

1540 COMMITTEE MATRIX OF CROATIA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: Croatia
Date of Report: 29 November 2004
Date of First Addendum: 20 December 2005
Date of Second Addendum: 19 December 2007
Date of Third Addendum: 10 August 2011
Date of Forth Addendum: 10 April 2013
Date of Fifth Addendum: 1 May 2013
Date of Sixth Addendum: 25 June 2014

Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 29 June 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)			

3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposit 30 May 2007	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 29 September 1992	
5	2005 Amendment to the CPPNM	X	Deposit 11 September 2006	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	X	Deposit 02 March 2001	
7	Chemical Weapons Convention (CWC)	X	Deposit 23 May 1995	
8	Biological Weapons Convention (BWC)	X	Deposit 28 April 1993	
9	Geneva Protocol of 1925	X	16 August 2006;	
10	Other Conventions/Treaties	X	State Party to 14 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1997 International Convention for the Suppression of Terrorist Bombings 1999 International Convention for the Suppression of the Financing of Terrorism International Health Regulations 2005	
11	International Atomic Energy Agency (IAEA)	X	Member since 1993	
12	Hague Code of Conduct (HCOC)	X	Subscribed 25 November 2002	
13	Other Arrangements	X	Agreement on Sub-regional Arms Control (ASAC) Nuclear Suppliers Group Wassenaar Arrangement Zangger Committee Australia Group Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD	X	State reported that it does not have nor has it ever in past developed biological or chemical weapons offensive programs;	
15	General statement on commitment to disarmament and non-proliferation	X	State reported that it is a contracting party to and an active participant in most relevant international organizations, treaties and conventions related to the non-proliferation of weapons of mass destruction and conventional arms, control of export and import, arms control and counterterrorism action.	

16	General statement on non-provision of WMD and related materials to non-State actors	X	Non-proliferation of WMD as a policy goal State reported on 20 September 2006 at 61st Session, UN General Assembly that it is its firm policy to combat WMD and willingness to further work with the international community to suppress WMD proliferation, and that Croatia has aligned with EU General Statements on these issues since 2003.	
17	Other ¹	X	WCO (SAFE Framework of Standards) IMO WHO INTERPOL OIE FATF EU RACVIAC	

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture/produce	X	X	X	NW: Constitution of Republic of Croatia 2010, Art. 141 (re ratification of NPT and Nuclear Terrorism Convention (Art 2a: Possesses radioactive material or makes ... a device)) CW: Constitution of Republic of Croatia 2010, Art. 141 (re ratification Law on Implementation of the CWC (NN 4/95)) BW: Constitution of Republic of Croatia 2010, Art. 141 (re ratified BWC in 1993)	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	
2	acquire	X	X	X	NW: Constitution, of Republic of Croatia 2010, Art. 141 CW: Constitution, of Republic of Croatia 2010, Art. 141 BW: Constitution, of Republic of Croatia 2010, Art. 141	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	
3	possess	X	X	X	NW:	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	

					Constitution of Republic of Croatia 2010, Art 141 (re ratification of Nuclear Terrorism Convention (Art 2a: Possesses radioactive material or makes ... a device) (NN-MU 4/07)) CW: Constitution of Republic of Croatia 2010, Art 141 BW: Constitution of Republic of Croatia 2010, Art 141					
4	stockpile/store	X	X	X	NW: Criminal Code of 1997, Art. 172 (Nuclear Material) CW: Constitution of Republic of Croatia 2010, Art 141 BW: Constitution of Republic of Croatia 2010, Art 141	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	
5	develop	X	X	X	NW: Criminal Code of 2011, Art 97 CW: Constitution of Republic of Croatia 2010, Art 141 BW: Constitution of Republic of Croatia 2010, Art 141	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	
6	transport	X	X	X	NW: Criminal Code of 2011, Art 97	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	

					CW/BW: Criminal Code 1997, Art. 163					
7	transfer	X	X	X	NW: Criminal Code of 2011, Art 97 (Supply) CW: Constitution of Republic of Croatia 2010, Art 141 BW: Constitution of Republic of Croatia 2010, Art 141	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97 (Supply)	
8	use	X	X	X	NW: Constitution of Republic of Croatia, 2010, Art 141 (re International Convention for the Suppression of Acts of Nuclear Terrorism and International Convention for the Suppression of Terrorist Bombings) CW: Constitution of Republic of Croatia 2010, Art. 141 BW: Constitution of Republic of Croatia 2010, Art. 141 (re International Convention for the Suppression of Terrorist Bombings)	X	X	X	NW/CW/BW: Criminal Code of 2011, Art 97	
9	participate as an accomplice in abovementioned activities	X	X	X	NW: Constitution of Republic of Croatia 2010, Art 141 (re International Convention for the Suppression of Acts of Nuclear Terrorism) CW/BW: Constitution of Republic of Croatia 2010, Art. 141 (re International Convention for the Suppression of Terrorist Bombings)	X	X	X	NW/CW/BW: Criminal Code of 1997 as amended, Art. 35, 36	

10	assist in abovementioned activities	X	X	X	NW: Constitution of Republic of Croatia 2010, Art 141 (re International Convention for the Suppression of Acts of Nuclear Terrorism (NN-MU 4/07)) CW: Constitution of Republic of Croatia 2010, Art. 141 (re Law on Implementation of the CWC (NN 4/95)) BW: Constitution of Republic of Croatia 2010, Art. 141 (re International Convention for the Suppression of Terrorist Bombings)	X	X	X	NW/CW/BW: Criminal Code of 1997 as amended, Art. 38	
11	finance abovementioned activities	X	X	X	NW:CW:BW: Constitution of Republic of Croatia 2010, Art. 141 (re International Convention for the Suppression of the Financing of Terrorism)	X	X	X	NW/CW/BW Criminal Code of 2011, Art 98	
12	abovementioned activities related to means of delivery ²		X	X	CW: Constitution of Republic of Croatia 2010, Art. 141 (re Law on Implementation of the CWC (NN 4/95)) BW: Constitution of Republic of Croatia 2010, Art. 141 (re BWC)		X	X	CW/BW: Criminal Code 1997 as amended, Art. 163	
13	involvement of non- State actors in abovementioned activities	X	X	X	NW: Constitution of Republic of Croatia 2010, Art 141 (re Act on the Acknowledgement of the International Convention for the Suppression of Acts of Nuclear Terrorism (NN-MU 4/07)) CW: Constitution of Republic of Croatia 2010, Art. 141 (re Law on Implementation of the CWC (NN 4/95))	X	X	X	NW/CW/BW: Criminal Code of 2011, Art. 97	

					BW: Constitution of Republic of Croatia 2010, Art. 141 (re International Convention for the Suppression of Terrorist Bombings)					
14	Other									

2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework				Enforcement: civil/criminal penalties and others				Remarks
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W*		N W	C W	B W		
1	Measures to account for production	X	?		NW: Act on Nuclear Safety, October 2003, Art 13 - 17 Ordinance on the Register of Activities, Requirements and the manner of Issuing, and the Validity of Licences for work with Sources of Ionising Radiation (NN 125/06); Regulations of 20 Oct 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) INFCIRC/463 CW: Law on Implementation of the CWC (NN 4/95)	X			NW: Act on Nuclear Safety, October 2003, Art 22 (State Office of Nuclear Safety), 29 – 31(penalties)	
2	Measures to account for use	X			NW: Act on Nuclear Safety, October 2003, Art 13 - 17 Ordinance on the Register of Activities, Requirements and the manner of Issuing, and the Validity of Licences for work with Sources of Ionising Radiation (NN 125/06); Regulations of 20 Oct 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) INFCIRC/463 CW: Law on Implementation of the CWC (NN 4/95)	X			NW: Act on Nuclear Safety, October 2003, Art 22, 29 - 31	

3	Measures to account for storage	X			NW: Act on Nuclear Safety, October 2003, Art 13 - 17 Ordinance on the Register of Activities, Requirements and the manner of Issuing, and the Validity of Licences for work with Sources of Ionising Radiation (NN 125/06); Regulations of 20 Oct 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) INFCIRC/463	X			NW: Act on Nuclear Safety, October 2003, Art 22, 29 - 31	
4	Measures to account for transport	X	X	X	NW: Act on the Transport of Dangerous Goods (NN 79/07); Ordinance on the methods and procedures of the surveillance during import and export of the materials suspected to be contaminated with radionuclides, to contain radioactive substances or that radioactivity is increased (NN 114/07) CW/BW: Act on the Transport of Dangerous Goods of 2007 (NN 79/07)	X	X	X	NW/CW/BW: Act on the Transport of Dangerous Goods (NN 79/07), Art. 59-60 (penalties)	
5	Other measures for accounting									
6	Measures to secure production	X	?		NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06) CW: Act of 9 December 2005 on Chemicals (Text No. 2899) (NN 150/05)	X	?		NW: Act on Nuclear Safety, October 2003, Art 22, (), 29-31 CW: Act of 9 December 2005 on Chemicals (Text No. 2899) (NN 150/05)	

7	Measures to secure use	X			NW: Act on Nuclear Safety, October 2003, Part II Nuclear Safety Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06); Regulations (20 Oct 2006) on conditions and measures of protection from ionizing ration for activities dealing with radioactive sources (NN 125/06, pp. 8303-8320)	X			NW: Act on Nuclear Safety, October 2003, Art 22, , 29-31	
8	Measures to secure storage	X			NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety Act on Ionising Radiation Protection and the Security of Ionising Radiation Protection (NN 64/06)	X			NW: Act on Nuclear Safety, October 2003, Part II- Nuclear Safety. , Art 22, 29-31 penalties	
9	Measures to secure transport	X	X	X	NW/CW/BW: Law on the Transport of Dangerous Goods (NN 79/07) CW: Act of 9 December 2005 on chemicals (Text No. 2899) (NN 150/05)	X	X	X	NW/BW: Law on the Transport of Dangerous Goods 2007 (NN 79/07), Art 59 – 60 CW: Law on the Transport of Dangerous Goods of 2007 (NN 79/07), Art 59 - 60 Act of 9 December 2005 on chemicals (Text No. 2899) (NN 150/05)	
10	Other measures for securing									
11	Regulations for physical protection of facilities/ materials/ transports	X	?	?	NW: Ordinance on the control of nuclear material and special equipment (NN 74/06) Act (26 May 2006) on protection from ionizing radiation and security of ionizing radiation sources (NN 64/06, pp. 4254-4262) Regulations of 20 Oct 2006 on conditions and measures of protection from ionizing radiation for activities dealing with radioactive sources (NN 125/06, pp 8303-20) CW: Law on Implementation of the CWC (NN 4/95)		?	?	NW: CW:BW: Law on the Transport of Dangerous Goods 2007 (NN 79/07)	

					BW: Law on the Transport of Dangerous Goods 2007 (NN 9/07)					
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X		NW: Act on Nuclear Safety, October 2003. Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for work with Sources of Ionising Radiation and the use of Sources of Ionising Radiation (NN 125/06) CW: Law on Implementation of the CWC (NN 4/95)	X	X		NW: Act on Nuclear Safety, October 2003, Art 22, . 29-31 State Institute for Radiation Protection CW: Law on Implementation of the CWC (NN 4/95)	
13	Reliability check of personnel									
14	Measures to account for/secure/ physically protect means of delivery									

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, source document	YES	if YES, source document	
1 National regulatory authority	X	Act on Nuclear Safety, October 2003 Art. 22 State Office for Nuclear Safety	X	State Office for Nuclear Safety	
2 IAEA Safeguards Agreements	X	Agreement between the Republic of Croatia and the IAEA for the Application of Safeguards in connection with the Treaty on the Non-Proliferation of Nuclear Weapons : INFCIRC/463(with Protocol) Protocol Additional to the Agreement between the Republic of Croatia and the International Atomic Energy Agency for the Application of Safeguards in Connection with the Treaty on the Non-Proliferation of Nuclear Weapons	X	Agreement between the Republic of Croatia and the IAEA for the Application of Safeguards in connection with the Treaty on the Non-Proliferation of Nuclear Weapons : INFCIRC/463(with Protocol) Protocol Additional to the Agreement between the Republic of Croatia and the International Atomic Energy Agency for the Application of Safeguards in Connection with the Treaty on the Non-Proliferation of Nuclear Weapons	
3 IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support	X	Expressed support	
4 Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Expressed support	X	Expressed support	
5 IAEA Incident and trafficking Database of Nuclear Materials and other Radioactive Sources	X	Participates in Database Programme	X	Participates in Database Programme	
6 Other Agreements related to IAEA	X	Vienna Convention on Civil Liability for Nuclear Damage (IAEA: INFCIRC/500/ADD.1); Convention on Early Notification of Nuclear Accidents (IAEA: INFCIRC/335);	X	Vienna Convention on Civil Liability for Nuclear Damage (IAEA: INFCIRC/500/ADD.1); Convention on Early Notification of Nuclear Accidents (IAEA: INFCIRC/335);	

			Convention on Assistance in the Case of Nuclear Accident or Radiological Emergency (IAEA: INFCIRC/336); Joint Convention on the Safety of Spent Fuel Management and the Safety of Radioactive Waste Management (IAEA: INFCIRC/546).		Convention on Assistance in the Case of Nuclear Accident or Radiological Emergency (IAEA: INFCIRC/336); Joint Convention on the Safety of Spent Fuel Management and the Safety of Radioactive Waste Management (IAEA: INFCIRC/546).	
7	Additional national legislation/regulations related to nuclear materials including CPPNM					
8	Other	?	Act on Liability for Nuclear Damage (NN 143/98)	?	Act on Liability for Nuclear Damage (NN 143/98)	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		<u>N</u> ational legal framework		<u>E</u> nforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	Law on Implementation of the CWC (NN 4/95)	X	National Authority for the Implementation of the CWC, Ministry of Foreign Affairs and European Integration	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Law on Implementation of the CWC (NN 4/95)	X	Annual Declarations to the OPCW	
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Law on genetically modified organisms (NN 70/05); Ordinance on deliberate spreading of genetically modified organisms into the environment (NN 136/06)	X	Law on genetically modified organisms (NN 70/05); Ordinance on deliberate spreading of genetically modified organisms into the environment (NN 136/06)	
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?		National legal framework			Enforcement: civil/criminal penalties, and measures of implementation, etc			Remarks		
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W		N W	C W			B W
1	Border control	X	X	X	NW/CW/BW: Law on State Border Control of November 2003; Customs Act (NN 78/99, 138/06); Customs Service Act (NN 67/01)	X	X	X	NW/CW/BW: CARDS Twinning Project for Integrated Border Management/Police	
2	Technical support of border control measures	X	?	?	NW: Ordinance on the Methods and Procedures of the Surveillance during Import and Export of the Materials suspected to be contaminated with Radionuclides or to contain Radioactive Sources (NN 114/07) CW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Economy, Labour and Entrepreneurship BW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Health and Social Welfare	X	?	?	NW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with State Office for Radiation Protection; Border Control of Nuclear and other Radioactive Materials with Mobile Portal Monitor (PHARE 2005) and with Stationary Portal Monitor (PHARE 2006); portable monitors to detect nuclear and other radioactive material placed at frequented border crossing of Bregana, November 2006, CW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Economy, Labour and Entrepreneurship BW: Technical improvements through bilateral cooperation with the USA, measures implemented in cooperation with Ministry of Health and Social Welfare	

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	NW/CW/BW: Act on the Amendments to the Law on Export of Dual-Use Items, 2008 Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Criminal Code 1997, Art. 163 (penalty for 'intermediates in the purchase or sale') Act on the Trade Control of Dual use Items, Art 22-23	
4	Enforcement agencies/authorities	X	X	X	NW: Customs Act (NN 78/99, 138/06), Customs Service Act (NN 67/01); Ordinance on the Methods and Procedures of the Surveillance during Import and Export of the Materials suspected to be contaminated with Radionuclides or to contain Radioactive Sources (NN 114/07) CW/BW: Customs Act (NN 78/99, 138/06), Customs Service Act (NN 67/01)	X	X	X	NW: Customs Administration; Border Sanitary Inspector CW/BW: Customs Administration	
5	Export control legislation in place	X	X	X	NW/CW/BW: Amendments to the Act on Export of Dual Use Items 2008 Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009) NW: Act on Ionising Radiation Protection and the Security of Ionising Radiation Sources (NN 64/06); Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for work with Sources of Ionising Radiation and the use of Sources of Ionising Radiation (NN 125/06)	X	X	X	NW/BW: Customs Administration; NW: State Office for Radiation Protection; State Institute for Radiation Protection CW: Ministry of Economy, Act on Export of Dual-Use Items, Art. 22-23	
6	Licensing provisions	X	X	X	NW/CW/BW: Amendments to the Act on Export of Dual Use	X	X	X		

					Items 2008 Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009) NW: Act on Ionising Radiation Protection and the Security of Ionising Radiation Sources (NN 64/06); Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for work with Sources of Ionising Radiation and the use of Sources of Ionising Radiation (NN 125/06)				NW: Customs Administration; State Office for Radiation Protection; State Institute for Radiation Protection CW/BW: Ministry of Economy, Act on Export of Dual-Use Items, Art 22 and 23	
7	Individual licensing	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on Export of Dual-use Items2011, Art 22-23	
8	General licensing	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on Export of Dual-use Items 2011, Art 22-23	
9	Exceptions from licensing	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	
10	Licensing of deemed export/visa									
11	National licensing authority	X	X	X	NW/CW/BW: Act on Control of Dual-Use Items, 2011 (OG <u>80/11</u>) NW: Decree specifying goods subject to export and import licences	X	X	X	NW/CW/BW: Act on Control of Dual-Use Items, 2011 (OG <u>80/11</u>) Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	

12	Interagency review for licenses	X	X	X	NW/CW/BW: Act on Control of Dual-Use Items, 2011 (OG <u>80/11</u>)	X	X	X	NW/CW/BW: Act on Control of Dual-Use Items, 2011 (OG <u>80/11</u>) Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	
13	Control lists	X	X	X	NW/CW/BW: Official Gazette No. 138/12 is harmonized with Annex I of the EU Regulation 388/2012 of the European Parliament and the Council.	X	X	X	NW/CW/BW: Official Gazette No. 138/12 is harmonized with Annex I of the EU Regulation 388/2012 of the European Parliament and the Council.	
14	Updating of lists	X	X	X	NW/CW/BW: Amendments to the Council Regulation (EC) No 428/2009 brought the new list of Dual-Use Items as part of the <u>Regulation (EU) No. 388/2012 of the European Parliament and of the Council of 19 April 2012 amending Council Regulation (EC) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items.</u>	X	X	X	NW/CW/BW: Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	
15	Inclusion of technologies	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	
16	Inclusion of means of delivery	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	
17	End-user controls	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Ministry of Economy, Labour and Entrepreneurship Commission for monitoring dual-use goods	
18	Catch all clause	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Ministry of Economy, Labour and Entrepreneurship	

19	Intangible transfers	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)				NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	
20	Transit control	X	X	X	NW: Customs Act (NN 78/99, 138/06), Customs Service Act (NN 67/01); Act on Ionising Radiation Protection and the Security of Ionising Radiation Sources (NN 64/06) Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009) CW/BW: Customs Act (NN 78/99, 138/06), Customs Service Act (NN 67/01) Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009) Customs Administration; NW: State Office for Radiation Protection	
21	Trans-shipment control	X	X	X	NW/CW/BW: Customs Act (NN 78/99, 138/06), Customs Service Act (NN 67/01) Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Customs Administration	
22	Re-export control	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	X	X	X	NW/CW/BW: Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	

23	Control of providing funds								
24	Control of providing transport services								
25	Control of importation	X	X	X	<p>NW: Act on Export of Dual-use Items (NN 100/04), art.11 Ordinance on Application Form for issuing Import Certificate (NN 166/04); Act on Ionising Radiation Protection and the Security of Ionising Radiation Sources (NN 64/06); Ordinance on the Register of Activities, Requirements and the Manner of Issuing and the Validity of Licences for work with Sources of Ionising Radiation and the use of Sources of Ionising Radiation (NN 125/06); Ordinance on the conditions and the Measures of Protection against Ionizing Radiation for the Practices with Radioactive Sources (NN 84/00 and 106/03) Art. 11, importer forward purchasing permit to border sanitary inspector issued to end-user by the State Office for Radiation Protection</p> <p>CW/BW: Act on Export of Dual-use Items (NN 100/04), art.11 Ordinance on Application Form for Issuing Import Certificate (NN 166/04)</p>	X	X	X	<p>NW: Act on Export of Dual-use Items (NN 100/04); State Office for Radiation Protection; State Institute for Radiation Protection;</p> <p>CW/BW: Act on Export of Dual-use Items (NN 100/04)</p>
26	Extraterritorial applicability								
27	Other	X	X	X	<p>NW/CW/BW: Agreement on the Amendments to the Agreement between the Government of the Republic of Croatia and the Government of the Republic of Slovenia on the Establishment of Joint Official Border Control Points (2015) Agreement between the Republic of Croatia and Bosnia and Herzegovina on Border Crossing Points (2013) Protocol between the Government of the Republic of Croatia and the Government of</p>	X	X	X	<p>NW/CW/BW: Agreement on the Amendments to the Agreement between the Government of the Republic of Croatia and the Government of the Republic of Slovenia on the Establishment of Joint Official Border Control Points Agreement between the Republic of Croatia and Bosnia and Herzegovina on Border Crossing Points (2013) Protocol between the Government of the Republic of Croatia and the Government of</p>

				Hungary of amendments to the Protocol between the Government of the Republic of Croatia and the Government of the Republic of Hungary on the implementation of the Agreement between the Republic of Croatia and the Republic of Hungary on road, railway and water traffic border control (2013)			Hungary of amendments to the Protocol between the Government of the Republic of Croatia and the Government of the Republic of Hungary on the implementation of the Agreement between the Republic of Croatia and the Republic of Hungary on road, railway and water traffic border control	
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OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	Act on the Trade Control of Dual use Items, 2011 (implementation of Council regulation (EC) No. 428/2009)	
2	Control lists - other			
3	Assistance offered	X	<p>In cooperation with the Embassy of the United States of America in Zagreb and the Export Control and Border Security Program of the United States Department of State, Croatia co-organized a regional seminar on the brokering of military and dual-use Goods, held in Zagreb on 26 March 2013.</p> <p>The Ministry of Foreign and European Affairs hosted an international meeting on the mitigation of chemical, biological, radiological and nuclear threats in Zagreb on 4 October 2012.</p> <p>OPCW and the National Authority of the Republic of Croatia organized the Regional Training Course for Customs Authorities on the Technical Aspects of the Transfers Regime of the Chemical Weapons Convention, held in Zagreb from 27 to 31 August 2012.</p> <p>OPCW and RACVIAC Centre for Security Cooperation co-organized a tenth seminar on the Chemical Weapons Convention, from 18 to 21 March 2013, in Rakitje, Croatia.</p> <p>The Twelfth Regional Meeting of National Authorities of States Parties in Eastern Europe was held in Zagreb from 14 to 16 May 2013.</p>	
4	Assistance requested			
5	Point of Contact for assistance			
6	Assistance in place (bilateral/multilateral)			
7	Work with and inform industry	X	Ministry of Economy, Labour and Entrepreneurship organized several workshops for industry to prevent illicit use of dangerous chemicals.	
8	Work with and inform the public	X	Croatia has been continuously working on raising awareness of the importance of the control of dual-use goods. Croatia will continue to undertake and fulfil obligations regarding the resolution.	

9	Point of Contact	X	<p>Mrs. Sanja Bujas Juraga (Head of International Security Division, Ministry of Foreign and European Affairs),</p> <p>Mr. Mislav Kolovrat (Second Secretary, Permanent Mission of Croatia to the United Nations)</p>	
10	Other ⁴			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.