

1540 COMMITTEE MATRIX OF LATVIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:	Latvia
Date of Report:	28 Oct 2004
Date of Addendum:	22 Dec 2005
Approved by Committee:	14 Dec 2007

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 31 Jan 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposit 25 Jul 2006	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 6 Nov 2002	

5	2005 Amendment to the CPPNM	X	Deposit 23 Nov 2010	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 20 Nov 2001	
7	Chemical Weapons Convention (CWC)	X	Deposit 23 Jul 1996	
8	Biological Weapons Convention (BWC)	X	Deposit 6 Feb 1997	
9	Geneva Protocol of 1925	X	Deposit 3 June 1931	
10	Other Conventions/Treaties	X	EURATOM Treaty Supplementary Protocol of Convention on Inhumane Weapons State Party to 16 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1999 International Convention for the Suppression of the Financing of Terrorism (25 Nov 2002); 1997 International Convention for the Suppression of Terrorist Bombings (14 Nov 2002); 2005 Protocol to the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation (16 Nov 2009), and 2005 Protocol to the Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf (16 Nov 2009)	
11	International Atomic Energy Agency (IAEA)	X	Since 10 April 1997	
12	Hague Code of Conduct (HCOC)	X	Subscribing State	
13	Other Arrangements	X	Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Arrangement (WA) PSI participating State GICNT	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD	
16	General statement on non-provision of WMD and related materials to non-State actors	X	The report states that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery. Such support is penalized under the Criminal Law.	
17	Other ¹	X	Revised Cotonou Partnership Agreement (the CPA II) Member of:	

			International Maritime Organization (IMO) International Monetary Fund (IMF) Inter-Parliamentary Union (IPU) INTERPOL Organization for Security and Cooperation in Europe (OSCE) World Bank Group World Customs Organization (WCO) World Health Organization (WHO) World Organisation for Animal Health (OIE)	
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Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	NW: Nuclear Non-Proliferation Treaty Ratification Law Criminal Law of 1999, as amended, Article 73 [preparation] CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Criminal Law of 1999, as amended, Article 73 Chemical Substances Law of 01 April 1998, as amended BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997 Criminal Law of 1999, as amended, Article 73	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Article 73 CW: Criminal Law of 1999, as amended, Article 248 [unauthorised manufacture, acquisition, storage, or sale of poisonous or powerfully acting substances & violation of provisions regarding production, storage, dispensation, registration, transportation or forwarding]	
2	acquire	?	X	X	NW: Criminal Law of 1999, as amended, Article 73 [preparation, storage, movement, use or distribution]	?	X	?	NW/CW/BW: Criminal Law of 1999, as amended, Article 73 CW: Criminal Law of 1999, as amended, Article 248	

					<p>Nuclear Non-Proliferation Treaty Ratification Law</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997</p>					
3	Possess	X	X	X	<p>NW: Nuclear Non-Proliferation Treaty Ratification Law Criminal Law of 1999, as amended, Art. 73 [preparation, storage, movement, use or distribution]</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended Criminal Law of 1999, as amended, Art. 73</p> <p>BW: Law on ratification of the BWC Criminal Law of 1999, as amended, Art. 73</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Arts. 73 (Manufacture, Amassment, Deployment and Distribution of Weapons of Mass Destruction)</p>	
4	stockpile/store	X	X	X	<p>NW: Criminal Law of 1999, as amended, Article 73</p> <p>CW:</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Article 73</p>	

			X	X	<p>Significance of 19 July 2007 Criminal Law of 1999, as amended, Article 73: distribution</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996;</p> <p>Chemical Substances Law of 01 April 1998, as amended Law on Circulation of Goods of Strategic Significance of 19 July 2007 Criminal Law of 1999, as amended, Article 73: distribution</p> <p>BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997; Criminal Law of 1999, as amended, Article 73: distribution</p>				<p>distribution</p> <p>CW: Criminal Law, as amended, Article 248</p>	
8	use	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 88</p> <p>CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996</p> <p>Chemical Substances Law of 01 April 1998, as amended Regulations of the Cabinet of Ministers on Prohibitions, Limitations or Restrictions on the Handling, Use and Marketing of Certain Dangerous Chemicals of 25 April 2000</p> <p>BW:</p>	X	X	X	<p>NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 88</p>	

					Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997; Criminal Law of 1999, as amended, Articles 73 and 88					
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Sections 18,19, 20 and 54:Participation	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Articles 73 and 88	
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Section 20: Joint Commission [acts jointly; organized or directed; induced; provide advice, direction, means]	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Sections 20: Joint Commission; Section 88 ¹ [financing]; and 88 ³ [training]	
11	finance abovementioned activities	X	X	X	NW/CW/BW: Law on Prevention of the Laundering of the Proceeds of Crime, as amended	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Article 88 ¹ : finance	
12	abovementioned activities related to means of delivery ²		X	X	NW: CW: Law on the ratification of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996 BW: Law on ratification of the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997;		X	X	NW: CW/BW: Criminal Law of 1999, as amended	
13	involvement of non-State actors in abovementioned activities	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Sections 12 and 88	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Sections 12 and 88: terrorism	
14	Other	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Article 4: extraterritoriality	X	X	X	NW/CW/BW: Criminal Law of 1999, as amended, Article 4: extraterritoriality	

Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized ?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X	?	NW: INFCIRC/193 Law on Radiation and Nuclear Safety of 26 October 2000, Section 26; as amended Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No.723 on Procedures for Licensing Activities with Sources of ionising Radiation. CW: Criminal Law of 1999, as amended, Article 98 Chemical Substances Law of 1998, as amended, Article 9 Regulations of the Control Committee of Strategic Goods [demand ... any information necessary for implementation of requirements of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996 connected with ... chemical industry or export and import of chemical substances] BW: Criminal Law of 1999, as amended, Article 98	X	X	?	NW: Criminal Law of 1999, as amended, Articles 98 and 346 (Violation of Provisions Regarding Storage, Use, Accounting for and Transportation of Weapons, Ammunition, Explosive Substances, Radioactive and Other Dangerous Substances) CW: Criminal Law of 1999, as amended, Article 98 Chemical Substances Law of 1998, as amended, Arts. 19 and 20 BW: Criminal Law of 1999, as amended, Article 98	

2	Measures to account for use	X	X	X	<p>NW: INFCIRC/193 Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 26; Cabinet of Ministers Regulation No. 39 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment;8; Cabinet of Ministers Regulations No. 723 of 20 September 2011 on the Procedures for Licensing Activities with Sources of Ionising Radiation</p> <p>CW: Chemical Substances Law of 1998, as amended, Article 9;</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346 (Violation of Provisions Regarding Storage, Use, Accounting for and Transportation of Weapons, Ammunition, Explosive Substances, Radioactive and Other Dangerous Substances)</p> <p>CW: Criminal Law of 1999, as amended, Article 98 - violation of provisions regarding the production, acquisition, transport, storage or use of radioactive substances or other sources of ionising radiation, hazardous biological, bacteriological, toxic, chemical substances or goods, or other hazardous substances or materials, preparations or viruses if substantial harm has been caused Chemical Substances Law of 1998, as amended,, Arts. 19 and 20</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>	
3	Measures to account for storage	X	X	X	<p>NW: INFCIRC/193 ; Law on Radiation and Nuclear Safety of 26 October 2000, as amended. Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No. 723 of 20 September 2011 on the Procedures for Licensing Activities with Sources of Ionising Radiation</p> <p>CW: Chemical Substances Law of 1998, as amended, Article 9</p> <p>Cabinet of Ministers Regulation 575 of 29 June 2010 regarding the procedures for registration</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Criminal Law of 1999, as amended, Article 98 - Chemical Substances Law of 1998, as amended, Arts. 19 and 20</p> <p>BW:</p>	

					of chemical substances and mixtures and their database. BW:					
4	Measures to account for transport	X	X	X	NW: Cabinet of Ministers Regulation No. 307 of 3 July 2001 on Protection against Ionizing Radiation and Transporting Radioactive Materials CW: Council Directives 94/55/EEC [transport of dangerous goods by road] and 96/49/EEC [transport by rail] BW: Council Directives 94/55/EEC and 96/49/EEC	X	X	X	NW: Criminal Law of 1999, as amended, Articles 98 and 346 CW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98 - BW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Articles 98	
5	Other measures for accounting	X			NW: Council Directive 2003/122/EURATOM; Directive 96/29/EURATOM CW: BW:	X			NW: Council Directive 2003/122/EURATOM; Criminal Law of 1999, as amended, Articles 98 and 346 CW: BW:	
6	Measures to secure production	X	X	X	NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22 (Physical protection of ionising radiation sources); Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No.301; 01723 of 20 September 2011 on the Procedures for	X	X	X	NW: Criminal Law of 1999, as amended, Articles 98 and 346 CW: Criminal Law of 1999, as amended, Article 98 - BW: Criminal Law of 1999, as amended Article 98 -	

					<p>Licensing Activities with Sources of Ionising Radiation; The Cabinet Regulations of Ministers Regulation No.508 of 4 November 2002 on Physical protection of ionising radiation sources,</p> <p>CW: Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006</p> <p>BW: Directive 2000/54/EC [biological agents at work]</p>					
7	Measures to secure use	X	X	X	<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22 (Physical protection of ionising radiation sources); Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment;</p> <p>Cabinet of Ministers Regulation No. 723 of 20 September 2011 on the Procedures for Licensing Activities with Sources of Ionising Radiation;</p> <p>The Cabinet Ministers Regulation No. 508 of 4 November 2002 on physical protection of ionising radiation sources.</p> <p>CW: Directive 98/24/EC;</p>	X	X	X	<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Criminal Law of 1999, as amended, Article 98 -</p> <p>BW: Criminal Law of 1999, as amended, Article 98 -</p>	

					Chemical Substances Law of 1998, as amended BW: Directive 2000/54/EC					
8	Measures to secure storage	X	X	X	NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended Section 3 (Basic principles of radiation safety and nuclear safety); and Section 22, (Physical protection of ionising radiation sources); Cabinet of Ministers Regulation No. 398 of 22 April 2004 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment; Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources, CW: Directive 98/24/EC; Chemical Substances Law of 1998, as amended, Article 16 BW: Directive 2000/54/EC	X	X	X	NW: Criminal Law of 1999, as amended, Articles 98 and 346 CW: Criminal Law of 1999, as amended, Article 98 BW: Criminal Law of 1999, as amended, Articles 98	
9	Measures to secure transport	X	X	X	NW: Council Directives 94/55/EEC and 96/49/EEC; Cabinet of Ministers Regulations No.307 of 3 July 2001 on Protection against Ionising Radiation transporting Radioactive Materials Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical protection of ionising radiation sources. CW: Council Directives 94/55/EEC and 96/49/EEC; Chemical Substances Law of 1998, as amended, Article 12	X	X	X	NW: Directive 2006/117/Euratom: supervision and control of shipments; Criminal Law of 1999, as amended, Articles 98 and 233: transport without Permit CW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98 - BW: Party to ADR and RID agreements; Criminal Law of 1999, as amended, Article 98	

					<p>; Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006</p> <p>BW: Council Directives 94/55/EEC and 96/49/EEC</p>					
10	Other measures for securing									
11	Regulations for physical protection of facilities/materials/ transports	X	X		<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Section 3 (Basic principles of radiation safety and nuclear safety);</p> <p>Cabinet of Ministers Regulation No. 508 of 4 November 2002 on Physical Protection Requirements for Sources of Ionizing Radiation.</p> <p>CW: Chemical Substances Law of 1 April 1998, as amended, Article 9</p> <p>BW:</p>	X	X		<p>NW: Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>CW: Chemical Substances Law of 01 April 1998, as amended, Arts. 19 and 20 Criminal Law of 1999, as amended, Articles 98 and 346</p> <p>BW: Criminal Law of 1999, as amended, Article 98</p>	
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<p>NW: Law on Radiation and Nuclear Safety of 26 October 2000, as amended, Sections 5(4) and 11; Directive 96/29 EURATOM: prior authorization; Cabinet of Ministers Regulation No. 723 of 20 September 2011 on the Procedures for Licensing Activities with Sources of Ionising Radiation</p> <p>CW: EU Integrated Pollution Prevention and Control</p>	X	X	X	<p>NW: Law on Radiation Safety and Nuclear Safety in force since 21 November 2000, Chapter III, Sections 29 and 30</p> <p>CW: Criminal Law of 1999, as amended, Article 248: unauthorized manufacture, acquisition, storage, sale and conveyance of poisonous substances Latvian Administrative Violations Code of 1984, as amended, Article 88</p>	

					<p>Directive (96/61/EC) (IPPC Directive);</p> <p>Chemical Substances Law of 1998, as amended, Article 16 :</p> <p>Law On Pollution of 15 March 2001, as amended, Article 4;</p> <p>Cabinet of Ministers sets out special restrictions or prohibitions of handling of chemical substances or chemical products, or other materials containing or treated with such chemical substances or chemical products.</p> <p>BW: Directive 2000/54/EC [biological agents at work]</p>				<p>BW: Criminal Law of 1999, as amended, Articles 207 and 208: entrepreneurial activities without registration or a license</p>	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery	?	?	?	<p>NW/CW/BW: Law on Movement of Goods of Strategic Significance of 21 June 3007</p>	?	?	?	<p>NW/CW/BW:</p>	

Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	INFCIRC/193; Law on Radiation Safety and Nuclear Safety; Regulations of 20 June 1996	X	Ministry of Environmental Protection and Regional Development; Radiation and Nuclear Safety Inspectorate	
2	IAEA Safeguards Agreements	X	INFCIRC/193; Additional Protocol in force 12 July 2001	X	Ministry of Environmental Protection and Regional Development Radiation and Nuclear Safety Inspectorate	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.	X	Point of Contact for Import and Export: Radiation Safety Centre of the State Environmental Services	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Notification pursuant to GC(48)/RES/10.D.	X	Point of Contact for Import and Export: Radiation Safety Centre of the State Environmental Services	
5	IAEA Incident and Trafficking Database	X	Participates	X	Participates	
6	Other Agreements related to IAEA	X	Convention on Early Notification of Nuclear Accident (28.01.1993); Vienna Convention on Civil Liability for Nuclear Damage, in force since 15.06.1995; Protocol To Amend The Vienna Convention on Civil Liability For Nuclear Damage (28.06.2001); Joint Protocol relating to the Application on the Vienna Convention and the Paris Convention (26.11.1994); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency	X	Convention on Early Notification of Nuclear Accident (28.01.1993); Vienna Convention on Civil Liability for Nuclear Damage, in force since 15.06.1995; Protocol To Amend The Vienna Convention On Civil Liability For Nuclear Damage (28.06.2001); Joint Protocol relating to the Application on the Vienna Convention and the Paris Convention (26.11.1994); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency	

			(28.01.1993); Convention on Nuclear Safety (23.01.1997); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (18.06.2001)		(28.01.1993); Convention on Nuclear Safety (23.01.1997); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (18.06.2001)	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Law on the ratification Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, 28 June 2007	X	National Authority State Environmental Service	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Annual reports to OPCW	X	Latvia has submitted annual declarations as stipulated by the Chemical Weapons Convention.	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials	X	Law, as amended, 28.10.2010; Procedure for the Record Keeping, Identification, Storage, Packing, Marking and Keeping of Transport Records of Hazardous Waste No.484, 21.06.2011.	X	Latvian Administrative Violations Code of 1984., as amended, Article 75; Criminal Law of 1999, as amended, Article 99	
5	Other	X	Regulation No.532 of 19 July 2005 of Cabinet of Ministers on the Hazards and risk assessment and reduction of industrial (major) accidents	X	Regulation No.532 of 19 July 2005 of Cabinet of Ministers on the Hazards and risk assessment and reduction of industrial (major) accidents Latvian Administrative Violations Code of 1984., as amended, Articles 88, 88.4, 88.8; Criminal Law of 1999, as amended, Article 98	

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work					
2	Other legislation/ regulations related to safety and security of biological materials	X	Law on epidemiologic safety, 11 December 1997; Cabinet of Ministers Instruction Nr.12 of 5 August 2008 on Instructions Regarding Actions of Responsible Institutions in the Event of Finding a Substance or Object of Unknown Origin if it is Suspected that it Contains Explosive, Radioactive, Dangerous Chemical or Biological Substances, as well as if Indications of a Terrorist Attack are Detected	X	Law on epidemiologic safety, 11 December 1997; Cabinet of Ministers Instruction Nr.12 of 5 August 2008 on Instructions Regarding Actions of Responsible Institutions in the Event of Finding a Substance or Object of Unknown Origin if it is Suspected that it Contains Explosive, Radioactive, Dangerous Chemical or Biological Substances, as well as if Indications of a Terrorist Attack are Detected Criminal Law of 1999, as amended, Articles 73, 98	
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	NW/CW/BW: Council Regulation (EEC) No. 2913/92 of 12 October 1992 (Community Customs Code); Commission Regulation No. 2454/1993 (Implementing Provisions to the Community Customs Code); EU Regulation no. 648 of 13 April 2005 (Security Amendment); Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community; Customs Law (last amendment 2002), Section 2(Restrictions Regarding the Movement of Goods across the Customs Border);Law of the State Border of Latvia, 2012	X	X	X	NW/CW/BW: Criminal Law, Article 190, Clause 3	
2	Technical support of border control measures	X	X	X	NW/CW/BW: EU Regulation no. 648 of 13 April 2005 (Security Amendment) On 1 July 2009 new requirements set out in the European Union (EU) laws entered into force regarding electronic submission of customs declarations and entry and exit summary declarations; Law of the State Border of Latvia, 2012	X	X	X	NW/CW/BW: Scanners and X-ray	
3	Control of brokering, trading in, negotiating, otherwise assisting in	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the	

	sale of goods and technology				control of exports, transfer, brokering and transit of dual-use items Law on Circulation of Goods of Strategic Significance of 19 July 2007				control of exports, transfer, brokering and transit of dual-use items (Each Member State should determine effective, proportionate and dissuasive penalties applicable in the event of breach of the provisions of this Regulation)	
4	Enforcement agencies/authorities	X	X	X	NW/CW/BW: Customs Law, as amended	X	X	X	NW/CW/BW: State Revenue Service – Customs Authority	
5	Export control legislation in place	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Strategic Goods	X	X	X	NW/CW/BW: Criminal Code, Article 98 [moving ... radioactive or hazardous substances, goods of strategic importance or other valuable property, across the border] Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods). Administrative Violations Code, Article 179.1, 201.10.	
6	Licensing provisions	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 ; Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010	X	X	X	NW/CW/BW: Criminal Code, Article 98 Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods). Administrative Violations Code, Article 179.1, 201.10.	
7	Individual licensing	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 ; Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010	X	X	X	NW/CW/BW: Criminal Code, Article 98 Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods).	

									Administrative Violations Code, Article 179.1, 201.10.	
8	General licensing	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 ; Cabinet of Ministers Regulation No. 657 on order of issuing licences and other documents related to the circulation of strategic goods, of 20 July 2010	X	X	X	NW/CW/BW: Criminal Code, Article 98 Criminal Code, Article 73 (WMD violation); Criminal Code, Article 84 (Sanctions violation); Criminal Code, Article 1901 (firearms, munition, explosives violation); Criminal Code, Article 2371 (Violation of circulation of strategic goods). Administrative Violations Code, Article 179.1, 201.10.	
9	Exceptions from licensing	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 ;	X	X	X	NW/CW/BW: An authorisation shall be required for intra-Community transfers of dual use items listed in Annex IV. Items listed in Part2 of Annex IV shall not be covered by a general authorisation	
10	Licensing of deemed export/visa	X	X	X	NW/CW/BW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to Certain military end-uses	X	X	X	NW/CW/BW: Council Joint Action of 22 June 2000 concerning the control of technical assistance related to Certain military end-uses	
11	National licensing authority	X	X	X	NW/CW/BW: Law on the Circulation of Strategic Goods;	X	X	X	NW/CW/BW: Ministry of Foreign Affairs, Strategic Goods Export Control Division	
12	Interagency review for licenses	X	X	X	NW/CW/BW: Cabinet of Ministers Regulation No. 866 on Founding Law of the Control Committee of Strategic Goods of 4 August 2009	X	X	X	NW/CW/BW: Committee for Monitoring Strategic Goods	
13	Control lists	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009; ; Cabinet of Ministers Regulations on National List of Goods and Services of Strategic Significance, of 25 September 2007	X	X	X	NW/CW/BW: Committee for Monitoring Strategic Goods	
14	Updating of lists	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009	X	X	X	NW/CW/BW: The list of dual-use items set out in Annex I shall be updated in conformity with the relevant obligations and commitments, and	

									any modification thereof, that Member States have accepted as members of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties. The Council regulation is automatically applicable after changes become effective.	
15	Inclusion of technologies	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	
16	Inclusion of means of delivery	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 Law on the Circulation of Goods of Strategic Significance	
17	End-user controls	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on Circulation of Goods of Strategic Significance	
18	Catch all clause	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	
19	Intangible transfers	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	

20	Transit control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 Law on the Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	
21	Trans-shipment control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on the Circulation of Goods of Strategic Significance	?	?	?	NW/CW/BW: Administrative Violations Code, Article 181, 183 and 201	
22	Re-export control	X	X	X	NW/CW/BW: Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Law on Circulation of Goods of Strategic Significance	X	X	X	NW/CW/BW: Law on the Circulation of Goods of Strategic Significance Administrative Violations Code, Article 179.1, 201.10	
23	Control of providing funds									
24	Control of providing transport services									
25	Control of importation	X	X	X	NW/CW/BW: Law on Circulation of Goods of Strategic Significance	?	?	?	NW/CW/BW:	
26	Extraterritorial applicability	X	X	X	NW/CW/BW: Section Applicability of The Criminal Law Outside the Territory of Latvia	X	X	X	NW/CW/BW: Criminal Law, Article 190, Clause 3	
27	Other									

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues ?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry	X	Control Committee of Strategic Goods regularly informs firms dealing with import, export and transit regarding their obligations, updates regarding amendments in legislation or lists are provided. In addition to that Latvia regularly organises seminars for its businessmen dealing with circulation of strategic goods.	
8	Work with and inform the public	X	There is a section on import, export or transit of strategic goods on the web site of the Ministry of Foreign Affairs	
9	Point of Contact			
10	Other ⁴			

Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.