

#### PERMANENT MISSION OF SAINT VINCENT AND THE GRENADINES TO THE UNITED NATIONS

Note Ref: VCT/1540/430/2020

The Permanent Mission of Saint Vincent and the Grenadines to the United Nations, presents its compliments to the Security Council Committee established pursuant to Resolution 1540 (2004) and with reference to the latter's Note No. SCA/5/20(03) dated 2 March 2020, has the honour to convey the updated draft matrix for Saint Vincent and the Grenadines attached.

The Permanent Mission of Saint Vincent and the Grenadines to the United Nations avails itself of this opportunity to renew to the Security Council Committee established pursuant to Resolution 1540 (2004), the assurances of its highest consideration.

New York, 30 April 2020



Security Council Committee established pursuant to Resolution 1540 (2004)

#### **DRAFT 1540 COMMITTEE MATRIX**

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State: Saint Vincent and the Grenadines

Date of Report: 17 September 2008

**Dates of Additional Reports:** 

Last Revised: [Date of publication of final approved Matrix on the Committee website]

#### I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

| ins | nerence to legally binding<br>truments, membership of<br>ganisations, participation<br>in arrangements and<br>statements made. | Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc) |  |  |  |  |  |  |  |
|-----|--|--|--|--|--|--|--|--|--|
| 1   | Nuclear Non-<br>Proliferation Treaty<br>(NPT)  | Deposit 06 November 1984   |  |  |  |  |  |  |  |
| 2   | Nuclear Weapons Free<br>Zone/ Protocol(s)  | Treaty of Tlatelolco: Deposit 14 February 1992   |  |  |  |  |  |  |  |
| 3   | International Convention for the Suppression of Acts of Nuclear Terrorism  | Deposit 8 July 2010  |  |  |  |  |  |  |  |
| 4   | Convention on Physical<br>Protection of Nuclear<br>Material (CPPNM)  |  |  |  |  |  |  |  |  |
| 5   | 2005 Amendment to the CPPNM  |  |  |  |  |  |  |  |  |
| 6   | Comprehensive<br>Nuclear-Test-Ban Treaty<br>(CTBT) (not in force)  | Deposit 23 September 2009  |  |  |  |  |  |  |  |
| 7   | Chemical Weapons<br>Convention (CWC)   | Deposit 18 September 2002  |  |  |  |  |  |  |  |
| 8   | Biological Weapons<br>Convention (BWC)   | Deposit 13 May 1999  |  |  |  |  |  |  |  |
| 9   | Geneva Protocol of<br>1925   | Deposit 24 March 1999  |  |  |  |  |  |  |  |
| 1 0 | 1997 International<br>Convention for the<br>Suppression of Terrorist<br>Bombings   | Deposit 15 September 2005  |  |  |  |  |  |  |  |
| 1   | 1999 International<br>Convention for the<br>Suppression of the<br>Financing of Terrorism                                       | Deposit 28 March 2002  |  |  |  |  |  |  |  |

| 2005                                  |  |  | 1                                 |
|---------------------------------------|--|--|-----------------------------------|
| 1 sunn                                | 05 Protocol to the nvention for the pression of unlawful | Deposit 5 July 2010  |                                   |
| acts                                  | s against the safety                                     | Deposit 5 July 2010  |                                   |
|                                       | maritime navigation<br>05 Protocol to the                |  |                                   |
|                                       | tocol to the   |  |                                   |
| 1 1 1                                 | nvention for the   |  |                                   |
| supp                                  | pression of acts   | Deposit 5 July 2010  |                                   |
|                                       | inst the safety of ed platforms located                  |  |                                   |
|                                       | the continental shelf                                    |  |                                   |
|                                       |  |  | The state is in the               |
|                                       |  |  | process of                        |
|                                       |  |  | ratifying the convention and is   |
|                                       |  |  | presently                         |
|                                       |  |  | conducting a gap                  |
| 2010                                  |  |  | analysis to                       |
|                                       | O Convention on the opression of Unlawful                |  | determine the current             |
| 1 Acts                                | s Relating to  | ***  | legislation which                 |
|                                       | ernational Civil   |  | enacts and                        |
| Aviat                                 | ation  |  | supports the                      |
|                                       |  |  | convention and its protocol.      |
|                                       |  |  | Estimated                         |
|                                       |  |  | timeline for                      |
|                                       |  |  | completion is on                  |
|                                       |  |  | or before 31                      |
|                                       |  |  | December 2020. The Schedule       |
|                                       |  |  | speaks to                         |
|                                       |  |  | "recalling Article                |
|                                       |  | Arms Trade Treaty 15 May 2014  | 2 of the Treaty                   |
| Othe                                  | ner relevant regional                                    | Inter American Convention Against the illicit manufacturing and Trafficking of Firearms, Ammunitions, explosives and other                                   | which established<br>the Security |
| ادموا ا                               | ally binding   | related material 6 August 2012   | Assistance                        |
| , , , , , , , , , , , , , , , , , , , | truments   | Inter-American Convention against terrorism 13 June 2012 Protocol to the Treaty on Security Assistance among CARICOM Member States Act No. 8 of 2007 CAP 394 | Mechanismincl                     |
|                                       |  | WHO International Health Regulations 2005  | uding the                         |
|                                       |  |  | "expeditious,<br>efficient        |
|                                       |  |  | mobilisation and                  |
|                                       |  |  | deployment of                     |

|        |   |  | regional resources in order to manage and defuse national and regional crises and to combat |
|--------|---|--|---|
|        |   |  | serious crimes".  |
| 1<br>6 | International Atomic<br>Energy Agency (IAEA)  | Member, 8 January 1992   |   |
| 7      | Directly relevant<br>Arrangements   | Proliferation Security Initiative  |   |
| 1 8    | Statement on non-<br>provision of WMD and<br>related materials to<br>non-State actors | State reports that it is committed to carrying out the objectives of the United Nations in the fight against the threat to international peace and security posed by terrorism and has not provided and does not intend to provide support for any form to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery   |   |
| 1 9    | Membership in relevant international, regional or sub-regional organisations          | Caribbean Community (CARICOM) Caribbean Financial Action Task Force (CFATF) INTERPOL International Maritime Organisation (IMO) Organization of Eastern Caribbean States (OECS) Organisation of American States (OAS) World Customs Organisation (SAFE Framework) World Health Organisation (WHO) International Monetary Fund (IMF) World Bank Regional Security System (RSS) Caribbean Customs Law Enforcement Council (CCLEC) CARICOM-Implementation Agency for Crime and Security (IMPACS) Regional Security System (RSS) Asset Recovery Unit (RSS-ARU) Asset Recovery InterAgency Network for the Caribbean (ARIN-CARIB) Egmont Group of FIUs |   |

#### II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

|    | National legislation which prohibits persons or entities to engage in one of the |        |      |        | <u>N</u> ational legal framework   |        | <u>E</u> | nfor   | cement and civil/criminal penalties   |  |
|----|--|--------|------|--------|--|--------|----------|--------|---|--|
| -  |  |        | x /? |        | Source document of national implementation   |        | x /?     |        |   | Remarks  |
| To | ollowing activities and its<br>enforcement                                       | N<br>W |      | B<br>W | law  | N<br>W | C<br>W   | B<br>W | Source document   |  |
| 1  | manufacture  | х      | x    | х      | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5)  CW: Chemical Weapons (Prohibition) Act No 44, 2003, CAP 384  Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5) Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 (ATFPA) s 24(B)(6)  BW: Biological Weapons Act No 9, 1992, CAP 380 | x      | x        | x      | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(6)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992 (S.3 a & b) | a. imprisonment on summary conviction for seven years or a fine of XCD \$500,000 or both.  b. Imprisonment on indictment for a term of twenty years or to an unlimited fine or both.  CW: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000  BW: Life imprisonment on conviction and a fine of XCD \$50,000.00 |
| 2  | acquire  |        | x    | x      | CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992. Revised 2009  |        | x        | x      | CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992 (S.3 a & b)   | CW: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000  BW: Life imprisonment on conviction and a fine of XCD \$50,000.00   |
| 3  | possess  |        | Х    | х      | CW: Chemical Weapons (Prohibition) Act No 44, 2003   |        | х        | х      | CW: Chemical Weapons (Prohibition) Act No 44, 2003  | <b>CW:</b> Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000   |

|   |           |   |   |   | <b>BW:</b> Biological Weapons Act No 9, 1992   |   |   |   | BW: Biological Weapons Act No 9, 1992 (S.3 a & b)   | <b>BW:</b> Life imprisonment on conviction and a fine of XCD \$50,000.00   |
|---|-----------|---|---|---|--|---|---|---|---|--|
| 4 | develop   | х | x | x | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5) Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 (ATFPA) s 24(B)(6)  BW: Biological Weapons Act No 9, 1992 | X | x | X | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(6)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(6)  BW: Biological Weapons Act No 9, 1992 (S.3 a & b) | a. Imprisonment on summary conviction, to a term of seven years or a fine of XCD \$500,000 or both.  b. Imprisonment for a term of twenty years or to an unlimited fine or both.  CW: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000  BW: Life imprisonment on conviction and a fine of XCD \$50,000.00 |
| 5 | transport |   | Х |   | <b>CW:</b> Chemical Weapons (Prohibition) Act No 44, 2003  |   | Х |   | <b>CW:</b><br>Chemical Weapons (Prohibition) Act No<br>44, 2003   | <b>CW:</b> Imprisonment for a term not exceeding one year or a fine not exceeding XCD \$100,000.   |
| 6 | transfer  |   | х | x | <b>CW:</b> Chemical Weapons (Prohibition) Act No 44, 2003  |   | х | x | <b>CW:</b><br>Chemical Weapons (Prohibition) Act No<br>44, 2003   | cw: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000  Bw: Life imprisonment on conviction and a fine of XCD \$50,000.00   |

| 7      | use  | x | x | х | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(5) Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 (ATFPA) s 24(B)(6) | x | x | X | NW: Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(6)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Anti-Terrorist Financing and Proliferation (Amendment) Act No. 17 of 2017 s 24(B)(6)  BW: Biological Weapons Act No 9, 1992 (S.3 a & b) | a. imprisonment on summary conviction for seven years or a fine of XCD \$500,000.00 or both.  b. Imprisonment on indictment for a term of twenty years or to an unlimited fine or both.  CW: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000.00  BW: Life imprisonment on conviction and a fine of XCD \$50,000.00 |
|--------|--|---|---|---|---|---|---|---|---|--|
| 8      | attempt to engage in above mentioned activities                  |   |   | x | <b>BW:</b> Biological Weapons Act No 9, 1992  |   |   | X | <b>BW:</b> Biological Weapons Act No 9, 1992  | cw: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000.00  Bw: Life imprisonment on conviction and a fine of XCD \$50,000.00  |
| 9      | participate as an<br>accomplice in above<br>mentioned activities |   | x | x | CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992 (in conjunction with the Criminal Code)   |   | x | x | CW: Chemical Weapons (Prohibition) Act No 44, 2003  Criminal Code, Section 20, Chapter 24 - aiding abetting, procuring  BW: Criminal Code, Section 20, Chapter 24 - aiding abetting, procuring  | CW: Life imprisonment on conviction or a fine not exceeding XCD \$1,000,000.00  BW: Life imprisonment on conviction and a fine of XCD \$50,000.00  |
| 1<br>0 | assist in above mentioned activities                             | х | Х | х | NW/CW/BW: Anti-Terrorist Financing and Proliferation Act No 14 of 2015, as amended Sect 26 (2)  | х | х | Х | <b>NW/CW/BW:</b> Anti-Terrorist Financing and Proliferation Act No 14 of 2015, as   | <b>CW:</b> Life imprisonment on conviction or a fine   |

|     |   |   |   |   | (support to meetings of proscribed terrorist organisations); Sect 3: WMD "actions" could be included in definition of "terrorism".  CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992 (in conjunction with the Criminal Code) |   |   |   | amended, Sect 26 (4) (support to meetings of proscribed terrorist organisations) S 26B (providing or receiving terrorist activity training)  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Criminal Code, Section 20, Chapter 24 - aiding abetting, procuring  BW: Criminal Code, Section 20, Chapter 24 - aiding abetting, procuring   | not exceeding XCD \$1,000,000.00 <b>BW:</b> Life imprisonment on conviction and a fine of XCD \$50,000.00.  |
|-----|---|---|---|---|---|---|---|---|---|---|
| 1 1 | finance above mentioned activities  | x | x | X | NW/CW/BW: Anti-Terrorist Financing and Proliferation Act No 14 of 2015, Sect 6 (3), Sect 64 (1) (making funds available to designated persons), s 9 (funding arrangements)  Proceeds of Crime and Money Laundering (Prevention) Act, No.39 of 2001 Amended 2002,2006  | X | х | X | NW/CW/BW: Anti-Terrorist Financing and Proliferation Act No 14 of 2015, Sect 5, Sect 64 (2) (making funds available to designated persons) s 9 (funding arrangements)  International Financial Services Authority, No. 28 of 2003  Financial Intelligence Unit Act, Cap 174 of the Revised Laws of 2009  Proceeds of Crime and Money Laundering (Prevention) Act, No.39 of 2001 Amended 2002,2006 | NW/CW/BW: Anti-Terrorist Financing and Proliferation Act No 14 of 2015, Sect 5, Sect 64(2): a. Imprisonment on summary conviction for a term of two years or a fine of XCD \$200,000.00 or both. b. Imprisonment on conviction on indictment for a term of seven years or to an unlimited fine or both. |
| 1 2 | abovementioned<br>activities related to<br>means of delivery <sup>1</sup> |   | х | х | CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992   |   | х | х | CW: Chemical Weapons (Prohibition) Act No 44, 2003  BW: Biological Weapons Act No 9, 1992   |   |

| Means of delivery: missiles, rockets and other un | manned systems capable of delivering nucl | lear, chemical, or biological weap | ons that are specially designed fo | r such use. |  |
|---|---|------------------------------------|------------------------------------|-------------|--|
| ,           |   | ,                                  |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |
|   |   |                                    |                                    |             |  |

# III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>

| Measures to establish domestic controls to prevent |  |        |        | <u>N</u> at | ional legal and/or regulatory framework   |        |        | <u>E</u> | nforcement and civil/criminal penalties        |  |
|--|--|--------|--------|-------------|---|--------|--------|----------|--|--|
|  | the proliferation of NW, CW,   |        | x /?   | _           |   |        | x /?   |          |  | Remarks  |
|  | BW, and their means of<br>delivery; controls over<br>related materials | N<br>W | c<br>W | B<br>W<br>* | Source document   | N<br>W | c<br>W | B<br>W   | Source document                                |  |
| 1  | Measures to account for production                                     | х      | х      |             | NW: INFCIRC/400 8 January 1992 and SQP  CW: 2003 Chemical Prohibition Act No.44 |        | X      |          | <b>CW:</b> 2003 Chemical Prohibition Act No.44 | Penalties on indictment a fine not exceeding \$1000000 or life imprisonment. |
| 2  | Measures to account for use  | х      | х      |             | NW:<br>INFCIRC/400 and SQP  |        |        |          | <b>CW:</b> 2003 Chemical Prohibition Act No.44 | Penalties on indictment a fine not exceeding \$1000000 or life imprisonment. |
| 3  | Measures to account for storage  | х      | х      |             | NW:<br>INFCIRC/400 and SQP  |        |        |          | <b>CW:</b> 2003 Chemical Prohibition Act No.44 | Penalties on indictment a fine not exceeding \$1000000 or life imprisonment. |
| 4  | Measures to account for transport                                      |        |        |             |   |        |        |          |  |  |
| 5  | Measures to secure production  | N<br>A |        |             | NW:<br>SQP  | N<br>A |        |          | NW:<br>SQP                                     |  |
| 6  | Measures to secure use   | N<br>A |        |             | NW:<br>SQP  | N<br>A |        |          | NW:<br>SQP                                     |  |
| 7  | Measures to secure storage   | N<br>A |        |             | NW:<br>SQP  | N<br>A |        |          | NW:<br>SQP                                     |  |
| 8  | Measures to secure transport   | х      | х      | х           | NW, CW, BW: Implements International Ships and Port Security Code (ISPS)        |        |        |          |  |  |
| 9  | Physical protection measures   | N<br>A |        |             | NW:<br>SQP  | N<br>A |        |          | NW:<br>SQP                                     |  |

| 1 0 | Personnel Reliability |  |  |  |  |  |
|-----|-----------------------|--|--|--|--|--|
|     |                       |  |  |  |  |  |

<sup>2.</sup> Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

<sup>\*</sup> Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: <a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/<a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/<a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/<a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/<a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/<a href="http://www.unog.ch/80256EE600585943/">http://www.unog.ch/80256EE600585943/</a>

#### IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

| dor<br>the | Measures to establish mestic controls to prevent proliferation of NW, and heir means of delivery; controls over related materials               | Source document   | Remarks |
|------------|---|---|---------|
| 1          | National regulatory authority   | The Ministry of Health, Wellness and the Environment  |         |
| 2          | Licensing of nuclear installations/entities/ use of materials   |   |         |
| 3          | IAEA Safeguards<br>Agreements   | INFCIRC/400 8 January 1992<br>SQP   |         |
| 4          | IAEA Code of Conduct<br>on Safety and Security<br>of Radioactive Sources  |   |         |
| 5          | Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources |   |         |
| 6          | IAEA Incident and<br>Trafficking Database   |   |         |
| 7          | Integrated Nuclear<br>Security Support Plan<br>(INSSP) / International<br>Physical Protection<br>Advisory Service (IPPAS)                       |   |         |
| 8          | Applying the physical protection recommendations in INFCIRC/225/Rev.5   |   |         |
| 9          | Other Agreements related to IAEA  | Convention on Early Notification of a Nuclear Accident 2001 Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency 2001 |         |

|   | National legislation and |  |
|---|--------------------------|--|
| 1 | regulations related to   |  |
| 0 | nuclear material         |  |
|   | including CPPNM          |  |

## V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

| Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials |   | Source document  | Remarks |
|--|---|--|---------|
| 1  | National CWC authority  | Chemical Weapons (Prohibition) Act No 44, 2003 The Ministry of Health Wellness and the Environment |         |
| 2  | Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials |  |         |
| 3  | Old or abandoned chemical weapons   |  |         |

### VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

| Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials |   |  |   |  |  |  |  |
|--|---|--|---|--|--|--|--|
| 1  | Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials | Plant Protection Act No. 15 of 2005 Section 9 (Designation of places as plant quarantine stations for inspection, research, observation, testing, treatment, detection and destruction of plants, plant products and other regulated articles) Part III Section 10, 11 (Restrictions on importation, import permits) | The National Plant Protection Organization, Ministry of Agriculture |  |  |  |  |

#### VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

| Border controls and export and trans-shipment controls to   |   | <u>N</u> ational legal framework |        |        |  |   |        | Enf    |  |   |
|---|---|----------------------------------|--------|--------|--|---|--------|--------|--|---|
| -   | ent the proliferation   |                                  | x /?   |        |  |   | X /?   |        |  |   |
| of nuclear, chemical and<br>biological weapons and<br>their means of delivery<br>including related<br>materials |   | NW                               | c<br>W | B<br>W | Source document  |   | c<br>W | B<br>W | Source document  | Remarks   |
| 1   | Border control to detect, deter, prevent and combat illicit trafficking | X                                | x      | x      | NW: Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007; Part III: Customs Control Areas, Part IIIA and 5th Schedule: Advanced Passenger and Cargo Information, Part IV: Importation, Part V: Exportation, Part VIII, Section 79 and 80 and 3rd Schedule: Prohibitions and Restrictions  Import and Export (Control) Regulations, No. 10 of 1992 (hazardous substances)  Port Authorities Act, Sections 66 and 72  Maritime (International Ship and Port Facility Security Code) Regulation 2004  CW: Chemical Weapons (Prohibition) Act No 44, 2003  Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007; Part III: Customs Control Areas, Part IIIA and 5th Schedule: Advanced Passenger and Cargo Information, Part IV: Importation, Part V: Exportation, Part VIII, Section 79 and | X | X      | X      | NW: Port Authorities Act, Sections 73-89 and 95  Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007; Part IX: Powers for Enforcement, Part X: Offences, Part XI legal procedures and actions  Maritime (International Ship and Port Facility Security Code) Regulation 2004  CW: Firearms Act, 1995, Section 24(2)  Port Authorities Act, Sections 73-89 and 95 Ministry of National Security, Air and Sea-Ports Development  Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007; Part IX: Powers for Enforcement, Part X: Offences, Part XI legal procedures and actions  Maritime (International Ship and Port Facility Security Code) Regulation 2004 | CW: Firearms Act, 1995, Section 24 (2)  a. Prohibited weapons: (i) Imprisonment on summary conviction for a term not exceeding ten years.  (ii) Imprisonment on conviction on indictment for a term not exceeding twenty years.  b. Restricted weapons/ammunitio n (i) Summary conviction to a fine not exceeding XCD \$10,000.00 or imprisonment to a term not exceeding five years. |

|   |   |   |   |   | 80 and 3rd Schedule: Prohibitions and Restrictions  Import and Export (Control) Regulations, No. 10 of 1992  Port Authorities Act, Sections 66 and 72  Firearms Act, 1995, Section 24 (1)  Maritime (International Ship and Port Facility Security Code) Regulation 2004  BW:  Biological Weapons Act No 9, 1992  Port Authority Act, 1987, Sections 66 and 72  Plant Protection Act, No. 15 of 2005 |   |   |   | BW: Biological Weapons Act No 9, 1992  Port Authority Act, 1987, Sections 66 and 72  Plant Protection Act, No. 15 of 2005   | (ii) indictment to a fine not exceeding XCD \$25,000.00 or to imprisonment for a term not exceeding fifteen years;  c. Any other case: (i) on summary conviction to a fine not exceeding XCD \$7,000.00 or to imprisonment for a term not exceeding three years,  (ii) on conviction on indictment to a fine not exceeding XCD \$20,000.00 or to imprisonment for a term not exceeding three years. |
|---|---|---|---|---|--|---|---|---|---|---|
| 2 | Law enforcement to<br>detect, deter,<br>prevent and combat<br>illicit trafficking | Х | х | x | NW: Port Authorities Act, Sections 73-89 and 95  CW: Firearms Act, 1995, Section 24 (1)  Port Authorities Act, Sections 73-89 and 95  BW: Port Authorities Act, Sections 73-89 and 95  | x | x | х | NW: Port Authorities Act, Sections 73-89 and 95  CW: Firearms Act, 1995, Section 24 (2)  Port Authorities Act, Sections 73-89 and 95  BW: Port Authorities Act, Sections 73-89 and 95 | CW: Firearms Act, 1995, Section 24 (2)  a. Prohibited weapons: (i) Imprisonment on summary conviction for a term not exceeding ten years.  (ii) Imprisonment on conviction on indictment for a term not exceeding twenty years.   |

| Security Code) Regulation 2004    Security Code) Regulation 2004   Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007 Sections. 18 C, 18H (b), 23, 26, 43, 44, 49, 50, 80, 82, 83, 86, 94   Border control detection measures   |   |                      |   |   |   |   |   |   |  | b. Restricted weapons/ammunitio n (i) Summary conviction to a fine not exceeding XCD \$10,000.00 or imprisonment to a term not exceeding five years.   |
|---|---|----------------------|---|---|---|---|---|---|--|--|
| CW: Chemical Weapons (Prohibition) Act No 44, 2003  Export control legislation in place  X X X (prohibited and restricted weapons and restricted ammunition; "restricted"  X X X (prohibited ammunition; "restricted"  CW: Firearms Act, Section 24 (2)  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No.33 of 2007 Section 31, 38  X X (prohibited weapons: (i) Imprisonment) | 3 |                      |   |   | Maritime (International Ship and Port Facility Security Code) Regulation 2004  Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No. 33 of 2007 Sections. 18 C, 18H (b), 23, 26, 43, 44, 49, 50, 80, 82, 83, 86, 94  Import and Export (Control) Regulations, No. | x | х | х | ASYCUDA System Advanced Cargo Information System: Schedule 5 of Cap 422 Customs Control and Management Act 14 of 1999 as amended, make provisions for proper description of cargo and types.  Maritime (International Ship and Port Facility Security Code) Regulation 2004  Cap. 422 Customs (Control and Management) Act, No. 14 of 1999, as amended Act No.33 of 2007 Sections. 18 C, 18H (b), 23, 26, 43, 44, 49, 50, 80, 82, 83, 86, 94  Import and Export (Control) Regulations, No. | hazardous chemicals Identification and Chemical Weapons Transfers Regime  Procurement for a non intrusive Palletized scanner is complete; the Customs is currently awaiting delivery and undergoing work for |
| Chemical Weapons (Prohibition) Act No 44, 2003  Export control legislation in place  X X X  Chemical Weapons (Prohibition) Act No 44, 2003  X X X  Chemical Weapons (Prohibition) Act No 44, 2003  Section 24 (2):  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No.33 of 2007 Section 31, 38  X X X  X X X X X X X X X X X X X X X  | 4 | Control of brokering |   |   |   |   |   |   |  |  |
| designed or adapted to contain any noxious 1992 for a term not  | 5 |                      | x | х | Chemical Weapons (Prohibition) Act No 44, 2003  Firearms Act, as amended, Section 24 (1) (prohibited and restricted weapons and restricted ammunition; "restricted ammunition" is defined as any ammunition designed or adapted to contain any noxious  |   | x | x | Firearms Act, Section 24 (2)  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No.33 of 2007 Section 31, 38  Import Export (Control) Regulation No. 10  | Firearms Act, 1995, Section 24 (2):  a. Prohibited weapons: (i) Imprisonment on summary conviction   |

|   |  |   | Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No.33 of 2007 Section 31, 38  Import Export (Control) Regulation No. 10 1992  BW: Plant Protection Act, No. 15 of 2005 |   | <b>BW:</b> Plant Protection Act, No. 15 of 200   | (ii) Imprisonment on conviction on indictment for a term not exceeding twenty years.  b. Restricted weapons/ammunitio n (i) Summary conviction to a fine not exceeding XCD \$10,000.00 or imprisonment to a term not exceeding five years. |
|---|--|---|--|---|--|--|
| 6 | Licensing provisions and Authority                   | x | CW: Firearms Act, Section 3  Pesticides Control Act, No. 23 of 1973, as amended  | x | CW: Firearms Act, Sections 3-4  Commissioner of Police  National License Authority  Pesticides Control Board                                       | CW: Firearms Act, Sections 4  A fine not exceeding XCD \$20,000.00 or to imprisonment for a term not exceeding seven years, or both on summary conviction.   |
| 7 | Control lists of materials, equipment and technology | X | CW: Chemical Weapons (Prohibition) Act No. 44 2003 Schedule 1, 2 and 3  Cap. 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Schedule 3                        | х | CW: Chemical Weapons (Prohibition) Ad 2003 Schedule 1, 2 and 3  Cap. 422 Customs Control and Mar Act No. 14 1999 as amended Act No 2007 Schedule 3 | nagement   |
| 8 | Intangible technology transfers                      |   |  |   |  |  |
| 9 | Inclusion of means of delivery                       |   |  |   |  |  |
| 1 | End-user controls                                    |   |  |   |  |  |
| 1 | Catch all clause                                     |   |  |   |  |  |

| 1 2    | Transit control   | x | CW: Chemical Weapons (Prohibition) Act No. 44 2003  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Sec 62   | x | CW: Chemical Weapons (Prohibition) Act No. 44 2003  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Sec 62                               |   |
|--------|---|---|--|---|--|---|
| 1 3    | Trans-shipment control  | x | CW: Firearms Act, as amended, Section 24 (1) 2014  Chemical Weapons (Prohibition) Act No. 44 2003  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Sec 62                                    | X | CW: Firearms Act, Section 24 (2)  Chemical Weapons (Prohibition) Act No. 44 2003  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Sec 62 | CW: Firearms Act, 1995, Section 24 (2)  a. Prohibited weapons: (i) Imprisonment on summary conviction for a term not exceeding ten years.  (ii) Imprisonment on conviction on indictment for a term not exceeding twenty years.  b. Restricted weapons/ammunitio n (i) Summary conviction to a fine not exceeding XCD \$10,000.00 or imprisonment to a term not exceeding five years. |
| 1<br>4 | Re-export control   |   |  |   |  |   |
| 1 5    | Control over financing of exports/ transhipments that would contribute to proliferation |   | Anti-Terrorist Financing and Proliferation Act No 14 of 2015, Sect 6 (3), Sect 64 (1) (making funds available to designated persons)  Proceeds of Crime and Money Laundering (Prevention) Act, No.39 of 2001 Amended 2002,2006 |   | Anti-Terrorist Financing and Proliferation Act No 14 of 2015, Sect 6 (3), Sect 64 (1) (making funds available to designated persons)                                       |   |

|     |   |  |  |  | (Pre | oceeds of Crime and Money Laundering<br>revention) Act, No.39 of 2001 Amended<br>02,2006 |   |
|-----|---|--|--|--|------|--|---|
| 1 6 | Control over services related to exports/ transhipments that would contribute to proliferation including transportation |  | Maritime (International Ship and Port Facility Security Code) Regulation 2014  Port Authorities Act, Customs Control and Management Act (makes provisions for the labelling of hazardous cargo for storage purposes)  Cap 422 Customs Control and Management Act No. 14 1999 as amended Act No. 33 2007 Sec 62 |  |      |  | Ministry of Health<br>and the Environment |

#### VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

| 1 | Assistance offered  | The Royal St. Vincent and the Grenadines Police Force (RSVGPF) participated in Phase II of Exercise Tradewinds 2019, St. Vincent and the Grenadines on 14 – 21 June. The Exercise was sponsored by the United States Southern Command and hosted by the Government and People of St. Vincent and the Grenadines. Exercises included Countering Transnational Organized Crime (CTOC) and Humanitarian Assistance / Disaster Relief (HA/DR) scenarios, inter alia, a Volcanic Eruption, Mass Casualty and Evacuation, Collapsed Structures, FBI Hostage Negotiation, and several Explosive Ordnance Disposal (EOD) Simulations. Approximately 800 local and foreign security personnel and volunteers participated in Phase II.  Countries and Regional Organizations Involved were: Antigua and Barbuda, Barbados, Belize, Bermuda, Canada, Colombia, Dominica, Dominican Republic (host, phase I), France, Grenada, Guyana, Haiti, Jamaica, Mexico, the Netherlands, St. Kitts and Nevis, St. Vincent and the Grenadines, Suriname, Trinidad and Tobago, The CARICOM Implementation Agency for Crime and Security (IMPACS), the Regional Security System (RSS), and the Caribbean Disaster Emergency Management Agency (CDEMA).  Additionally, the U.S. participation included the U.S. Southern Command, U.S. Coast Guard, U.S. Navy, U.S. Army, U.S. Army National Guard and the Federal Bureau of Investigation (FBI). |
|---|---|---|
| 2 | Assistance Point of<br>Contact (for assistance<br>providers only) |   |
| 3 | Assistance requested  |   |
| 4 | Action taken to work with and inform industry                     |   |
| 5 | Action taken to work with and inform the public                   | Regional parliamentary workshop on 14 October, 2019 focusing on the sensitisation of key stakeholders and Parliamentarians on the implementation of International Biological Security Frameworks. Visiting participants included: Hon. Michael Pierre- Speaker of Parliament - Grenada; Hon Arthur Holder - Speaker of Parliament- Barbados, Hon. Andy Daniel - Speaker of Parliament - St. Lucia; Mr. Peter Barcroft-Parliamentary Global Affairs; Mr Shaw Kirk- Ministry of Health - Trinidad and Tobago; Ms. Sacha Wallace Sankarsingh- The Caribbean Public Health Agency (CARPHA) and Mr O'Neil Hamilton-CARICOM Regional Implementation Coordinator for the United Nations Security Council Resolution 1540 (UNSCR 1540).   |
| 6 | Point of Contact  | SOP. Benzil Samuel  |
| 7 | Voluntary National<br>Implementation Action<br>Plan (NAP)         |   |
| 8 | 1540 Committee visits to States                                   | October, 2019 Mr O'Neil Hamilton CARICOM Regional Implementation Coordinator for UNSCR 1540, visited to promote Biological Security through the implementation of relevant international treaties and other frameworks.   |