

# **The Procurement Channel**

and the

## **Procurement Working Group**

of the Joint Commission

# What is the Procurement Channel?

- The Procurement Channel reviews proposals by States seeking to participate in or permit certain **transfers of nuclear or dual-use goods**, technology, and/ or **related services** to Iran.
- States submit proposals to the **UN Security Council**.
- The **Joint Commission** will then assess the application and provide a **recommendation** to the Security Council.
- The **Security Council** will **decide** on the basis of this recommendation and inform the State about its decision.

## Contact information:

Security Council: [SC-Resolution2231@un.org](mailto:SC-Resolution2231@un.org)

Joint Commission/Procurement Working Group: [pwg-enquire@eeas.europa.eu](mailto:pwg-enquire@eeas.europa.eu)

# Procurement Working Group / Joint Commission

## Participants

- The **EU High Representative** is the Coordinator of the Joint Commission and the Procurement Working Group.
- **China, France, Germany, the Russian Federation, the United Kingdom, the United States and Iran** will review proposals.

## Functions

- Review and decide on proposals for **nuclear-related transfers** and activities.
- **Report to the Security Council** every six months on the status of the Procurement Working Group's decisions and on any further implementation issues.
- Formulate and update as required **guidelines on the procurement channel**, which will be provided to the Security Council for publication on the UN website.
- Endeavour to respond to **requests for guidance** from third parties, as communicated by the Coordinator, within 9 working days.
- Provide expertise to the exporting state on **end-use verification**.

# What does the Procurement Channel cover?

The procurement channel generally covers:

- (1) The supply, sale or transfer of **nuclear and dual-use items**, materials, equipment, goods, and technology (set out in **INFCIRC/254/Rev.12/Part 1** and **INFCIRC/254/Rev.9/Part 2** for nuclear and non-nuclear civilian end-uses, as well as any further items if the relevant State determines that they could contribute to activities inconsistent with the JCPOA).
- (2) The provision to Iran of **assistance or services related to** the supply, sale, transfer, manufacture, or use of **nuclear and dual-use goods** (e.g. technical assistance or training, financial assistance, investment, brokering).
- (3) The acquisition by Iran of an interest in certain commercial nuclear-related activity in another State and related investments.

See Annex B of Security Council Resolution 2231 and paragraph 6.1 of Annex IV of the JCPOA for more detail, including certain exemptions as set out therein.

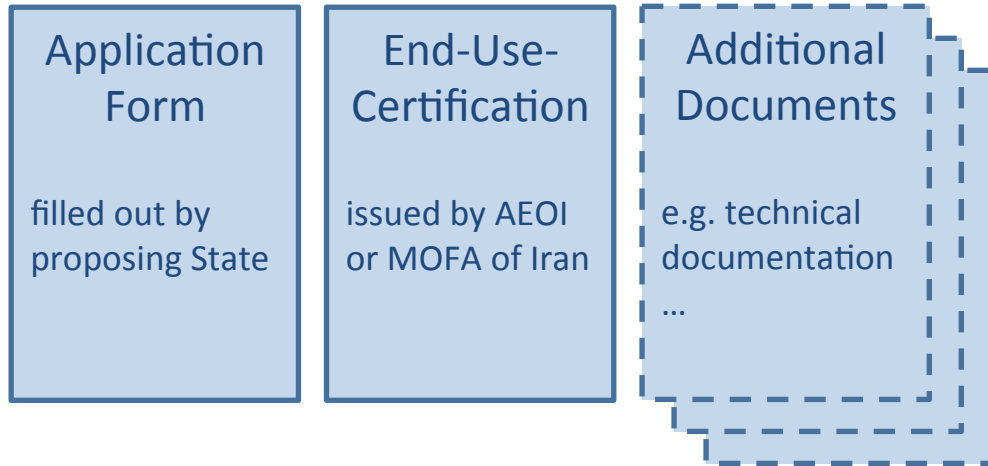
## Exceptions:

Exceptions to the Procurement Channel are set out in detail in Annex B of Security Council Resolution 2231 and cover:

- (a) equipment covered by B.1 of INFCIRC/254/Rev.12/Part 1 when such **equipment** is for **light water reactors**;
- (b) low-enriched uranium covered by A.1.2 of INFCIRC/254/Rev.12/Part 1 when it is incorporated in assembled **nuclear fuel elements** for such reactors;
- (c) items, materials, equipment, goods and technology set out in INFCIRC/254/Rev. 9/**Part 2** only when for exclusive use in light water reactors;
- (d) goods, technology and services directly related to the necessary modification of two cascades at the **Fordow** facility for **stable isotope production**,
- (e) goods, technology and services directly related to the **export of Iran's enriched uranium** in excess of 300kg in return for natural uranium; and
- (f) goods, technology and services directly related to the **modernization of the Arak** reactor based on the agreed conceptual design and, subsequently, on the agreed final design of such reactor.

As set out in detail in Annex B of Security Council Resolution 2231, other requirements, such as pre-notification to the Security Council and/or the Joint Commission, must however be fulfilled.

# How do States submit a proposal under the Procurement Channel?



**Submission by proposing State via Email to the Security Council**

[SC-Resolution2231@un.org](mailto:SC-Resolution2231@un.org)

**Model application form and model end-use certification** will be made available on **website of the Security Council** [www.un.org/en/sc/2231](http://www.un.org/en/sc/2231)

Language: One of the six official UN languages

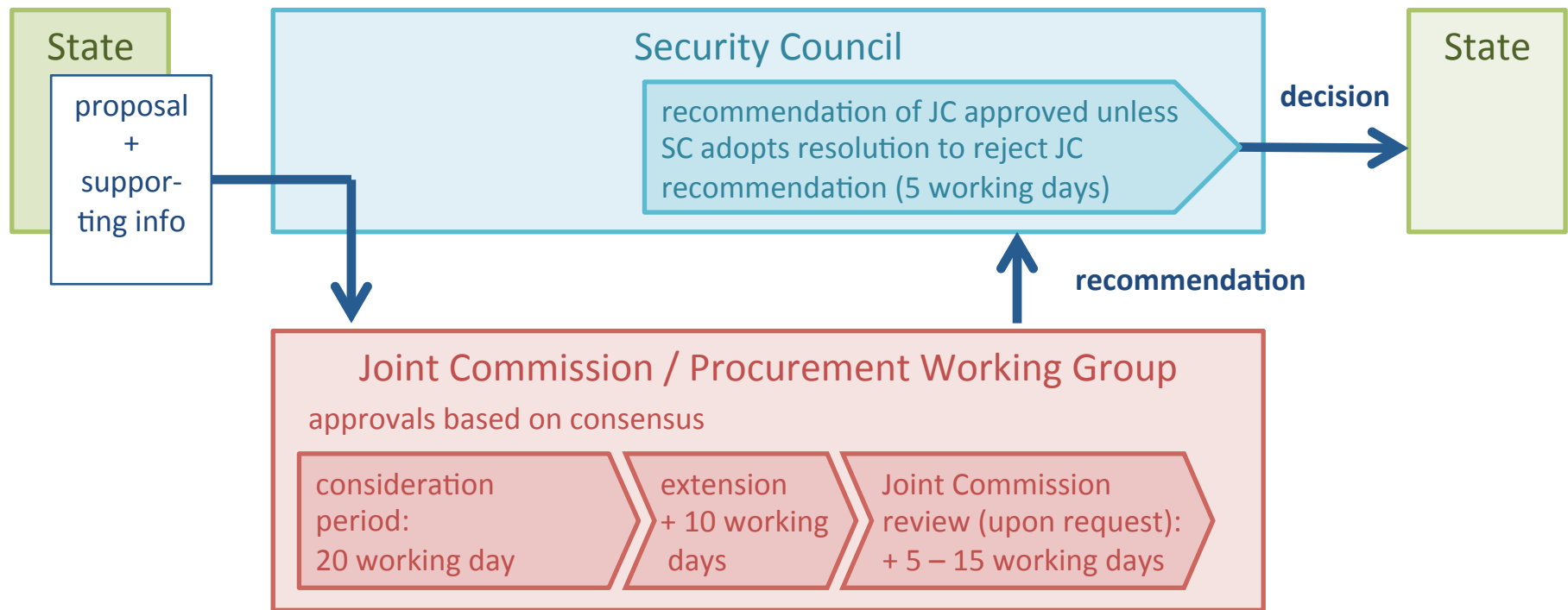
Translation, if needed, before review by the Procurement Working Group.

## **Necessary supporting information** (Annex IV, 6.4.2):

- (a) description of the item;
- (b) exporting entity;
- (c) importing entity;
- (d) statement of the proposed end-use and end-use location, along with an end-use certification;
- (e) export license number, if available\*;
- (f) contract date, if available\*; and
- (g) details on transportation, if available\*.

\* to be submitted prior to shipment

# How are proposals decided?



## After the review:

- Security Council will inform State about decision.
- For approved transfers: certification of approval , transaction/approval number.
- Requirements for State: Guidelines in INFCIRCs, notification of Security Council and/ or IAEA, right to verify end-use.

## Can disapproved proposals be resubmitted?

Yes. The Procurement Working Group may provide information regarding a disapproval that would help any future submissions.