

# 1540 COMMITTEE MATRIX OF ICELAND

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

## OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:  
Date of Report:  
Date of First Addendum:  
Date of Committee Approval:

ICELAND  
28 October 2004  
5 March 2008

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 18 July 1969	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	?	Signed 16 September 2005	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 18 June 2002	
5	2005 Amendment to the CPPNM			

6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 26 June 2000	
7	Chemical Weapons Convention (CWC)	X	Deposit 28 April 1997	
8	Biological Weapons Convention (BWC)	X	Deposit 15 February 1973	
9	Geneva Protocol of 1925	X	Deposit 2 November 1967	
10	Other Conventions/Treaties	X	State Party to 12 of 19 universal legal instrument against terrorism, including the following relevant to resolution 1540 (2004): The International Convention for the Suppression of Terrorist Bombings (15/04/2002) The International Convention for the Suppression of the Financing of Terrorism (15/04/2002)	
11	International Atomic Energy Agency (IAEA)	X	Since 6 August 1957	
12	Hague Code of Conduct (HCOC)	X	Subscribed 25 November 2002	
13	Other Arrangements	X	Nuclear Suppliers Group (NSG) Australia Group (AG) Missile Technology Control Regime (MTCR) Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD	X	State reports that it does not possess any weapons of mass destructions and has no intention of acquiring such weapons.	
15	General statement on commitment to disarmament and non-proliferation	X	State reports that it is fully committed to the objective of universal disarmament of all weapons of mass destruction and to non-proliferation of such weapons.	
16	General statement on non-provision of WMD and related materials to non-State actors	X	State reports that it is the firm policy of the Icelandic government not to provide any form of support to non-state actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery.	
17	Other <sup>1</sup>	X	Member of the: World Customs Organisation (WCO) and its Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme INTERPOL	

			<p>World Health Organization (WHO)          Organisation for Animal Health (OIE)          Organization for Security and Co-operation in Europe (OSCE)          Financial Action Task Force (FATF)          Egmont Group</p> <p>State Party also to:</p> <ul style="list-style-type: none"> <li>- Council of Europe Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime and on the Financing of Terrorism (signed 16 May 2005);</li> <li>- European Convention on the Suppression of Terrorism (in force 12 Dec. 1980);</li> <li>- Protocol amending the European Convention on the Suppression of Terrorism (signed 15 May 2003).</li> </ul>	
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1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

## OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework					Enforcement: civil/criminal penalties and others					Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document			
		N W	C W	B W		N W	C W	B W				
1	manufacture/produce	X	X	?	<b>NW:</b> Art. 1, Act No. 5/1969 (Implementation of United Nations Security Council Resolutions) Penal Code, No. 19/1940, Art. 169a (altering nuclear substances shall be punished)  <b>CW:</b> Art. 2, Act No. 17/2000 (Act on the implementation of the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on their Destruction) Art. 1, Act No. 5/1969  <b>BW:</b> Art. 1 Act No. 5/1969	X	X		<b>NW:</b> Penal Code, No. 19/1940, Art. 169a  <b>CW:</b> Art. 6, Act No. 17/2000			
2	acquire	X	X	?	<b>NW:</b> Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (accepting nuclear substances shall be punished)  <b>CW:</b> Art. 2, Act No. 17/2000 Art. 1, Act No. 5/1969  <b>BW:</b> Art. 1 Act No. 5/1969	X	X		<b>NW:</b> Penal Code, No. 19/1940, Art. 169a  <b>CW:</b> Art. 6, Act No. 17/2000			
3	possess	X	X	?	<b>NW:</b> Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (having in custody nuclear substances shall be punished)	X	X		<b>NW:</b> Penal Code, No. 19/1940, Art. 169a			

				<p><b>CW:</b> Art. 2, Act No. 17/2000 Art. 1, Act No. 5/1969</p> <p><b>BW:</b> Art. 1 Act No. 5/1969</p>			<p><b>CW:</b> Art. 6, Act No. 17/2000</p>		
4	stockpile/store	X	X	<p><b>NW:</b> Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (having in custody nuclear substances shall be punished)</p> <p><b>CW:</b> Art. 2, Act No. 17/2000; Art. 1, Act No. 5/1969</p> <p><b>BW:</b> Art. 1 Act No. 5/1969</p>	X	X	<p><b>NW:</b> Penal Code, No. 19/1940, Art. 169a</p> <p><b>CW:</b> Art. 6, Act No. 17/2000</p>		
5	develop	?	X	?	?	X	<p><b>NW:</b> Art. 1, Act No. 25/2001 (Amendment to the Penal Code, in force 16 May 2001), Art. 1, Act No. 5/1969</p> <p><b>CW:</b> Art. 2, Act No. 17/2000; Art. 1, Act No. 5/1969</p> <p><b>BW:</b> Art. 1 Act No. 5/1969</p>	<p><b>NW:</b> Art. 5, Act No. 25/2001</p> <p><b>CW:</b> Art. 6, Act No. 17/2000</p>	
6	transport	X	?	?	X	?	<p><b>NW:</b> Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (moving nuclear substances shall be punished)</p> <p><b>CW:</b> Art. 2, Act No. 17/2000; Art. 1, Act No. 5/1969</p> <p><b>BW:</b> Art. 1 Act No. 5/1969</p>	<p><b>NW:</b> Penal Code, No. 19/1940, Art. 169a (moving nuclear substances shall be punished)</p> <p><b>CW:</b> Art. 6, Act No. 17/2000</p>	

7	transfer	X	X	?	<p><b>NW:</b> Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (distributing nuclear substances shall be punished)</p> <p><b>CW:</b> Art. 2, Act No. 17/2000 Art. 1, Act No. 5/1969</p> <p><b>BW:</b> Art. 1 Act No. 5/1969</p>	X	X		<p><b>NW:</b> Penal Code, No. 19/1940, Art. 169a</p> <p><b>CW:</b> Art. 6, Act No. 17/2000</p>		
8	use	X	X	X	<p><b>NW:</b> Act No. 99/2002 Art. 100 (a) Implementation of Convention for the Suppression of Terrorist Bombings Art. 1, Act No. 5/1969 Penal Code, No. 19/1940, Art. 169a (using nuclear substances shall be punished)</p> <p><b>CW:</b> Art. 2, Act No. 17/2000; Art. 1, Act No. 5/1969 Act No. 99/2002 Art. 100 (a)</p> <p><b>BW:</b> Act No. 5/1969 Act No. 99/2002 Art. 100 (a)</p>	X	X	X	<p><b>NW:</b> Act No. 99/2002 Art. 100 (a) Penal Code, No. 19/1940, Art. 169a</p> <p><b>CW:</b> Art. 6, Act No. 17/2000 Act No. 99/2002 Art. 100 (a)</p> <p><b>BW:</b> Act No. 99/2002 Art. 100 (a)</p>		
9	participate as an accomplice in abovementioned activities	X	X	X	<p><b>NW:</b> Penal Code No. 19/1940, Art. 112 Art. 1, Act No. 5/1969</p> <p><b>CW:</b> Art. 6, Act No. 17/2000; Art. 1, Act No. 5/1969 Penal Code No. 19/1940, Art. 112</p> <p><b>BW:</b> Act No. 5/1969 Penal Code No. 19/1940, Art. 112</p>	X	X	X	<p><b>NW:</b> Penal Code , No. 19/1940</p> <p><b>CW:</b> Art. 6, Act No. 17/2000 Penal Code No. 19/1940, Art. 112</p> <p><b>BW:</b> Penal Code No. 19/1940, Art. 112</p>		

10	assist in abovementioned activities	X	X	X	<p><b>NW:</b> Penal Code, No. 19/1940, Art. 112 Art. 1, Act No. 5/1969</p> <p><b>CW:</b> Art. 6, Act No. 17/2000; Art. 1, Act No. 5/1969 Penal Code No. 19/1940, Art. 112</p> <p><b>BW:</b> Act No. 5/1969 Penal Code No. 19/1940, Art. 112</p>	X	X	X	<p><b>NW:</b> Penal Code. No. 19/1940, Art. 112</p> <p><b>CW:</b> Art. 6, Act No. 17/2000 Penal Code No. 19/1940, Art. 112</p> <p><b>BW:</b> Penal Code No. 19/1940, Art. 112</p>
11	finance abovementioned activities	X	X	X	<p><b>NW/CW/ BW:</b> Act No. 87/1998 (Act on Official Supervision of Financial Activities); Anti-Money Laundering Act No. 64/2006 (Measures against Money Laundering and Terrorist Financing), as amended (implementing inter alia Directive 2005/60/EC); Art. 1, Act No. 5/1969; Announcement No. 867/2001 (implementing UNSCR 1373 (2001): Regulation 550/2006 ( on obligations to report and customer due diligence in measures against money laundering and terrorist financing) Guidelines on Measures against Money Laundering and Terrorists Financing (3/2011)</p>	X	X	X	<p><b>NW/CW/BW:</b> Act No. 87/1998; Act No. 64/2006 Announcement No. 867/2001 Penal Code No. 19/1940, Arts. 264 and 100a, 100b and 100c Financial Intelligence Unit (FIU) (named Ríkissaksóknari, RLS)</p>
12	abovementioned activities related to means of delivery <sup>2</sup>		X		<p><b>CW:</b> Art. 2, Act No. 17/2000</p>		X		<p><b>CW:</b> Art. 6, Act No. 17/2000</p>
13	involvement of non-State actors in abovementioned activities	X	X	X	<p><b>NW:</b> Art. 1, Act No. 5/1969 General Penal Code, No. 19/1940, as amended</p> <p><b>CW:</b> Art. 2, Act No. 17/2000;</p>	X	X	X	<p><b>NW:</b> General Penal Code, No. 19/1940, as amended</p> <p><b>CW:</b> Art. 6, Act No. 17/2000</p>

				Art. 1, Act No. 5/1969 General Penal Code, No. 19/1940, as amended  <b>BW:</b> Act No. 5/1969 General Penal Code, No. 19/1940, as amended			General Penal Code, No. 19/1940, as amended  <b>BW:</b> General Penal Code, No. 19/1940, as amended	
14	Other							

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.



**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials<sup>3</sup>**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework					Enforcement: civil/criminal penalties and others					Remarks
		YES			if YES, source document	YES			if YES, source document			
		NW	CW	BW*		NW	CW	BW				
1	Measures to account for production	X	X		<b>NW:</b> Act No. 44/2002 (Act on Radiation Protection), as amended.  <b>CW:</b> Act No. 52/1988; (Act Concerning Toxic and Hazardous Substances)	X	X		<b>NW:</b> Art. 21, Act No. 44/2002  <b>CW:</b> Act No. 52/1988;			
2	Measures to account for use	X	X		<b>NW:</b> Act No. 44/2002  <b>CW:</b> Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002  <b>CW:</b> Act No. 52/1988;			
3	Measures to account for storage	X	X		<b>NW:</b> Act No. 44/2002  <b>CW:</b> Act No. 52/1988;	X	X		<b>NW:</b> Art. 21, Act No. 44/2002  <b>CW:</b> Act No. 52/1988			
4	Measures to account for transport	X	X		<b>NW:</b> Act No. 44/2002  <b>CW:</b> Act No. 52/1988;	X	X		<b>NW:</b> Art. 21, Act No. 44/2002  <b>CW:</b> Act No. 52/1988;			
5	Other measures for accounting	X			<b>NW:</b> Act on Preventive Measures against Radiation No. 117/1985 Regulation on Safety Measures against Ionic Radiation No. 356/1986	X			<b>NW:</b> Act on Preventive Measures against Radiation No. 117/1985 Regulation on Safety Measures against Ionic Radiation No. 356/1986			

6	Measures to secure production	X	X		<b>NW:</b> Act No. 44/2002 <b>CW:</b> Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Act No. 52/1988
7	Measures to secure use	X	X		<b>NW:</b> Act No. 44/2002 <b>CW:</b> Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Act No. 52/1988
8	Measures to secure storage	X	X		<b>NW:</b> Act No. 44/2002 <b>CW:</b> Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Act No. 52/1988
9	Measures to secure transport	X	X		<b>NW:</b> Act No. 44/2002 <b>CW:</b> Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Act No. 52/1988
10	Other measures for securing								
11	Regulations for physical protection of facilities/ materials/ transports	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Art. 26, Act No. 52/1988	X	X		<b>NW:</b> Art. 21, Act No. 44/2002 <b>CW:</b> Art. 26, Act No. 52/1988
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<b>NW:</b> Act. No. 46/1980, Act No. 44/2002 <b>CW:</b> Act No. 52/1988; Act. No. 46/1980 <b>BW:</b>	X	X	X	<b>NW:</b> Art. 99, Act. No. 46/1980 Art. 21, Act No. 44/2002 <b>CW:</b> Art. 26, Act No. 52/1988 Art. 99, Act. No. 46/1980 <b>BW:</b>

				Act. No. 46/1980				Art. 99, Act. No. 46/1980	
13	Reliability check of personnel								
14	Measures to account for/secure/ physically protect means of delivery								

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Act No. 44/2002 ( Art. 4)	X	Icelandic Radiation Safety Authority	
2	IAEA Safeguards Agreements	X	INFCIRC /215 in force 16 Oct. 1974. Additional Protocol in force 12 Sep. 2003 Amended SQP in force 15 March 2010	X	INFCIRC /215in force 16 Oct. 1974. Additional Protocol in force 12 Sep. 2003 Amended SQP in force 15 March 2010	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support.	X	Expressed support.	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Expressed support.	X	Expressed support.	
5	IAEA Incident and Trafficking Database	X	Participates.	X	Participates.	
6	Other Agreements related to IAEA	X	Party to: Convention on Early Notification of a Nuclear Accident (Deposit 27 September 1989); Convention on Nuclear Safety (Deposit 4 June 2008); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (Deposit 27 January 2006); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (Deposit 26 January 2006).	X	Party to: Convention on Early Notification of a Nuclear Accident (Deposit 27 September 1989); Convention on Nuclear Safety (Deposit 4 June 2008); Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (Deposit 27 January 2006); Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (Deposit 26 January 2006).	

7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Art. 3, Act. No. 17/2000	X	Ministry for Foreign Affairs in Reykjavik	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Act. No. 17/2000.	X	Reported	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other	X	Regulation No. 553/2004 (on protection of workers from risks related to chemical agents in workplaces)	X	Regulation No. 553/2004 (on protection of workers from risks related to chemical agents in workplaces)	

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Act No. 18/1996 (Genetically Modified Organisms Act)	X	Act No. 18/1996	
2	Other legislation/ regulations related to safety and security of biological materials	X	Act No. 19/1997 (Act on Health Security and Communicable Diseases)	X	Act No. 19/1997 (Act on Health Security and Communicable Diseases)	
3	Other	X	Regulation No. 764/2001 (on protection of workers from risks related to exposure to biological agents at work)	X	Regulation No. 764/2001 (on protection of workers from risks related to exposure to biological agents at work)	

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials**

Which of the following legislation, procedures, measures, and agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework			Enforcement: civil/criminal penalties, and measures of implementation, etc			Remarks		
		YES			if YES, source document	YES			if YES, source document	
		NW	CW	BW		NW	CW			BW
1	Border control	X	X	X	NW/CW/ BW: Act on the Control of Services and Items that may have Strategic Significance No 58/2010, as amended. Customs Act No. 88/2005 , as amended	X	X	X	NW/CW/ BW: Art. 13, Act No 58/2010 Customs Act No. 88/2005 , as amended	
2	Technical support of border control measures									
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	NW/CW/BW: Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 5 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	X	X	X	NW/CW/BW: Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 10 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	
4	Enforcement agencies/authorities	X	X	X	NW/CW/ BW: Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	X	X	X	NW/CW/ BW: Ministry for Foreign Affairs (licensing) Customs (enforce export control legislation and screen customs declarations) Police authorities (investigate breaches of export legislation) Prosecutors (prosecute cases before the regular courts)	



				Customs Act No. 88/2005 , as amended				
5	Export control legislation in place	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)
6	Licensing provisions	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation No. 70/1993 on Export Licensing Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation No. 70/1993 on Export Licensing Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)
7	Individual licensing	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)

				Regulation No. 70/1993 on Export Licensing				Regulation No. 70/1993 on Export Licensing	
8	General licensing	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing	
9	Exceptions from licensing	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 9 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 10 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing	
10	Licensing of deemed export/visa								
11	National licensing authority	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No.	X	X	X	<b>NW/CW/BW:</b> Ministry for Foreign Affairs	

				800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing					
12	Interagency review for licenses	?	?	?	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing	?	?	?	<b>NW/CW/BW:</b> Ministry for Foreign Affairs
13	Control lists	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries

14	Updating of lists	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 4 (The lists shall be updated in conformity with the relevant obligations and commitments that Iceland has accepted as a member of international non-proliferation regimes for military goods and dual-use items and of export control arrangements, or as a party to international agreements, as applicable.)  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011  Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)  Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009  Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>	X	X	X	<p><b>NW/CW /BW:</b>  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13</p>	
15	Inclusion of technologies	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 3  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011  Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing</p>	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011  Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing</p>	

				(including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries			(including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	
16	Inclusion of means of delivery	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 7 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 10 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	
17	End-user controls	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with	

				<p>Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)  Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009  Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>			<p>Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)  Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009  Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>		
18	Catch all clause	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 4  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011  Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)  Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009  Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art.13  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011  Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)  Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)  Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009  Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>
19	Intangible transfers	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 3  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011</p>	X	X	X	<p><b>NW/CW/BW:</b>  Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items  Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13  Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011</p>

				<p>Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)</p> <p>Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)</p> <p>Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009</p> <p>Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>			<p>Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)</p> <p>Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)</p> <p>Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009</p> <p>Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>		
20	Transit control	X	X	X	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items</p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 3 and 4</p> <p>Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 2,6 and 9</p> <p>Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)</p> <p>Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)</p> <p>Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009</p> <p>Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>	<p><b>NW/CW/BW:</b></p> <p>Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items</p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13</p> <p>Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 10</p> <p>Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014)</p> <p>Regulation No. 70/1993 on Export Licensing (including military and dual-use lists)</p> <p>Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009</p> <p>Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries</p>
21	Trans-shipment control	X	X	X	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items</p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 3</p>	<p><b>NW/CW/BW:</b></p> <p>Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items</p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13</p>

				and 4 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries			Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	
22	Re-export control	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 3 and 4 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	X	X	X <b>NW/CW/BW:</b> Council Regulation (EC) No. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13 Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011 Regulation for Monitoring Services and items that may have Strategic Significance with Schedules, No. 758/2014 (of July 2014) Regulation No. 70/1993 on Export Licensing (including military and dual-use lists) Regulation on International Security Measures regarding Weapons of Mass Destruction, No. 123/2009 Regulation No. 325/2009 amending above regulation, implementing nuclear, ballistic and chemical/biological control lists for all countries	
23	Control of providing funds	X	X	X <b>NW/CW/BW:</b> Act No. 87/1998 (Act on Official Supervision of Financial Activities);	X	X	X <b>NW/CW/BW:</b> Act No. 87/1998; Act No. 64/2006	



				<p>Anti-Money Laundering Act No. 64/2006 (Measures against Money Laundering and Terrorist Financing), as amended (implementing inter alia Directive 2005/60/EC);</p> <p>Art. 1, Act No. 5/1969;</p> <p>Announcement No. 867/2001 (implementing UNSCR 1373 (2001):</p> <p>Regulation 550/2006 ( on obligations to report and customer due diligence in measures against money laundering and terrorist financing)</p> <p>Guidelines on Measures against Money Laundering and Terrorists Financing (3/2011)</p>			<p>Announcement No. 867/2001</p> <p>Penal Code No. 19/1940, Arts. 264 and 100a, 100b and 100c</p> <p>Financial Intelligence Unit (FIU) (named Ríkissaksóknari, RLS)</p>			
24	Control of providing transport services	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 5</p> <p>Regulation No. 139/1995 Regarding training of drivers of vehicles carrying dangerous substances</p>	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 13</p> <p>Regulation No. 139/1995 Regarding training of drivers of vehicles carrying dangerous substances</p>	
25	Control of importation	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 4</p> <p>Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 6</p> <p><b>NW:</b></p> <p>Act No. 44/2002, as amended (on Radiation protection), Section III, Art. 7</p> <p><b>CW:</b></p> <p>Act No. 52/1988 (concerning toxic and hazardous substances), Art. 6</p>	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art.13</p> <p>Regulation on Control of Services and Items that may have Strategic Significance, No. 800/2011, Art. 10</p>	
26	Extraterritorial applicability	X	X	X	<p><b>NW CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010, Art. 2</p>	X	X	X	<p><b>NW/CW/BW:</b></p> <p>Control of Services and Items that may have Strategic Significance Act, No 58/2010</p>	
27	Other	X	X	X	<p><b>NW/CW/BW:</b></p> <p>International Sanctions Implementation Act, No. 93/2008</p>	X	X	X	<p><b>NW/CW/BW:</b></p> <p>International Sanctions Implementation Act, No. 93/2008</p>	



## OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	Control lists are implemented in Reg. No. 70/1993 and published in the Official Gazette	
2	Control lists - other	X	Control list referred to in Regulation No. 123/2009: UNSC document S/2006/814 Control list referred to in Regulation No. 123/2009: UNSC document S/2006/815 (ballistic missile program) Control list referred to in Regulation No. 123/2009: UNSC document S/2006/853 (chemical and biological items)	
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry	X	Laws, regulations and treaties are published in the Official Gazette	
8	Work with and inform the public	X	Laws, regulations and treaties are published in the Official Gazette	
9	Point of Contact			
10	Other <sup>4</sup>			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.