# SECURITY COUNCIL COMMITTEE ESTABLISHED PURSUANT TO RESOLUTION 1540 (2004)

#### Communication dated 7 May 2020 from Tajikistan addressed to the Committee

Dear Colleagues,

Nuclear and Radiation Safety Agency of the Republic of Tajikistan – National Coordinator for UNSCR1540 implementation in the Republic of Tajikistan presents its compliments to Security Council Committee established pursuant to resolution 1540 (2004) and in refer to you Note Verbal to Permanent Mission of Tajikistan to the United Nations SCA/5/20 (03) from 2 March 2020 in regard to updating draft matrix prepared by Committee for Tajikistan according to the order of Government of Tajikistan has the honor to submit you attached revised versions taking into account the recent amendments introduced to the legislation of the Republic of Tajikistan and ask you to confirm safe receipt and good readability of the attachment.

Nuclear and Radiation Safety Agency of the Republic of Tajikistan avails itself of this opportunity to renew to the Security Council Committee established pursuant to resolution 1540 (2004) the assurances of its highest consideration.

With best regards
Ilhom Mirsaidzoda
Director
Nuclear and Radiation Safety Agency
(National Atomic Energy Authority)
National Liaison Officer to IAEA
Partner National Liaison to GICNT
National Coordinator to UNSCR1540
33 Rudaki Avenue, 734025, Dushanbe
Republic of Tajikistan

#### DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behavior as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or"?" against a particular data field.

State: Republic of Tajikistan
Date of Report: 11 January 2005

Dates of Additional Reports: 28 December 2005

Last Revised: [Date of publication of final approved Matrix on the Committee website]

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OPIO

ins orga	nerence to legally binding truments, membership of inizations, participation in angements and statements made.	ership of ipation in atements							
1	Nuclear Non- Proliferation Treaty (NPT)	Deposit 17 January 1995.							
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty on a Nuclear Weapon-Free Zone in Central Asia (CANWFZ): Deposit 13 January 2009.							
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Signed 14 September 2005.							
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 11 July 1996.	j						
5	2005 Amendment to the CPPNM	Deposit 10 July 2014.							
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Deposit 10 June 1998.							

7	Chemical Weapons	Deposit 11 January 1995.	
	Convention (CWC)		
8	Biological Weapons	Deposit 27 June 2005.	
	Convention (BWC)	•	
9	Geneva Protocol of 1925	Ratification from 16.10.2019, №1442	
10	1997 International	Deposit 29 July 2002.	
	Convention for the		
	Suppression of Terrorist		
	Bombings		
11	1999 International	Deposit 16 July 2004.	
11	Convention for the	Deposit 10 July 2004.	
	Suppression of the		
	Financing of Terrorism		
	i manering of Terrorism		
12	2005 Protocol to the	Date of deposit of instrument	
		12.08.2005	
	suppression of unlawful		
	acts against the safety of		
	maritime navigation		
13	2005 Protocol to the	Date of deposit of instrument	
	Protocol to the Convention		
	for the suppression of		
	unlawful acts against the		
	safety of fixed platforms		
	located on the continental		
	shelf		

1.4	0010 G	20.51 100.67	7
14		29 February 1996 (accession)	
	Suppression of Unlawful		
	Acts Relating to		
	International Civil Aviation		
15	Other relevant regional	Agreement of the Commonwealth of Independent States on coordination of issues related to the export control of raw materials,	
13	=		
	legally binding instruments	materials, equipment, technology and services, etc of 26 June 1992.	
		Agreement on export control procedures of States members of the Eurasian Economic Community of 28 October 2003	
		Agreement between the States Members of CIS on Chemical Weapons of 15 May 1992.	
		Agreement on a Single procedure for Export Control by the States Members of the Eurasian Economic Community of 28 October	
		2003.	
16	International Atomic	Since 2000.	
	Energy Agency (IAEA)		
1.7	D: 41	A	
1/	,	Agreement between Member States of the Shanghai Cooperation Organization on the establishment of the Regional Antiterrorist	
	Arrangements	Body of 5 September 2003.	
		Proliferation Security Initiative (PSI).	
		Global initiative to Combat Nuclear Terrorism (GICNT).	
		Member of The Hague Code of Conduct (HCOC).	
1.0	G		
18	-	State reports that it affirms that it refrains from providing any form of support to non-State actors that attempt to develop, acquire,	
		manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery.	
	materials to non-State		
	actors		

19	Membership in relevant	Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG).	
	international, regional or	World Customs Organization (WCO).	
	sub-regional organizations	International Monetary Fund (IMF).	
		INTERPOL.	
		World Bank Group.	
		World Health Organization (WHO).	
		Organization for Animal Health (OIE).	
		Organization for Security and Co-operation in Europe (OSCE)	
		The Shanghai Cooperation Organisation (SCO)	
		Collective Security Treaty Organization (CSTO).	
		Commonwealth of Independent States (CIS).	

## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

		tional legislation which				National legal framework			Enf	orcement and civil/criminal penalties	
I		rohibits persons or entities to engage in one of the following activities and its									
		0 0									
	following activities and its										
		enforcement		X/?		Source document of national implementation		X/?			Remarks
				<b>21</b> / •		law		<b>21</b> / •			Kemarks
						ia v					
			N	C	В		N	C	В	Source document	
			W				W	$\mathbf{w}$		Source document	
			•	''	•		•	**	''		
_	1	manufacture	X	X	X	NW/CW/BW:	X	X	X	NW/CW/BW:	
	•	manaractare	11	11	11	Criminal Code, Arts. 194 (3), and 397.	11	11	11	Criminal Code, Art. 397.	
						NW:				NW:	
						Constitution, Article 10, in conjunction with the				Criminal Code, Art. 194(3)	
						NPT and CANWFZ (International legal					
						documents recognized by Tajikistan shall be an					
						integral part of the legal system of the republic.					
						In case the republican laws do not conform to					
						the recognized international legal documents,					
						the rules of the international documents shall					
						apply).					
						CW:					
						Constitution, Article 10, in conjunction with the					
						CWC.					
						BW:					
						Constitution, Article 10, in conjunction with the					
						BTWC.					
	2	acquire	X	X	X	NW/CW/BW:	X	X	X	NW/CW/BW:	
						Constitution, Article 10, in conjunction with				Criminal Code, Arts. 195, 199 and 397.	
						NPT, CWC and BTWC.					
						Criminal Code, Art. 199, 397.					

3	possess	X	X	X	NW/CW/BW: Constitution, Article 10, in conjunction with NPT, CWC and BTWC. Criminal Code, Art. 199, 397.				Criminal Code, Arts. 195, 199 and 397.
4	develop	X	X	X	NW/CW/BW: Constitution, Article 10, in conjunction with NPT, CWC and BTWC.  CW: Decision No. 929 of 28 December 1993 on CWC ratification.	X	X	X	NW: Criminal Code, Arts.195 (3), 196 and 397.  CW/BW: Criminal Code, Arts. 195 (3), 196 and 397.
5	transport	X	X	X	NW/CW/BW: Criminal Code, Arts. 194 (4), 195 (1) and 397 NW/BW: Constitution, Article 10, in conjunction with NPT and BTWC.	X	X	X	NW/CW/BW: Criminal Code, Arts. 194 (4), 195 (1) and 397.
6	transfer	X	X	X	NW/CW/BW: Constitution, Article 10, in conjunction With NPT, CWC and BWC. Criminal Code, Arts. 194 (4), 195 (1) and 397	X	X	X	NW/CW/BW: Criminal Code, Articles 195 (1), and 397.
7	use	X			NW/CW/BW: Law of the Republic of Tajikistan on combating Terrorism, 1999, as amended, Art 2 Criminal Code, Arts. 194 (1), 399. NW/CW: Constitution, Art. 10, in conjunction with NPT and CWC	X		X	NW/CW/BW: Law of the Republic of Tajikistan on the fight against Terrorism, 1999, as amended Criminal Code, Arts. 194 (1), 399.
8	attempt to engage in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code, Art. 32 Law of the Republic of Tajikistan on combating Terrorism. 1999, Art. 3 (terrorismattempt on life)	X	X	X	NW/CW/BW: Criminal Code, Art. 32.
9	participate as an accomplice in abovementioned	X	X	X	NW/CW/BW: Criminal Code, Arts. 35 and 37.	X	X	X	NW/CW/BW: Criminal Code, Articles. 195, 397 and 399.

10	assist in abovementioned activities	X	X	X	NW/CW/BW: Criminal Code, Article 179 (1).	X	X	X	NW/CW/BW: Criminal Code, Articles 195, 397 and 179(1)
11	finance abovementioned activities	X	X	X	NW/CW/BW: Law of the Republic of Tajikistan On combating terrorism 1999, as amended, Art. 15 (prohibited direct or indirect provision or collection of funds for the purpose of their full or partial use forterrorist activities) Criminal Code Section 179 (2) (financing of terrorist crimes), 397.	X	X	X	NW/CW/BW: Law of the Republic of Tajikistan On combating Terrorism, 1999, as amended. Art 15 Criminal Code, Sect 179, 397.
12	abovementioned activities related to means of delivery <sup>1</sup>		X	X	CW/BW: Constitution, Article 10, in conjunction with CWC and BTWC.				

<sup>1</sup> Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials  $^{2}$ 

Measures to establish domestic controls to prevent the		N	ational legal and/or regulatory framework			Е	nforcement and civil/criminal penalties	Remarks
proliferation of NW, CW, BW,		X/?	Source document		X/?		Source document	
and their means of delivery;								
controls over related materials	NW	CWB	W	NW	CW	BW		
1 Measures to account for	X	? ?	NW:	X	?	?	NW:	
production			INFCIRC/639.				Law 42 of 1 August 2003 "On radiation safety,"	
			Additional Protocol (14 Dec 2004), SQP				Arts 5 and 6.	
			Law 42 of 1 August 2003 "On radiation				Law 69 of 9 December 2004 "On the Use of	
			safety", Arts 5 and 6.				Atomic Energy", Art 15.	
			Law of 69 of 9 December 2004 "On the Use of				Administrative Code Art. 124.	
			Atomic Energy", Art 15.				Nuclear and Radiation Safety Agency (NRSA)	
			Governmental Decree #449 (4 Oct 2013)				under the National Academy of Science of	
			CW/BW:				Tajikistan.	
			Law 6 of 28 February 2004 "On Civil Defense"				CW/BW:	
			Law 53 of 15 July 2004 "On the protection of the				Law 37 of 17 May 2004 "On the licensing of	
			population from natural and manmade				certain types of activities", Sect 17 (operation of	
			(technological) emergencies".				chemically hazardous production facilities,	
			BW:				activities related to the use of infectious agents	
			Act No. 88 from March 1, 2005 "On biological	l			Diseases,activities related to sources of	
			safety":				ionizing radiation (generating)).	
			- Notifications of biological safety Article 12	;			Criminal Code, Arts. 198 ("Inappropriate	
			- Risk assessment art. 23, Notification of the	•			fulfilment of duties for protection of nuclear,	
			first market launch of genetically modified	l			chemical, biological (bacteriological) or other	
			organisms art. 17;				types of weapons of mass destruction either	
			- Principal activities for the import and expor	t			materials or equipment that can be used to create	
			of organisms or derived products Article 18	,			weapons of mass destruction", also theft) and 206	
			Activities in the case of illicit transport of	f			("Illicit trafficking in potent or toxic substances	
			genetically modified organisms art. 25;				for the purpose of marketing").	
			- Risk assessment art. 23, Organization of	f				
			activities and risk assessment in closed	l				
			systems art. 9;					
			- Measures in the case of illicit transport of	f				
			genetically modified organisms art.25.					

2	Measures to account for use	X	?	?	NW: INFCIRC/639. Additional Protocol (14 Dec 2004) SQP Law 42 of 1 August 2003 "On radiation safety". Arts 5 and 6.  Law of 69 of 9 December 2004 "On the Use of Atomic Energy", Art 15. CW/ BW:		?	?	NW: Law 42 of 1 August 2003 "On radiation safety", Arts 5 and 6. Law 69 of 9 December 2004 "On the Use of Atomic Energy", Art 15. Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan. CW/BW: Law 37 of 17 May 2004 "On the licensing of	
3	Measures to account for storage	X	7	7	Law 6 of 28 February 2004 "On Civil Defense".  Law 53 of 15 July 2004 "On the protection of the population from natural and manmade (technological) emergencies"  NW: INFCIRC/639.  Additional Protocol (14 Dec 2004) SQP  Law 42 of 1 August 2003 "On radiation safety", Arts 5 and 6.  Law of 69 of 9 December 2004 "On the Use of Atomic Energy", Art 15.  CW/ BW:  Law 6 of 28 February 2004 "On Civil Defense".  Law 53 of 15 July 2004 "On the protection of the	X	X	X	Criminal Code, Arts. 198 and 206.  NW:  Law 42 of 1 August 2003 "On radiation safety" Arts 5 and 6.  Law 69 of 9 December 2004 "On the Use of Atomic Energy", Art 15.  Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan.  CW/ BW:  Law 37 of 17 May 2004 "On the licensing of certain types of activities", Sect 17.	
4	Measures to account for transport	X			Law 55 of 15 July 2004. On the protection of the population from natural and manmade (technological) emergencies".  NW:  1, Law 42 of 1 August 2003 "On radiation safety", Arts 5 and 6.  Law of 69 of 9 December 2004 "On the Use of Atomic Energy", Arts 5 and 13.	X	?	?	Criminal Code, Arts. 198 and 206.  NW:  Law 42 of 1 August 2003 "On radiation safety", Arts 5 and 6.  Law of 69 of 9 December 2004 "On the Use of Atomic Energy", Arts 5 and 13.  CW/ BW: Criminal Code, Art. 206.	

5	Measures to secure	X	7	?	NW:	X	?	7 N	W: 1
	production		_		SQP				Criminal Code, Arts. 198 and 199 (Theft of
	r				Law 42 of 1 August 2003 "On radiation safety",				nuclear, chemical, biological (bacteriological) or
					Arts 5 and 6.				other types of WMD and equipment that can
					Law 69 of 9 December 2004 "On the Use of				be used to create WMD.
					Atomic Energy", Arts 13 and 14.			La	aw 69 of 9 December 2004 "On the Use of
								At	tomic Energy", Arts 13 and 14.
					CW/ BW:			Νι	uclear and Radiation Safety Agency (NRSA)
					Law 6 of 28 February 2004 "On Civil Defense"			un	nder the National Academy of Science of
					Law 53 of 15 July 2004 "On the protection of			Ta	ajikistan.
					the population from natural and manmade				
					(technological) emergencies".			C	W/ BW:
								Cr	riminal Code, Arts. 198, 199, 224 (Violation
								of	f safety rules when handling microbiological
								Or	or other biological agents or toxins) and 225
								(V	Violation of veterinary rules and rules
								est	stablished to combat plant diseases and pests).
6	Measures to secure use	X	X	X	NW:	X		X NV	W:
					SQP			Cr	riminal Code, Arts. 198 and 199.
					Law 42 of 1 August 2003 "On radiation			La	aw 42 of 1 August 2003 "On radiation safety",
					safety", Arts 5 and 6.			Ar	rts 5 and 6.
					Law 69 of 9 December 2004 "On the Use of			La	aw 69 of 9 December 2004 "On the Use of
					Atomic Energy", Arts 13 and 14.				tomic Energy", Arts 13 and 14.
					CW/ BW:				uclear and Radiation Safety Agency (NRSA)
					Law 6 of 28 February 2004 "On Civil Defense"				nder the National Academy of Science of
					Law 53 of 15 July 2004 "On the protection of				ajikistan.
					the population from natural and manmade				W/BW:
					(technological) emergencies".			Cr	riminal Code, Arts. 198,206, 207,224 and 225.

7	3.6	17	9	T 0	NIVVI	*7	0	0	N.TWW7	
7	Measures to secure	X	?	?	NW:	X	?	?	NW:	
	storage				SQP				Criminal Code, Arts. 195 ("illegal acquisition,	
					Law 42 of 1 August 2003 "On radiation				transfer, sale, storage, transportation of WMD	
					safety". Arts 5 and 6.				or materials or equipment used to create	
					Law 69 of 9 December 2004 "On the Use of				weapons of mass destruction"), 198 and 199.	
					Atomic Energy", Arts 13 and 14.				Law 69 of 9 December 2004 "On the Use of	
					CW/ BW:				Atomic Energy", Arts 13 and 14.	
					Law 6 of 28 February 2004 "On Civil Defense"				Nuclear and Radiation Safety Agency (NRSA)	
					Law 53 of 15 July 2004 "On the protection of				under the National Academy of Science of	
					the population from natural and manmade				Tajikistan.	
					(technological) emergencies".				CW/ BW:	
									Criminal Code, Articles 198,199 (violation of	
									sanitary-epidemiological rules), 224 (violation	
									of security rules related to handling of	
									microorganisms and toxins) and 225 (violation	
									of veterinary rules).	
8	Measures to secure	X	?	?	NW/CW/BW:	X	?	?	NW/CW/BW:	
	transport				Criminal Code, Article 195, (violation of rules				Criminal Code, Article 195, (violation of rules	
					for manufacturing, buying, storing and				for manufacturing, buying, storing and	
					transporting).				transporting) and 198.	
					Law 42 of 1 August 2003 "On radiation safety",				NW	
					Arts 5, 6 and 22				Law 42 of 1 August 2003 "On radiation safety",	
					Law 69 of 9 December 2004 "On the Use of				Arts 5, 6 and 22.	
					Atomic Energy", Arts 13 and 14.				Law 69 of 9 December 2004 "On the Use of	
									Atomic Energy", Arts 13 and 14.	
9	Physical protection	X	?	?	NW:	X	?	?	NW/CW/BW:	
	measures				Law 42 of 1 August 2003 "On radiation				Criminal Code, Articles 198 and 199	
					safety", Arts 5, 6 and 21.				NW:	
					Law 69 of 9 December 2004 "On the Use of				Law 42 of 1 August 2003 "On radiation safety",	
					Atomic Energy", Arts 13 and 14.				Arts 5, 6 and 21.	
					CW/BW:				Law 69 of 9 December 2004 "On the Use of	
					Law 6 of 28 February 2004 "On Civil Defense".				Atomic Energy", Arts 13 and 14.	
					Law 53 of 15 July 2004 "On the protection of the				, , , , , , , , , , , , , , , , , , , ,	
					population from natural and manmade					
					(technological) emergencies".					
					(commonogical) emergencies .					
		<u> </u>		<u> </u>			1			

10	Personnel Reliability					

<sup>2</sup> Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design development, production or use of nuclear, chemical and biological weapons and their means of delivery.

<sup>\*</sup> Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWG Implementation Support Unit (online at: http://www.unoK.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpehDoaimeht)

## IV. OP B (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

	Measures to establish	Source document	Remarks				
don	nestic controls to prevent						
the	proliferation of NW, and						
tł	neir means of delivery;						
	controls over related						
	materials						
1	National regulatory	Law 42 of 1 August 2003 "On radiation safety", Art. 6.					
	authority	Governmental Decree #482 (3 Dec 2004)					
		Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan.					
	_	Law 42 of 1 August 2003 "On radiation safety".					
	installations/entities/use of	Law 69 of 9 December 2004 "On the Use of Atomic Energy".					
	materials	Law 37 of 17 May 2004 "On the licensing of certain types of activities".					
		Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan.					
3	IAEA Safeguards	INFCIRC/639 in force: 14 December 2004.					
	Agreements	SQP, in force: 6 March 2006.					
		Additional Protocol, in force: 14 December 2004.					
		Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan.					
4	IAEA Code of Conduct on	Expressed support (2005).					
	Safety and Security of						
	Radioactive Sources						
5	Supplementary Guidance	Expressed support (2005).					
	on the Import and Export						
	of Radioactive Sources of						
	the Code of Conduct on						
	the Safety and Security of						
	Radioactive Sources						
6	IAEA Incident and	Participates					
	Trafficking Database						

7	Integrated Nuclear	Approved.	
	Security Support Plan		
	(INSSP) / International		
	Physical Protection		
	Advisory Service		
	(IPPAS)		
8	Applying the physical	Requirements on physical protection of radioactive sources, storage and radioactive substances (2012)	
	protection		
	recommendations in		
	INFCIRC/225/Rev.5		
9	Other Agreements related	Convention on Early Notification of a Nuclear Accident, in force 1 October 2011.	
	to IAEA	Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force 23 October 2011 Joint	
		Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, in force 11	
		March 2008.	
		Revised Supplementary Agreement Concerning the provision of technical Assistance by the IAEA, in force 5 July 2004.	
10	National legislation and		
	regulations related to		
	nuclear material including		
	CPPNM		
	C1 1 1 1111		

## V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

	Measures to establish							
don	nestic controls to prevent							
	proliferation of CW, and							
t	heir means of delivery;							
	controls over related							
	materials							
1	National CWC authority	International Law Department, Ministry of Foreign Affairs.						
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law 37 of 17 May 2004. "On the licensing of certain types of activities".						
3	Old or abandoned chemical weapons							

## VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish	Source document	Remarks
domestic controls to prevent		
the proliferation of BW,		
their means of delivery;		
controls over related		
materials		
1 Licensing/registration of	Law 37 of 17 May 2004 "On the licensing of certain types of activities".	
installations/facilities/		
persons/entities/use/		
handling of materials		

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		s f f						Enf		
		N C B		В	Source document	N W	X/? C W	B W		Remarks
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X		NW/CW/BW: Customs Code of 25 November 2004. Criminal Code, as amended, Articles 289 (3). Law on Export Control Law 1168 Of 31 December 2014 (Law No 1392 of February 2017 amended "On export control".) as amended Arts 1 and 5	X	X		NW/CW/BW: Customs Service. Border control Service. Ministry of State Revenue and Duties Order No. 110 of 18 September 2001. Criminal Code, as amended, Articles 289 (3).	
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X		NW/CW/BW: Customs Code of 25 November 2004 Ministry of State Revenue and Duties Order No. 110 of 18 September 2001 Criminal Code, as amended, Articles 289	X	X		NW/CW/BW: Ministry of State Revenue and Duties Customs Service Border control Service Criminal Code, as amended, Articles 289	
3	Border control detection measures	X			NW/CW/BW: Radiation portal monitors, Mobile radiation portals, mobile laboratory.	X			NW: Customs Service Border control Service	
4	Control of brokering									
5	Export control legislation in place	X	X	X	NW/CW/BW: Law on Export Control, as amended Government Decision 367 of 16 July 2012 "On measures to improve foreign trade activities".	X	X	X	NW/CW/BW: Law on Export Control, as amended. Art. 16 Criminal Code, as amended. Article 289, 397	

7	Licensing provisions and Authority  Control lists of materials.	X		NW/CW/BW:  Law on Export Control, as amended, Arts 8 and 9  Law 37 of 17 May 2004, as amended  "On the licensing of certain types of activities"  NW:  Law 42 of 1 August 2003 "On radiation safety".  CW:  Decision of the Government on the approval of the regulations for the procedure for monitoring export of dual-use chemicals, equipment and technology of 12 January 1996.  NW/CW/BW:		X	X	NW/CW/BW: Criminal Code, as amended Article 289, Law on Export Control, as amended, Arts 10,16 Law 37 of 17 May 2004, as amended "On the licensing of certain types of activities" NW: Nuclear and Radiation Safety Agency (NRSA) under the National Academy of Science of Tajikistan
	Control lists of materials, equipment and technology	X	X	NW/CW/BW: Law on Export Control, as amended, Arts 1, 6, 7 Government Decision 367 of 16 July 2012 "On measures to improve foreign trade activities". (Approved a list of goods whose export, import and transit subject to Governmental decision) NW: Decision of the Government of the Republic of Tajikistan No. 482 from 03 December 2004 on "Regulation about State Regulation in the field of Ensuring Radiation Safety". Decision of the Government of the Republic of Tajikistan No. 471 from 02 December 2005 on "About approval of the Regulation on Interagency Board on Ensuring Radiation Safety".		X	X	NW/CW/BW:  Law on Export Control, Art. 16, as amended Government Decision 367 of 16 July 2012  "On measures to improve foreign trade activities". (Approved a list of goods whose export, import and transit subject to Governmental decision).
	Intangible technology transfers							
9	Inclusion of means of delivery	X	X	NW/CW/BW: Law on Export Control, as amended, Art. 1	X	X	X	NW/CW/BW: Law on Export Control, as amended, Art. 1

	End-user controls  Catch all clause	X	X	X	NW/CW/BW: Law on Export Control, as amended, Arts. 9 and 13	X	X	X	NW/CW/BW: Law on Export Control, as amended, Art. 16	
12	Transit control	X	X i	X	NW/CW/BW: Government Decision 367 of 16 July 2012 "On measures to improve foreign trade activities". (Approved a list of goods whose export, import and transit subject to Governmental decision)	X	X	X	NW/CW/BW: Instruction no 84 of February 2009 to facilitate international transport in Tajikistan to monitor the transit of excise goods and dual-use items.	I
13	Trans-shipment control	?	?	?	NW/CW/BW: Government Decision 367 of 16 July 2012 "On measures to improve foreign trade activities". (Approved a list of goods whose export, import and transit subject to Governmental decision).	?	?	?	NW/CW/BW: Instruction no 84 of February 2009 to facilitate international transport in Tajikistan to monitor the transit of excise goods and dual-use items.	
14	Re-export control									
15	Control over financing of exports/transhipments that would contribute to proliferation									
16	Control over services related to exports/ transhipments that would contribute to proliferation including transportation									

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3		(Date of Request: 7 September 2017) Assistance in reporting to the 1540 Committee on 1540 related legal and regulatory updates to existing laws and measures as set down in Tajikistan's 1540 NAP part 3. Assistance in drafting and implementing legislation with regards to preventing non- State actors from acquiring WMD/means of delivery or any other activity in accordance with resolution 1540 (2004) op 4, and as set down in Tajikistan's 1540 NAP part 1 and 2. Assistance in drafting and implementing legislation with regards to prohibitions as required in resolution 1540 (2004) op 2, and as set down in Tajikistan's 1540 NAP part 1 and 2. Assistance in developing and implementing measures to account for and secure related materials to prevent the proliferation of WMD/means of delivery in accordance with resolution 1540 (2004) op 3 (a), and as set down in Tajikistan's 1540 NAP part 5, 6 and 7. Assistance could include equipment or training related to these measures. Assistance in developing and implementing physical protection measures in accordance with resolution 1540 (2004) op. 3 (b), and as set down in Tajikistan's 1540 NAP part 4. Assistance could include equipment or training related to these measures. Assistance in developing and implementing measures to improve border controls in accordance with resolution 1540 (2004) op. 3 (c), including related training of personnel and as set down in Tajikistan's 1540 NAP parts 8, 9,10,11 and 12. Assistance could include equipment related to this task. Assistance in establishing and maintaining effective national export and trans-shipment controls over WMD/means of delivery/related materials including the drafting /improvement of relevant legislation in accordance with resolution 1540 (2004) op. 3(d), and as set down in Tajikistan's 1540 NAP parts 1 and 2. Assistance in establishing and maintaining controls over provision of funds and services related to such exports and transshipments that would contribute to proliferation including the drafting /improvement of relevant le
4		Specialized courses regularly are organized in Regional Training Center on WMD Non-Proliferation and Export Control for countries of Central Asia, Caucasus and Afghanistan for representatives of industrial sector.

5	Action taken to work with	Specialized courses regularly are organized in Regional Training Center on WMD Non-Proliferation and Export Control for countries of Central
	and inform the public	Asia, Caucasus and Afghanistan for public
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6		Ilhom Mirsaidzoda, Director, Nuclear and Radiation Safety Agency NAST, National Coordinator for UNSCR1540 implementation.
		Tel: +992 37 227 83 83; E-mail: <u>i.mirsaidov@nrsa.tj</u>
7	Voluntary National	Submitted 7 September 2017.
	Implementation Action	
	Plan (NAP)	
8	1540 Committee visits to	Trilateral meeting for a peer review between Belarus, Kyrgyzstan and Tajikistan on resolution 1540 (2004) implementation, 2-4 August 2017,
	States	Dushanbe, Tajikistan