

# 1540 COMMITTEE MATRIX OF GEORGIA

*The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.*

*The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.*

*The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.*

## OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Georgia  
 Date of Report: 4 Nov 2004  
 Date of 1<sup>st</sup> Addendum: 17 Dec 2007  
 Date of 2<sup>nd</sup> Addendum: 12 Nov 2013  
 Date of Committee approval

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 7 March 1994	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposit 23 April 2010	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 7 September 2006	

5	2005 Amendment to the CPPNM	X	Deposit 5 April 2012	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 27 September 2002	
7	Chemical Weapons Convention (CWC)	X	Deposit 27 November 1995	
8	Biological Weapons Convention (BWC)	X	Deposit on 22 May 1996	
9	Geneva Protocol of 1925			
10	Other Conventions/Treaties	X	State Party to 15 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1999 International Convention for the Suppression of the Financing of Terrorism (27 Sep 2002) ; 1997 International Convention for the Suppression of Terrorist Bombings (18 Feb 2004) ;	
11	International Atomic Energy Agency (IAEA)	X	Since 23 February 1996	
12	Hague Code of Conduct (HCOC)	X	Subscribed	
13	Other Arrangements	X	Proliferation Security Initiative, joined on 27 April 2005. Global Initiative to Combat Nuclear Terrorism, joined on 8 May 2007. Nuclear Smuggling Outreach Initiative	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	X	State reports that it supports the European Union Strategy against the Proliferation of WMD ("Effective multilateralism, prevention and international cooperation"), adopted by the European Council on 12 December 2003	
16	General statement on non-provision of WMD and related materials to non-State actors			
17	Other <sup>1</sup>	X	Member of: International Maritime Organization (IMO) International Monetary Fund (IMF) Inter-Parliamentary Union (IPU) INTERPOL Organization for Security and Cooperation in Europe (OSCE) World Bank Group World Customs Organization (WCO) World Health Organization (WHO) World Organisation for Animal Health (OIE)	

Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

**OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)**

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	<b>NW:</b> Criminal Code, Articles 232 and 406 Public Health Act, Article 22.4 War Weapons Control Act  <b>CW/BW:</b> Criminal Code, Article 406, War Weapons Control Act	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles232, and 406 [production, purchase, or sale of NBC] War Weapons Control Act, Article 19  <b>CW/BW:</b> Criminal Code 1999, as amended, Article 406 , War Weapons Control Act, Article 20	
2	acquire	X	X	X	<b>NW:</b> Criminal Code, Articles 232 and 406 Public Health Act, Article 22.4 War Weapons Control Act  <b>CW/BW:</b> Criminal Code, Article 406 War Weapons Control Act	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles232, and 406 [production, purchase, or sale of NBC] War Weapons Control Act, Article 19  <b>CW/BW:</b> Criminal Code 1999, as amended, Article 406 War Weapons Control Act, Article 20	
3	Possess	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 230 and 237 War Weapons Control Act  <b>CW:</b> War Weapons Control Act  <b>BW:</b> Public Health Act, Article 17, War Weapons Control Act	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 230 and 237 War Weapons Control Act, Article 19  <b>CW/BW:</b> War Weapons Control Act, Article 20	
4	stockpile/store	X	X	X	<b>NW/CW:</b> War Weapons Control Act	X	X	X	<b>NW:</b> War Weapons Control Act, Article 19	

					War Weapons Control Act, Article 19  <b>BW:</b> Criminal Code, Article 406 War Weapons Control Act				<b>CW/BW:</b> Criminal Code, Article 406  <b>CW/BW:</b> War Weapons Control Act, Article 20	
5	develop	X	X	X	<b>NW:</b> War Weapons Control Act, Article 19  <b>CW:</b> War Weapons Control Act  <b>BW:</b> Criminal Code, Article 406 [prepare] War Weapons Control Act	X	X	X	<b>NW:</b> War Weapons Control Act, Article 19  <b>CW:</b> War Weapons Control Act, Article 19  <b>BW:</b> Criminal Code, Article 406 [prepare]  <b>CW/BW:</b> War Weapons Control Act, Article 20	
6	transport	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Article 230 Public Health Act, Article 22.4 [circulate radioactive material] War Weapons Control Act  <b>CW:</b> War Weapons Control Act  <b>BW:</b> Public Health Act, Article 17 War Weapons Control Act	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Article 230 War Weapons Control Act, Article 19  <b>CW/BW:</b> War Weapons Control Act, Article 20	
7	transfer	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 230 and 406 War Weapons Control Act  <b>CW/BW:</b> Criminal Code 1999, as amended, Article 406 War Weapons Control Act  <b>BW:</b> Public Health Act, Article 17 War Weapons Control Act	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 230 and 406 War Weapons Control Act, Article 19  <b>CW/BW:</b> Criminal Code 1999, as amended, Article 406 War Weapons Control Act, Article 20	

8	use	X	X	X	<b>NW/CW/BW:</b> Law on Combating Terrorism of 2007, Article 1: Use or threat for terrorist purposes	X	X	X	<b>NW:</b> Criminal Code 230 and 406 for nuclear weapons, and 323 and 324 regarding use of biological, chemical or nuclear weapons for terrorist purposes  <b>CW/BW:</b> Criminal Code 1999, as amended, Articles 323 and 324 regarding use of biological, chemical or nuclear weapons for terrorist purposes
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 23-25: general complicity and accomplices	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 23-25: general complicity and accomplices
10	assist in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 23-25: general complicity and accomplices	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 23-25: general complicity and accomplices
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Law on Guarantees and Promotion of the Activities of Investment, Article 9	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 194 and 331/1  <b>CW:</b> Criminal Code 1999, as amended, Articles 194 and 331/1  <b>BW:</b> Criminal Code 1999, as amended, Articles 194 and 331/1
12	abovementioned activities related to means of delivery <sup>2</sup>		X	X	<b>NW:</b>  <b>CW/BW:</b> Criminal Code, Article 406 War Weapons Control Act,		X	X	<b>NW:</b>  <b>CW/BW:</b> Criminal Code, Article 406 War Weapons Control Act, Article 20
13	involvement of non-State actors in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Law on Combating Terrorism of 2007, Article 1: Use or threat for terrorist purposes	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Article 331/1

14	Other	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 4 and 5: extraterritoriality	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 4 and 5: extraterritoriality	

Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials<sup>3</sup>**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW: and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X	X	<b>NW:</b> INFCIRC/617 Law on Nuclear and Radiation Safety  <b>CW:</b> Law on hazardous chemicals of 1999, Articles 23.4.c, 27 and 29  <b>BW:</b> Law of Georgia on Public Health, Articles 16-18	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 230 and 288  <b>CW:</b> Criminal Code 1999, as amended, Article 288  <b>BW:</b> Criminal Code 1999, as amended, Articles 288-290	
2	Measures to account for use	X	X	X	<b>NW:</b> INFCIRC/617Law on Nuclear and Radiation Safety  <b>CW:</b> Law on hazardous chemicals of 1999, Articles 2, 19, 23 and 36-37  <b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 230 and 288  <b>CW:</b> Criminal Code 1999, as amended, Article 288  <b>BW:</b> Criminal Code 1999, as amended, Articles 288-290 Unified Lab System for Identifying. Surveillance and Respond on Causative Agents of Especially Dangerous Infections	
3	Measures to account for storage	X	X	X	<b>NW:</b> INFCIRC/617 Law on Nuclear and Radiation Safety  <b>CW:</b>	X	X	X	<b>NW:</b> Criminal Code 1999, as amended, Articles 230 and 288  <b>CW:</b>	



					<p>Law on hazardous chemicals of 1999, Articles 23, 32-35</p> <p><b>BW:</b> Law of Georgia on Public Health, No.5069, 27 June 2007, Chapter 5</p>				<p>Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Articles 288-290</p>	
4	Measures to account for transport	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Article 23.4.e, 31</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	X	X	X	<p><b>NW:</b> Criminal Code 1999, as amended, Article 230</p> <p><b>CW:</b> Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Articles 288-290</p>	
5	Other measures for accounting	X			<p><b>NW:</b> Law on Safety of Dangerous Ventures of 1997, as amended 2002, Articles 1-3, 5 and 10</p> <p><b>CW:</b></p> <p><b>BW:</b></p>	X			<p><b>NW:</b> Law on Safety of Dangerous Ventures of 1997, as amended 2002, Article 3: State Inspectorate of Technical Supervision along with other bodies oversees dangerous ventures</p> <p><b>CW:</b> as amended</p> <p><b>BW:</b></p>	
6	Measures to secure production	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998 Law of Georgia on Public Health, Art. 22</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Articles 27, 29 and 44</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	X	X	X	<p><b>NW:</b> Criminal Code 1999, as amended, Article 230</p> <p><b>CW:</b> Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Articles 288-290</p>	
7	Measures to secure use	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998, Articles 33-34</p>	X	X	X	<p><b>NW:</b> Criminal Code 1999, as amended, Article 230</p>	

					<p>Law of Georgia on Public Health, Art. 22</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Articles 6, 8 and 9</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>				<p><b>CW:</b> Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Articles 288-290</p>	
8	Measures to secure storage	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998, Articles 42-44 Law of Georgia on Public Health, Art. 22</p> <p><b>CW:</b> Law on hazardous chemicals, Articles 10; 24, and 32</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	X	X	X	<p><b>NW:</b> Criminal Code 1999, as amended, Article 230</p> <p><b>CW:</b> Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Articles 288-290</p>	
9	Measures to secure transport	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998, Article 26 Law of Georgia on Public Health, Art. 22 Order No. 1-1/1562 of the Minister of Economy and Sustainable Development on Technical Regulation on Rules on Transportation of Goods by Vehicles of 18/7/2011</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Articles 28 and 31</p> <p><b>BW:</b> Criminal Code 1999, as amended, Article 288-289</p>	X	X	X	<p><b>NW:</b> Law on Safety of Dangerous Ventures of 1997, as amended 2002, Article 3: State Inspectorate of Technical Supervision along with other bodies oversees dangerous ventures Criminal Code 1999, as amended, Article 230</p> <p><b>CW:</b> Criminal Code 1999, as amended, Article 288</p> <p><b>BW:</b> Criminal Code 1999, as amended, Article 288-289</p>	
10	Other measures for securing									

11	Regulations for physical protection of facilities/materials/ transports	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998, Law of Georgia on Public Health, Art. 22</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Article 33</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	X	X	X	<p><b>NW:</b> State system of physical protection co-ordinated by the Ministry of Environment, with operational responsibility in the Ministry for State Security and the Ministry for Defence for nuclear and radiation facilities</p> <p><b>CW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<p><b>NW:</b> Law on Nuclear and Radiation Safety 1998, Article 37 Law on Safety of Dangerous Ventures of 1997, as amended 2002, Articles 1-3, 5, 10 and 14</p> <p><b>CW:</b> Law on hazardous chemicals of 1999, Article 29</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	X	X	X	<p><b>NW:</b> Order of Minister of Environment Protection and natural Resources #07;January 25 2005, Article 11</p> <p><b>CW:</b> Interdepartmental board for regulation of safe use of the hazardous chemical substances</p> <p><b>BW:</b> Law of Georgia on Public Health, No. 5069, 27 June 2007, Chapter 5</p>	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Law on Nuclear Safety 1998, Articles 6, 7 and 9	X	Nuclear and Radiation Safety Service, Ministry of Environmental Protection and Natural Resources	
2	IAEA Safeguards Agreements	X	INFCIRC/617 ) (3 June 2003) Additional Protocol (3 June 2003)	X	INFCIRC/617 ) (3 June 2003) Additional Protocol (3 June 2003)	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.B	X	Notification pursuant to GC(47)/RES/7.B	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Notification pursuant to GC(48)/RES/10.D	X	Notification pursuant to GC(48)/RES/10.D	
5	IAEA Incident and Trafficking Database	X	Contributes to Database Programme	X	Contributes to Database Programme	
6	Other Agreements related to IAEA	X	Convention on Early Notification of a Nuclear Accident (2010-10-06) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (2009-07-22)	X	Convention on Early Notification of a Nuclear Accident (2010-10-06) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (2009-07-22)	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including  
Related Materials (CW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Ministry of Foreign Affairs	X	Ministry of Foreign Affairs	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Reported	X	Reported	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials	X	Minister of Health, Order #133/n and List of Prohibited or limited chemicals	X	Minister of Health, Order #133/n and List of Prohibited or limited chemicals	
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW: including  
Related Materials (BW: specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW: and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work					
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials**

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW: and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties, and measures of implementation, etc.				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	Border control	X	X	X	<b>NW/CW/BW:</b> Customs Code 2007, Articles 3-5, 44, and 64  <b>NW:</b> Law on Nuclear and Radiological Safety of 1998  <b>CW:</b> Law on hazardous chemicals of 1999, Article 28  <b>BW:</b> Law No. 716-IIS on Agricultural Quarantine 1997, as amended 2005	X	X	X	<b>NW/CW/BW:</b> Customs Code, as amended 2006, Articles 232-234 and 237-253 Criminal Code, Article 214 State Border Police of MIA Customs Department of MoF  <b>BW:</b> State Phytosanitary Quarantine Service State Service for Veterinary Quarantine	
2	Technical support of border control measures	X	X	X	<b>NW/CW/BW:</b> Customs Code 2007, Article 23: Customs laboratories All road, sea, railroad and air border checkpoints are fully equipped with radiation detection equipment. Police officers as well as customs officials have been trained in appropriate procedures. Video control (CCTV) cameras at border checkpoints are connected to the central database. Personal Identification and Registration System is installed at all border checkpoints.	X	X	X	<b>NW/CW/BW:</b> All road, sea, railroad and air border checkpoints are fully equipped with radiation detection equipment. Police officers as well as customs officials have been trained in appropriate procedures. Video control (CCTV) cameras at border checkpoints are connected to the central database. Personal Identification and Registration System is installed at all border checkpoints.	

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology								
4	Enforcement agencies/authorities	X	X	X	<b>NW/CW/BW:</b> Customs Code 2007, Article 22	X	X	X	<b>NW/CW/BW:</b> State Border Police of MIA Customs Service of MoF
5	Export control legislation in place	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Decree No. 408 of the President on Certain Measures for Settlement of Issues on Export, Re-export and Transit of Dual-use Materials Subject to Export Control, of 22 September 2002 Decree No. 424 of the President on Certain Measures for Export Control of Dual-use Materials (Technologies, Equipment, Service), of 04 July 1999  <b>CW:</b> Law on hazardous chemicals of 1999, Article 28  <b>BW:</b> Law on Public Health, Article 19	X	X	X	<b>NW/CW/BW:</b> Criminal Code 1999, as amended, Articles 214, 235 and 406 Customs Code, as amended 2006, Articles 232-234 and 237-253
6	Licensing provisions	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Decree No. 408 of 22 September 2002 Governmental Resolution No. 451 of 1/12/2011 on "Approving permit forms and rules for the issuance of permits for the export, import, re-export and transit of dual-use products subject to export control"  <b>CW/BW:</b> Decree No. 424 of 04 July 1999	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Decree No. 408 of 22 September 2002 Criminal Code 1999, as amended, Articles 214, 235 and 406 Customs Code, as amended 2006, Articles 232-234 and 237-253  <b>CW/BW:</b> Decree No. 424 of 04 July 1999



7	Individual licensing	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Decree No. 408 of 22 September 2002  <b>CW/BW:</b> Decree No. 424 of 04 July 1999	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Decree No. 408 of 22 September 2002 Criminal Code 1999, as amended, Articles 214, 235 and 406 Customs Code, as amended 2006, Articles 232-234 and 237-253  <b>CW/BW:</b> Decree No. 424 of 04 July 1999	
8	General licensing									
9	Exceptions from licensing									
10	Licensing of deemed export/visa									
11	National licensing authority	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Articles 5 and 8 Decree No. 408 of 22 September 2002 Decree No. 424 of 04 July 1999	X	X	X	<b>NW/CW/BW:</b> Ministry of Economic Development issues licenses upon recommendation of the Standing Interagency Commission on Military/Technical Issues of the National Security Council of Georgia  <b>CW:</b> Ministry of Health	
12	Interagency review for licenses	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Articles 5 and 8 Decree No. 408 of 22 September 2002 Decree No. 424 of 04 July 1999	X	X	X	<b>NW/CW/BW:</b> Ministry of Economic Development issues licenses upon recommendation of the Standing Interagency Commission on Military/Technical Issues of the National Security Council of Georgia	
13	Control lists	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 4	X	X	X	<b>NW/CW/BW:</b> Comprehensive List in Presidential Edict No. 408 of 22 September 2002 Developed by Ministry of Trade and Economic Relations, 1999	

14	Updating of lists	X	X	X	<b>NW/CW/BW:</b> List under Presidential Decree No. 408 on Certain Measures for Settlement of Issues on the Export, Re-export and Transit of Dual-Use Materials Subject to Export Control of 22/9/2002	X	X	X	<b>NW/CW/BW:</b> List under Presidential Decree No. 408 on Certain Measures for Settlement of Issues on the Export, Re-export and Transit of Dual-Use Materials Subject to Export Control of 22/9/2002	
15	Inclusion of technologies	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 1 Decree No. 408 of 22 September 2002 Decree No. 424 of 04 July 1999	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 1 Decree No. 424 of 04 July 1999	
16	Inclusion of means of delivery	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 4	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 4	
17	End-user controls	X	X	X	<b>NW/BW/CW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 8 on Import Certificate from importing government and Article 5: President presents list of countries to which restrictions apply. Presidential Decree No. 408 on Certain Measures for Settlement of Issues on the Export, Import, Re-export and Transit of Dual-Use Materials Subject	X	X	X	<b>NW/BW/CW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 8 on Import Certificate from importing government and Article 5: President presents list of countries to which restrictions apply.	
18	Catch all clause									
19	Intangible transfers									
20	Transit control	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 9 Decree No. 408 of 22 September 2002	X	X	X	<b>NW/CW/BW:</b> Criminal Code, Articles 214 and 235	

21	Trans-shipment control								
22	Re-export control	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998, Article 9 Decree No. 408 of 22 September 2002	X	X	X	<b>NW/CW/BW:</b> Criminal Code, Article 214
23	Control of providing funds								
24	Control of providing transport services								
25	Control of importation	X	X	X	<b>NW/CW/BW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 Presidential Decree No. 408 on Certain Measures for Settlement of Issues on the Export, Import, Re-export and Transit of Dual- Use Materials Subject to Export Control of 22/9/2002 <b>CW:</b> Law on hazardous chemicals of 1999, Article 28 <b>BW:</b> Public Health Law Law No. 716-IIS on Agricultural Quarantine 1997, as amended 2005	X	X	X	<b>NW/CW/BW:</b> Customs Code, as amended 2006, Articles 232- 234 and 237-253 Criminal Code, Article 214
26	Extraterritorial applicability	X			<b>NW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 <b>CW:</b> <b>BW:</b>	X			<b>NW:</b> Law on Export and Import of Weapons, Military Equipment and Dual-use materials of 1998 <b>CW:</b> <b>BW:</b>
27	Other								

## OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	Presidential Decree No. 408 on Certain Measures for Settlement of Issues on the Export, Re-export and Transit of Dual-Use Materials Subject to Export Control of 22/9/2002 Presidential Decree No. 304 on the List of Military Items Subject to Export Control of 15/7/2000	
2	Control lists - other	X	Security Council resolutions 1737 (2006), 1747 (2007), 1803 (2008), 1929 (2010) and related documents; European Union regulation No. 388/2012	
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)	X	Nuclear Smuggling Outreach Initiative (NSOI) — engaged since 2006; joint action plan signed, 2007; Addendum signed, 2009 European Union outreach programme of control of dual-use items Strategic Partnership between Georgia and the Export Control and Related Border Security Program of the United States Department of State Agreement between Georgia and the United States of America on Cooperation to Counteract Illicit Trafficking of Nuclear and Radioactive Materials Agreement between Georgia and the United States of America on Cooperation to Enhance the Security of Georgia's Sources of Radiation Agreement between Georgia and the United States of America on Cooperation to Counteract Proliferation of Weapons of Mass Destruction and Strengthen Defence and Military Relations Agreement between Georgia and the United States of America on Assistance in the Establishment of a System of Export Control to Counteract Proliferation of Weapons of Mass Destruction in Georgia	
7	Work with and inform industry			
8	Work with and inform the public			
9	Point of Contact			
10	Other <sup>4</sup>			

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Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.