

**REQUEST FOR INFORMATION (RFI)****Title of the RFI:**

Information on Global Banking Services for the United Nations Treasury

**Date of this RFI:** 8 July 2019**Closing Date for Receipt of RFI at PD:** 25 July 2019**RFI Number:** RFIMM8221**Address RFI response by fax or e-mail to the Attention of:** Minako Mochizuki**Fax Number:** N/A**E-mail Address:** mochizuki@un.org**UNSPSC Code:** 84120000,84121500**DESCRIPTION OF REQUIREMENTS**

The purpose of this Request for Information (RFI) is to provide the United Nations Treasury (The Treasury) the latest market information with respect to global banking services.

**1. Use of Information**

- 1.1. All costs for preparing a response for the RFI must be covered by the vendor.
- 1.2. This RFI is a separate and independent process.
- 1.3. The requested information will only be used by the UN for internal planning purposes, be kept strictly confidential and only authorized personnel will have access to it.
- 1.4. The response to this RFI will not be used to pre-qualify vendors.
- 1.5. The provided information will not be contractually binding to the vendor.

**2. RFI Response Delivery**

As the objective of this RFI is to both gather information on the latest market price and identify market availability, your willingness and ability to reply will be of mutual benefit. Please note that

- 2.1. The response is to be received no later than 25 July 2019.
- 2.2. Please email your response to mochizuki@un.org. Additional material, if softcopy is not available, can be delivered and addressed to:

Ms. Minako Mochizuki  
UN Procurement Division  
1 UN Plaza, DC1-1440  
New York, NY 10017, USA

Marked:

RFI for Global Banking Services for the Treasury

### 3. The Treasury 's Operational Requirements

The Treasury issues approximately 130,000 monthly periodic payments in about 131 currencies to the staff members (salaries), vendors and third-party payments such government and non-government agencies in 193 countries from bank accounts located various countries in the world. In addition, the Treasury receives funds in multiple major currencies (USD, EUR and GBP). The average balance collected in US Dollars per month is about US \$100 Million. The number of deposit accounts is about 80. Furthermore, the Treasury pools and invests the incoming funds in various instruments held in a the Treasury Custodian bank. The Treasury objective is to leave the minimum amount in the deposit accounts, any prior day balance higher than US \$2,000 is invested. The information received from the RFI responses will be used to develop a procurement strategy for the required banking services.

### 4. Clarification Requests

Any clarification requests to this RFI should be sent to [mochizuki@un.org](mailto:mochizuki@un.org) by COB, 17 July 2019.

(1) The latest company profile, including but not limited the following:

- (1.1) A brief general description of your company, its structure, years in business, and focus;
- (1.2) Location of headquarters and main regional offices, operations overseas;
- (1.3) Company's unique product / services related to the Treasury's operational requirements;
- (1.4) Latest credit risk rating report or equivalent;
- (1.5) Latest annual report.

(2) Estimated price range / cost estimates for selected sample operational requirements (Attachment 1)

### **SPECIFIC REQUIREMENTS / INFORMATION (IF ANY)**

(1) The latest company profile, including but not limited the following:

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## NOTE

Information on tendering for the UN Procurement System is **available free of charge** at the following address: <https://www.ungm.org/Public/Notice>

Only the United Nations Global Marketplace (UNGM) has been authorised to collect a nominal fee from vendors that wish to receive automatically Procurement Notices or Requests for Expression Of Interest. Vendors interested in this Tender Alert Service are invited to subscribe on <http://www.ungm.org>

# VENDOR RESPONSE FORM

**TO:** Minako Mochizuki  
**Email:** mochizuki@un.org  
**FAX:** N/A

**RFI Number:**

**FROM:**

**SUBJECT:** Information on Global Banking Services for the United Nations Treasury

*To be filled by the Vendor (All fields marked with an '\*' are mandatory)*

## COMPANY INFORMATION

**UNGM Vendor ID Number\*:**

**Legal Company Name** (Not trade name or DBA name) \*:

**Company Contact \*:**

**Address \*:**

**City \*:**

**State:**

**Country \*:**

**Telephone Number \*:**

**Fax Number \*:**

**Email Address:**

**Company Website:**

We declare that our company fully meets the prerequisites A, B, C, D and E, for eligibility to register with the United Nations as outlined in the paragraph 1 of the RFI INSTRUCTIONS page.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name and Title: \_\_\_\_\_

**\*\* If not already registered with UN Procurement Division, please use the following URL to register at United Nations Global Marketplace (UNGM): [www.ungm.org](http://www.ungm.org).**

## RFI INSTRUCTIONS

### 1) Registering as a Vendor with the United Nations

Vendors interested in fulfilling the requirement described above must be registered at the UN Global Marketplace ([www.ungm.org](http://www.ungm.org)) with the UN Secretariat in order to be eligible to participate in any solicitation. Information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

#### **Prerequisites for Eligibility**

In order to be eligible for UN registration, you must declare that:

- A. Your company (as well as any parent, subsidiary or affiliate companies) is not listed in, or associated with a company or individual listed in:
  - I. the Compendium of United Nations Security Council Sanctions Lists (<https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list>), or
  - II. the IIC Oil for Food List website or, if listed on either, this has been disclosed to the United Nations Procurement Division in writing.
- B. Your company (as well as any parent, subsidiary or affiliate companies) is not currently removed or suspended by the United Nations or any other UN organisation (including the World Bank);
- C. Your company (as well as any parent, subsidiary or affiliate companies) is not under formal investigation, nor have been sanctioned within the preceding three (3) years, by any national authority of a United Nations Member State for engaging or having engaged in proscribed practices, including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice;
- D. Your company has not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against your company that could impair your company's operations in the foreseeable future;
- E. Your company does not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with the Vendor in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15).
- F. Your company undertakes not to engage in proscribed practices (including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice), with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN.

**For Registered Vendors:** Vendors already registered at the UN Global Marketplace with the UN Secretariat must ensure that the information and documentation (e.g. financial statements, address, contact name, etc.) provided in connection with their registration are up to date in UNGM. Please verify and ensure that your company is registered under its full legal name.

**For Vendors Interested in Registration:** Vendors not yet registered should apply for registration on the United Nations Global Marketplace (<http://www.ungm.org>); information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

**IMPORTANT NOTICE:** Any false, incomplete or defective vendor registration may result in the rejection of the application or cancellation of an already existing registration.

### 2) RFI Process

Vendors interested in responding should forward their information (as requested in the RFI) to the United Nations Procurement Division (UNPD) by the closing date set forth in this RFI. *Due to the high volume of communications, UNPD is not in a position to issue confirmation of receipt of RFIs.*

Please note that no further details of the planned solicitation can be made available to the vendors prior to issuance of the solicitation documents.

This RFI is issued subject to the conditions contained in the RFI introductory page available at <https://www.un.org/Depts/ptd/rfi>.