

PARTNERSHIP INITIATIVES INFORMATION SHEET

Name of the Partnership/Initiative

Partnership on the Program for Developing Mechanisms to Reward the Upland Poor in Asia for Environmental Services They Provide (RUPES)

Expected date of initiation: The RUPES program started in January 2002, partnership building will start in June 2002

Expected date of completion: Dec 2005

Partners Involved:

Governments: The RUPES Program is expected to be implemented in pilot sites likely to be located in Indonesia, the Philippines, Thailand, Vietnam, Laos, China, Pakistan, Bangladesh, Sri Lanka and Nepal. It will build on current relationships of ICRAF and its emerging partner institutions with government institutions.

Intergovernmental organizations:

International Fund for Agricultural Development (current grant for RUPES)

EU (complementary ICRAF projects to be funded by EU)

UNDP Small Grants Programme (pilot site activities to be proposed for funding through regional/national level offices)

Bilateral institutions:

Complementary development /research efforts under negotiations for funding by:

United States Agency for International Development

Swedish International Development Agency

Major groups:

Local farmers groups in pilot sites

Local NGOs in pilot sites

National Academic and Research Institutions:

e.g., University of the Philippines at Los Banos, Philippines; Brawijaya University, Indonesia; Bogor Agricultural University, Indonesia, Hue University, Vietnam, Leyte State University, Philippines, Chiang Mai University, Thailand,

International Organizations:

Working relationships to be built with:

Center for International Forestry Research (CIFOR)

World Resources Institute (WRI)

International Institute for Environment and Development (IIED)

Economy and Environment Program for Southeast Asia (EEPSEA), IDRC

International Union for the Conservation of Nature

Worldwide Fund for Nature (WWF)
 Food and Agriculture Organization
 Other interested organizations

Leading Partner:

International Center for Research in Agroforestry
 Southeast Asia Regional Programme
 (ICRAF-SEA)

Name of the contact person/focal point: Marian S. delos Angeles, Ph.D.

Address: Jl. Cifor, Situ Gede, Sindang Barang, P.O. Box 161.

Bogor 16001, Indonesia

Phone: +62 251 625 415; 625 417

Fax: +62 251 625 416

E-mail: M.Delosangeles@cgiar.org

Main objectives of the Partnership/Initiative

Please provide a brief description:

Institutional mechanisms will be developed for recognizing and rewarding environmental services generated by upland poor communities who implement sustainable production systems. New methods for transfer payments to upland communities will be tested and monitored through action research; working models or best practices of successful environmental transfer payments adapted to the Asian context will be built. The Program will develop an international partnership/consortium of research and development institutions, local community groups, government institutions, national and international NGOs, the private sector and funding institutions to create the knowledge to deploy rewards to upland, poor, communities who supply environmental services. The rewards for environmental services may include: secure land rights, secure resource user rights; access to markets; public infrastructure; revenues from environmental trust funds and carbon credits; financial incentives. Payments for environmental services by beneficiaries may include: payments to trust funds; user fees for recreation, hydropower and watershed protection; payments for carbon credits; budget allocation by national and local governments for environmental protection.

Please also provide a brief description of the relationship of the Partnership/Initiative with the objectives of Agenda 21 as well as relevant goals and objectives of the United Nations Millennium Declaration:

The RUPES program which will be implemented through RUPES partnerships aims to *enhance livelihood and resource security for poor upland communities in Asia and sustain or increase environmental services.*

Many upland and mountain communities in Asia manage landscapes provide environmental services to outside beneficiaries often at high opportunity costs. At the same time, some of the earlier efforts for promoting environmental management inadvertently hurt the already disadvantaged groups because of poor project design, weak local institutions and problematic governance.

Thus, explicit development of mechanisms to reward the poor upland communities for providing environmental services would enhance their livelihood and reduce poverty at the same time that it will assure sustainable resources use, conserve biodiversity, protect watershed functions, and promote carbon storage and sequestration.

The RUPES Partnership Initiative will contribute to the UN Millennium Development Goals of eradicating extreme poverty and hunger; ensuring environmental sustainability; and developing partnership for development specifically addressing poverty reduction and sustaining resource use and environmental services simultaneously.

The RUPES Program puts into practice principles such as users pay/(polluters pay principles); the precautionary principle; and environmental justice, in support of Agenda 21. It falls under two categories of Partnership Initiatives for the Johannesburg Summit: poverty eradication and protecting and managing the natural resource base for economic and social development.

Expected results:

(i) Identification of environmental service functions across a range of settings, and assessment of where and how the benefits of these services currently are distributed.

(ii) An array of mechanisms is developed and tested in a range of settings, that will reward the poor for the environmental services they provide.

(iii) A transparent enabling institutional environment at local, subnational, and national levels has been supported, so as to deliver rewards that are effective, equitable, and sensitive to marginalized groups (including ethnic groups and women).

(iv) Awareness of the potential for rewards to enhance environmental services has been raised among government officials, and the producers and consumers of these services.

(v) Effective partnerships have been developed and managed to accomplish the project purpose

(vi) An appropriate and sustainable institutional approach to foster transfer payments to the poor identified

Specific targets of the Partnership/Initiative and timeframe for their achievement:

Year 1: (a) Development of Partnerships: hiring of RUPES Program Coordinator; first meeting of Steering Committee; communications plan developed; (b) Identification of environmental service functions in a range of settings, assess where and how they are provided and the distribution of their benefits; (d) initial pilot sites chosen; (e) proposals jointly developed with partners for specific activities at the initial set of pilot sites and additional learning sites submitted for funding by specific donors.

Years 2-4: (f) SWOT analysis of existing institutional mechanisms for environmental rewards and capacity building plan developed including mobilization of appropriate resources; (g) transparent and enabling mechanism to deliver the environmental rewards supported at various levels; (h) awareness of rewards among various stakeholders enhanced through various media and

platform for exchange of views; (I) various partnerships forged and assessed in terms of delivery of outputs; and (j) environmental reward mechanisms developed and tested for the upland poor.

Coordination and Implementation mechanism

Please provide a brief description of expected coordination/implementation mechanism of the Partnership/Initiative.

The Partnership is led by International Center for Research in Agroforestry (ICRAF), Southeast Asia Regional Programme based in the CIFOR complex in Bogor, Indonesia. ICRAF, with headquarters in Nairobi, Kenya, is one of the 16 centers of the International Consultative Group on International Agricultural Research (CGIAR).

The RUPES Partnership/Consortium will be managed by a Steering Committee composed of the international partner organizations, and representatives of participating organizations in the collaborating countries. The Steering Committee will guide the work, establish the consortium's annual workplan and financing plan, and assist in raising additional funds at the international, national and local levels.

Arrangements for funding

Please describe available and/or expected sources of funding for the implementation of the Partnership/Initiative (e.g. donor government(s); international organization(s)/financial institution(s); foundation(s); private sector; other major groups, etc.)

The International Fund for Agricultural Development (IFAD) has provided a grant of U.S. \$1.4 million over four years to build partnerships and provide seed funds for site-level development activities. Complementary funding are being sought to fund development work such as building capacity in local institutions, develop schemes for monitoring environmental services, conduct valuation studies as initial basis for environmental rewards to the poor providers and payments by beneficiaries of environmental services. Such funding may be provided to any member of this Partnership/Consortium. ICRAF is in the process of initiating partnerships among interested institutions, including formulating proposals for funding specific activities of the RUPES Program.

Arrangements for capacity building and technology transfer

Please include information if the Partnership/Initiative provides for training, informational support, institutional strengthening and/or other capacity building measures:

There is a large gap in the developing countries of Asia in the technical capacity to design, implement, monitor, and evaluate environmental services agreements, such that emerging markets for environmental services will not unduly hurt the poor, and could be transformed to serve both poverty eradication and sustainable development.

Capacity-building in this area must be greatly enlarged. After implementing selected pilot activities, the project will focus on disseminating the lessons learned. It will engage in training and outreach, general capacity building, and information programs. It will use its successful cases to show how the new approaches work to bring small producers of environmental services into the market. It will work on national or sub-national arrangements, which then link into regional or global financial mechanisms that are already in place, or under development.

Please also provide here a brief description of expected arrangements for technology transfer (if applicable).

Partnerships with national and subnational level institutions, including community-based, research and development partners and government agencies is expected to promote transfer of technology through the learning-by-doing, mode of action research. It is expected that a demonstration effect will result in wider adoption of RUPES mechanisms at various levels.

Links of Partnership/Initiative with on-going sustainable development activities at the international and/or regional level (if any)

Please provide a brief description:

The RUPES program aims to provide implementation support to IFAD-funded projects in the Asia, highlighting likely environmental services and functions that are provided by them. In addition, the program aims to assist multilateral development banks and other regional and global development organisations to better target their investments in this area. An integral role envisioned for the RUPES Partnership would be to facilitate a higher proportion of projects and programmes designed to tap global transfer payment flows to meet the interests of the most disadvantaged populations in the uplands.

Monitoring Arrangements

Please describe expected arrangements for monitoring of progress in the implementation of Partnerships/Initiative after it will be launched at the WSSD:

(e.g. frequency/modalities of preparation of progress reports; electronic updates, news-letters, etc)

The Program's progress will be regularly monitored and reviewed each year by the Steering Committee (SC) through formal and informal meetings and workshops organized for the purpose. These meetings and workshops could be held in conjunction with annual planning meetings. A final workshop will be held at the concluding year of the Program in which the outputs, achievement and lessons from implementation sites will be presented and assessed. In addition to meetings and workshops, the Program project will provide a venue by which scientists and practitioners alike will be able to visit and learn from results of various sites.

Annual technical reports giving details of progress achieved through the project will be prepared and distributed. Brief updates will be provided to communications media of various members of the Partnership/Consortium.

Other relevant information:

Web-site (if available): <http://www.icraf.org/sea/RUPES/index.htm>

Name and contact information of the person filling in this table:

Name: Marian S. delos Angeles, Ph.D.

*Position: Senior Environmental Policy Economist for Southeast Asia, ICRAF-SEA
And Project Leader, RUPES*

Address: Jl Cifor, Situ Gede, Sindang Barang, P.O. Box 161, Bogor 16001, INDONESIA

Phone: +62 251 625 415, 625 417

Fax: +62 251 625 416

E-mail: M.Delosangeles@cgiar.org