

# 1540 COMMITTEE MATRIX OF ESTONIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

## OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: ESTONIA  
 Date of Report: 29 Oct 2004  
 Date of Addendum: 17 Dec 2007

Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	If YES, relevant information (i.e. signing, accession, ratification, etc.)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 7 January 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	?	Signed 14 Sep 2005	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 8 June 1994	

5	2005 Amendment to the CPPNM	X	Deposit 16 May 2008	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 13 August 1999	
7	Chemical Weapons Convention (CWC)	X	Deposit 26 May 1999	
8	Biological Weapons Convention (BWC)	X	Deposit 1 July 1993	
9	Geneva Protocol of 1925	X	Deposit 28 August 1931	
10	Other Conventions/Treaties	X	EURATOM Treaty  State Party to 15 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1999 International Convention for the Suppression of the Financing of Terrorism; 1997 International Convention for the Suppression of Terrorist Bombings; The International Convention for the Suppression of the Financing of Terrorism; Protocol of 2005 to the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation: and Protocol of 2005 to the Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf	
11	International Atomic Energy Agency (IAEA)	X	Member since 31 January 1992	
12	Hague Code of Conduct (HCOG)	X	Subscribing State	
13	Other Arrangements	X	Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Agreement PSI participating State GICNT participating State	
14	General statement on non-possession of WMD	X	State reports that it does not possess WMD	
15	General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD Supports all actions taken by EU to promote the universal adoption and full implementation of multilateral treaties whose aim is to prevent the proliferation of nuclear, biological or chemical weapons	
16	General statement on non-provision of WMD and related materials to non-State actors	X	State reports that it does not provide any form of support to non-state actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery and that any such support is prohibited under its law.	
17	Other <sup>1</sup>	X	EU Council Decision of 17 November 2003 to include WMD provisions in Agreements with third countries- Revised Cotonou Partnership Agreement (the CPA II)	

		<p>Member of:  European Union  International Maritime Organization (IMO)  International Monetary Fund (IMF)  Inter-Parliamentary Union (IPU)  INTERPOL  Organization for Security and Cooperation in Europe (OSCE)  World Bank Group  World Customs Organization (WCO)  World Health Organization (WHO)  World Organisation for Animal Health (OIE)</p>	
<p>Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.</p>			

## OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework						Enforcement: civil/criminal penalties and others						Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document					
		N W	C W	B W		N W	C W	B W						
1	manufacture/produce	X	X	X	<b>NW:</b> Penal Code Division 3, Article 237  <b>CW:</b> Penal Code, Division 3, Arts. 93  <b>BW:</b> Penal Code, Division 3, Arts. 93	X	X	X	<b>NW:</b> Penal Code, Division 3 Article 237 [the manufacture, distribution or use of prohibited weapons,]  <b>CW/BW:</b> Penal Code, Division 3, Arts. 93 (A person who designs, manufactures, stores, acquires, hands over, sells or provides or offers for use in any other manner a chemical, biological or bacteriological weapon or any other internationally prohibited weapon of mass destruction or other weapon, or essential components thereof, shall be punished by 3 to 12 years' imprisonment) and 237					
2	acquire		X	X	<b>CW/BW:</b> Penal Code, Division 3, Arts. 93		X	X	<b>CW: BW:</b> Penal Code, Division 3, Arts. 93					
3	possess		X	X	<b>CW/BW:</b> Penal Code, Division 3, Arts. 93 and 237		X	X	<b>CW/BW:</b> Penal Code, Division 3, Arts. 93 and 237					
4	stockpile/store		X	X	<b>CW:</b> Penal Code, Division 3, Arts. 93  <b>BW:</b> Penal Code, Division 3, Arts. 93		X	X	<b>CW: BW:</b> Penal Code, Division 3, Art. 93					
5	develop													
6	transport													

7	transfer	X	X	X	<b>NW:</b> Penal Code Division 3, Article 237  <b>CW/BW:</b> Penal Code Division 3, Articles 93 and 237	X	X	X	<b>NW:</b> Penal Code Division 3, Article 237 (distribution)  <b>CW/BW:</b> Penal Code, Division 3, Arts. 93 and 237
8	use	X	X	X	<b>NW/CW/BW:</b> Penal Code, Division 3, Arts. 103 and 237	X	X	X	<b>NW/CW/BW</b> Penal Code, Division 3, Arts. 103 and 237
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art 21-22 (If at least two persons agree to commit an offence jointly, each of them shall be held liable as a principal offender (joint principal offenders). An offence is deemed to be a joint offence also if an act committed by several persons jointly and in agreement comprises the necessary elements of an offence) and 58 (aggravating circumstances )and 58	X	X	X	<b>NW/CW/BW:</b> Penal Code, 2002, Division 3, Penal Code, Arts. 21-22
10	assist in abovementioned activities	X	X	X	<b>NW/CW/BW</b> Penal Code, Art, 21-22 (4) (...a punishment shall be imposed on an accomplice pursuant to the same provision of law which prescribes the liability of the principal offender) and 58 (aggravating circumstances)  Penal Code Division 3, Article 237	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art, 21-22 (4) (...a punishment shall be imposed on an accomplice pursuant to the same provision of law which prescribes the liability of the principal offender) and 58 (aggravating circumstances)  Penal Code Division 3, Article 237
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art. 237 <sup>3</sup> Penal Code, Arts 394-396 Money Laundering and Terrorist Financing Prevention Act, 2004	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art. 237 <sup>3</sup> Penal Code, Arts 394-396
12	abovementioned activities related to means of delivery <sup>2</sup>		X	X	<b>NW:</b> Penal Code Division 3, Article 237  <b>CW/BW:</b>		X	X	<b>NW:</b> Penal Code Division 3, Article 237  <b>CW/BW:</b>

					Penal Code, Division 3, Art. 93				Penal Code, Division 3, Art. 93	
13	involvement of non-State actors in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Penal Code, Division 3, Art. 14 Penal Code, Division 3, Art. 93, 103, 237	X	X	X	<b>NW/CW/BW:</b> Penal Code, Division 3, Art. 14 Penal Code, Division 3, Art. 93, 103, 237	
14	Other									

Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials<sup>3</sup>**

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?	National legal framework			Enforcement: civil/criminal penalties and others			Remarks		
		YES			if YES, source document	YES				
		N W	C W	B W *		N W	C W		B W	
1	Measures to account for production	X	X		<p><b>NW:</b> INFCIRC/193 (1 Dec 2005) Small Quantities Protocol (1 Dec 2005) Decree of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 14; Radiation Law as amended, Art. 2</p> <p><b>CW:</b> Chemicals Act, as amended, Art 4-6, 15 [maintain records] Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004</p>	X	X		<p><b>NW:</b> Radiation Act, Art 64 Penal Code, Art 411. [Unlawful radiation practice] [Engagement in radiation practices without a corresponding licence is punishable by a pecuniary punishment or up to one year of imprisonment.]</p> <p><b>CW:</b> Chemicals Act, as amended, Art. 24</p>	
2	Measures to account for use	X	X		<p><b>NW:</b> INFCIRC/193 (1 Dec 2005) Small Quantities Protocol (1 Dec 2005) Decree of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 14; Radiation Act, as amended, Art. 2</p> <p><b>CW:</b> Chemicals Act, as amended, Arts 4-6 13,15 Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004</p>	X	X		<p><b>NW:</b> Radiation Act, Art 64 Penal Code, Art 411. [Unlawful radiation practice]</p> <p><b>CW:</b> Chemicals Act, as amended, Art. 24</p>	

3	Measures to account for storage	X	X	X	<p><b>NW:</b> INFCIRC/193 (1 Dec 2005) Small Quantities Protocol (1 Dec 2005) Decree of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 14; Radiation Act, as amended, Art. 2</p> <p><b>CW:</b> Chemicals Act, as amended, Art 4-6, 13,15 Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004</p> <p><b>BW:</b> Directive 2000/54/EC [protection of workers from risks related to exposure to biological agents at work - Laboratories carrying out work involving group 2, 3 or 4 biological agents for research must determine the relevant containment measures in order to minimise the risk of infection.]</p>	X	X	?	<p><b>NW:</b> Radiation Act, Art 64 Penal Code, Art 411. [Unlawful radiation practice]</p> <p><b>CW:</b> Chemicals Act, as amended Art. 24</p> <p><b>BW:</b> Directive 2000/54/EC [protection of workers from risks related to exposure to biological agents at work - Laboratories carrying out work involving group 2, 3 or 4 biological agents for research must determine the relevant containment measures in order to minimise the risk of infection.]</p>	
4	Measures to account for transport	X	X	X	<p><b>NW:</b> Council Directives 94/55/EEC [Road safety: transport of dangerous goods by road] and 96/49/EEC [transport of dangerous goods by rail] Party to ADR and RID agreements Radiation Act, Art 2</p> <p><b>CW:</b> Chemicals Act, as amended, Art 4, 11, 13,15 Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004 Council Directives 94/55/EEC and 96/49/EEC Party to ADR and RID agreements</p> <p><b>BW:</b> Council Directives 94/55/EEC and 96/49/EEC Party to ADR and RID agreements</p>	X	X	?	<p><b>NW:</b> Radiation Act, Art 64 Penal Code, Art 411. [Unlawful radiation practice]</p> <p><b>CW:</b> Chemicals Act, as amended, Art. 24</p>	



5	Other measures for accounting	X			<p><b>NW:</b> Council Directive 2003/122/EURATOM Directive 96/29/EURATOM</p>				
6	Measures to secure production	X	X	X	<p><b>NW:</b> Environmental Impact Assessment and environmental management System Act, 2005, Arts 6, 7 Environmental Supervision Act, 2002 Radiation Act, Art. 2 Decree of Minister of Environment, classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Arts 4, 6, 7, 8 Radiation Act, Art. 2</p> <p><b>CW:</b> Chemicals Act, as amended, chapter 2, Art. 11 Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 Environmental Supervision Act, 2002</p> <p><b>BW:</b> Directive 2000/54/EC [protection of workers from risks related to exposure to biological agents at work - Laboratories carrying out work involving group 2, 3 or 4 biological agents for research must determine the relevant containment measures in order to minimise the risk of infection.] Environmental Supervision Act, 2002</p>	X	X		
7	Measures to secure use	X	X	X	<p><b>NW:</b> Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 and 48 Environmental Supervision Act, 2002 Radiation Act, Art. 2 Decree of Minister of Environment, Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of</p>	X	X	?	<p><b>NW:</b> Radiation Act, Art. 2, 64 Penal Code, Art 411. [Unlawful radiation practice]</p> <p><b>CW:</b> Chemicals Act, as amended in 2007, Art. 24</p> <p><b>BW:</b> Environmental Supervision Act, 2002</p>

				<p>radioactive waste, 2005, Arts 4, 6, 7, 8</p> <p><b>CW:</b> Chemicals Act, as amended, chapter 2, Art, 11 Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 Environmental Supervision Act,</p> <p><b>BW:</b> Directive 2000/54/EC [Laboratories carrying out work involving group 2, 3 or 4 biological agents for research must determine the relevant containment measures in order to minimise the risk of infection.] Environmental Supervision Act, 2002 Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 and 48</p>					
8	Measures to secure storage	X	X	X	<p><b>NW:</b> Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 Environmental Supervision Act, 2002 Radiation Act, Art. 2 Decree of Minister of Environment, Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Arts 4, 6, 7, 8</p> <p><b>CW:</b> Chemicals Act, as amended, chapter 2, Art, 11 Environmental Impact Assessment and environmental management System Act, 2005, Arts 4, 6, 7 Environmental Supervision Act, 2002</p> <p><b>BW:</b> Directive 2000/54/EC Environmental Supervision Act, 2002</p>	X	X	?	<p><b>NW:</b> Radiation Act, Art. 2, 64 Penal Code, Art 411. [Unlawful radiation practice</p> <p><b>CW:</b> Chemicals Act, as amended in 2007, Art. 24</p>
9	Measures to secure transport	X	X	X	<p><b>NW:</b> Council Directives 94/55/EEC and 96/49/EEC Radiation Act, Art. 2</p>	X			<p><b>NW:</b> Directive 92/3 EURATOM: prior notification of shipments</p>

				<p>Government Decree on Safe Transport of Radioactive Materials, adopted on 4 August 1998,</p> <p><b>CW:</b> Council Directives 94/55/EEC and 96/49/EEC Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 Party to ADR and RID agreements</p> <p><b>BW:</b> Council Directives 94/55/EEC and 96/49/EEC Party to ADR and RID agreements</p>				<p>Radiation Act, Art. 2, 64 Penal Code, Art 411. [Unlawful radiation practice</p>	
10	Other measures for securing								
11	Regulations for physical protection of facilities/materials/ transports	X	X	X	<p><b>NW:</b> Radiation Act, Art. 2 Decree of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 10</p> <p><b>CW:</b> Chemicals Act, as amended, Art. 24 (Supervision)</p> <p><b>BW:</b> Environmental Impact Assessment and environmental management System Act: Ministry of Environment Directive 2000/54/EC [Prior notification must be given to the competent authority at least 30 days before the commencement of work with group 2, 3 or 4 biological agents.</p>	X	X	X	<p><b>NW:</b> Decree of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 10</p> <p><b>CW:</b> Chemicals Act, as amended, Art. 24</p> <p><b>BW:</b> Directive 2000/54/EC [Prior notification must be given to the competent authority at least 30 days before the commencement of work with group 2, 3 or 4 biological agents.] Environmental Impact Assessment and Environmental management System Act: Ministry of Environment</p>
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<p><b>NW:</b> Directive 96/29 EURATOM: prior authorization Radiation Act</p>	X	X	X	<p><b>NW:</b> Ministry of the Environment develops radiation safety policies and prepares legislative drafting, the Environmental Board issues radiation</p>

					<p><b>CW:</b> Chemicals Act, as amended, Art. 13<sup>4</sup> Environmental Impact Assessment and Environmental Management System Act: Ministry of Environment</p> <p><b>BW:</b> Directive 2000/54/EC Environmental Impact Assessment and Environmental Management System Act: Ministry of Environment</p>				<p>practice licences, conducts radiation monitoring and manages the emergency notification or early warning system. The Environmental Inspectorate monitors radiation Practices</p> <p><b>CW:</b> Ministry of Environment</p> <p><b>BW:</b> Directive 2000/54/EC Environmental Impact Assessment and environmental management System Act: Ministry of Environment</p>	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Environmental Impact Assessment and Environmental Management System Act	X	The Environmental Board	
2	IAEA Safeguards Agreements	X	INFCIRC/193 (1 Dec 2005) Small Quantities Protocol (1 Dec 2005)	X	INFCIRC/193 (1 Dec 2005) Small Quantities Protocol (1 Dec 2005)	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.B1	X	Notification pursuant to GC(47)/RES/7.B1	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources			X	Point of Contact for Import and Export: Radiation Safety Department Environmental Board	
5	IAEA Incident and Trafficking Database	X	Participates	X	Participates	
6	Other Agreements related to IAEA	X	Revised Supplementary Agreement Concerning the Provision of Technical Assistance, In force 2001-07-17 Vienna Convention on Civil Liability for Nuclear Damage 1994-08-09 Convention on Early Notification of a Nuclear Accident 1994-06-09 Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency 1994-06-09 Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention 1994-08-09	X	Revised Supplementary Agreement Concerning the Provision of Technical Assistance, In force 2001-07-17 Vienna Convention on Civil Liability for Nuclear Damage 1994-08-09 Convention on Early Notification of a Nuclear Accident 1994-06-09 Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency 1994-06-09 Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention 1994-08-09	

			Convention on Nuclear Safety 2006-05-04		Convention on Nuclear Safety 2006-05-04	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Chemicals Act, as amended, Art. 7	X	Health Board Department of Chemical Safety	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Chemicals Act, as amended, Art. 7 Declarations made	X	Declarations made	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Council Directive 90/219/EEC as amended by Council Directive 98/81/EC	X	Council Directive 90/219/EEC as amended by Council Directive 98/81/EC	
2	Other legislation/ regulations related to safety and security of biological materials	X	Directive 89/391/EC Environmental Supervision Act, Chapter 4, 17.3	X	Directive 89/391/EC Environmental Supervision Act, Chapter 4, 17.3	
3	Other					



**OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials**

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?	National legal framework						Enforcement: civil/criminal penalties, and measures of implementation, etc.						Remarks
		YES			if YES, source document	YES			if YES, source document					
		N W	C W	B W		N W	C W	B W						
1	Border control	X	X	X	<b>NW/CW/BW:</b> Council Regulation no. 2913/1992 (Community Customs Code) Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) EU Regulation no. 648 of 13 April 2005 (Security Amendment) Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community Customs Act, 2004 Strategic Goods Act, as amended	X	X	X	<b>NW:</b> Radiation Act, as amended Penal Code, Art 411. [Unlawful radiation practice]  <b>NW/CW/BW:</b> Customs Act, 2004 Strategic Goods Act, as amended					
2	Technical support of border control measures	X	X	X	<b>NW/CW/BW:</b> Scanners and X-ray	X	X	X	<b>NW/CW/BW:</b> Scanners and X-ray					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> State Register of Brokers (Government Regulation no. 61 of 9 March 2004) Penal Code, Arts 22, 391, 392, 393					

4	Enforcement agencies/authorities	X	X	X	<b>NW/CW/BW:</b> Customs Act, 2004 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Arts 1 (Ministry of Defence), Strategic Goods Commission Estonian Internal Security Service Security Police Tax and Customs Board
5	Export control legislation in place	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Penal Code, Arts 391, 392, 393 Customs Act, Chapter 9, art. 65 point 4 Strategic Goods Act, as amended, Art 59
6	Licensing provisions	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art. 59 Penal Code, Arts 391, 392, 393
7	Individual licensing	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art. 59 Penal Code, Arts 391, 392, 393
8	General licensing	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act 2004, as amended Penal Code, Arts 391, 392, 393
9	Exceptions from licensing	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art 59 : [Offences relating to strategic goods or violations of international sanctions shall be notified to the commission]
10	Licensing of deemed export/visa	X	X	X	<b>NW/CW/BW:</b> Council Joint Action of 22 June 2000 concerning the control of technical assistance related to certain military end-uses Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended Penal Code, Arts 391, 392, 393
11	National licensing authority	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Commission within the

				Statutes of the Commission			Ministry of Foreign Affairs		
12	Interagency review for licenses	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art 70 The Strategic Goods Commission consist of representatives from Ministry of Foreign Affairs, the Ministry of Defence, the Security Police, the Ministry of Economic Affairs and Communications, the Tax and Customs Board and the Police and Border Guard Board. The commission may involve representatives of other authorities and experts in its work.	X	X	X	<b>NW/CW/BW:</b> The Strategic Goods Commission consist of representatives from Ministry of Foreign Affairs, the Ministry of Defence, the Security Police, the Ministry of Economic Affairs and Communications, the Tax and Customs Board and the Police and Border Guard. The commission may involve representatives of other authorities and experts in its work.
13	Control lists	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended
14	Updating of lists	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> The lists of dual-use items are updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.
15	Inclusion of technologies	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
16	Inclusion of means of delivery	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
17	End-user controls	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14	X	X	X	<b>NW/CW/BW:</b> End-use certificates International Import Certificates Customs Act, as amended, Arts 73-93

					Strategic Goods Act, as amended				Penal Code, Arts 391–393
18	Catch all clause	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended
19	Intangible transfers	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
20	Transit control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 Strategic Goods Act, as amended, Art 3	X	X	X	<b>NW/CW/BW:</b> Transit permission Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
21	Trans-shipment control	X	X	X	<b>NW/CW/BW:</b> 1. Council regulation (EC) n. 428/2009 EU Regulation no. 648 of 13 April 2005 (Security Amendment) Customs Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
22	Re-export control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Customs Act, as amended Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 Customs Act, as amended, Arts 73-93 Penal Code, Arts 391–393
23	Control of providing funds	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art. 237.3 Penal Code, Arts 394-396 Money Laundering and Terrorist Financing Prevention Act, 2004	X	X	X	<b>NW/CW/BW:</b> Penal Code, Art. 237.3 Penal Code, Arts 394-396 Money Laundering and Terrorist Financing Prevention Act, 2004
24	Control of providing transport services	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended
25	Control of importation	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Import licenses The Security Police Board, the Police Board and the Tax and Customs Board Customs Act, Art 73

								Penal Code Arts 391-393	
26	Extraterritorial applicability	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art 14	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Art 14
27	Other								

**OP 6, 7 and 8 (d) - Control lists, Assistance, Information**

**State:**

**{State's name}**

**Date**

(date)

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other	X	Authorization for exports of goods not included in lists	
3	Assistance offered	X	State will do its best to assist States which may require assistance	
4	Assistance requested			
5	Point of Contact for assistance	X	Ministry of Foreign Affairs	
6	Assistance in place (bilateral/multilateral)	X	EU contribution to G 8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security	
7	Work with and inform industry	X	EU Commission organizes regular meetings with industry. Estonian Commission of Strategic Goods organizes annually Industry-Government Relations seminars on Strategic Goods. Ministry of Foreign Affairs maintains correspondent internet website where legislative acts, guidelines and application forms are posted.	
8	Work with and inform the public	X	MFA maintains Internet websites Tax and Customs Board: The confidentiality of users of the e-tax/e-customs has been secured and forwarding of information is secure. The information exchanged through the e-tax/e-customs is equivalent to that of digitally signed, but communication in the environment of the e-tax/e-customs is considerably simple and more convenient. Thus you can: send notices, suggestions and questions to the Estonian Tax and Customs Board submit applications for refund of the overpaid amounts of tax or interests send letters of attorney to the Estonian Tax and Customs Board, apply for the registration number of a non-resident, etc. submit applications of ordering or cancellation of revenue stamps, keep account of revenue stamps, notify the tax administrator about releasing of revenue stamped alcohol into consumption, etc. inform the Estonian Tax and Customs Board about the changes in contact details. Similarly to the homepage of the Tax and Customs Board, you can gain access through the e-tax/e-customs of the public registers of both the Estonian Tax and Customs Board and the European Commission	
9	Point of Contact	X	Ministry of Foreign Affairs	
10	Other <sup>4</sup>			

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Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.