



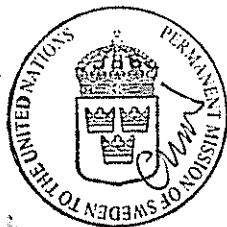
PERMANENT MISSION OF SWEDEN
TO THE UNITED NATIONS

New York

The Permanent Mission of Sweden to the United Nations presents its compliments to the United Nations Security Council Committee established pursuant to resolution 1540 (2004) and has the honour to forward an updated matrix providing information on Sweden's implementation of resolution 1540 as well as a cover letter from Deputy Director General Henrik Salander, Head of the Department of Disarmament and Non-proliferation at the Swedish Ministry for Foreign Affairs. Copies of the documents have already been submitted by e-mail to the experts of the Committee.

The Permanent Mission of Sweden to the United Nations avails itself of this opportunity to renew to the United Nations Security Council Committee established pursuant to resolution 1540 (2004) the assurances of its highest consideration.

New York, 1 February 2008



United Nations Security Council
Committee established pursuant
to resolution 1540 (2004)
United Nations
NEW YORK



REGERINGSKANSLIET

Stockholm, 24 January 2008

Ministry for Foreign Affairs
Sweden

Department for Disarmament and Non-proliferation (NIS)
Deputy Director General
Head of Department
Henrik Salander

United Nations Security Council
Committee established pursuant
to resolution 1540(2004)

Swedish implementation of UNSC resolution 1540 (2004)

1 encl.

In response to the letter dated 17 October from the Chairman of the Security Council Committee established pursuant to resolution 1540, please find enclosed an updated matrix providing information on Sweden's implementation of resolution 1540.

During the autumn 2007, the Swedish Ministry for Foreign Affairs initiated an ongoing study on Sweden's implementation of resolution 1540 aimed at clarifying some outstanding issues and further improving the implementation of this resolution. This study is also mentioned in the enclosed matrix. An asterisk (*) is inserted into cells in the matrix to denote that the issue is presently under review in this study.

When amending information in cells we have used "*italics*" and added the word "*(amendment)*". A few comments are also inserted, using "*italics*".

Sweden's contribution has been inserted into your electronic file of the matrix (in Excel format), which we received on 11 December 2007 from the UNSC 1540 Committee Secretariat, to facilitate further work.

If it is appropriate for you, the matrix – as a working document – may be posted on the Committee's website as a public document.

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Stockholm, 24 January 2008

Your well designed matrix, covering the requirements in the 1540 resolution, will certainly be of great help to us also in the next phase of the study on Sweden's implementation of resolution 1540.

With kind regards,

(signed)

Henrik Salander
Deputy Director General
Head of Department

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10 (to be sent to the 1540 Committee, revised 21 January 2008)

Sweden

State:

28 October 2004

Date of Report:

08 November 2005

Date of Addendum 1:

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD	X	Does not possess any weapons of mass destruction	page 2 of Addendum 1
2 General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD; longtime advocate of disarmament and non-proliferation	pages 7 and 8 of the report
3 General statement on non-provision of WMD and related materials to non-State actors	X	Attempt by non-State actors to develop, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery is prohibited under Swedish law.	page 2 of Addendum 1
4 Biological Weapons Convention (BWC)	X	Sweden has ratified BTWC on 5 Februari 1976 (amendment)	pages 2 and 6 of the report
5 Chemical Weapons Convention (CWC)	X	Sweden has ratified CWC on 17 June 1993 (amendment)	pages 2 and 6 of the report
6 Nuclear Non-Proliferation Treaty (NPT)	X	Sweden has ratified NPT on 9 January 1970 (amendment)	pages 2 and 6 of the report

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations

7	Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Sweden has ratified CTBT on 2 December 1998 (amendment)	page 2 of the report
8	Convention on Physical Protection of Nuclear Material (CPPNM)	X	Sweden has ratified CPPNM on 1 August 1980 (amendment)	page 5 of the report
9	Hague Code of Conduct (HCOC)	X	Sweden signed the HCOC on 25 November 2002 (amendment)	page 2 of the report
10	Geneva Protocol of 1925	X	Sweden has ratified the Geneva Protocol on 25 April 1930 (amendment)	page 2 of the report
11	International Atomic Energy Agency (IAEA)	X	Since 1957	pages 6 and 7 of the report
12	Nuclear Weapons Free Zone/ Protocol(s)		<i>n.a (amendment)</i>	
13	Other Conventions/Treaties	X	1. EURATOM Treaty 2. International Convention for the Suppression of the Financing of Terrorism 3. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management 4. Convention on Nuclear Safety	page 8 of the report of the European Union (EU) pages 3 and 6 of Addendum 1
14	Other Arrangements	X	1. Zanger Committee (ZC) 2. Nuclear Supplies Groups (NSG) 3. Australia Group (AG) 4. Missile Technology Control Regime (MTCR) 5. Wassenaar Arrangement (WA)	page 2 of the report
15	Other	X	EU Council Decision of 17 November 2003 to include WMD provisions in Agreements with third countries.	page 6 of the EU report

OP 2 - Biological Weapons (BW)

State: **Sweden**

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

	Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		if YES, indicate source document of national implementation law	YES	if YES, indicate source document	YES	
1	manufacture/produce			X	1- Penal-Code 2- Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 7 of Addendum 1
2	acquire			X		
3	possess			X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 7 of Addendum 1
4	stockpile/store			X	1- Penal-Code 2- Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 7 of Addendum 1
5	develop			X		
6	transport					
7	transfer			X	1- Penal-Code 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 7 of Addendum 1
8	use			X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148), Sections 2 and 3: terrorist intent 2- Penal-Code (amendment)	page 7 of Addendum 1
9	participate as an accomplice in a.m. activities			X		
10	assist in a.m. activities			X	1. Penal Code, Chapter 23, Section 4 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148)	page 3 of the report page 7 of Addendum 1

11	finance a.m. activities			X	Act on Criminal Responsibility for the Financing of Particular Serious Crime: in some cases (SFS 2002:444)	page 3 of the report
12	a.m. activities related to means of delivery			X	1. Penal Code, Chapter 23, Section 2 2. Military Equipment Act (SFS 1992:1300)	page 8 of Addendum 1
13	involvement of non-State actors in a.m. activities			X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148) 2. Penal Code, Chapter 36, Section 7	page 3 of the report page 8 of Addendum 1
14	Other	?	Obligations under the BWC are fully implemented in law	X	Courts have universal jurisdiction: principle of extraterritoriality	page 3 of the report page 3 of Addendum 1

OP 2 - Chemical Weapons (CW)

Sweden

State:

28 October 2004

Date of Report:

08 November 2005

Date of Addendum 1:

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Penal code (amendment)	X	1. Penal Code, Chapter 22, Section 6 a 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 8 of Addendum 1
2 acquire	X	Penal code (amendment)	X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 10 of Addendum 1
3 possess	X	Penal code (amendment)	X	1. Penal Code, Chapter 22, Section 6 a 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 8 of Addendum 1
4 stockpile/store	X	Penal code (amendment)	X	1. Penal Code, Chapter 22, Section 6 a 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 8 of Addendum 1
5 develop	X	Penal code (amendment)	X	1. Penal Code 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148)	page 3 of the report
6 transport					
7 transfer			X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148), Sections 2 and 3: terrorist intent 2. Penal Code, Chapter 22, Section 6 a	page 8 of Addendum 1
8 use	X	Penal code (amendment)	X	1. Penal Code, Chapter 23, Section 4 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148)	page 3 of the report page 9 of Addendum 1
9 participate as an accomplice in a.m. activities	X	Penal code (amendment)	X		
10 assist in a.m. activities	X	Penal code (amendment)	X		

11	finance a.m. activities	X	Penal code (amendment)	X	Act on Criminal Responsibility for the Financing of Particular Serious Crime: in some cases (SFS 2002:444)	page 3 of the report page 9 of Addendum 1
12	a.m. activities related to means of delivery	X	Penal code (amendment)	X	Penal Code, Chapter 23, Section 2	page 9 of Addendum 1
13	involvement of non-State actors in a.m. activities	X	Penal code (amendment)	X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148) 2. Penal Code, Chapter 36, Section 7	page 3 of the report page 9 of Addendum 1
14	Other	?	Obligations under the CWC are fully implemented in law	X	Courts have universal jurisdiction: principle of extraterritoriality	page 3 of the report page 3 of Addendum 1

OP 2 - Nuclear Weapons (NW)

Sweden

State: Sweden
 Date of Report: 28 October 2004
 Date of Addendum 1: 08 November 2005

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce			X	1- Penal-Code 2- Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 10 of Addendum 1
2 acquire			X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 10 of Addendum 1
3 possess			X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 10 of Addendum 2
4 stockpile/store			X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 10 of Addendum 1
5 develop			X	Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 10 of Addendum 1
6 transport					
7 transfer			X	1- Penal-Code 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148): terrorist intent	page 3 of the report page 7 of Addendum 1
8 use			X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148), Sections 2 and 3: terrorist intent 2. Penal Code	page 9 of Addendum 1
9 participate as an accomplice in a.m. activities			X	1. Penal Code, Chapter 23, Section 4 2. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148)	page 3 of the report page 10 of Addendum 1
10 assist in a.m. activities			X		

11	finance a.m. activities		X	Act on Criminal Responsibility for the Financing of Particular Serious Crime: in some cases (SFS 2002:444)	page 3 of the report page 10 of Addendum 1
12	a.m. activities related to means of delivery		X	Penal Code, Chapter 23, Section 2	pages 10 and 11 of Addendum 1
13	involvement of non-State actors in a.m. activities		X	1. Act on Criminal Responsibility for Terrorist Crimes (SFS 2003:148) 2. Penal Code, Chapter 36, Section 7	page 3 of the report page 11 of Addendum 1
14	Other	?	X	Courts have universal jurisdiction: principle of extraterritoriality	page 3 of the report page 3 of Addendum 1

~~Obligations under the NPT are fully implemented in law~~

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

State: **Sweden**

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	*		*		
2 Measures to account for use	*		*		
3 Measures to account for storage	*		*		
4 Measures to account for transport	*		*		
5 Other measures for accounting	*		*		
6 Measures to secure production	X	1. Directive 2000/54/EC Comment: EC directive, not a national legal framework. *	*		page 4 of the report
7 Measures to secure use	X	2. Regulations for the protection of workers from risks related to exposure to biological agents (AFS 2005:1)	*		page 4 of Addendum 1
8 Measures to secure storage	X		*		
9 Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC Comment: EC directives, not a national legal framework. *	X	1. Act on Transport of Dangerous Goods (SFS 1984:821-2006:263) (amendment) Comment: 2006:263 relates to BW but not to Related Materials. * 2. Party to ADR and RID agreements *	page 4 of the report pages 10 and 11 of the EU report

10	Other measures for securing	*	*	*	
11	Regulations for physical protection of facilities/materials/ transports	*			
12	Licensing/registration of facilities/persons handling biological materials	X	Notification or permission by the Work Environment Authority (SWEA)	X	SWEA controls handling of work with biological agents page 4 of the report page 4 of Addendum 1
13	Reliability check of personnel	*		*	
14	Measures to account for/secure/physically protect means of delivery	*		*	
15	Regulations for genetic engineering work	X	National Board of Occupational Safety and Health on 1. Contained use of GMO must be notified to/permitted by SWEA (SFS 2000:271) 2. Contained use of GMOs (AFS 2000:5) and on Biological Agents (AFS-1997:12)	*	page 4 of the report page 5 of Addendum 1
16	Other legislation/ regulations related to safety and security of biological materials	X	Directive 89/391/EC Comment: EC directive, not a national legal framework. *	*	page 10 of the EU report
17	Other				

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

State: Sweden

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

	Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Measures to account for production	X	National Swedish Inspectorate of Strategic Products Regulations on the control of chemical precursors (TFS 2000:26) (amendment) *	*		page 4 of the report page 5 of Addendum 1
2	Measures to account for use	X		*		
3	Measures to account for storage	X		*		
4	Measures to account for transport		*	*		
5	Other measures for accounting		*	*		
6	Measures to secure production	X	Directive 98/24/EC Comment: EC directive, not a national legal framework. *	*		page 10 of the EU report
7	Measures to secure use	X		*		
8	Measures to secure storage	X		*		
9	Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC Comment: EC directives, not a national legal framework. *	X	1. Act on Transport of Dangerous Goods (SFS 981:821) Comment: 2006:263 relates to CW but not to Related Materials. * 2. Party to ADR and RID agreements	page 4 of the report pages 10 and 11 of the EU report
10	Other measures for securing		*	*		
11	Regulations for physical protection of facilities/materials/ transports		*	*		
12	Licensing of chemical installations/entities/use of materials	X	Licensing system for chemicals included in Schedule I	*		page 4 of the report

13	Reliability check of personnel	*				
14	Measures to account for/secure/physically protect means of delivery	*				
15	National CWC authority	X	National-Swedish Inspectorate of Strategic Products (<i>amendment</i>)	X	Compliance visits	page 4 of the report
16	Reporting Schedule I, II and III chemicals to OPCW	X	Reporting requirements implemented			page 4 of the report
17	Account for, secure or physically protect old chemical weapons	*				
18	Other legislation/ regulations controlling chemical materials	*				
19	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

State: **Sweden**

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others if YES, indicate source document	Remarks
	YES	if YES, indicate source document		
1 Measures to account for production	X	The Nuclear Activities Act (SFS 1984:3) <i>Comment: not relevant for Related Materials. *</i>	*	page 4 of the report
2 Measures to account for use	X		*	
3 Measures to account for storage	X		*	
4 Measures to account for transport		*	*	
5 Other measures for accounting	X	1. Council Directive 2003/122/ EURATOM 2. Directive 96/29/EURATOM <i>Comment: EC directives, not a national legal framework *</i>	*	page 8 of the EU report
6 Measures to secure production	X	1. The Nuclear Activities Act (SFS 1984:3)	X	
7 Measures to secure use	X	2. <i>Swedish Nuclear Power Inspectorate's Regulations concerning Safety in Nuclear Facilities (SKIFS 2004:1) (amendment) Comment: not relevant for Related Materials. *</i>	X	Supervision by the Swedish Nuclear Power Inspectorate and the Swedish Inspectorate for Strategic Products (amendment)
8 Measures to secure storage	X		X	page 4 of the report page 4 of addendum 1

9	Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC Comment: EC directives, not national legal framework *	X	1. Directive 92/3 EURATOM: prior notification of shipments 2. Act on Transport of Dangerous Goods (SFS 1981:821) Comment: not relevant for Related Materials. *	pages 10 and 11 of the EU report page 4 of the report
10	Other measures for securing		*		*	
11	Regulations for physical protection of facilities/materials/ transports	X	Regulations issued by Swedish Nuclear Power Inspectorate (SKIFS 2005:1) (amendment)		*	page 5 of the report page 4 of Addendum 1
12	Licensing of nuclear installations/entities/use of materials	X	1. Directive 96/29 EURATOM: prior authorization Comment: EC directive, not national legal framework. * 2. Licensing of nuclear power-facilities installations, production, use, storage, acquisition and transfer of nuclear material		*	page 8 of the EU report page 5 of the report page 5 of Addendum 1
13	Reliability check of personnel	X	Presently-voluntary-check-bB basic reliability check according to SKIFS 2005:1 will-enter-into-force-01-January-2007 (amendment)		*	page 5 of Addendum 1
14	Measures to account for/secure/physically protect means of delivery		*		*	
15	National regulatory authority	X	Swedish Nuclear Power Inspectorate for Nuclear Transfers (See IAEA's document INFCIRC/254, Part 1). Swedish Inspectorate for Strategic Products for Transfers of Nuclear-Related Dual-Use Equipment, Materials, Software and Related Technology (See IAEA's document INFCIRC/254, Part 2) (amendment)		*	pages 5 and 8 of the report
16	IAEA Safeguards Agreements	X	1. Safeguards Agreement, accession 01 June 1995 2. Additional Protocol in force 30 April 2004		*	page 4 of the report http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf

17	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support to IAEA DG	*	http://www-ns.iaea.org/downloads/rw/meetings/code-conduct-signatories.pdf
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	X	Contributes to Database Programme	*	http://www.iaea.org/About/Policy/GC/GC42/Documents/gc42-17.html
19	Other Agreements related to IAEA	X	<ol style="list-style-type: none"> 1. Support Programme of IAEA safeguards 2. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management 3. Convention on Nuclear Safety 	*	page 6 of Addendum 1
20	Additional national legislation/regulations related to nuclear materials including CPPNM	X	<ol style="list-style-type: none"> 1. Radiation Protection Act (SFS 1988:220) 2. Radiation Protection Ordinance (SFS 1988:293) 	*	pages 3 and 4 of the report
21	Other	<p>?</p> <p>X (a) <i>me</i> <i>nd</i> <i>me</i> <i>nt</i>)</p>	<ol style="list-style-type: none"> 1. Revised New regulation (SKIFS 2005:1) strengthening physical protection measures is under consideration (SKIFS 2005:4) will enter into force on 01 January 2007 (amendment) 2. New regulation regarding nuclear materials control is foreseen to be issued in 2006 2005* 	*	pages 4 and 5 of the report page 6 of Addendum 1

OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials

State: Sweden

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	<p>1. Council Regulation no. 2913/1992 (Community Customs Code)</p> <p>2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code)</p> <p>3. Customs Code (SFS 2000:1281)</p> <p>4. Customs Ordinance (SFS 2000:1306)</p> <p>5. Act concerning the powers of custom administration of Sweden's borders with other EU- countries (SFS 1996: 701) and respective Ordinance (SFS 1996:702)</p> <p>6. Supplementary provisions issued by Swedish Customs (TFS 1996:21)</p>	X	<p>1. Act on Penalties for Smuggling (SFS 2000: 1225)</p> <p>2. Council Regulation (EC) No. 515/97 of 13 March 1997: Mutual assistance between EU Member States</p>	pages 5 and 6 of the report
2 Technical support of border control measures	*		X	<p>Customs has reinforced its CBRN detection capability by i.e. establishing special CBRN trained and equipped Flexible Anti-smuggling Teams</p> <p>is planning to reinforce NBC-control capacity</p>	page 6 of Addendum 1 page 6 of the report
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	<p>The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303)</p> <p><i>Comment: This is relevant for arms but not for dual use items. *</i></p>	?	<p>Permit-required-for-brokering-of-military-equipment *</p>	page 6 of Addendum 1 page 6 of the report

4 Enforcement agencies/authorities

*

X

Swedish Customs Service, Coast
Guard, Police

page 5 of the report

5	Export control legislation in place	X	1. Commission Council Regulation (EC) No. 1334/2000 (<i>amendment</i>) 2. Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>) 3. The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303) 4. Act on the Control of Dual-use Items and Technical Assistance (SFS 2000:1064) and respective Ordinance (SFS 2000:1217) 5. Supplementary provisions issued by the Swedish Customs (TFS 1997:35 and TFS 2000:29)	X	1. Act on Penalties for Smuggling (SFS 2000:1225) 2. Act on the Control of Dual-use Items and Technical Assistance (SFS 2000:1064)	pages 5 and 6 of the report page 14 of the EU report
6	Licensing provisions	X			*	page 14 of the EU report
7	Individual licensing	X	General-global and individual licenses (<i>amendment</i>)		*	page 5 of Addendum 1
8	General licensing	X	General-global and individual licenses (<i>amendment</i>)		*	page 5 of Addendum 1
9	Exceptions from licensing	X	Within the Community free circulation of all goods, further exceptions are listed in Annex IV of Regulation 4504/2004 1183/2007 (<i>amendment</i>)		*	page 14 of the EU report
10	Licensing of deemed export/visa					
11	National licensing authority	X	National Swedish Inspectorate of Strategic Products (<i>amendment</i>)		n.a. (<i>amendment</i>)	page 5 of Addendum 1
12	Interagency review for licenses		*	X	EU-members-should-consult-with-other-members-before-issuing-licenses (<i>amendment</i>)	page 15 of the EU report page 6 of Addendum 1
13	Control lists	X	1-Council-Regulation-(EC)-No-1334/2000 (<i>amendment</i>) 2. Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>) 3. List of Military Equipment Ordinance (1992:1303)		n.a. (<i>amendment</i>)	page 7 of the report page 14 of the EU report
14	Updating of lists	X	Regularly updated		n.a. (<i>amendment</i>)	page 14 of the EU report
15	Inclusion of technologies	X	Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>)		n.a. (<i>amendment</i>)	page 14 of the EU report

16	Inclusion of means of delivery	X	Council Regulation (EC) No. 1504/2004 1183/2007 (amendment)	<i>n.a. (amendment)</i>	page 14 of the EU report
17	End-user controls	X	in-most-cases-Mandatory for individual licenses. Some end-use controls for global licenses (amendment)	*	page 6 of the report page 5 of Addendum 1
18	Catch all clause	X	Council Regulation (EC) No. 1334/2000	*	page 6 of Addendum 1
19	Intangible transfers	X	Council Regulation (EC) No. 1334/2000	*	page 6 of Addendum 1
20	Transit control	X	Export license required for transit of arms. No such control for transit of dual use items (amendment)	*	page 6 of the report
21	Trans-shipment	X	Export license required for trans-shipment of arms. No such control for trans-shipment of dual use items (amendment)	*	page 6 of the report
22	Re-export control		Same as export control (amendment)	*	
23	Control of providing funds		*	*	
24	Control of providing transport services		*	*	
25	Control of importation		*	*	
26	Extraterritorial applicability			X	page 3 of the report page 3 of Addendum 1
27	Other				

OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials

State: Sweden

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	<p>1. Council Regulation no. 2913/1992 (Community Customs Code)</p> <p>2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code)</p> <p>3. Customs Code (SFS 2000: 1281)</p> <p>4. Customs Ordinance (SFS 2000: 1306)</p> <p>5. Act concerning the powers of custom administration of Sweden's borders with other EU- countries (SFS 1996: 701) and respective Ordinance (SFS 1996: 702)</p> <p>6. Supplementary provisions issued by Swedish Customs (TFS 1996:21)</p>	X	<p>1. Act on Penalties for Smuggling (SFS 2000: 1225)</p> <p>2. Council Regulation (EC) No. 515/97 of 13 March 1997: Mutual assistance between EU Member States</p>	pages 5 and 6 of the report
2 Technical support of border control measures	*		X	<p>Customs has reinforced its CBRN detection capability by i.e. establishing special CBRN trained and equipped Flexible Anti-smuggling Teams</p> <p>Is-planning-to-reinforce-NBC-control-capacity</p>	page 6 of Addendum 1 page 6-of-the-report

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303) <i>Comment: This is relevant for arms but not for dual use items. *</i>	?	Permit required for brokering of military equipment *	page 6 of Addendum 1 page 6 of the report
4	Enforcement agencies/authorities		*	X	Swedish Customs Service, Coast Guard, Police	page 5 of the report

5	Export control legislation in place	X	<p>1. Gemission Council Regulation (EC) No. 1334/2000 (<i>amendment</i>)</p> <p>2. Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>)</p> <p>3. The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303)</p> <p>4. Act on the Control of Dual-use items and Technical Assistance (SFS 2000:1064) and respective Ordinance (SFS 2000:1217)</p> <p>5. Supplementary provisions issued by the Swedish Customs (TFS 1997:35 and TFS 2000:29)</p>	X	<p>1. Act on Penalties for Smuggling (SFS 2000:1225)</p> <p>2. Act on the Control of Dual-use items and Technical Assistance (SFS 2000:1064)</p>	<p>pages 5 and 6 of the report</p> <p>page 14 of the EU report</p>
6	Licensing provisions	X			*	page 14 of the EU report
7	Individual licensing	X	<p>General, global and individual licenses (<i>amendment</i>)</p>		*	page 5 of Addendum 1
8	General licensing	X	<p>General, global and individual licenses (<i>amendment</i>)</p>		*	page 5 of Addendum 1
9	Exceptions from licensing	X	<p>Within the Community free circulation of all goods, <i>further</i> exceptions are listed in Annex IV II of Regulation 4504/2004 1183/2007 (<i>amendment</i>)</p>		*	page 14 of the EU report
10	Licensing of deemed export/visa					
11	National licensing authority	X	<p>National Swedish Inspectorate of Strategic Products (<i>amendment</i>)</p>			page 5 of Addendum 1
12	Interagency review for licenses			X		page 15 of the EU report
13	Control lists	X	<p>1. Council Regulation (EC) No. 4334/2000 (<i>amendment</i>)</p> <p>2. Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>)</p> <p>3. List of Military Equipment Ordinance (1992:1303)</p>			page 7 of the report
14	Updating of lists	X	<p>Regularly updated</p>			page 14 of the EU report
15	Inclusion of technologies	X	<p>Council Regulation (EC) No. 4504/2004 1183/2007 (<i>amendment</i>)</p>			page 14 of the EU report

16	Inclusion of means of delivery	X	Council Regulation (EC) No. 4504/2004 1183/2007 (amendment)		<i>n.a. (amendment)</i>	page 14 of the EU report
17	End-user controls	X	In-most-eases-Mandatory for individual licenses. Some end-use controls for global licenses. (amendment)	*	*	page 6 of the report page 5 of Addendum 1
18	Catch all clause	X	Council Regulation (EC) No. 1334/2000	*	*	page 6 of Addendum 1
19	Intangible transfers	X	Council Regulation (EC) No. 1334/2000	*	*	page 6 of Addendum 1
20	Transit control	X	Export license required for transit of arms. No such control for transit of dual use items. (amendment)	*	*	page 6 of the report
21	Trans-shipment control	X	Export license required for trans-shipment of arms. No such control for trans-shipment of dual use items. (amendment)	*	*	page 6 of the report
22	Re-export control		Same as export control (amendment)	*	*	
23	Control of providing funds			*	*	
24	Control of providing transport services			*	*	
25	Control of importation	X	National Swedish Inspectorate of Strategic Products Regulations on the Control of Chemical Precursors (TFS 2000: 26) (amendment)	*	*	page 4 of the report
26	Extraterritorial applicability			X	Courts have universal jurisdiction	page 3 of the report page 3 of Addendum 1
27	Other					

OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials

State: Sweden

Date of Report: 28 October 2004

Date of Addendum 1: 08 November 2005

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	<p>1. Council Regulation no. 2913/1992 (Community Customs Code)</p> <p>2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code)</p> <p>3. Customs Code (SFS 2000: 1281)</p> <p>4. Customs Ordinance (SFS 2000: 1306)</p> <p>5. Act concerning the powers of custom administration of Sweden's borders with other EU- countries (SFS 1996: 701) and respective Ordinance (SFS 1996: 702)</p> <p>6. Supplementary provisions issued by Swedish Customs (TFS 1996:21)</p>	X	<p>1. Act on Penalties for Smuggling (SFS 2000: 1225)</p> <p>2. Council Regulation (EC) No. 515/97 of 13 March 1997: Mutual assistance between EU Member States</p>	pages 5 and 6 of the report
2 Technical support of border control measures	*		X	<p>Customs has reinforced its CBRN detection capability by i.e. establishing special CBRN trained and equipped Flexible Anti-smuggling Teams</p> <p>Is-planning-to-reinforce-NBC-control-capacity</p>	page 6 of Addendum 1 page 6-of-the-report

3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303) <i>Comment: This is relevant for arms but not for dual use items. *</i>	?	Permit required for brokering of military equipment *	page 6 of Addendum 1 page 6 of the report
4	Enforcement agencies/authorities		*	X	Swedish Customs Service, Coast Guard, Police	page 5 of the report

5	Export control legislation in place	X	<p>1. Commission Council Regulation (EC) No. 1334/2000 (amendment)</p> <p>2. Council Regulation (EC) No. 4504/2004 1183/2007 (amendment)</p> <p>3. The Military Equipment Act (SFS 1992:1300) and respective Ordinance (SFS 1992:1303)</p> <p>4. Act on the Control of Dual-use Items and Technical Assistance (SFS 2000:1064) and respective Ordinance (SFS 2000:1217)</p> <p>5. Supplementary provisions issued by the Swedish Customs (TFS 1997:35 and TFS 2000:29)</p>	X	<p>1. Act on Penalties for Smuggling (SFS 2000:1225)</p> <p>2. Act on the Control of Dual-use Items and Technical Assistance (SFS 2000:1064)</p>	<p>pages 5 and 6 of the report</p> <p>page 14 of the EU report</p>
6	Licensing provisions	X	<p>General, global and individual licenses (amendment)</p> <p>General, global-and-individual-licenses (amendment)</p>	X	*	<p>page 14 of the EU report</p>
7	Individual licensing	X	<p>General, global and individual licenses (amendment)</p>	X	*	<p>page 5 of Addendum 1</p>
8	General licensing	X	<p>General, global-and-individual-licenses (amendment)</p>	X	*	<p>page 5 of Addendum 1</p>
9	Exceptions from licensing	X	<p>Within the Community free circulation of all goods, further exceptions are listed in Annex IV II of Regulation 4504/2004 1183/2007 (amendment)</p>	X	*	<p>page 14 of the EU report</p>
10	Licensing of deemed export/visa					
11	National licensing authority	X	<p>Swedish Nuclear Power Inspectorate (SKI) for Nuclear Transfers (See IAEA's document INFIRC/254, Part 1). Swedish Inspectorate for Strategic Products for Transfers of Nuclear-Related Dual-Use Equipment, Materials, Software and Related Technology (See IAEA's document INFIRC/254, Part 2) (amendment)</p>	X	n.a. (amendment)	<p>page 5 of Addendum 1</p>
12	Interagency review for licenses			X	<p>EU-members-should-consult-with-other-members-before-issuing-licenses-(amendment)</p>	<p>page 15 of the EU report</p> <p>page 6 of Addendum 1</p>

13	Control lists	X	1- Council Regulation (EC) No. 4334/2000 (amendment) 2. Council Regulation (EC) No. 4504/2004 1183/2007 (amendment) 3. List of Military Equipment Ordinance (1992:1303)	n.a. (amendment)	page 7 of the report page 14 of the EU report
14	Updating of lists	X	Regularly updated	n.a. (amendment)	page 14 of the EU report
15	Inclusion of technologies	X	Council Regulation (EC) No. 4504/2004 1183/2007 (amendment)	n.a. (amendment)	page 14 of the EU report
16	Inclusion of means of delivery	X	Council Regulation (EC) No. 4504/2004 1183/2007 (amendment)	n.a. (amendment)	page 14 of the EU report
17	End-user controls	X	In most cases-Mandatory for individual licenses. Some end-use controls for global licenses (amendment)	*	page 6 of the report page 5 of Addendum 1
18	Catch all clause	X	Council Regulation (EC) No. 1334/2000	*	page 6 of Addendum 1
19	Intangible transfers	X	Council Regulation (EC) No. 1334/2000	*	page 6 of Addendum 1
20	Transit control	X	Export license required for transit of arms. No such control for transit of dual use items. (amendment)	*	page 6 of the report
21	Trans-shipment control	X	Export license required for trans-shipment of arms. No such control for trans-shipment of dual use items. (amendment)	*	page 6 of the report
22	Re-export control		Same as export control (amendment)	*	
23	Control of providing funds		*	*	
24	Control of providing transport services		*	*	
25	Control of importation	X	Swedish National Inspectorate of Strategic Products Regulations on the Control of Chemical Precursors (TFS 2000:26) (amendment) *	*	page 4 of the report
26	Extraterritorial applicability		X	Courts have universal jurisdiction	page 3 of the report page 3 of Addendum 1
27	Other				

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State:

Sweden

Date of Report:

28 October 2004

Date of Addendum 1:

08 November 2005

Can information be provided on the following issues ?	YES	Remarks
1 Control lists - items (goods/ equipment/ materials/ technologies)	X	Council Regulation (EC) No. 1334/2000 (updated in Regulation 1183/2007) contains an extensive list of controlled dual use items. as does the Military Equipment Ordinance (1992:1303) contains a list of arms. (amendment)
2 Control lists - other		
3 Assistance offered	X	Technical support and advice to promote implementation of the dual use legislation and the arms legislation GWC will continue, for instance to industry. Assistance might to some extent also be geared towards specific requests for help to implement Resolution 1540 is currently handled by the EU. (amendment)
4 Assistance requested		
5 Assistance in place (bilateral/plurilateral/multilateral)	X	EU contribution to G8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security. Comment: EU should update this cell.
6 Information for industry	X	EU Commission organizes regular meetings with industry. Comment: COM's meetings with industry are related to EU legislation. Nuclear Power Inspectorate having an active dialogue with the nuclear industry. Swedish Nuclear Power Inspectorate and Swedish Inspectorate for Strategic Products Swedish authorities are engaged in outreach activities towards industry and academic institutions concerning implementation of EU legislation and Swedish legislation on dual use controls. National Inspectorate for Strategic Products is engaged in a constant dialogue with the chemical industry. The Association of Chemical Industry takes an active part in this work. (amendment)
7 Information for the public		See for instance web pages www.ski.se and www.isp.se The annual Government Communication to Parliament on Strategic Export Controls contains information to the public (amendment)