

1540 COMMITTEE MATRIX OF CHINA

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: CHINA
 Date of Report: 4 October 2004
 Date of First Addendum: 2 September 2005
 Date of Second Addendum: 4 December 2007
 Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1 Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 9 March 1992	
2 Nuclear Weapons Free Zone/ Protocol(s)	X	Additional Protocol II to the Treaty of Tlatelolco, deposit 12 June 1974 Additional Protocol II, III to the Treaty of Rarotonga, deposit 21 October 1988 Additional Protocol I, II to the Treaty of Pelindaba, deposit 10 October 1997 Protocol to the Treaty on a Nuclear-Weapon-Free Zone in Central Asia, signing 6 May 2014 Ratified: The Antarctic Treaty The Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space,	

			including the Moon and other Celestial Bodies, and The Treaty on the Prohibition of the Emplacement of Nuclear Weapons and Other Weapons of Mass Destruction on the Seabed and the Ocean Floor and in the Subsoil Thereof.	
3	Convention for the Suppression of Acts of Nuclear Terrorism	X	Deposit 8 November 2010	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Deposit 10 January 1989	
5	2005 Amendment to the CPPNM	X	Deposit 14 September 2009	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	?	Signed 24 September 1996	
7	Chemical Weapons Convention (CWC)	X	Deposit 25 April 1997	
8	Biological Weapons Convention (BWC)	X	Deposit 15 November 1984	
9	Geneva Protocol of 1925	X	Deposit 13 July 1952	
10	Other Conventions/Treaties	X	State party to 13 of the 19 universal legal instruments against terrorism, including the following relevant to resolution 1540 (2004): 1997 International Convention for the Suppression of Terrorist Bombings 1999 International Convention for the Suppression of the Financing of Terrorism	
11	International Atomic Energy Agency (IAEA)	X	Since 1 January 1984	
12	Hague Code of Conduct (HCOC)			
13	Other Arrangements	X	Zangger Committee (ZC) Nuclear Suppliers Group (NSG) Global Initiative to Combat Nuclear Terrorism (GICNT)	
14	General statement on non-possession of WMD			
15	General statement on commitment to disarmament and non-proliferation	X	State reported that it stands for the complete prohibition and thorough destruction of all kinds of WMD, including nuclear, biological and chemical weapons and opposes the proliferation of such weapons and their means of delivery.	
16	General statement on non-provision of WMD and related materials to	X	State reported that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical and biological weapons, related materials and their means of delivery.	

	non-State actors			
17	Other ¹	X	<p>Member of:</p> <ul style="list-style-type: none"> Financial Action Task Force (FATF) Asia/Pacific Group on Money Laundering (APG) Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG) World Customs Organization (WCO) and participates in WCO Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) International Maritime Organization (IMO) The ASEAN Regional Forum (ARF) The Shanghai Cooperation Organization (SCO) Asia-Pacific Economic Cooperation (APEC) <p>Inter-governmental agreements with a number of countries on cooperation in the peaceful use of atomic energy</p> <p>Participates in the APEC Counter-Terrorism Action Plans</p>	

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework					Enforcement: civil/criminal penalties and others					Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document			
		N W	C W	B W		N W	C W	B W				
1	manufacture/produce	X	X	X	<p>NW: Criminal Law 1997 as Amended Article 125</p> <p>CW/BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC)</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 2, 5-7 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 2</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 21-25 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p>			
2	acquire	X	X	X	<p>NW: Criminal Law 1997 as Amended Article 125</p> <p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC) Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 4</p> <p>BW: Criminal Law 1997, article 9: applies this law</p>	X	X	X	<p>NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127</p> <p>CW: Criminal Law 1997, as amended 2001, articles 125 and 127 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p> <p>BW: Criminal Law 1997, as amended 2001, articles</p>			

				to offences with regard to signed or ratified international treaties (i.e., the BWC)			114, 115, 125, and 127			
3	possess	X	X	X	<p>NW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the Nuclear Terrorism)</p> <p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC) Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 4</p> <p>BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the BWC)</p>	X	X	X	<p>NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127</p> <p>CW: Criminal Law 1997, as amended 2001, articles 125 and 127 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p> <p>BW: Criminal Law 1997, as amended 2001, articles 114, 115, 125, and 127</p>	
4	stockpile/store	X	X	X	<p>NW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the Nuclear Terrorism, NPT) Regulation on the Control of Nuclear Materials of 1987, article 19 Implementation of NPT, Report submitted by China, the 3rd Session of Preparatory Committee for the 2015 Review Conference of the Parties to NPT, 2014, Part 2.3</p> <p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC) Regulations on the Administration of the Controlled Chemicals 1995, Articles 7-13 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 2</p>	X	X	X	<p>NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127</p> <p>CW: Criminal Law 1997, as amended 2001, articles 125 and 127 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p>	

					BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the BWC)				BW: Criminal Law 1997, as amended 2001, articles 114, 115, 125, and 127	
5	develop	?	X	X	NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127 CW/BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC and BWC) CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 7-13 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 4	?	X	X	NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127 CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 21-25 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 BW: Criminal Law 1997, as amended 2001, articles 114, 115, 125, and 127	
6	transport	X	X	X	NW/CW/BW: Criminal Law 1997, article 125 NW: Regulation on the Administration of Transport Safety of Radioactive Articles 2010 Protection Rules for Nuclear Material in International Transport 1994 CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 5	X	X	X	NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127 CW: Criminal Law 1997, as amended 2001, articles 125 and 127 Regulations on the Administration of the Controlled Chemicals 1995, Articles 21-25 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 BW:	

									Criminal Law 1997, as amended 2001, articles 114, 115, 125, and 127
7	transfer	X	X	X	<p>NW/CW/BW: Criminal Law 1997, article 9: applies this law to offences in signed or ratified international treaties (i.e., the NPT, CWC, BWC)</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 7-13 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 4</p>	X	X	X	<p>NW: Criminal Law 1997, as amended 2001, articles 114, 125, and 127</p> <p>CW: Criminal Law 1997, as amended 2001, articles 125 and 127 Regulations on the Administration of the Controlled Chemicals 1995, Articles 21-25 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p> <p>BW: Criminal Law 1997, as amended 2001, articles 114, 115, 125, and 127</p>
8	use	X	X	X	<p>NW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., Nuclear Terrorism and Terrorist Bombings: requires terrorist intent)</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, and as amended 2009, article 337 Decision Regarding the Severe Punishment of Criminals Who Seriously Endanger Public Security, 1983, Article 1 (carry lethal weapons to engage in criminal hooligan activities)</p>

				<p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC and Terrorist Bombings) Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 4</p> <p>BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., Terrorist Bombings: requires terrorist intent)</p>			<p>CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p>			
9	participate as an accomplice in abovementioned activities	X	X	X	<p>NW/CW/BW: Criminal Law 1997, article 25-29</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, and as amended 2009, article 337</p>	
10	assist in abovementioned activities	X	X	X	<p>NW/BW: Criminal Law 1997, articles 120, 191, 306-7, and 310</p> <p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC), and articles 191, 306-7, and 310</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, and as amended 2009, article 337</p>	
11	finance abovementioned activities	X	X	X	<p>NW/CW/BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., Terrorist Financing re Terrorist Bombings) and article 120, 191 Anti Money Laundering Law 2006, Article 23. Measures for the Administration of Financial Institutions' Report of Transactions Suspicious of Financing for Terrorist Purposes 2007, Article 2, 8, 9</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, and as amended 2009, article 337 Anti Money Laundering Law 2006, Article 31, 32, 33</p>	

12	abovementioned activities related to means of delivery ²	X	X	X	<p>NW/CW/BW: Regulations on Export Control of Missiles and Missile Related Items and Technologies 2002</p> <p>CW/BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the BWC, CWC), and article 330, 331,332</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended articles 114-115 Regulations on Export Control of Missiles and Missile Related Items and Technologies 2002</p> <p>BW: Criminal Law 1997 as amended 2009, article 337</p>
13	involvement of non-State actors in abovementioned activities	X	X	X	<p>NW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., Terrorist Financing re Terrorist Bombings: requires terrorist intent)</p> <p>CW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (i.e., the CWC and Terrorist Bombings) Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 4, 38</p> <p>BW: Criminal Law 1997, article 9: applies this law to offences with regard to signed or ratified international treaties (e.g., the BWC)</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997,as amended 2001, articles 114-115, 125, 127, and 291</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, Articles 21-25 Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter7</p>

14	Other	?		NW: Legislation on atomic energy and nuclear safety has been included in the national legislative agenda.	?		NW: Legislation on atomic energy and nuclear safety has been included in the national legislative agenda.	
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2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized?	National legal framework			Enforcement: civil/criminal penalties and others			Remarks	
	YES			YES				
	NW	CW	BW*	if YES, source document	NW	CW		BW
1 Measures to account for production	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987, article 6,13 Detailed Rules for the Implementation of the Regulation on the Control of Nuclear Materials of 1990, Chapter 6, Article 18</p> <p>CW: Regulation on the Administration of the Controlled Chemicals article 5, 20 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 2</p> <p>BW: Animal Epidemic Prevention Law Article 14, 45</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: 1 Rules on Inspection of Nuclear Materials Control 1997</p> <p>CW: Decree of MEP NO.8 Method for Environmental Administrative sanctions Regulation on the Administration of the Controlled Chemicals Article 24 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 Article 81 In the provinces, autonomous regions, and municipalities directly under the Central Government, offices for the implementation of the Convention in their respective administrative regions have been set up</p> <p>BW: Animal Epidemic Prevention Law Article 52 National Contingency Plan for Major Animal</p>

				Administrative Measures for Animal Epidemic Reporting Regulations on Major Animal Epidemic Emergencies Regulations on the Management of Veterinary Medicines				Epidemic Emergencies General Biosafety Standard for Microbiological and Biomedical Laboratories Measures for the Control of Biological Products for Animal Uses		
2	Measures to account for use	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987</p> <p>CW: Regulation on the Administration of the Controlled Chemicals Article5, 20 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 23,25</p> <p>BW: Animal Epidemic Prevention Law Administrative Measures for Animal Epidemic Reporting Regulations on Major Animal Epidemic Emergencies Regulations on the Management of Veterinary Medicines</p>	X	X	X	<p>NW/BW: Criminal Law 1997,as amended 2001, articles 114-115, 125, and 127</p> <p>NW: 1. Rules on Inspection of Nuclear Materials Control 1997</p> <p>CW: Decree of MEP NO.8 Method for Environmental Administrative sanctions Regulation on the Administration of the Controlled Chemicals Article 21-26 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 Article 81 In the provinces, autonomous regions, and municipalities directly under the Central Government, offices for the implementation of the Convention in their respective administrative regions have been set up</p> <p>BW: National Contingency Plan for Major Animal Epidemic Emergencies General Biosafety Standard for Microbiological and Biomedical Laboratories Measures for the Control of Biological Products for Animal Uses</p>	

3	Measures to account for storage	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987</p> <p>CW: Regulation on the Administration of the Controlled Chemicals Article 5, 10, 20 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 23, 25</p> <p>BW: General Biosafety Standard for Microbiological and Biomedical Laboratories</p>	X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: 1. Rules on Inspection of Nuclear Materials Control 1997</p> <p>CW: Decree of MEP NO.8 Method for Environmental Administrative sanctions Regulation on the Administration of the Controlled Chemicals Article 21-26 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 81 In the provinces, autonomous regions, and municipalities directly under the Central Government, offices for the implementation of the Convention in their respective administrative regions have been set up</p> <p>BW: National Contingency Plan for Major Animal Epidemic Emergencies General Biosafety Standard for Microbiological and Biomedical Laboratories Measures for the Control of Biological Products for Animal Uses</p>	
4	Measures to account for transport	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987 article 13</p> <p>CW: Regulation on the Administration of the Controlled Chemicals</p>	X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: Rules on Inspection of Nuclear Materials Control 1997</p> <p>CW: Decree of MEP NO.8 Method for Environmental Administrative sanctions</p>	

				<p>Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 50</p> <p>BW: Measures for the Control of Biological Products for Animal Uses</p>			<p>Regulation on the Administration of the Controlled Chemicals</p> <p>Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 81</p> <p>In the provinces, autonomous regions, and municipalities directly under the Central Government, offices for the implementation of the Convention in their respective administrative regions have been set up</p> <p>BW: National Contingency Plan for Major Animal Epidemic Emergencies General Biosafety Standard for Microbiological and Biomedical Laboratories Measures for the Control of Biological Products for Animal Uses</p>	
5	Other measures for accounting	X	X	<p>NW: Provisions on the Administration of Safeguard and Supervision of Nuclear Import & Export and Foreign Nuclear Cooperation 2002, Article 6, 7</p> <p>CW: Measures for the Registration of Hazardous Chemicals for Environmental Management (Provisional) 2013</p>	X	X	<p>NW: Provisions on the Administration of Safeguard and Supervision of Nuclear Import & Export and Foreign Nuclear Cooperation 2002</p> <p>CW: Measures for the Registration of Hazardous Chemicals for Environmental Management (Provisional) 2013</p>	
6	Measures to secure production	X	X	X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: Rules on Security of Nuclear Power Plants 1997</p>	

				<p>National Nuclear Safety Administration (NNSA) and the Commission of Science, Technology, and Industry for National Defense (COSTIND)</p> <p>CW: Regulation on the Administration of the Controlled Chemicals Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 2</p> <p>BW: Tentative measures on the Stockpiling and Management of Veterinary Bacteria Cultures of 1980 Measures on Stockpiling and Management of Medical Bacteria Cultures of 1985 Regulations on the Management of Veterinary Medicines of 1987</p>				<p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 Article 76-80</p>		
7	Measures to secure use	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987 Rules for Implementation of the Regulations on Nuclear Materials Control of the People's Republic of China September 1990, approved and issued by the Ministry of Energy, the National Nuclear Safety Administration (NNSA) and the Commission of Science, Technology, and Industry for National Defense (COSTIND)</p> <p>CW: Regulation on the Administration of the Controlled Chemicals</p>	X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: Rules on Security of Nuclear Power Plants 1997</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25</p>	

				<p>Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 3</p> <p>BW: Tentative Measures on the Stockpiling and Management of Veterinary Bacteria Cultures Measures on the Stockpiling and Management of Medical Bacteria Cultures</p>			<p>Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7 Article 77-80</p>		
8	Measures to secure storage	X	X	X		X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: Rules on Security of Nuclear Power Plants 1997</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 2, Article 17-22</p> <p>BW: Tentative Measures on the Stockpiling and Management of Veterinary Bacteria Cultures</p>

				Measures on the Stockpiling and Management of Medical Bacteria Cultures General Biosafety Standard for Microbiological and Biomedical Laboratories					
9	Measures to secure transport	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987 Rules for Implementation of the Regulations on Nuclear Materials Control of the People's Republic of China September 1990, approved and issued by the Ministry of Energy, the National Nuclear Safety Administration (NNSA) and the Commission of Science, Technology, and Industry for National Defense (COSTIND)</p> <p>CW: Regulation on the Administration of the Controlled Chemicals Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 5</p> <p>BW: Law on the Quarantine of Animals and Plants Brought Into or Taken Out of Chinese Territory General Biosafety Standard for Microbiological and Biomedical Laboratories National Health and Family Planning Commission: pickup, postal dispatch, packaging</p>	X	X	X	<p>NW/BW: Criminal Law 1997, as amended 2001, articles 114-115, 125, and 127</p> <p>NW: Rules on Security of Nuclear Power Plants 1997</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Chapter 7</p>
10	Other measures for securing		X		<p>CW: Measures for the Registration of Hazardous Chemicals for Environmental Management</p>	X			<p>CW: Measures for the Registration of Hazardous Chemicals for Environmental Management</p>

				(Provisional) 2013				(Provisional) 2013	
11	Regulations for physical protection of facilities/ materials/ transports	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987 Article 12</p> <p>CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 12, 19-22</p> <p>BW: General Code for Biosafety in Bacterial and Biomedical Laboratories: requirements for biosafety equipment, individual protective installations and measures, systems and safety operation procedures, detailed regulations on the types, ranking and scope of application of laboratories</p>	X	X	X	<p>NW: Rules on Physical Protection for Nuclear Materials International Transport 1994 Criminal Law 1997,as amended 2001, articles 114-115, 125, and 127</p> <p>CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 76</p> <p>BW: General Biosafety Standard for Microbiological and Biomedical Laboratories</p>
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987: licensing system for the control of nuclear materials</p> <p>CW: Regulations on the Administration of the Controlled Chemicals Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>BW: National Health and Family Planning Commission: Usage authorization Regulations on the Management of Veterinary Medicines</p>	X	X	X	<p>NW: Rules on Physical Protection for Nuclear Materials International Transport 1994 Criminal Law 1997,as amended 2001, articles 114-115, 125, and 127</p> <p>CW: Decree of MEP NO.8 Method for Environmental Administrative sanctions Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals</p> <p>BW: Regulations on the Management of Veterinary Medicines Measures for the Control of Biological Products for Veterinary Use</p>

				Measures for the Control of Biological Products for Veterinary Use General Biosafety Standard for Microbiological and Biomedical Laboratories			General Biosafety Standard for Microbiological and Biomedical Laboratories		
13	Reliability check of personnel	X	X	X	NW: Regulation on the Control of Nuclear Materials of 1987, Article 10 CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 30,34 BW: Regulations for the Administration of Laboratory Animals 1988, Section 6 The Measures of the Quality Administration of Laboratory Animals 1997, Section 3	X	X	X	NW: Regulation on the Control of Nuclear Materials of 1987, Article 19-21 CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011), Article 86 BW: Regulations for the Administration of Laboratory Animals 1988, Section 7 The Measures of the Quality Administration of Laboratory Animals 1997, Article 19
14	Measures to account for/secure/ physically protect means of delivery	?	?	?	NW/CW/BW: Regulations on Export Control of Missiles and Missile Related Items and Technologies	?	?	?	NW/CW/BW: Regulations on Export Control of Missiles and Missile Related Items and Technologies

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	X	Regulation on the Control of Nuclear Materials of 1987, Article 6-8	X	Government department for supervision and control over nuclear materials: China Atomic Energy Authority (CAEA)	
2	IAEA Safeguards Agreements	X	Safeguards Agreement (INFCIRC 369), in force 18 September 1989 Additional Protocol, in force 28 March 2002	X	Safeguards Agreement (INFCIRC 369), in force 18 September 1989 Additional Protocol, in force 28 March 2002	
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support for the Code of Conduct (Notification pursuant to GC (47)/RES/7.B)	X	Expressed support for the Code of Conduct	
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	X	Expressed support for the Guidance (Notification pursuant to GC (48)/RES/10.D)	X	Point of Contact designated.	
5	IAEA Incident and Trafficking Database	X	China participates in the ITDB programme.	X	Participates Held ITDB Awareness Seminar for 2008 Olympic Games	
6	Other Agreements related to IAEA	X	State Party to: Convention on Early Notification of a Nuclear Accident (CENNA) (deposit 10 Sep 1987) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (deposit 10 Sep 1987) Convention on Nuclear Safety (9 Apr 1996)	X	China supports and actively participates in the technical cooperation activities of the IAEA.	

			Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (13 Sep 2006)			
7	Additional national legislation/regulations related to nuclear materials including CPPNM	X	Regulations on the Prevention of and Protection Against Radiation from Radio Isotopes and Radioactive Devices Regulations on the Safety Regulation for Civilian Nuclear Installations Regulations on Emergency Measures against Accidents in Nuclear Power Plants Law on the Prevention of Radiation Pollution of October 2003	X	State Nuclear Accidents Office	
8	Other	X	State Nuclear Security Technology Center (SNSTC) is established since 2011. A Center of Excellence on Nuclear Security is under construction and is expected to be operating by 2015.	X	State Nuclear Security Technology Center (SNSTC) is established since 2011. A Center of Excellence on Nuclear Security is under construction and is expected to be operating by 2015.	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	X	National Leading Group on the Implementation of the CWC (March 1997) Implementation Office (National Authority) CWC-implementing organs at the provincial level	X	"National Authority National CWC Implementation Office Address Building no.16, An-Hui-Li-Si-Qu, Yayuncun, Chaoyang District City Beijing Zip code 100723"	
2	Reporting Schedule I, II and III chemicals to OPCW	X	Annual declarations submitted	X	Since 2002, China has submitted to the OPCW initial declarations and annual declarations on Schedule 1, 2, 3 and other chemical production facilities	
3	Account for, secure or physically protect "old chemical weapons"	X	Memorandum Between the Government of the People's Republic of China and the Government of Japan on the Destruction of Chemical Weapons abandoned by Japan in China (1999), and Memorandum Between the Government of the People's Republic of China and the Government of Japan on the Destruction after 29 April 2012 of Chemical Weapons Abandoned by Japan in China (2012)	X	Memorandum Between the Government of the People's Republic of China and the Government of Japan on the Destruction of Chemical Weapons Abandoned by Japan in China (1999), and Memorandum Between the Government of the People's Republic of China and the Government of Japan on the Destruction after 29 April 2012 of Chemical Weapons Abandoned by Japan in China (2012)	
4	Other legislation/ regulations controlling chemical materials	X	Provisions on the Environmental Administration of New Chemical substances Regulations for Environmental Management on the First Import of Chemicals and the Import and Export of Toxic Chemicals Management of Discarded Dangerous	X	Provisions on the Environmental Administration of New Chemical substances Regulations for Environmental Management on the First Import of Chemicals and the Import and Export of Toxic Chemicals Management of Discarded Dangerous	

			Chemicals		Chemicals	
5	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	X	Measures for the Control of Biological Products for Animal Uses and the Procedures for the Safe Administration of Agricultural Biological Gene Engineering of 1996	X	Procedures for the Safe Administration of Agricultural Biological Gene Engineering	
2	Other legislation/ regulations related to safety and security of biological materials	X	General Code for Biosafety in Bacterial and Biomedical Laboratories: requirements for biosafety equipment, individual protective installations and measures, systems and safety operation procedures, detailed regulations on the types, ranking and scope of application of laboratories	X	National Health and Family Planning Commission Ministry of Agriculture Laboratories set up with better pathogen detection capabilities	
3	Other	X	Law on the Prevention and Control of Infectious Diseases of 2004			

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, and agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials? Can violators be penalized?	National legal framework					Enforcement: civil/criminal penalties, and measures of implementation, etc					Remarks
	YES			if YES, source document	YES			if YES, source document			
	N W	C W	B W		N W	C W	B W				
1 Border control	X	X	X	<p>NW/CW/BW: Customs Law 1987, as amended 2001, especially article 5 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p> <p>CW: Announcement 2009, No.76 of Ministry of Environmental Protection (MEP) and General Administration of Customs (GAC) gives the list of toxic chemicals whose import and export China restricts closely</p> <p>BW: Law of the People’s Republic of China on the</p>	<p>NW/CW/BW: Customs Law 1987, as amended 2001, articles 47-52 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach. Strengthened inspection of persons and vehicles at ports and administrative zones, increased sea patrols and controls on ocean-going ships and fishing vessels, increased police-civilian mechanism, improved intelligence, evidence, and data on proliferation or to combat proliferation activities, and maritime counter-terrorism and crime-fighting, Customs cooperation with numerous countries and regions, shares law enforcement information with other countries that has halted some trafficking</p> <p>BW: Law of the People’s Republic of China on the</p>						

				<p>Entry and Exit Animal and Plant Quarantine 1991 and Regulations for the Implementation of the Law 1996</p> <p>Frontier Health and Quarantine Law of the People's Republic of China 1986, Articles 20-23: offenses and penalties</p> <p>Measures on the Administration of Examination and Approval of the Quarantine of Entry Animals and Plants, No. 25 of the State Administration of Quality Supervision, Inspection and Quarantine of August 2002</p> <p>Law on Quarantine of Import and Export Animal and Plant 1992, Article 2</p>			<p>Entry and Exit Animal and Plant Quarantine 1991 Articles 39-45: offences and penalties</p> <p>Frontier Health and Quarantine Law of the People's Republic of China 1986, Articles 20-23: offenses and penalties</p>			
2	Technical support of border control measures	X	X	X	<p>NW/CW/BW:</p> <p>Customs Law 1987, as amended</p> <p>BW:</p> <p>Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1991 and Regulations for the Implementation of the Law 1996</p> <p>Frontier Health and Quarantine Law of the People's Republic of China 1986, Article 2</p>	X	X	X	<p>NW/CW/BW:</p> <p>Radioactive scanners, X-Ray machines and other relevant equipment are installed at the port crossings to perform effective border controls.</p>	
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	X	X	<p>NW:</p> <p>Provisions on the Administration of Safeguard and Supervision of Nuclear Import & Export and Foreign Nuclear Cooperation 2002, Article 6, 13, 18 (negotiating)</p> <p>CW:</p> <p>Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002</p> <p>BW:</p> <p>Regulations of the People's Republic of China on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies July 2006 (replacing regulations</p>	X	X	X	<p>NW:</p> <p>Provisions on the Administration of Safeguard and Supervision of Nuclear Import & Export and Foreign Nuclear Cooperation 2002</p> <p>CW:</p> <p>Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW:</p> <p>Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>	

				of 2002).					
4	Enforcement agencies/authorities	X	X	X	<p>NW/CW/BW: Customs Law 1987 as amended 2001, articles 2-4</p> <p>CW: Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 5-15</p> <p>BW: Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1991 Articles 3-4 Regulations of the People's Republic of China on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies July 2006 (replacing regulations of 2002).</p>	X	X	X	<p>NW/CW/BW: Border control police authorities Chinese Customs</p> <p>CW/BW: Ministry of Commerce</p>
5	Export control legislation in place	X	X	X	<p>NW/BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p> <p>NW: Regulations on the Control of Nuclear Export and Control list November 2006 Regulations on the Control of Nuclear Dual-Use Items and Related Technologies Export 2007 Provisions of Safeguards for Nuclear Import and Export and External Nuclear Cooperation Control of February 2002</p>	X	X	X	<p>NW/CW: Criminal Law 1997 as amended 2001, article 125</p> <p>NW/BW: Customs Law 1987, as amended 2001, articles 47-52</p> <p>NW: Regulations on the Control of Nuclear Export and Control list Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002, articles 18-20</p>

				<p>Notice on Problems Associated with the Strict Implementation of China's Nuclear Export Policy</p> <p>CW: Ministry of Commerce Order No. 14 of 2008 to regulate the import and export of dual-use items and technologies, management, involving precursor chemicals, computers, chemicals and other dual-use items and technology import and export license management</p> <p>Dual-use items and technology Import and Export Permit Management Regulations, Ministry of Commerce Decree No. 29 of 2005 Regulations on the Administration of the Controlled Chemicals 1995, articles 15, and 17-18</p> <p>Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 5-15 Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies, with attached list of October 2002</p> <p>BW: Regulations of the People's Republic of China on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies of 2006 (replacing regulations of 2002).</p> <p>Law on Quarantine of Import and Export Animal and Plant 1992, Articles 3-4 and Article 5: apply for permit before the contract</p> <p>Measures on the Administration of Examination and Approval of the Quarantine of Entry Animals and Plants, No. 25 of the State Administration of Quality Supervision, Inspection and Quarantine 2002, Articles 6-17: permits</p>				<p>CW: Ministry of Commerce Order No. 14 of 2008 implements the 2005 Regulations</p> <p>BW: Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1991, Articles 39-45: offences and penalties Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>	
6	Licensing provisions	X	X	X	NW/BW: Ministry of Commerce, General	X	X	X	

			<p>Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p> <p>NW: Regulations on the Control of Nuclear Export and Control list November 2006 Regulations on the Control of Nuclear Dual-Use Items and Related Technologies Export January 2007 Provisions of Safeguards for Nuclear Import and Export and External Nuclear Cooperation Control of February 2002 Notice on Problems Associated with the Strict Implementation of China's Nuclear Export Policy</p> <p>CW: Ministry of Commerce Order No. 14 of 2008 to regulate the import and export of dual-use items and technologies, management, involving precursor chemicals, computers, chemicals and other dual-use items and technology import and export license management Dual-use items and technology Import and Export Permit Management Regulations, Ministry of Commerce Decree No. 29 of 2005 Regulations on the Administration of the Controlled Chemicals 1995, articles 15, and 17-18 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 5-15 Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies, with attached list of October 2002</p> <p>BW: Regulations of the People's Republic of China on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies of 2006 (replacing regulations of</p>			<p>NW: Regulations on the Control of Nuclear Export and Control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002, articles 18-20</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>
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				2002). Law on Quarantine of Import and Export Animal and Plant 1992, Articles 3-4 and Article 5: apply for permit before the contract Measures on the Administration of Examination and Approval of the Quarantine of Entry Animals and Plants, No. 25 of the State Administration of Quality Supervision, Inspection and Quarantine 2002, Articles 6-17: permits					
7	Individual licensing	X	X	X	<p>NW: Regulations on Export Control of Nuclear Dual-use Items and Related Technologies 2. Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002). Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	X	X	X	<p>NW: Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002, articles 18-20</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>
8	General licensing	X	X	X	<p>NW/CW/BW: Measures on General License for export of Dual-Use Items and Technology May 2009: applicants face higher requirements, such as internal control mechanisms</p>	X	X	X	<p>NW: Measures for Administration on Import and Export Licensing of Dual-use Items and</p>

								Technologies 2002, articles 18-20 CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20 BW: Measures on General License for export of Dual-Use Items and Technology, May 2009, Articles 22-27: Enforcement, Offences and Penalties Two types of general permit exist: A allows the export to one or more specific countries (or regions) of one or more end-user and one or more specific export dual-use items and technology; B allows exporters within the same period in the permit specific countries (or regions) for a fixed end-user with multiple types of export dual-use items with specific technology.		
9	Exceptions from licensing	X	?	X	NW: Regulation on the Control of Nuclear Materials of 1987, Article 9 Measures for the Administration on Import and Export License for Dual-use Items and Technologies CW: Regulations on the Administration of the Controlled Chemicals 1995 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002 BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach	X	?	X	NW: Regulation on the Control of Nuclear Materials of 1987 Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002, articles 18-20 CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20 BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach	

10	Licensing of deemed export/visa	?	?	?	<p>NW: Measures for the Administration on Import and Export License for Dual-use Items and Technologies</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002</p> <p>BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	?	?	?	<p>NW: Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002, articles 18-20</p> <p>CW: Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	
11	National licensing authority	X	X	X	<p>NW: Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies 2002</p> <p>CW: Regulations of the People's Republic of China on the Administration of Controlled Chemicals 1995, article 4 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 8-12</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002). Measures for Administration on Import and Export Licensing of Dual-use Items and</p>	X	X	X	<p>NW/CW/BW: Ministry of Commerce</p> <p>NW: China Atomic Energy Authority</p>	

				Technologies 2005 Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1991, Articles 3-4					
12	Interagency review for licenses	X	X	X	<p>NW: Regulations on the Control of Nuclear Dual-Use Items and Related Technologies Export, Article 11, as amended January 2007</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, article 17 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, article 11</p> <p>BW: China has established an inter-agency contingency coordinating mechanism for export control, and conducts serious investigations into suspected cases and deals with violators according to law</p>	X	X	X	<p>NW/CW/BW: Ministry of Commerce Ministry of Foreign Affairs</p> <p>NW: China Atomic Energy Authority Commission of Science, Technology and Industry for National Defense State Council for cases of "significant impact" on state security, public interest, or foreign policy</p> <p>CW: State Council</p> <p>BW: National Health and Family Planning Commission Ministry of Agriculture State Administration of Quality Supervision, Inspection and Quarantine</p>
13	Control lists	X	X	X	<p>NW: List for Regulation on the Control of Nuclear Exports - revised in October 2006 in reference of the control list of the NSG List on the Control of Nuclear Dual-Use Items and Related Technologies Export - revised in January 2007 in reference of the control list of the NSG</p> <p>CW: Regulations of the People's Republic of China on the Administration of Controlled Chemicals</p>	X	X	X	<p>NW: Import and Export Licensing Catalogue of Dual-use Items and Technologies Measures for the Administration on Import and Export License for Dual-use Items and Technologies</p> <p>CW: Import and Export Licensing Catalogue of Dual-use Items and Technologies 1998</p>

				<p>1995, article 3 and Annex Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 3-4</p> <p>BW: List appended to the Regulations on the Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002).</p>			<p>Announcement 2009 No.76 of Ministry of Environmental Protection (MEP) and General Administration of Customs (GAC), Appendix with List of Toxic Chemicals Strictly Controlled on Export and 2010 List of Toxic Chemicals Severely Restricted on the Import and Export</p> <p>BW: Ministry of Commerce and General Administration of Customs adjusted and promulgated the Catalog for Administration of the Import and Export License for Dual-use Items and Technologies by Announcement No.120, 2009 of MOC and GAC.</p>		
14	Updating of lists	X	X	X	X	X	X	<p>NW/CW/BW: Ministry of Commerce and General Administration of Customs has adjusted and promulgated the Catalog for Administration of the Import and Export License for Dual-use Items and Technologies by Announcement No.120, 2009 of MOC and GAC.</p> <p>NW: Regulations of the People’s Republic of China on the Control of Nuclear Exports as amended, Article 21 Regulations of the People’s Republic of China on the Control of Dual-Use Nuclear Items and Related Technologies Export as amended in 2007</p> <p>CW: Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002, article 21 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997, articles 3-4</p> <p>BW: List appended to the Regulations on the Export Control of Dual-Use Biological Agents and Related Equipment and Technologies</p>	

				2006 (replacing regulations of 2002).					
15	Inclusion of technologies	X	X	X	<p>NW: Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW: Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002). Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	X	X	X	<p>NW: Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW/BW: Ministry of Commerce and General Administration of Customs has adjusted and promulgated the Catalog for Administration of the Import and Export License for Dual-use Items and Technologies by Announcement No.120, 2009 of MOC and GAC.</p>
16	Inclusion of means of delivery	X	X	X	<p>NW/CW/BW: Regulations on Export Control of Missiles and Missile Related Items and Technologies of August 2002 and the associated control list</p>	X	X	X	<p>NW/CW/BW: Regulations on Export Control of Missiles and Missile Related Items and Technologies of August 2002 and the associated control list</p>
17	End-user controls	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW:</p>	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW:</p>

				<p>Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, article 8</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 8 and 16</p>			<p>Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>		
18	Catch all clause	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, article 16</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 8 and 16</p>	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>
19	Intangible transfers	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, article 4</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 8 and 16</p>	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002, articles 18-20</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21</p>

20	Transit control	X	X	<p>NW: Measures on the Administration of Transhipments and Territory Transit of Nuclear Products (Provisional) 2000 Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW/BW: Customs Law 1987 as amended 2001, articles 17 and 25 Ministry of Commerce, General administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p> <p>BW: Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1991, Articles 23-27 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	X	X	<p>NW: Measures on the Administration of Transhipments and Territory Transit of Nuclear Products (Provisional) 2000, Article 14 Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW/BW: Customs Law 1987 as amended 2001, articles 47-52</p>	
21	Trans-shipment control	X	X	<p>NW: Measures on the Administration of Transhipments and Territory Transit of Nuclear Products (Provisional) 2000 Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW/BW: Customs Law 1987 as amended 2001, articles 17 and 25 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p> <p>BW: Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine</p>	X	X	<p>NW: Measures on the Administration of Transhipments and Territory Transit of Nuclear Products (Provisional) 2000, Article 14 Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW/BW: Customs Law 1987 as amended 2001, articles 47-52</p>	

				1991, Articles 23-27					
22	Re-export control	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW: Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies 2002</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002) Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>	X	X	X	<p>NW: Regulations on Control of Nuclear Export and the associated control list Regulations on Export Control of Nuclear Dual-use Items and Related Technologies Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW: Customs Law 1987 as amended 2001, articles 47-52</p> <p>BW: Regulations on Export Control of Dual-Use Biological Agents and Related Equipment and Technologies 2006 (replacing regulations of 2002), articles 18-21 Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>
23	Control of providing funds	X	X	X	<p>NW/CW/BW: Criminal Law 1997, article 9: applies this law to offences in signed or ratified international treaties (i.e., Terrorist Financing, Terrorist Bombings: requires terrorist intent) and article 191 Anti Money Laundering Law 2006, Article 23: Measures for the Administration of Financial Institutions' Report of Transactions Suspicious of Financing for Terrorist Purposes 2007, Article 2, 8, 9</p>	X	X	X	<p>NW/CW/BW: Criminal Law 1997, as amended 2001, articles 114-115, and as amended 2009, article 337 Anti Money Laundering Law 2006, Article 31, 32, 33</p>
24	Control of providing transport services	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987, Article 5,13 Detailed Rules for the Implementation of the Regulation on the Control of Nuclear Materials of 1990, Chapter 7, Article 30,31</p>	X	X	X	<p>NW: Regulation on the Control of Nuclear Materials of 1987, Article 5,13 Detailed Rules for the Implementation of the Regulation on the Control of Nuclear Materials of 1990, Chapter 7, Article 30,31</p>

				<p>Physical Protection Rules for Nuclear Material in International Transport 1994 Regulations of the People's Republic of China on the Control of Nuclear Exports as amended, Article 23</p> <p>CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011) , Chapter 5</p> <p>BW: Regulations for the implementation of the Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1997, Chapter 7 Regulations on Transportation Management of Highly Pathogenic Microbial Strains or Samples Infecting the Human 2006</p>			<p>Physical Protection Rules for Nuclear Material in International Transport 1994</p> <p>CW: Regulations on the Safe Management of Hazardous Chemicals in China (Decree 591 of the State Council of China in 2011) , Chapter 7</p> <p>BW: Regulations for the implementation of the Law of the People's Republic of China on the Entry and Exit Animal and Plant Quarantine 1997, Chapter 7 Regulations on Transportation Management of Highly Pathogenic Microbial Strains or Samples Infecting the Human 2006</p>		
25	Control of importation	X	X	X	X	X	X	<p>NW: Regulations on the Import and Export of Technologies of 2001 Provisions on the Administration of Safeguards and Supervision of Nuclear Import and Export and Foreign Nuclear Cooperation Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW: Regulations on Environmental Management of New Chemical Substances Decree No.7 implemented as of October 2010 Ministry of Commerce Order No. 14 of 2008 In order to regulate the import and export of dual-use items and technologies, management, involving precursor chemicals, computers, chemicals and other dual-use items and technology import and export license management Dual-use items and technology Import and Export Permit Management Regulations Ministry of Commerce Decree No. 29 of 2005</p>	<p>NW: Provisions on the Administration of Safeguards and Supervision of Nuclear Import and Export and Foreign Nuclear Cooperation Measures for Administration on Import and Export Licensing of Dual-use Items and Technologies</p> <p>CW: Ministry of Commerce Order No. 14 of 2008 implements the 2005 Regulations on the Administration of the Controlled Chemicals 1995, articles 21-25 Measures on Export Control of Certain Chemicals and Related Equipment and Technologies 2002 Announcement 2009 No.76 of Ministry of Environmental Protection (MEP) and General Administration of Customs (GAC), Appendix with List of Toxic Chemicals Strictly Controlled on Import</p>

				<p>Regulations on the Administration of the Controlled Chemicals 1995, articles 15-16 Detailed Rules for the Implementation of the Regulations on the Administration of the Controlled Chemicals 1997</p> <p>BW: Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach Law of the Peoples Republic of China on the Entry and Exit Animal and Plant Quarantine 1991, Articles 5 and 10-20</p>				<p>BW: Law of the Peoples Republic of China on the Entry and Exit Animal and Plant Quarantine 1991 Articles 22-27: Enforcement, Offences and Penalties Ministry of Commerce, General Administration of Customs Decree No. 29 of 2005, Dual-use items and technology import and export license management approach</p>		
26	Extraterritorial applicability	X	X	X	NW/CW/BW: Criminal Law 1997 as Amended Article 10	X	X	X	NW/CW/BW: Criminal Law 1997 as Amended Article 10	
27	Other	X	X	X	<p>NW/CW: Register of authorized entities permitted to export sensitive items Only designated departments can import and export controlled chemicals</p> <p>BW: The PRC Ministry of Environmental Protection, General Administration of Quality Supervision, Inspection and Quarantine 2010, Decree No. 10: Import and Export of microbial agents</p>	X	X	X	<p>NW/CW/BW: MOFCOM has developed a new web site dedicated to export controls: http://exportcontrol.mofcom.gov.cn Commerce Department Notice No. 69 of 2007, "the Ministry of Commerce on dual-use items and technology enterprises establish internal export control mechanism guidance," 7 September 2007</p>	

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	<p>Lists for Regulations on the Control of Nuclear Export and on the Control of Nuclear Dual-use Items and Related Technologies Export: exactly the same as the lists by ZC and NSG; adjusted in accordance with changes</p> <p>List to the Regulations on the Export of Dual-use Biological Agents and Related Equipment and Technologies: revised in 2006 in reference to the control list of the AG</p> <p>List of Controlled Chemicals in All Categories, List of additions to Category III Chemicals, List appended to the Measures on the Export Control of Certain Chemicals and Related Equipment and Technologies: being considered for updating with reference to recent adjustments of the control list of the AG</p> <p>List to the Regulation on the Export Control of Missiles and Missile related Items and Technologies: basically the same as of MTCR but not identical</p> <p>Import and Export Licensing Catalogue of Dual-use Items and Technologies</p> <p>Announcement No. 59, 2013 of the Ministry of Commerce, the Ministry of Industry and Information Technology, the General Administration of Customs, China Atomic Energy Authority of the People's republic of China (China Foreign Trade and Economic Cooperation Gazette [Issue No.63 2013])</p>	
2	Control lists - other	X	<p>List of Toxic Chemicals Severely Restricted on the Import and Export in China (2010)</p> <p>List of Dangerous Chemical Substances (2002 edition) (3,833 kinds / categories)</p> <p>List of Highly Poisonous Articles (2003 edition) (54)</p> <p>List of Precursor Chemicals (23 by the State Council, 41 by the Ministry of Commerce)</p> <p>List of Dangerous Goods (GB12268-2005) (2,270)</p>	
3	Assistance offered	X	China has consistently supported international non-proliferation cooperation with practical actions; we are willing to provide assistance to countries in need of it when necessary and feasible	
4	Assistance requested			
5	Point of Contact for assistance	X	<p>Department of Arms Control and Disarmament Ministry of Foreign Affairs</p> <p>Tel: +86-10-65963929</p> <p>Fax: +86-10-65963920</p> <p>Email: yu_peng1@mfa.gov.cn</p>	
6	Assistance in place (bilateral/multilateral)	X	<p>Assistance provided on several activities under IAEA, including \$18 million to finance technical cooperation, as well as participating in IAEA activities</p> <p>Conducted exchanges and joint training with the European Union and the United States on export</p>	

			<p>controls</p> <p>Hosted a training course on Avian Influenza Control Technology for Pacific Island countries in 2007. In 2009, it conducted a training course on pandemic influenza A (H1N1) and laboratory detection technology for specialists from ASEAN countries</p> <p>Provided assistance to Laos, Myanmar and other countries in the building of animal disease monitoring stations</p> <p>Hosted training courses for ASEAN, Vietnam, Mongolia, the DPRK, Indonesia and other countries or regions</p> <p>In 2007, China donated 20,000 USD to the OPCW for capacity-building efforts in relation to assistance and protection. In May 2008, China and the OPCW jointly conducted a training course in Beijing on assistance and protection.</p>	
7	Work with and inform industry	X	<p>Government publicizes in professional and special publications and on Government web sites; lectures on legislation, seminars, pamphlets, and commercial information to main export enterprises; Issuing the Guidance Options paper on implementing MOFCOM Decision No. 69 of 2007 on establishing ICPs.</p>	
8	Work with and inform the public	X	<p>To ensure compliance by export enterprises at all stages of export, the Ministry of Commerce and the General Administration of Customs have formulated the Export Licensing Catalogue of Sensitive Items and Technologies (i.e. the commodities on the lists attached to relevant export control regulations bearing Customs HS codes). To ensure accurate and scientific decision in the process of license approval, competent authorities have set up an expert supporting system that consists of experts from relevant fields. The Chinese Government has also actively carried out public awareness campaigns to ensure implementation of the regulations by relevant enterprises and institutions. Websites, such as the new export control website http://exportcontrol.mofcom.gov.cn; laws/conventions published</p>	
9	Point of Contact	X	<p>Department of Arms Control and Disarmament, Ministry of Foreign Affairs Tel: +86-10-65963929 Fax: +86-10-65963920 Email: yu_peng1@mfa.gov.cn</p>	
10	Other ⁴	X	<p>The Chair of the Committee was invited to pay a visit to China during 23-24 October 2014.</p>	

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.