

United Nations



Nations Unies

Procurement Division  
New York

## REQUEST FOR EXPRESSION OF INTEREST (EOI)

**Title of the EOI:**

Investment Management Data Integration Services

**Date of this EOI:** 15 January 2019

**Closing Date for Receipt of EOI at PD:** 8 February 2019

**EOI Number:** EOIMI15936

**Address EOI response by fax or e-mail to the Attention of:** Mohammad Islam

**Fax Number:**

**E-mail Address:** islam17@un.org

**UNSPSC Code:** 84000000

### DESCRIPTION OF REQUIREMENTS

Overview of the Fund:

The United Nations Joint Staff Pension Fund ("UNJSPF" or "the Fund") was established by the General Assembly of the United Nations ("UN") to provide retirement, death, disability and related benefits for the staff of the UN and other international intergovernmental organizations admitted to membership in the Fund. The Secretary-General has delegated his fiduciary and trustee responsibilities to a senior United Nations official referred to as the Representative of the Secretary-General (RSG) for the investments of the UNJSPF. The Representative of the Secretary-General has delegated much of the operating responsibility for the management of the investments and the administration of the assets of the Fund to the staff of the Office of Investment Management ("OIM"). OIM makes investment decisions for the Fund, except for those decisions that require the agreement of the Representative of the Secretary-General. All investments made, at the time of the purchase, must meet the criteria of safety, profitability, liquidity, and convertibility endorsed by the General Assembly and the United Nations Joint Staff Pension Board ("UNJSPB"). OIM utilizes the services of various global, regional and national trading counterparties. The UNJSPF is an internally managed fund, with a total market value of \$65.6B as of 1 September 2018, invested in more than 100 countries and regions distributed in 26 currencies and in both publicly traded securities as well as private capital investments (private equity and real assets).

Please consult the Fund's website at <https://oim.unjspf.org/> for a breakdown of the Fund's assets per type of investment and additional information.

Purpose of this Request:

The OIM of the UNJSPF is requesting expressions of interest from vendors providing data integration

services. The services must support the effective integration of all OIM investment management and corporate systems and the orchestration of workflows for data integration and data quality validation and resolution processes across the organization.

### **SPECIFIC REQUIREMENTS / INFORMATION (IF ANY)**

#### **Mandatory Pre-Qualifying Requirements:**

The solution must meet all of the below mandatory requirements. Please provide supporting evidence for each item (written rationale, technical documentation, screenshots) by closing date of this EOI. Failure to provide sufficient supporting evidence on how your product meets each requirement, will result in exclusion from the Request for Proposals phase of the solicitation process.

Does your solution provide each of the following requirements?

R1) Implementation services – Does the vendor have experience building, maintaining and enhancing integration processes for investment management clients?

R2) Data Operations – Does the vendor currently provide day-to-day monitoring, exception handling, escalation and management reporting of data integration processes for investment management clients?

R3) Reconciliations – Does the vendor's platform provide capabilities for identifying discrepancies and managing exceptions in data between different sources according to configurable rules?

R4) Workflow Management – Does the vendor's platform provide capabilities for configuring and orchestrating workflows, including user interfaces for reviewing, interacting with, entering and repairing data?

R5) Operational Monitoring – Does the vendor provide operational monitoring of application and data integration and workflows including alerts/notifications, escalations, KPIs, management reports and dashboards?

R6) Data and Application Interfaces – Does the vendor provide integration services to investment management clients integrating their data with the following systems:

- a. Asset Management Systems (such as Bloomberg)
- b. Risk and Performance Systems (such as MSCI Barra and RiskMetrics)
- c. Custodians (such as Northern Trust)

- d. Alternative Asset Management Platforms (for Private Equity, Real Estate and Real Assets)
  - e. Investment Data Warehouses
  - f. Reporting Tools (such as PowerBI or Tableau)
  - g. Document Management Systems (such as MS Sharepoint)
- R7) Deployment – Does the vendor support deployment of the system including all required technology tools and platforms as a hosted solution, SaaS, or cloud platform?
- R8) Support – Does the vendor provide both on-site training and support in the New York area and global 24x6 remote support?
- R9) Security – Does the vendor follow best practices for data and cloud security, business continuity, and allow for the audit of user access, usage and configuration of permissions?

**NOTE**

Information on tendering for the UN Procurement System is **available free of charge** at the following address: <https://www.ungm.org/Public/Notice>

Only the United Nations Global Marketplace (UNGM) has been authorised to collect a nominal fee from vendors that wish to receive automatically Procurement Notices or Requests for Expression Of Interest. Vendors interested in this Tender Alert Service are invited to subscribe on <http://www.ungm.org>

***Vendors interested in participating in the planned solicitation process should complete/submit the Vendor Response Form of this EOI either electronically (through the link available on the next page) or send it via fax or e-mail to United Nations Procurement Division (UNPD) before the closing date set forth above.***

# VENDOR RESPONSE FORM

**TO:** Mohammad Islam

**EOI Number:** EOIMI15936

**Email:** islam17@un.org

**FAX:**

**FROM:**

**SUBJECT:** Investment Management Data Integration Services

## NOTICE

- Companies can only participate in solicitations of the UN Secretariat after completing their registration (free of charge) at the United Nations Global Marketplace ([www.ungm.org](http://www.ungm.org)).
- As you express interest in the planned solicitation by submitting this response form, please verify that your company is registered under its **full legal** name on the United Nations Global Marketplace ([www.ungm.org](http://www.ungm.org)) and that your application has been submitted to the UN Secretariat.
- We strongly recommend all companies to register at least at **Level 1** under the United Nations Secretariat prior to participating in any solicitations.

**PLEASE NOTE: You can express your interest to this REOI by filling out this form manually or electronically (recommended) at:**

<https://www.un.org/Depts/ptd/node/add/interest-expressed?EOI=EOIMI15936>

*To be completed by the Vendor (All fields marked with an '\*' are mandatory)*

## COMPANY INFORMATION

**UNGM Vendor ID Number\*:**

**Legal Company Name** (Not trade name or DBA name) \*:

**Company Contact \*:**

**Address \*:**

**City \*:**

**State:**

**Country \*:**

**Telephone Number \*:**

**Fax Number \*:**

**Email Address \*:**

**Company Website:**

We declare that our company fully meets the prerequisites A, B, C, D, E and F, for eligibility to register with the United Nations as outlined in the paragraph 1 of the EOI INSTRUCTIONS page.

Signature : \_\_\_\_\_

Date: \_\_\_\_\_

Name and Title : \_\_\_\_\_

## EOI INSTRUCTIONS

### 1) **Registering as a Vendor with the United Nations**

Vendors interested in fulfilling the requirement described above must be registered at the UN Global Marketplace ([www.ungm.org](http://www.ungm.org)) with the UN Secretariat in order to be eligible to participate in any solicitation. Information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>.

#### **Prerequisites for Eligibility**

In order to be eligible for UN registration, you must declare that:

- A. Your company (as well as any parent, subsidiary or affiliate companies) is not listed in, or associated with a company or individual listed in:
  - I. the Compendium of United Nations Security Council Sanctions Lists (<https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list>), or
  - II. the IIC Oil for Food List website or, if listed on either, this has been disclosed to the United Nations Procurement Division in writing.
- B. Your company (as well as any parent, subsidiary or affiliate companies) is not currently removed or suspended by the United Nations or any other UN organisation (including the World Bank);
- C. Your company (as well as any parent, subsidiary or affiliate companies) is not under formal investigation, nor have been sanctioned within the preceding three (3) years, by any national authority of a United Nations Member State for engaging or having engaged in proscribed practices, including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice;
- D. Your company has not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against your company that could impair your company's operations in the foreseeable future;
- E. Your company does not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with the Vendor in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15).
- F. Your company undertakes not to engage in proscribed practices (including but not limited to: corruption, fraud, coercion, collusion, obstruction, or any other unethical practice), with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN.

**For Registered Vendors:** Vendors already registered at the UN Global Marketplace with the UN Secretariat must ensure that the information and documentation (e.g. financial statements, address, contact name, etc.) provided in connection with their registration are up to date in UNGM. Please verify and ensure that your company is registered under its full legal name.

**For Vendors Interested in Registration:** Vendors not yet registered should apply for registration on the United Nations Global Marketplace (<http://www.ungm.org>); information on the registration process can be found at <https://www.un.org/Depts/ptd/vendors>. Vendors must complete the registration process prior to the closing date of the REOI. Vendors who have not completed the UNGM registration process with the UN Secretariat before the closing date of the REOI are not considered eligible to participate in solicitations of the UN Secretariat. We strongly recommend all companies to register at least at Level 1 under the UN Secretariat prior to participating in any solicitations.

**IMPORTANT NOTICE:** Any false, incomplete or defective vendor registration may result in the rejection of the application or cancellation of an already existing registration.

### 2) **EOI Process**

Vendors interested in participating in the planned solicitation process should forward their expression of interest (EOI) to the United Nations Procurement Division (UNPD) by the closing date set forth in this EOI. *Due to the high volume of communications, UNPD is not in a position to issue confirmation of receipt of EOIs.*

Please note that no further details of the planned solicitation can be made available to the vendors prior to issuance of the solicitation documents.

This EOI is issued subject to the conditions contained in the EOI introductory page available at <https://www.un.org/Depts/ptd/eoi>.