII	
4	Enforcement agencies/authorities	X	X	X	NW/CW/BW: Swiss Goods Control Act of 13 December 1996, Art. 11 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 25 June 1997, Art. 26	X	X	X	NW/CW/BW: Swiss Customs Authorities Liechtenstein Police	
5	Export control legislation in place	X	X	X	NW: Swiss Federal Law on War Material, Article 7 Swiss Goods Control Act of 13 December 1996 Swiss Ordinance on the Export, Import and	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Articles 33 and 34 Swiss Goods Control Act, Section 5 Act on the Implementation of International Sanctions of 10 December 2008, Section VI	

					<p>Transit of Dual-use Goods and Specific Military Goods of 25 June 1997 Swiss Ordinance on Safeguards of 21 March 2012 Act on the Implementation of International Sanctions of 10 December 2008</p> <p>CW: Swiss Federal Law on War Material, Article 7 Swiss Goods Control Act Swiss Ordinance on the Export, Import and Transit of Dual-use Goods and Specific Military Goods Swiss Ordinance on the Control of Chemicals with Civilian and Military Use Act on the Implementation of International Sanctions of 10 December 2008</p> <p>BW: Swiss Federal Law on War Material, Article 7 Swiss Goods Control Act Swiss Ordinance on the Export, Import and Transit of Dual-use Goods and Specific Military Goods Act on the Implementation of International Sanctions of 10 December 2008</p>					
6	Licensing provisions	X	X	X	<p>NW: Swiss Goods Control Act, Section 2 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Chap. 2 and 3</p> <p>CW: Swiss Goods Control Act, Section 2 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Chap. 2 and 3 Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications, Art. 13-15</p>	X	X	X	<p>NW/CW/BW: Swiss Goods Control Act, Section 5</p>	

11	National licensing authority	X	X	X	NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 3, 26 CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 4	X	X	X	NW/CW/BW: Swiss State Secretariat for Economic Affairs (SECO)	
12	Interagency review for licenses	X	X	X	NW/CW/BW: Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 16 CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 4	X	X	X	NW/CW/BW: Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 16 Working Group on Proliferation, Terrorism Financing and Money Laundering (PROTEGE), led by the Liechtenstein Financial Intelligence Unit established in 2013 (other participants include: Financial Market Authority (FMA), National Police, Public Prosecutor, Office of Justice, Foreign Office etc.)	
13	Control lists	X	X	X	NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of, Art. 1, Annex 1 (items controlled by AG, WA, NSG and MTCR and Annex 3 (WA Munitions list) CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 1, Annex	X	X	X	NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Annex 2 and 3 CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Annex	
14	Updating of lists	X	X	X	NW/CW/BW: Swiss Goods Control Act, Article 22 CW:	X	X	X	NW/CW/BW: Swiss Goods Control Act, Article 22 CW:	

				Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 3			Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 3	
15	Inclusion of technologies	X	X	X NW/CW/BW: Swiss Goods Control Act, Article 3 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 2	X	X	X NW/CW/BW: Swiss Goods Control Act of 13 December 1996, Article 3 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 25 June 1997, Article 2	
16	Inclusion of means of delivery	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 1 (Control list contains MTCR controlled items)	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 25 June 1997, Annex 2 and 3	
17	End-user controls	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, Art. 4, 5	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, Art. 4	
18	Catch all clause	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, Art. 4	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 4 Swiss Goods Control Act, Art. 15	
19	Intangible transfers	X	X	X NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, Article 2	X	X	X NW/CW/BW: Swiss Goods Control Act, Art. 14	
20	Transit control	X	X	X NW/CW/BW: Swiss Federal Act on War Materials of 13 December 1996, Article 7 (prohibition) Swiss Ordinance on the Control of Export, Import and Transit of Dual-use Goods and Specific Military Goods, Art. 25 CW: Swiss Ordinance on the Control of Chemicals	X	X	X NW/CW/BW: Swiss Federal Law on War Material, Article 34 Goods Control Act of 13 December 1996, Section 5	

					Usable for Civil and Military Applications of 21 August 2013, Art. 18					
21	Trans-shipment control	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Article 7 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 25	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Articles 33 and 34 Swiss Goods Control Act, Section 5	
22	Re-export control	X	X	X	NW/CW/BW: Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 3 CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 9	X	X	X	NW/CW/BW: Swiss Goods Control Act, Art. 7, Section 5 Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Art. 27	
23	Control of providing funds	X	X	X	NW/CW/BW: Law on Brokering in War Material of 10 December 2008, Art. 7 b and c (direct and indirect financing) Liechtenstein Criminal Code 278 d (financing of terrorism) Swiss Federal Law on War Material, Article 7, 8b, 8c Financial Intelligence Unit Act of 14 March 2002 Due Diligence Act of 11 December 2008 Due Diligence Ordinance of 17 February 2009 Act on the Implementation of International Sanctions of 10 December 2008 and the relevant Ordinances enforcing international sanctions in force	X	X	X	NW/CW/BW: Law on Brokering of War Material of 10 December 2008, Art. 29b Liechtenstein Criminal Code 278 d (financing of terrorism) Swiss Federal Law on War Material, Article 34, 35b Financial Intelligence Unit at the Ministry of Finance Financial Market Authority (FMA) Due Diligence Act of 11 December 2008, Section VI	
24	Control of providing transport services	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Articles 33 and 34	
25	Control of importation	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Article 7	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Article 34	

					Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods of 25 June 1997, Article 22-24 CW: Swiss Ordinance on the Control of Chemicals Usable for Civil and Military Applications of 21 August 2013, Art. 10, 13, 16					
26	Extraterritorial applicability	X	X	X	NW/CW/BW: Federal Act on War Materials of 13 December 1996, Article 34	X	X	X	NW/CW/BW: Swiss Federal Law on War Material, Article 34	
27	Other									

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	See above (OP 3 c/d, field 13)	
2	Control lists - other	X	Ordinances implementing international sanctions (based on the Act on the Implementation of International Sanctions of 10 December 2008, Art. 2) contain lists of persons etc.	
3	Assistance offered	X	State is willing to assist through capacity building, particularly in areas where it has relevant experience	
4	Assistance requested			
5	Point of Contact for assistance	X	Office for Foreign Affairs Liechtenstein	
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry	X	The Liechtenstein police participates in the Swiss "Prophylax" program (aimed at making companies aware of the dangers of proliferation)	
8	Work with and inform the public	X	All laws and international agreements are published. The complete text may either be obtained at the Government Chancellery or viewed on the Internet	
9	Point of Contact	X	Office for Foreign Affairs Liechtenstein	
10	Other ⁴			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.