



United Nations Procurement Division

REQUEST FOR INFORMATION (RFI)

This notice is placed by UNPD. The accuracy, reliability and completeness of the contents of furnished information is the responsibility of United Nations Procurement Division. You are therefore requested to direct all queries regarding this RFI to United Nations Procurement Division using the fax number or e-mail address provided below.

Title of the RFI:

Investment Research Service- Independent Investment Analysis

Date of this RFI: 16 April 2024**Closing Date for Receipt of RFI:** 10 May 2024**RFI Number:** RFIUNPD22574**Beneficiary Country/Territory:** Global**Commodity/Service category:** Professional Services**Address RFI response by fax or e-mail to the Attention of:** Muhammed R Islam**Fax Number:****E-mail Address:** islam21@un.org**UNSPSC Code:**

84121700 - Investment advice

84000000 - Financial and Insurance Services

80100000 - Management advisory services



DESCRIPTION OF REQUIREMENTS

The United Nations (UN), on behalf of the United Nations Joint Staff Pension Fund (UNJSPF) is seeking information from qualified vendors capable of providing independent investment analysis, insights, and perspectives for managing the Fund's assets, which pertains, but is not limited to, macro-economic analysis, asset allocation and sub asset class strategies, geopolitical risks, and global sector/industry.

The service shall encompass a wide range of investments, including but not limited to, global equities (including emerging and frontier markets), global fixed income, Investment Grade credit, High-Yield securities, emerging markets debt, currencies, commodities, private equities, real estate, and real assets. The selected contractor will be responsible for delivering investment research at the global, regional, and country levels. This research and analysis should be provided periodically, such as daily, weekly, monthly, quarterly, and annually, as well as on an as-needed basis. The contractor is also expected to furnish comprehensive written reports in a timely manner and participate in interactive meetings with UNJSPF staff.

Required Duration of the service would be for 5 years.

In order to assess the market (identify potential vendors and capabilities), the UN is looking for the below information from the interested companies:

1. Please provide information on the number of periodic reports issued in 2023, along with a breakdown by region and country, including the frequencies of issuance.
2. Could you specify the number of ad-hoc reports that were issued in 2023, and provide the titles of these reports?
3. Please furnish details on the number of analyst meetings offered to clients in 2023, including the dates and titles of these meetings.
4. Can you provide information regarding the number of clients who are institutional investors with assets under management exceeding USD 30 billion? Please provide some of the name of Institutions if possible.
5. Lastly, kindly share details about the in-house research team, including the number of researchers and their respective locations. Additionally, it would be beneficial to include brief biographies of the senior staff members within the team.

Please send the above information directly by email to Muhammed Riad Islam, Procurement Officer, UN Procurement Division, (Email: islam21@un.org). The email subject should have the RFI reference number and the title of the RFI.

SPECIFIC REQUIREMENTS / INFORMATION (IF ANY)

The RFI is not a tender document and no award will be made under this RFI. The UN may issue a tender document/ Request for Proposal (RFP) at a later stage for the required services.

The interested companies who submit the responses under this RFI and found capable may be invited for the future RFPs in this regard.

The UN shall not be responsible, and cannot be held liable, for any cost or any loss, if incurred, in preparation and submission of such information to the UN.



NOTE

Information on tendering for the UN Procurement System is **available free of charge** at the following address: <https://www.ungm.org/Public/Notice>

Only the United Nations Global Marketplace (UNGM) has been authorised to collect a nominal fee from vendors that wish to receive automatically Procurement Notices or Requests for Expression Of Interest. Vendors interested in this Tender Alert Service are invited to subscribe on <http://www.ungm.org>

Vendors interested in participating in a potential future solicitation process should submit the Vendor Response Form of this RFI electronically (through the link available on the next page) before the closing date set forth above.



VENDOR RESPONSE

NOTICE

- Companies can only participate in solicitations of the UN Secretariat after completing their registration (free of charge) at the United Nations Global Marketplace (www.ungm.org).
- Please verify that your company is registered under its **full legal** name on the United Nations Global Market Place (www.ungm.org) and that your application for registration as vendor has been submitted to the **UN Secretariat** in the same site, to be able to participate in any potential solicitation process as a result of this RFI.
- While companies can participate in solicitations after completion of registration at Basic Level, we strongly recommend all companies to register at least at **Level 1** under the United Nations Secretariat prior to participating in any solicitations.
- Companies are reminded of the restrictions of employment of former UN personnel that were involved in the procurement process during their last three years of service as per ST/SGB/2006/15, including (a) employing those personnel for one year after separation of service and (b) allowing those personnel to communicate with, or appear before, active UN personnel for matters related to the procurement process for two years after separation of service. Violation of the provisions of ST/SGB/2006/15 may lead to suspension of the registration of the company as a UN vendor.

PLEASE NOTE: You should submit your response to this RFI electronically at:

<https://www.un.org/Depts/ptd/node/add/interest-expressed?EOI=RFIUNPD22574>

In case you have difficulties submitting your response electronically, please contact islam21@un.org directly for instructions.



RFI INSTRUCTIONS

1) Registering as a Vendor with the United Nations

Vendors interested in fulfilling the requirement described above must be registered at the UN Global Marketplace (www.ungm.org) with the UN Secretariat in order to be eligible to participate in any solicitation. Information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

Prerequisites for Eligibility

In order to be eligible for UN registration, you must declare that:

- A. Your company (as well as any parent, subsidiary or affiliate companies) is not listed in, or associated with a company or individual listed in:
 - I. the Compendium of United Nations Security Council Sanctions Lists (<https://www.un.org/securitycouncil/content/un-sc-consolidated-list>), or
 - II. the IIC Oil for Food List website or, if listed on either, this has been disclosed to the United Nations Procurement Division in writing.
- B. Your company (as well as any parent, subsidiary or affiliate companies) is not currently removed or suspended by the United Nations or any other UN organisation (including the World Bank);
- C. Your company (as well as any parent, subsidiary or affiliate companies) is not under formal investigation, nor have been sanctioned within the preceding three (3) years, by any national authority of a United Nations Member State for engaging or having engaged in proscribed practices, including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice;
- D. Your company has not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against your company that could impair your company's operations in the foreseeable future;
- E. Your company does not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with the Vendor in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15).
- F. Your company undertakes not to engage in proscribed practices (including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice), with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN.

For Registered Vendors: Vendors already registered at the UN Global Marketplace with the UN Secretariat must ensure that the information and documentation (e.g. financial statements, address, contact name, etc.) provided in connection with their registration are up to date in UNGM. Please verify and ensure that your company is registered under its full legal name.

For Vendors Interested in Registration: Vendors not yet registered should apply for registration on the United Nations Global Marketplace (<http://www.ungm.org>); information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>. Vendors must complete the registration process prior to the closing date of the RFI. Vendors who have not completed the UNGM registration process with the UN Secretariat before the closing date of the RFI are not considered eligible to participate in the potential solicitation process related to the RFI. We strongly recommend all companies to register at least at Level 1 under the UN Secretariat prior to participating in any solicitations.

IMPORTANT NOTICE: Any false, incomplete or defective vendor registration may result in the rejection of the application or cancellation of an already existing registration.

2) RFI Process

Vendors interested in participating in the potential solicitation process should forward their information (as requested in the RFI) to United Nations Procurement Division (UNPD) by the closing date set forth in this RFI. *Due to the high volume of communications UNPD is not in a position to issue confirmation of receipt of RFIs.*

Please note that no further details of the potential solicitation can be made available to the vendors prior to issuance of the solicitation documents.

This RFI is issued subject to the conditions contained in the RFI introductory page available at <https://www.un.org/Depts/ptd/rfi>.



