

1540 COMMITTEE MATRIX OF DOMINICA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:

Dominica

Date of Report

17 April 2008

Last revised

February 2015

Date of Committee Approval

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 10 August 1984	
2	Nuclear Weapons Free Zone/ Protocol(s)	X	Treaty of Tlatelolco, deposit 4 June 1993	
3	Convention for the Suppression of Acts of Nuclear Terrorism			
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 8 November 2004	
5	2005 Amendment to the CPPNM			

6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)			
7	Chemical Weapons Convention (CWC)	X	Deposit 12 February 2001	
8	Biological Weapons Convention (BWC)	X	Deposit 8 November 1978	
9	Geneva Protocol of 1925			
10	Other Conventions/Treaties	X	State Party to 10 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1997 International Convention for the Suppression of Terrorist Bombings 1999 International Convention for the Suppression of the Financing of Terrorism Outer Space Treaty Sea-Bed Treaty	
11	International Atomic Energy Agency (IAEA)	X	Since 2012	
12	Hague Code of Conduct (HCOC)			
13	Other Arrangements			
14	General statement on non-possession of WMD	X	State reports that it does not possess and has no intention of possessing WMD and their means of deliver	
15	General statement on commitment to disarmament and non-proliferation	X	State reports that it is firmly committed to international efforts for arms control and disarmament, and the non-proliferation of WMD	
16	General statement on non-provision of WMD and related materials to non-State actors	X	State reports that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery	
17	Other ¹	X	Member of Caribbean Community Caribbean Financial Action Task Force (CFATF) INTERPOL International Maritime Organisation Organization of Eastern Caribbean States (OECS) Organisation of American States World Customs Organisation (SAFE Framework) World Health Organisation	

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework						Enforcement: civil/criminal penalties and others			Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document		
		N W	C W	B W		N W	C W	B W			
1	manufacture/produce		X	X	<p>NW: Firearms Act 37/1973,as amended in 1990</p> <p>BW: Biological Weapons Act 1974 (UK Act) Prohibits the development, production, stockpiling, acquisition, retention or use of biological or microbiological agents or toxins of a type or in quantities that have no justification for prophylactic, protective or other purposes. It also prohibits the use of these agents for hostile purposes or armed conflict</p>		X		<p>NW: Firearms Act 37/1973,as amended in 1990</p> <p>BW:</p>		
2	acquire		X	X	<p>NW:</p> <p>CW: 1-Firearmas Act 37/1973,as amended in 1990</p> <p>BW: Biological Weapons Act 1974 (UK Act)</p>		X		<p>NW:</p> <p>CW: Firearms Act 37/1973,as amended in 1990</p> <p>BW:</p>		
3	possess		X	X	<p>NW:</p> <p>CW: Firearms Act 37/1973,as amended in 1990</p> <p>BW: Biological Weapons Act 1974 (UK Act)</p>		X		<p>NW:</p> <p>CW: Firearms Act 37/1973,as amended in 1990</p> <p>BW:</p>		

4	stockpile/store		X	X	NW: CW: BW: 1-Firearms Act 37/1973,as amended in 1990 Biological Weapons Act 1974 (UK Act)		X		NW: CW: BW: Firearms Act 37/1973,as amended in 1990	
5	develop			X	NW: CW: BW: Biological Weapons Act 1974 (UK Act)				NW: CW: BW:	
6	transport									
7	transfer		X	X	NW: CW: BW: Firearms Act 37/1973, as amended in 1990 Biological Weapons Act 1974 (UK Act)		X		NW: CW: BW: Firearms Act 37/1973, as amended in 1990	
8	use		X	X	NW: CW: BW: Firearms Act 37/1973, as amended in 1990 Genocide Convention 19 of 1974 Art 1(f) Biological Weapons Act 1974 (UK Act)		X		NW: CW: BW: Firearms Act 37/1973, as amended in 1990	
9	participate as an accomplice in abovementioned activities									
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended Art 17				NW: CW: BW:	
11	finance abovementioned activities	X	X	X	NW/CW: Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended Art 17 BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003),	X	X	X	NW/CW/BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003),	

12	abovementioned activities related to means of delivery ²		X		NW CW: Firearms Act 37/1973 as amended in 1990 BW:		X		NW CW: Firearms Act 37/1973 as amended in 1990 BW:	
13	involvement of non-State actors in abovementioned activities		X	X	NW: CW: Firearms Act 37/1973 as amended in 1990 BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003),		X	X	NW/CW: Firearms Act 37/1973 as amended in 1990 BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003),	
14	Other									

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	?		NW: INFCIRC/513 and SQP 3 May 1996 CW: Pesticides Control Act, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations BW:		?		NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	
2	Measures to account for use	X	?		NW: INFCIRC/513 and SQP 3 May 1996 CW: Pesticides Control Act, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations BW:		?		NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	
3	Measures to account for storage	X	?		NW: INFCIRC/513 and SQP 3 May 1996 CW: Pesticides Control Act, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations Noxious and Dangerous Substances (Control)		?		NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	

				Act, 1981 (no. 4 of 1982) Provides for the control of noxious and dangerous substances. Governs the licensing requirements for storage of such substances and for the inspection of premises. Grants the minister responsible for trade with the power to make regulations for the purposes of carrying out the act. BW:				
4	Measures to account for transport		?	NW: CW: Pesticides Control Act, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations BW:		?	NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	
5	Other measures for accounting							
6	Measures to secure production		?	NW: CW: Pesticides Control Act, as amended, Sections 2-7 Pesticides Control (Registration and Licensing) Regulations BW:		?	NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	
7	Measures to secure use		?	NW: CW: 1. Pesticides Control Act, as amended, Sections 2-7 2. Pesticides Control (Registration and Licensing) Regulations BW:		?	NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8 BW:	
8	Measures to secure storage	?	?	NW: Noxious and Dangerous Substances (Control) Act, 1981 (no. 4 of 1982)		?	NW: CW: Pesticides Control Act, No. 56 of 1974, as amended, Sections 8	

					<p>CW: 1. Noxious and Dangerous Substances (Control) Act, 1981 (no. 4 of 1982) Provides for the control of noxious and dangerous substances. Governs the licensing requirements for storage of such substances and for the inspection of premises. Grants the minister responsible for trade with the power to make regulations for the purposes of carrying out the act. 2. Pesticides Control Act, No. 56 of 1974, as amended 3. Pesticides Control (Registration and Licensing) Regulations</p> <p>BW:</p>					
9	Measures to secure transport									
10	Other measures for securing									
11	Regulations for physical protection of facilities/materials/ transports	?			<p>NW: Environmental Health Services Act (No. 8 of 1997) CW: BW:</p>				<p>NW: CW: BW:</p>	
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<p>NW/CW/BW: Environmental Health Services Act (No. 8 of 1997), Section 10, Chief Environmental Health Officer must issue a Certificate of Approval for facilities, processes, and use of liquids, gas, radiation, etc., that may harm or injure</p>	X	X	X	<p>NW/CW/BW: Environmental Health Services Act (No. 8 of 1997), Sections 20-29</p>	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority					
2	IAEA Safeguards Agreements	X	INFCIRC/513 and SQP 3 May 1996	X	INFCIRC/513 and SQP 3 May 1996	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources					
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources					
5	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources					
6	Other Agreements related to IAEA					
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Dominica Bureau of Standards, Ministry of Foreign Affairs, Trade and Marketing	X	Dominica Bureau of Standards, Ministry of Foreign Affairs, Trade and Marketing	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Initial declarations	X	Initial declarations	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work					
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework					Enforcement: civil/criminal penalties, and measures of implementation, etc					Remarks
		YES			if YES, source document	YES			if YES, source document			
		N W	C W	B W		N W	C W	B W				
1	Border control	X	X	X	<p>NW: Customs (Control and Management) Act, No. 16 of 1995 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure</p> <p>CW: Customs (Control and Management) Act, No. 16 of 1995 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure Pesticides Control Act, as amended 1987</p> <p>BW: 1. Customs (Control and Management) Act, No. 16 of 1995 2. Plant Protection and Quarantine Act No. 10 of 1986 3. Plant Protection (Importation) Regulations 4. Animals Act Chap 61:02 5. Environmental Health Services Act (No. 8 of</p>	X	X	X	<p>NW/CW/BW: Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD) Environmental Health Services Act, Sections 13 and 20-29</p>			

				1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure					
2	Technical support of border control measures	X	X	X	NW/CW/BW: Air and Sea Ports Authority Act No 8 2006	X	X	X	NW/CW/BW: Air and Sea ports Authority Order No 7 af 2006 ASYCUDA system (partially electronic filings)
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology								
4	Enforcement agencies/authorities								
5	Export control legislation in place	?	?	?	NW: Customs (Control and Management) Act, No. 16 of 1995 Part 2 of the Fifth Schedule of the Customs (Control and Management) Act Chap. 69:01: restricted goods have a presumption of denial CW: Noxious and Dangerous Substances (Control) Act, 1981 (no. 4 of 1982) 2Customs (Control and Management) Act, No. 16 of 1995 restricted goods have a presumption of denial BW: Customs (Control and Management) Act, No. 16 of 1995 restricted goods have a presumption of denial	?	?	?	NW/CW/BW: 1. Customs and Excise Division of the Ministry of Finance 2. Dominica Maritime Administration (MARAD)
6	Licensing provisions		X		NW: CW: Firearms Act 37/1973, as amended in 1990 art. 17 (No licenses for prohibited weapons) BW:		X		NW: CW: Firearms Act 37/1973, as amended in 1990 art. 31/34 BW:

7	Individual licensing									
8	General licensing									
9	Exceptions from licensing									
10	Licensing of deemed export/visa									
11	National licensing authority		?	?	<p>NW: CW: Pesticides Control Board: Pesticides Commissioner of Police: Arms and ammunition</p> <p>BW: Plant Protection and Quarantine Act 1986 {Plants require import permits from the Ministry of Agriculture Plant Protection Unit before importation, they also inspect</p>		X	?	<p>NW: CW/BW: Plant Protection and Quarantine Act 1986 Failure to follow the prescribed procedures whenever importing these items could lead to seizures, fines and possible imprisonment Commissioner of Police: Arms and ammunition</p>	
12	Interagency review for licenses									
13	Control lists									
14	Updating of lists									
15	Inclusion of technologies									
16	Inclusion of means of delivery									
17	End-user controls									
18	Catch all clause									
19	Intangible transfers									
20	Transit control	" ?"	?	?	<p>NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995</p>		?	?	?	<p>NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995</p>

21	Trans-shipment control	?	?	?	NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995	?	?	?	NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995
22	Re-export control	?	?	?	NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995	?	?	?	NW/CW/BW: Customs (Control and Management) Act, No. 16 of 1995
23	Control of providing funds	X	X	X	NW/CW/BW: Suppression of the Financing of Terrorism Act (No. 3 of 2003) as amended Money Laundering Prevention Act No. 20 of 2000	X	X	X	NW/CW/BW: Money Laundering Supervisory Authority (MLSA) receives all suspicious report Financial Intelligence Unit (FIU) cooperates with international authorities
24	Control of providing transport services								
25	Control of importation	X	X	?	NW: Customs (Control and Management) Act, No. 16 of 1995 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure CW: Noxious and Dangerous Substances (Control) Act, 1981 (no. 4 of 1982) Pesticide Control Act No. 15 of 1974, as amended Customs (Control and Management) Act, No. 16 of 1995 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure Firearms Act 37/1973, as amended in 1990	X	X	?	NW: Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD) Environmental Health Services Act, Sections 13 and 20-29 CW: 1. Customs and Excise Division of the Ministry of Finance 2. Dominica Maritime Administration (MARAD) 3. Environmental Health Services Act, Sections 13 and 20-29 4. Pesticides Control Act, as amended 1987, Section 8 5. Firearms Act 37/1973, as amended in 1990 Art. 31/34 BW: Customs and Excise Division of the Ministry of Finance Dominica Maritime Administration (MARAD)

				BW: Customs (Control and Management) Act, No. 16 of 1995, Part II, Fifth Schedule: Arms, Ammunition, Detonators Noxious and Dangerous Substances (Control) Act, 1981 (no. 4 of 1982) Plant Protection and Quarantine Act No. 10 of 1986 Plant Protection (Importation) Regulation Animals Act Chap 61:02 Environmental Health Services Act (No. 8 of 1997), section 13, port officers for pollutants or contaminants covering liquid, gas, or radioactive item that may materially harm or injure			Environmental Health Services Act, Sections 13 and 20-29 Commissioner of Police	
26	Extraterritorial applicability							
27	Other							

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)			
2	Control lists - other			
3	Assistance offered			
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry			
8	Work with and inform the public			
9	Point of Contact			
10	Other ⁴			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.