

This informal paper has been drafted in response to requests from Member States for assistance in preparing their reports pursuant to Security Council resolutions 1737 (2006), 1747 (2007) and/or 1803 (2008).

The informal paper has been reviewed by the members of the Security Council Committee established pursuant to resolution 1737 (2006) but has not been formally endorsed by the Committee and, as such, is not a Committee document and has no official status whatsoever.

The use of this informal paper is optional; States may use the entire paper, or elements thereof, in preparing their reports under the relevant Security Council resolution(s) if they so desire.

In paragraph 19 of resolution 1737 of 23 December 2006, the Security Council decided that all States would report to the Committee within 60 days of the adoption of the resolution [i.e., by 21 February 2007] on the steps they had taken with a view to implementing effectively paragraphs 3, 4, 5, 6, 7, 8, 10, 12 and 17 of the resolution.

In paragraph 8 of resolution 1747 of 24 March 2007, the Council called upon all States to report to the Committee within 60 days of the adoption of the resolution [i.e., by 23 March 2007] on the steps they had taken with a view to implementing effectively paragraphs 2, 4, 5, 6 and 7 of the resolution.

In paragraph 13 of resolution 1803 of 3 March 2008, the Council called upon all States to report to the Committee within 60 days of the adoption of the resolution [i.e., by 2 May 2008] on the steps they had taken with a view to implementing effectively paragraphs 3, 5, 7, 8, 9, 10 and 11 of the resolution.

If relevant information has already been submitted in previous reports to the Committee, precise reference to, and relevant excerpts from, such reports may be attached to the subsequent report. Information already submitted need not be duplicated in subsequent reports.

States are invited to submit clear, precise and comprehensive reports. Unless any information in States' reports is clearly specified as confidential, the entire report will be considered a public document and will be posted on the Committee's web page: <http://www.un.org/sc/committees/1737/index.shtml>.

Key documents on the sanctions regime imposed by resolutions 1737, 1747 and 1803 – including the text of the resolutions, the Consolidated List of individuals and entities, and the 90-day reports of the Chairman – are also available on the Committee's web page.

The Committee stands ready to consider any individual requests from Member States for further guidance, related to the implementation of any of the relevant paragraphs of resolutions 1737, 1747 and/or 1803.

Nuclear activities-related and nuclear weapons delivery systems-related embargo
(paragraphs 3 to 7 of resolution 1737 (2006) and paragraph 8 of resolution 1803 (2008))

The Security Council decided that all States would take the necessary measures to prevent the supply, sale or transfer directly or indirectly from their territories, or by their nationals or using their flag vessels or aircraft to, or for the use in or benefit of, Iran, and whether or not originating in their territories, of the items, materials, equipment, goods and technology described in paragraphs 3 and 4 of resolution 1737 and paragraph 8 of resolution 1803, as well as to prevent the provision to Iran of related assistance, training or other services, as described in paragraph 6 of resolution 1737 (2006). The prohibited items, materials, equipment, goods and technology include:

- *those set out in sections A.1, B.1 – B.7 of INFCIRC/254/Rev.8/Part.1 in document S/2006/814 (except: equipment covered by B.1 when such equipment is for light water reactors; low enriched uranium covered by A.1.2 when it is incorporated in assembled nuclear fuel elements for such reactors);*
- *those set out in INFCIRC/254/Rev.7/Part.2 of document S/2006/814 (except: sections 1 to 6 of the annex to that document, provided that certain criteria, as described in paragraph 8(a) of resolution 1803 (2008), are met);*
- *those set out in document S/2006/815;*
- *Any additional items, materials, equipment, goods and technology, as determined by the Security Council or the Committee;*
- *any other items not listed in documents S/2006/814 or S/2006/815 if the State determines that they would contribute to enrichment-related, reprocessing or heavy water-related activities, or to the development of nuclear weapon delivery systems;*
- *any further items if the State determines that they would contribute to the pursuit of activities related to other topics about which the IAEA has expressed concerns or identified as outstanding.*

The Council decided that Iran would not export any of the items in documents S/2006/814 and S/2006/815 and that all Member States would prohibit the procurement of such items from Iran by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of Iran.

1. What measures do you have in place to prevent the supply, by your territories or nationals, or using your flag vessels or aircraft, of the prohibited items, materials, equipment, goods and technology to Iran?
2. What measures do you have in place to prohibit the procurement, by your nationals, or using your flag vessels or aircraft, of the items in documents S/2006/814 and S/2006/815 from Iran?

3. What measures do you have in place (or will have in place) to address potential violations of the nuclear activities-related and nuclear weapons delivery systems-related embargo on Iran?

Ban on export / procurement of arms and related materiel from Iran (paragraph 5 of resolution 1747 (2007))

The Council decided that Iran would not supply, sell or transfer directly or indirectly from its territory or by its nationals or using its flag vessels or aircraft any arms or related materiel, and that all States would prohibit the procurement of such items from Iran by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of Iran.

4. What measures do you have in place to prevent the procurement of arms and related materiel from Iran?

5. What measures do you have in place (or will have in place) to address potential violations of the ban on procurement of arms and related materiel from Iran?

Assets freeze on designated persons and entities (paragraph 12 of resolution 1737 (2006), paragraph 4 of resolution 1747 (2007) and paragraph 7 of resolution 1803 (2008))

The Council decided that all States would freeze the funds, other financial assets and economic resources which were on their territories at the date of adoption of the relevant resolution or at any time thereafter, that are owned or controlled by designated persons and entities [see the Consolidated List for names and other identifiers], or by persons or entities acting on their behalf or at their direction, or by entities owned or controlled by them, including through illicit means, and decided further that all States would ensure that any funds, financial assets or economic resources were prevented from being made available by their nationals or by any persons or entities within their territories, to or for the benefit of those designated persons and entities.

6. Please describe briefly: (i) the domestic legal basis to implement the assets freeze required by the above-mentioned resolutions; (ii) any impediments under your domestic law in this context and steps taken to address them.

7. Please describe briefly: (i) the steps banks and/or other financial institutions are required to take to locate and identify the assets of designated persons and entities; (ii) any “due diligence” or “know your customer” requirements; (iii) how such requirements are enforced, including the names of activities of agencies responsible for them.

8. Please provide a list of assets that have been frozen until now, including, to the extent possible, identification(s) of the persons or entities whose assets have been frozen, a description of the nature of the assets frozen, and the value of the assets frozen.

9. Please describe the measures in place to prevent the availability of funds, financial assets or economic resources to designated persons and entities. This section could include a description of the relevant domestic laws, regulations and/or procedures. It could also include the types of institutions informed of the restrictions placed upon designated individuals and entities as well as the methods used to inform them.

Travel ban on designated individuals (paragraph 5 of resolution 1803 (2008))

The Council decided that all States would take the necessary measures to prevent the entry into or transit through their territories of designated individuals [see the Consolidated List for names and other identifiers], except where such entry or transit was for activities directly related to the items in subparagraphs 3 (b) (i) and (ii) of resolution 1737 (2006) and provided that nothing in paragraph would oblige a State to refuse its own nationals entry into its territory.

10. Please provide an outline of the legislative and/or administrative measures taken to implement the travel ban.

11. Have you included the names of the designated individuals in your national “stop list” or border checkpoint list? Please briefly outline steps taken and any problems encountered.

12. Have you stopped any of the designated individuals at any of your border points or while transiting your territory? If so, please provide additional information, as appropriate.

13. Please provide an outline of the measures taken to incorporate the names and other identifiers of designated individuals in the reference database of your Consular offices. Have your visa-issuing authorities identified any visa applicant who is a designated individual?

Travel vigilance and restraint, and travel notification requirement for designated individuals (paragraph 10 of resolution 1737 (2006), paragraph 2 of resolution 1747 (2007) and paragraph 3 of resolution 1803 (2008))

The Council called upon all States to exercise vigilance and restraint regarding the entry into or transit through their territories of individuals who were engaged in, directly associated with or providing support for Iran’s proliferation sensitive nuclear activities or for the development of nuclear weapon delivery systems, and decided in that regard that all States would notify the Committee of the entry into or transit through their territories of designated persons [see Consolidated List for names and other identifiers], except where such entry or transit was for activities directly related to the items in subparagraphs 3 (b) (i) and (ii) of resolution 1737 (2006).

14. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the travel vigilance and restraint, as described above.

15. Please provide an outline of the legislative and/or administrative measures taken to implement the travel notification requirement for designated individuals, as described above.

Teaching or training vigilance and prevention (paragraph 17 of resolution 1737 (2006))

The Council called upon all States to exercise vigilance and prevent specialized teaching or training of Iranian nationals, within their territories or by their nationals, of disciplines which would contribute to Iran's proliferation sensitive nuclear activities and development of nuclear weapon delivery systems.

16. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the teaching or training vigilance and prevention, as described above.

Conventional arms vigilance and restraint (paragraph 6 of resolution 1747 (2007))

The Council called upon all States to exercise vigilance and restraint in the supply, sale or transfer directly or indirectly from their territories or by their nationals or using their flag vessels or aircraft of any battle tanks, armoured combat vehicles, large calibre artillery systems, combat aircraft, attack helicopters, warships, missiles or missile systems as defined for the purpose of the United Nations Register on Conventional Arms to Iran, and in the provision to Iran of any technical assistance or training, financial assistance, investment, brokering or other services, and the transfer of financial resources or services, related to the supply, sale, transfer, manufacture or use of such items in order to prevent a destabilizing accumulation of arms.

17. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the conventional arms vigilance and restraint, as described above.

Prevention of grants, financial assistance and concessional loans (paragraph 7 of resolution 1747 (2007))

The Council called upon all States and international financial institutions not to enter into new commitments for grants, financial assistance, and concessional loans, to the Government of the Islamic Republic of Iran, except for humanitarian and developmental purposes.

18. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the call for prevention of grants, financial assistance and concessional loans, as described above, to the Government of Iran.

Vigilance in public provided financial support (paragraph 9 of resolution 1803 (2008))

The Council called upon all States to exercise vigilance in entering into new commitments for public provided financial support for trade with Iran, including the granting of export credits, guarantees or insurance, to their nationals or entities involved in such trade, in order to avoid such financial support contributing to the proliferation sensitive nuclear activities, or to the development of nuclear weapon delivery systems, as referred to in resolution 1737 (2006).

19. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the call for vigilance, as described above, in public provided financial support for trade with Iran.

Vigilance over the activities of financial institutions (paragraph 10 of resolution 1803 (2008))

The Council called upon all States to exercise vigilance over the activities of financial institutions in their territories with all banks domiciled in Iran, in particular with Bank Melli and Bank Saderat, and their branches and subsidiaries abroad, in order to avoid such activities contributing to the proliferation sensitive nuclear activities, or to the development of nuclear weapon delivery systems, as referred to in resolution 1737 (2006).

20. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the call for vigilance, as described above, over the activities of financial institutions in your territories with all banks domiciled in Iran and their branches and subsidiaries abroad.

Inspection of cargoes (paragraph 11 of resolution 1803 (2008))

The Council called upon all States, in accordance with their national legal authorities and legislation and consistent with international law, in particular the law of the sea and relevant international civil aviation agreements, to inspect the cargoes to and from Iran, of aircraft and vessels, at their airports and seaports, owned or operated by Iran Air Cargo and Islamic Republic of Iran Shipping Line, provided there were reasonable grounds to believe that the aircraft or vessel was transporting goods prohibited under resolution 1803 (2008) or resolution 1737 (2006) or resolution 1747 (2007).

21. Please provide an outline of the legislative and/or administrative actions taken, if any, to implement the call, if reasonable grounds exist, to inspect the cargoes to and from Iran, as described above.

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