

**REQUEST FOR INFORMATION (RFI)****Title of the RFI:**

Request for Information for ESG Risk Database Analytical System for the United Nations Joint Staff Pension Fund (UNJSPF)

Date of this RFI: 23 February 2021**Closing Date for Receipt of RFI at PD:** 16 March 2021**RFI Number:** RFIES10373**Address RFI response by fax or e-mail to the Attention of:** Elina Sverdlova**Fax Number:** Please send only by email**E-mail Address:** elina.sverdlova@un.org**UNSPSC Code:** 84000000,80100000,64000000**DESCRIPTION OF REQUIREMENTS****BACKGROUND****Purpose of the Request:**

The Office of Investment Management (OIM) of the United Nations Joint Staff Pension Fund (UNJSPF) is seeking information on a comprehensive ESG Risk Database based analytical system and/or software products to assist in analyzing and assessing risk on securities in the existing public and private markets portfolios or on potential new investments that could be added to its portfolios.

OIM expects that a comprehensive ESG Risk Database based analytical tool and related support will enable OIM to analyze any global public or private company in a consistent way with the aim of systematically identifying material ESG risks.

Overview of the UNJSPF:

The United Nations Joint Staff Pension Fund ("UNJSPF" or "the Fund") was established by the General Assembly of the United Nations ("UN") to provide retirement, death, disability and related benefits for the staff of the UN and other international intergovernmental organizations admitted to membership in the Fund.

The United Nations Secretary-General has the fiduciary responsible for the investment of the assets of the UNJSPF. The Secretary-General has delegated this responsibility to the Representative of the Secretary-General for the investment of the assets of the UNJSPF (RSG). The RSG is, in turn, assisted in this function by the Office of Investment Management (OIM) of the UNJSPF.

All investments made, at the time of the purchase, must meet the criteria of safety, profitability, liquidity, and convertibility endorsed by the General Assembly and the United Nations Joint Staff Pension Board

("UNJSPB"). OIM utilizes the services of various global, regional and national trading counterparties.

The UNJSPF is an internally managed fund, with a total market value of approximately \$83 billion as of 18 February 2021, invested in more than 100 countries and regions distributed in 26 currencies and in both publicly traded securities as well as private capital investments (private equity and real assets). Please consult the Fund's website at <https://oim.unjspf.org/> for a breakdown of the Fund's assets per type of investment and additional information.

The Fund's equity portfolios cover all global geographical regions and are managed by North American, European, Asian and Emerging market equity teams. The total market value of the equity investments amounted to \$51 billion as of 18 February 2021.

SPECIFIC REQUIREMENTS / INFORMATION (IF ANY)

If your firm is interested in responding to the Market Search/ RFI, kindly confirm that your system meets all the below listed requirements and provide brief description of its abilities explaining how your system meets each requirement.

The ESG Risk Database analytical system being searched for by OIM must meet all the following requirements

System requirements:

1. The system must provide both metrics and qualitative information on the exposure to ESG risks of public and private companies (e.g. 100,000+ companies including all MSCI ACWI universe) from all countries including emerging and frontier markets.
2. The system must provide Daily Alerts and Watch Lists (updated daily based on the latest information) to help OIM swiftly react to any new risk incident involving companies in the portfolio or investment universe.
3. The system must provide consolidated risk information from multiple alternative stakeholder sources such as media, websites of NGOs, Industry Regulators, Public Bodies, Think Tanks, etc.
4. The system must be able to capture risk incidents in local language media by having the capacity to source and analyze data in multiple business languages (e.g. 10+ languages) used globally.
5. The system must allow the screening of risk incidents according to all 10 UNGC principles, SASB, and the SDGs.
6. The data vendor must produce high quality ESG research by leveraging the latest data analytics techniques along with a big highly trained team of analysts (at least 50+ analysts).
7. The system must provide access to time series of ESG risk data (at least 10 years of historical data) and risk incident information based on a transparent rules-based methodology.

8. The vendor must provide a data feed of the latest ESG metrics and indicators based on the daily updated information.

Please note that OIM/UNJSPF, through UN Procurement Division may require that the companies responding to this RFI provide a detailed presentation and demonstration using assignments provided by PD accompanied with presentation on the capabilities of their system (through on-line or webex presentation) immediately from closure of the RFI.

NOTE

Information on tendering for the UN Procurement System is **available free of charge** at the following address: <https://www.ungm.org/Public/Notice>

Only the United Nations Global Marketplace (UNGM) has been authorised to collect a nominal fee from vendors that wish to receive automatically Procurement Notices or Requests for Expression Of Interest. Vendors interested in this Tender Alert Service are invited to subscribe on <http://www.ungm.org>

VENDOR RESPONSE FORM

TO: Es10

RFI Number:

Email: elina.sverdlova@un.org

FAX: Please send only by email

FROM:

SUBJECT: Request for Information for ESG Risk Database Analytical System for the United Nations Joint Staff Pension Fund (UNJSPF)

To be filled by the Vendor (All fields marked with an '' are mandatory)*

COMPANY INFORMATION

UNGM Vendor ID Number*:

Legal Company Name (Not trade name or DBA name) *:

Company Contact *:

Address *:

City *:

State:

Country *:

Telephone Number *:

Fax Number *:

Email Address:

Company Website:

We declare that our company fully meets the prerequisites A, B, C, D and E, for eligibility to register with the United Nations as outlined in the paragraph 1 of the RFI INSTRUCTIONS page.

Signature: _____

Date: _____

Name and Title: _____

**** If not already registered with UN Procurement Division, please use the following URL to register at United Nations Global Marketplace (UNGM): www.ungm.org.**

RFI INSTRUCTIONS

1) Registering as a Vendor with the United Nations

Vendors interested in fulfilling the requirement described above must be registered at the UN Global Marketplace (www.ungm.org) with the UN Secretariat in order to be eligible to participate in any solicitation. Information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

Prerequisites for Eligibility

In order to be eligible for UN registration, you must declare that:

- A. Your company (as well as any parent, subsidiary or affiliate companies) is not listed in, or associated with a company or individual listed in:
 - I. the Compendium of United Nations Security Council Sanctions Lists (<https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list>), or
 - II. the IIC Oil for Food List website or, if listed on either, this has been disclosed to the United Nations Procurement Division in writing.
- B. Your company (as well as any parent, subsidiary or affiliate companies) is not currently removed or suspended by the United Nations or any other UN organisation (including the World Bank);
- C. Your company (as well as any parent, subsidiary or affiliate companies) is not under formal investigation, nor have been sanctioned within the preceding three (3) years, by any national authority of a United Nations Member State for engaging or having engaged in proscribed practices, including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice;
- D. Your company has not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against your company that could impair your company's operations in the foreseeable future;
- E. Your company does not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with the Vendor in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15).
- F. Your company undertakes not to engage in proscribed practices (including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice), with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN.

For Registered Vendors: Vendors already registered at the UN Global Marketplace with the UN Secretariat must ensure that the information and documentation (e.g. financial statements, address, contact name, etc.) provided in connection with their registration are up to date in UNGM. Please verify and ensure that your company is registered under its full legal name.

For Vendors Interested in Registration: Vendors not yet registered should apply for registration on the United Nations Global Marketplace (<http://www.ungm.org>); information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

IMPORTANT NOTICE: Any false, incomplete or defective vendor registration may result in the rejection of the application or cancellation of an already existing registration.

2) RFI Process

Vendors interested in responding should forward their information (as requested in the RFI) to the United Nations Procurement Division (UNPD) by the closing date set forth in this RFI. *Due to the high volume of communications, UNPD is not in a position to issue confirmation of receipt of RFIs.*

Please note that no further details of the planned solicitation can be made available to the vendors prior to issuance of the solicitation documents.

This RFI is issued subject to the conditions contained in the RFI introductory page available at <https://www.un.org/Depts/ptd/rfi>.