

PERMANENT MISSION OF JAPAN  
TO THE UNITED NATIONS  
NEW YORK

SC/14/033

The Permanent Mission of Japan to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 1540 (2004) of the United Nations, and with reference to S/AC.44/2013/OC.48 and has the honour to submit its 1540 matrix template that was finalized based on the information as of 23 August 2013. The 1540 matrix template attached provides additional information on the measures Japan has taken in the course of the implementation of their obligations under resolution 1540 (2004).

The Permanent Mission of Japan to the United Nations avails itself of this opportunity to renew to the Security Council Committee established pursuant to resolution 1540 (2004) of the United Nations the assurances of its highest consideration.

29 January 2014



**OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10**

**State:** Japan  
**Date of Report:** 28 October 2004  
**Date of Addendum 1:** 17 March 2006  
**Date of Addendum 2:** 22 May 2008  
**Date of Addendum 3:** 23 August 2013

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.		YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	x	Ratified in 1976	page 3 of the report <a href="http://disarmament.un.org/treaties/s/japan">http://disarmament.un.org/treaties/s/japan</a>
2	Nuclear Weapons Free Zone/ Protocol(s)			
3	Convention for the Suppression of Acts of Nuclear Terrorism	x	Accepted in 2007	<a href="http://treaties.un.org/Pages/ViewDetailsIII.aspx?src=UNTSOnline&amp;mtdsg_no=XVIII~15&amp;chapter=18&amp;Temp=mtdsg3&amp;lang=en#Participants">http://treaties.un.org/Pages/ViewDetailsIII.aspx?src=UNTSOnline&amp;mtdsg_no=XVIII~15&amp;chapter=18&amp;Temp=mtdsg3&amp;lang=en#Participants</a>
4	Convention on Physical Protection of Nuclear Material (CPPNM)	x	Acceded in 1988	page 3 of the report <a href="http://www.iaea.org/Publications/Documents/Conventions/cppnm_status.pdf">http://www.iaea.org/Publications/Documents/Conventions/cppnm_status.pdf</a>
5	2005 Amendment to the CPPNM		Has been accelerating the work toward concluding 2005 Amendment to the CPPNM	

6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	x	Ratified in 1997	page 3 of the report <a href="http://disarmament.un.org/treaties/s/japan">http://disarmament.un.org/treaties/s/japan</a>
7	Chemical Weapons Convention (CWC)	x	Ratified in 1995	page 3 of the report <a href="http://disarmament.un.org/treaties/s/japan">http://disarmament.un.org/treaties/s/japan</a>
8	Biological Weapons Convention (BWC)	x	Ratified in 1982	page 3 of the report <a href="http://disarmament.un.org/treaties/s/japan">http://disarmament.un.org/treaties/s/japan</a>
9	Geneva Protocol of 1925	x	Ratified in 1970	<a href="http://disarmament.un.org/treaties/s/japan">http://disarmament.un.org/treaties/s/japan</a>
10	Other Conventions/Treaties	x	<ul style="list-style-type: none"> <li>• Party to the 13 UN Counter-Terrorism Conventions, including: <ul style="list-style-type: none"> <li>a) International Convention for the Suppression of the Financing of Terrorism (accepted 11 June 2002); and</li> <li>b) the International Convention for the Suppression of Terrorist Bombings (accepted 16 November 2001)</li> </ul> </li> <li>• Basel Convention (acceded 17 September 1993)</li> </ul>	<a href="http://treaties.un.org/Pages/DB.aspx?path=DB/studies/page2_en.xml">http://treaties.un.org/Pages/DB.aspx?path=DB/studies/page2_en.xml</a>
11	International Atomic Energy Agency (IAEA)	x	Since 1957	page 10 of the report <a href="http://www.iaea.org/About/Policy/MemberStates/">http://www.iaea.org/About/Policy/MemberStates/</a>
12	Hague Code of Conduct (HCOC)	x	Subscribed	page 3 and 11 of the report
13	Other Arrangements	x	<ul style="list-style-type: none"> <li>• Nuclear Suppliers Group (NSG)</li> <li>• Zangger Committee (ZC)</li> <li>• Australia Group (AG)</li> <li>• Missile Technology Control Regime (MTCR)</li> <li>• Wassenaar Arrangement (WA)</li> <li>• Proliferation Security Initiative (PSI)</li> <li>• Financial Action Task Force (FATF)</li> </ul>	page 3, 4, 11 and 12 of the report
14	General statement on non-possession of WMD	x	<ul style="list-style-type: none"> <li>• Atomic Energy Basic Act (Act No. 186 of 1955)</li> <li>• Chemical Weapons Prohibition Act (Act No. 65 of 1995)</li> <li>• Act on BWC (Act No. 61 of 1982)</li> </ul>	page 2 of the report
15	General statement on commitment to disarmament and non-proliferation	x	Is committed to disarmament and non-proliferation of WMD and their means of delivery	page 4 of the report

16	General statement on non-provision of WMD and related materials to non-State actors	x	Has not intended and will never intend to provide any form of support to non-State actors as stipulated	page 4 of the report
17	Other <sup>1</sup>			

1. Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

## OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

State:

Japan

Date of Report:

28 October 2004

Date of Addendum 1:

17 March 2006

Date of Addendum 2:

22 May 2008

Date of Addendum 3:

23 August 2013

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?		National legal framework					Enforcement: civil/criminal penalties and others					Remarks
		YES			if YES, source document of national implementation law	YES			if YES, source document			
		N W	C W	B W		N W	C W	B W				
1	manufacture/produce	x	x	x	NW: • Explosives Control Act (Act No. 32 of 1884) • Criminal Radiation Emission Act (Act No. 38 of 2007) CW: • Chemical Weapons Prohibition Act (Act No. 65 of 1995) BW: • Act on BWC(Act No. 61 of 1982)	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC :Articles 9-10	Pages 5-6 of the report  Pages 2-4 of the Addendum 1		
2	acquire	x	x	x	NW: • Reactors Regulation Act (Act No. 166 of 1957): "receive", no permission if for non-peaceful • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act: "receive" BW: • Act on BWC : "receive"	x	x	x	NW: • Reactors Regulation Act: penalties for Mishandling • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act: "receive" BW: • Act on BWC: "receive", Articles 9-10	Pages 5-6 of the report  Pages 2-4 of the Addendum 1		
3	possess	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC :Articles 9-10	Pages 5-6 of the report  Pages 2-4 of the Addendum 1		
4	stockpile/store	x	x	x	NW: • Explosives Control Act : within the scope of possession, import or ordering) • Criminal Radiation Emission Act	x	x	x	NW: • Explosives Control Act: within the scope of possession, import or ordering) • Criminal Radiation Emission Act	Pages 5-6 of the report  Pages 2-4 of the		

					CW: • Chemical Weapons Prohibition Act: within the scope of possession BW: • Act on BWC : within the scope of possession				CW: • Chemical Weapons Prohibition Act: within the scope of possession BW: • Act on BWC : within the scope of possession	Addendum 1
5	develop	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC	Pages 5-6 of the report  Pages 2-4 of the Addendum 1
6	transport	x	x	x		x	x	x		
7	transfer	x	x	x		x	x	x		
8	use	x	x	x		x	x	x		
9	participate as an accomplice in abovementioned activities	x	x	x	Penal Code (Act No. 45 of 1908)	x	x	x	Penal Code	Pages 5-6 of the report  Pages 2-4 of the Addendum 1
10	assist in abovementioned activities	x	x	x		x	x	x		
11	finance abovementioned activities	x	x	x	• Terrorism Financing Act • Penal Code	x	x	x	• Terrorism Financing Act • Penal Code • Has a Financial Intelligence Unit	Pages 5-6 of the report  Pages 2-4 of the Addendum 1
12	abovementioned activities related to means of delivery <sup>2</sup>	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act • Gunpowder Control Act (Act No.149 of 1950) BW: • Act on BWC • Gunpowder Control Act	x	x	x	NW: • Explosives Control Act • Criminal Radiation Emission Act CW: • Chemical Weapons Prohibition Act • Gunpowder Control Act BW: • Act on BWC • Gunpowder Control Act	Pages 5-6 of the report  Pages 2-4 of the Addendum 1
13	involvement of non-State actors in abovementioned activities	x	x	x	NW: • Reactors Regulations Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC	x	x	x	NW: • Reactors Regulations Act CW: • Chemical Weapons Prohibition Act BW: • Act on BWC	Pages 5-6 of the report  Pages 2-4 of the Addendum 1
14	Other	x	x	x	NW: • Atomic Energy Basic Law • Three Non-Nuclear Principles: not	x	x	x	NW: • Reactors Regulations Act: Covers threats and attempts	Pages 5-6 of the report  Pages 2-4 of the

				possess, produce or import NW CW: • Chemical Weapons Prohibition Act BW: • Act on BWC: attempts to produce or use			CW: • Chemical Weapons Prohibition Act BW: • Act on BWC: attempts to produce or use	Addendum 1
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2. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons, that are specially designed for such use.

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>3</sup>**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW, CW, BW and Related Materials? Can violators be penalized ?		National legal framework			Enforcement: civil/criminal penalties and others			Remarks		
		YES			if YES, source document	YES			if YES, source document	
		N W	C W	B W *		N W	C W			B W
1	Measures to account for production	x	x	x	NW: • Reactors Regulation Act (Act No. 166 of 1957) BW: • Act on BWC (Act No.61 of 1982): reports to competent Ministers • Cabinet Order for the Enforcement of the Act on BWC (Cabinet Order No. 396 of 1995) CW: • Chemical Weapons Prohibition Act (Act No.65 of 1995)	x	x	x	NW: • Reactors Regulation Act BW: • Act on BWC: failing to make a report in accordance with the law or making a false report is punishable • Cabinet Order for the Enforcement of the Act on BWC: identifies the relevant implementing Ministries CW: • Chemical Weapons Prohibition Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1
2	Measures to account for use	x	x	x		x	x	x		
3	Measures to account for storage	x	x	x		x	x	x		
4	Measures to account for transport	x	x	x		x	x	x		
5	Other measures for accounting	/	/	/	/	/	/	/	/	/
6	Measures to secure production	x	x	x	NW: • Reactors Regulation Act BW: • Infectious Diseases Prevention Act (Act No. 114 of 1998) • Ministry of Health, Labour and Welfare guidelines to control viruses and bacteria CW: • Chemical Weapons Prohibition Act	x	x	x	NW: • Reactors Regulation Act BW: • Infectious Diseases Prevention Act • Action Plan for prevention of Terrorism of 10 December 2004 CW: • Chemical Weapons Prohibition Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1
7	Measures to secure use	x	x	x		x	x	x		
8	Measures to secure storage	x	x	x		x	x	x		
9	Measures to secure transport	x	x	x		x	x	x		
10	Other measures for securing	/	/	/	/	/	/	/	/	/

11	Regulations for physical protection of facilities/materials/ transports	x	x	x	NW: • Reactors Regulation Act BW: • Ministry of Health, Labour and Welfare • Infectious Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	x	x	x	NW: • Reactors Regulation Act BW: • Infectious Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1
12	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	x	x	x	NW: • Reactors Regulation Act BW: • Infectious Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	x	x	x	NW: • Reactors Regulation Act BW: • Infectious Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1
13	Reliability check of personnel	x	x	x	NW: • Reactors Regulation Act BW: • Infection Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	x	x	x	NW: • Reactors Regulation Act BW: • Infection Diseases Prevention Act CW: • Chemical Weapons Prohibition Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1
14	Measures to account for/secure/ physically protect means of delivery	x	x	x	Gunpowder Control Act	x	x	x	Gunpowder Control Act	Pages 6-7 of the report  Pages 5-8 of the Addendum 1

3. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument) )

**OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National regulatory authority	x	<ul style="list-style-type: none"> <li>Japan Atomic Energy Agency Act (Act No. 155 of 2004)</li> <li>Atomic Energy Basic Act (Act No. 186 of 1955)</li> </ul>	x	<ul style="list-style-type: none"> <li>Japan Atomic Energy Agency Act (Act No. 155 of 2004) :Chapter 6 (penalties)</li> <li>Nuclear Regulation Agency</li> <li>Ministry of Economy, Trade and Industry</li> <li>Ministry of Land, Infrastructure and Transport</li> </ul>	Pages 5 of the report Page 8 of the Addendum 1
2	IAEA Safeguards Agreements	x	<ul style="list-style-type: none"> <li>Safeguards Agreement in force 02 December 1977</li> <li>Additional Protocol in force 16 December 1999</li> </ul>	x	Reactors Regulation Act (Act No.116 of 1957) :Chapter 8 (penalties)	Page 3 of the report <a href="http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf">http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf</a>
3	IAEA Code of Conduct on Safety and Security of Radioactive Sources	x	<ul style="list-style-type: none"> <li>Guidance on the import and export of radioactive sources has been implemented since 01 January 2006 after the approval of the amendments to the Export Trade Control Order(Cabinet Order No. 378 of 1949)</li> <li>Reactors Regulation Act</li> </ul>	x	<ul style="list-style-type: none"> <li>Foreign Exchange and Foreign Trade Act (FEFTA)(Act No. 228 of 1998 )</li> <li>Reactors Regulation Act: Chapter 8 (penalties)</li> <li>Expressed support to the Director General</li> <li>Guidance on the import and export of radioactive sources has been implemented since 01 January 2006 after the approval of the amendments to the Export Trade Control Order</li> </ul>	Pages 8 of the report <a href="http://www-ns.iaea.org/downloads/rw/meetings/code-conduct-signatories.pdf">http://www-ns.iaea.org/downloads/rw/meetings/code-conduct-signatories.pdf</a>
4	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	x	<ul style="list-style-type: none"> <li>Supplementary Guidance has been implemented under the Export Trade Control Order</li> <li>Reactors Regulation Act</li> </ul>	x	<ul style="list-style-type: none"> <li>Foreign Exchange and Foreign Trade Act (FEFTA)(Act No. 228 of 1998 )</li> <li>Reactors Regulation Act: Chapter 8 (penalties)</li> <li>Expressed support to the Director General</li> <li>Supplementary Guidance has been implemented under the Export Trade Control</li> </ul>	<a href="http://www.iaea.org/Publications/Documents/Treaties/codeconduct_status.pdf">http://www.iaea.org/Publications/Documents/Treaties/codeconduct_status.pdf</a>

					Order	
5	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	x	Participates in Database Programme			<a href="http://www.iaea.org/About/Policy/GC/GC42/Documents/gc42-17.html">http://www.iaea.org/About/Policy/GC/GC42/Documents/gc42-17.html</a>
6	Other Agreements related to IAEA					
7	Additional national legislation/regulations related to nuclear materials including CPPNM	x	<ul style="list-style-type: none"> <li>• Reactors Regulation Act</li> <li>• Criminal Radiation Emission Act (Act No.38 of 2007)</li> </ul>	x	Nuclear Regulation Agency	Pages 6 and 7 of the report
8	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	National CWC authority	x	National CWC Authority (organized by the Cabinet Office, the Ministry of Foreign Affairs, the Ministry of Economy, Trade and Industry and the Ministry of Defense)			
2	Reporting Schedule I, II and III chemicals to OPCW	x	Minister of Economy, Trade and Industry under the Chemical Weapons Prohibition Act (Act No. 65 of 1995)	x	False report or neglecting to report are punishable under the Chemical Weapons Prohibition Act	Page 7 of the Addendum 1
3	Account for, secure or physically protect "old chemical weapons"					
4	Other legislation/ regulations controlling chemical materials					
5	Other					

**OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?		<u>N</u> ational legal framework		<u>E</u> nforcement: civil/criminal penalties and others		Remarks
		YES	if YES, source document	YES	if YES, source document	
1	Regulations for genetic engineering work	x	Biological Diversity Act (Act No. 97 of 2003)	x	Biological Diversity Act: Chapter 5 (penalties)	
2	Other legislation/ regulations related to safety and security of biological materials					
3	Other					

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW, CW, BW and Related Materials ? Can violators be penalized ?	National legal framework						Enforcement: civil/criminal penalties, and measures of implementation, etc						Remarks
	YES			if YES, source document	YES			if YES, source document					
	N W	C W	B W		N W	C W	B W						
1 Border control	x	x	x	<ul style="list-style-type: none"> <li>• Customs Act (Act No. 61 of 1954)</li> <li>• Japan Coast Guard Act(Act No. 28 of 1948)</li> <li>• Criminal Procedure Code (Act No. 131 of 1948)</li> <li>• Self Defense Forces Act(Act No. 165 of 1954)</li> </ul> (BW specific) <ul style="list-style-type: none"> <li>• Quarantine Act (Act No. 201 of 1951)</li> <li>• Animal Diseases Act (Act No.166 of 1951) :includes import and export quarantines</li> <li>• Plant Protection Act (Act No. 151 of 1950)</li> </ul>	x	x	x	<ul style="list-style-type: none"> <li>• Customs</li> <li>• Japan Coast Guard</li> <li>• Police</li> <li>• Self Defense Forces</li> </ul> (BW specific) <ul style="list-style-type: none"> <li>• Quarantine Act :Articles 35-39</li> <li>• Animal Diseases Act :Chapter VI</li> <li>• Plant Protection Act :Chapter VIII</li> </ul>	Pages 8,9 and 10 of the report Page 9 of the Addendum 1				
2 Technical support of border control measures	/	/	/	/	/	/	/	/	/	/	/		
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	x	x	x	<ul style="list-style-type: none"> <li>• Foreign Exchange and Foreign Trade Act (FEFTA)(Act No. 228 of 1998 ) :2009 amendments broadened control over brokers, enhancing 1 June 2007 amendment to require licensing of brokering</li> </ul>	x	x	x	<ul style="list-style-type: none"> <li>• FEFTA</li> </ul>	Pages 8,and 10 of the report				
4 Enforcement agencies/authorities	x	x	x	<ul style="list-style-type: none"> <li>• Customs Act</li> <li>• Japan Coast Guard Act</li> <li>• Criminal Procedure Code</li> <li>• Defense Agency Establishment Act (Act No. 164 of 1954)</li> <li>• Self-Defense Forces Act</li> </ul>	x	x	x	<ul style="list-style-type: none"> <li>• Customs</li> <li>• Japan Coast Guard</li> <li>• Police</li> <li>• Self-Defense Forces</li> </ul>	Pages 8,9 and 10 of the report				
5 Export control legislation in place	x	x	x	<ul style="list-style-type: none"> <li>• FEFTA</li> <li>• Export Trade Control Order (Cabinet Order</li> </ul>	x	x	x	<ul style="list-style-type: none"> <li>• FEFTA</li> <li>• Police and Coast Guard investigate cases of</li> </ul>	Pages 6,8,9 and 10 of the report				

					No. 378 of 1949): goods • Foreign Exchange Order (Executive Order No. 260 of 1980) :technologies • Customs Act				violations of the FEFTA	Page 9 of the Addendum 1
6	Licensing provisions	x	x	x	• FEFTA • Export Trade Control Order: goods • Foreign Exchange Order :technologies • Customs Act	x	x	x	• Any FEFTA violations are punishable • Police and Coast Guard investigate cases of violations of the FEFTA	Pages 6 and 8 of the report
7	Individual licensing	x	x	x	• FEFTA • Export Trade Control Order :goods • Foreign Exchange Order :technologies export control system, in principle, adopts individual licensing	x	x	x	FEFTA	Page 9 of the Addendum 1
8	General licensing	x	x	x	• FEFTA :General license may be provided	x	x	x	FEFTA	Page 9 of the Addendum 1
9	Exceptions from licensing	x	x	x	• FEFTA :Exceptions to general license may apply • Export Trade Control Order :Article 4	x	x	x	FEFTA	Page 9 of the Addendum 1
10	Licensing of deemed export/visa	x	x	x	FEFTA	x	x	x	FEFTA	Page 9 of the Addendum 1
11	National licensing authority	x	x	x	• Ministry of Economy, Industry and Trade • Export Trade Control Order :Article 11 delegates authority to Customs for some goods					Page 9 of the report
12	Interagency review for licenses	x	x	x	• FEFTA stipulates the involvement of other relevant agencies					
13	Control lists	x	x	x	Items listed by the control regimes: • Attached List 1 of the Export Trade Control Order: goods • Attached List of the Foreign Exchange Order: Technologies	x	x	x	FEFTA	Page 10 of the report Pages 179-192 of the Annex to the Report
14	Updating of lists	x	x	x	• Control lists are updated regularly by the Ministry of Economy, Trade and Industry					Page 10 of the Addendum 1
15	Inclusion of technologies	x	x	x	• Foreign Exchange Order: technologies	x	x	x	FEFTA	Page 9 of the report
16	Inclusion of means of delivery	x	x	x	• Attached List 1 of the Export Trade Control Order	x	x	x	FEFTA	Page 9 of the report
17	End-user controls	x	x	x	• FEFTA: End-users list has been established and updated annually • Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use	x	x	x	• FEFTA and regulations • Foreign End-User List	Page 9 of the report

18	Catch all clause	x	x	x	<ul style="list-style-type: none"> <li>• FEFTA: Catch-all controls introduced April 2002</li> <li>• Two Cabinet Orders regarding security export control were revised on 27th of August 2008 in order to improve control on non-listed items for military end-use.</li> </ul>	x	x	x		Page 9 of the report Page 10 of the Addendum 1
19	Intangible transfers	x	x	x	FEFTA	x	x	x	FEFTA	Page 10 of the Addendum
20	Transit control	x	x	x	Cargo Inspection Act (Act No.43 of 2010)	x	x	x	Cargo Inspection Act	
21	Trans-shipment control	x	x	x	Export Trade Control Order	x	x	x	FEFTA	Page 9 of the report Page 10 of the Addendum 1
22	Re-export control	x	x	x	• Consent of the Ministry of Economy, Trade and Industry is required	x	x	x	• FEFTA and regulations	Page 11 of the Addendum 1
23	Control of providing funds	x	x	x	<ul style="list-style-type: none"> <li>• Terrorism Financing Act (Act No. 67 of 2002)</li> <li>• Penal Code (Act No. 45 of 1908)</li> </ul>	x	x	x	<ul style="list-style-type: none"> <li>• Terrorism Financing Act</li> <li>• Penal Code</li> </ul>	Pages 8,9 and 10 of the report
24	Control of providing transport services	x	x	x	FEFTA	x	x	x	FEFTA	
25	Control of importation	x	x	x	<ul style="list-style-type: none"> <li>• Customs Act</li> <li>• FEFTA</li> <li>• Customs Tariff Act</li> <li>• Executive Order No. 378 of 1949, Import Control Order Public Notice of the Ministry of Economy, Trade and Industry (September 26, 2006), Table of Non-Liberalized Goods</li> </ul>	x	x	x	• FEFTA and regulations	Page 8 of the report Pages 11 and 12 of the Addendum 1
26	Extraterritorial applicability									
27	Other									

**OP 6, 7 and 8 (d) - Control lists, Assistance, Information**

**State:**

**Japan**

**Date of Report:**

**28 October 2004**

**Date of Addendum 1:**

**17 March 2006**

**Date of Addendum 2:**

**22 May 2008**

**Date of Addendum 3:**

**23 August 2013**

Can information be provided on the following issues ?		YES		Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)	X	<ul style="list-style-type: none"> <li>• Attached List 1 of the Export Trade Control Order: goods</li> <li>• Attached List of the Foreign Exchange Order: Technologies</li> </ul>	Page 10 of the report
2	Control lists - other			
3	Assistance offered	X	<ul style="list-style-type: none"> <li>• Is willing to provide assistance as appropriate in response to specific requests to states lacking the legal and regulatory infrastructures, implementation experience and/or resources for fulfilling the provisions</li> <li>• Have offered assistance in the context of the CWC implementation and universalization</li> </ul>	Page 4 of the report Page 12 of the Addendum 1
4	Assistance requested			
5	Point of Contact for assistance	X	Permanent Mission of Japan to the United Nations Tel: Fax: Email:	
6	Assistance in place (bilateral/multilateral)	X	<ul style="list-style-type: none"> <li>• Provides funds for IAEA, OPCW (including many assistance projects), BWC, CTBTO, and NPT</li> <li>• Regarding the United Nations Security Council Resolution 1540 and other international agreements, the Government of Japan has been conducting various types of outreach activities. The purpose of outreach activities is to build an effective international framework for security export controls. Recent Japan's outreach activities are as follows.                             <ul style="list-style-type: none"> <li>- Aug., 2009 Japan-Vietnam Industrial Outreach Seminar (Hanoi)</li> <li>- Sep., 2009 Japan-Chinese Taipei Industrial Outreach Seminar (Kaohsiung)</li> <li>- Oct., 2009 Japan-Singapore Industrial Outreach Seminar (Singapore)</li> <li>- Nov., 2009 Japan-Indonesia Industrial Outreach Seminar (Jakarta)</li> <li>- Mar., 2010 Japan-Thailand Industrial Outreach Seminar (Bangkok)</li> </ul> </li> <li>• Examples of nonproliferation assistance projects 2004 to 2007 directly related to 1540                             <ul style="list-style-type: none"> <li>-Provision of three Patrol Vessels to Indonesia for the Prevention of Piracy, Maritime Terrorism and Proliferation of Weapons (Jun.06, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement; 1,921 million yen)</li> <li>-Asian Non-Proliferation Seminar focusing on Maritime Cooperation</li> <li>-Asian Senior-level Talks on Non-Proliferation: ASTOP</li> <li>-Training Course for Improvements of Implementation on Security Export Controls in Asia</li> <li>-Asian Export Control Seminar (Tokyo)</li> <li>-Export Control Seminars in Asian countries</li> <li>-Japan co-sponsored the seminar/workshop on Prevention and Crisis Management of Chemical/Biological Terrorism with SEARCCT (Southeast Asia Regional Centre for Counter-Terrorism) in Malaysia in 2004, 2005</li> </ul> </li> </ul>	Page 11 of the report Pages 13 and 14 of the Addendum 1

			<p>and 2007.</p> <ul style="list-style-type: none"> <li>-Hosted the seminar in 2006 <ul style="list-style-type: none"> <li>· Actively promoting outreach activities towards Asian countries aimed at enhancing efforts against proliferation and taking actions and measures for capacity building for combating terrorism less directly related to 1540</li> </ul> </li> <li>-Provision of security equipment (X-ray machine, metal detector and others) for the Indonesian ports and airports (Grant Aid; 774 million yen, Jul.04)</li> <li>-Study on Major Airports Security System Enforcement Plan in Indonesia</li> <li>-Provision of Security Facilities and Equipment in Main International Ports of Cambodia (Aug.06, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement; 927 million yen)</li> <li>-Provision of Facilities and Equipment for Enhancement of Communications System for Maritime Safety and Security of the Philippines (Jul.07, Grant Aid for Cooperation on Counter-Terrorism and Security Enhancement, 609 million yen)</li> <li>-ARF Workshop on Capacity Building for Maritime Security</li> <li>- Dispatch of Experts for enhancing maritime security capacity for Indonesia and Philippines</li> <li>-Seminar and Dispatch of Experts on Port Security</li> <li>- ASEAN-Japan Port Security Experts Meeting (Bangkok, Yokohama)</li> </ul>	
7	Work with and inform industry	X	<ul style="list-style-type: none"> <li>· The Ministry of Economy, Trade and Industry holds seminars for the industry and helps companies to establish their internal compliance programmes</li> <li>· The Centre for Information in Security Control (CISTEC) was established in 1989 for promoting the understanding of export controls</li> <li>· Export Association gives advice to the industry regarding their internal compliance programmes</li> </ul>	Page 13 of the Addendum 1
8	Work with and inform the public	X	<ul style="list-style-type: none"> <li>· Strategic Export Control Division, Trade Control Department, METI web site opened</li> <li>· Japan started a web site for translations of its laws to English</li> <li>· Issued Notification No. 293 on full translated text of UNSCR 1540, which was published in the Official Gazette on 7 June 2005</li> </ul>	Page 13 of the Addendum 1
9	Point of Contact	X	<p>Permanent Mission of Japan to the United Nations (Mr. Ryo FUKAHORI)</p> <p>Tel:212-521-1516</p> <p>Fax:212-308-1451</p> <p>Email:ryo.fukahori@mofa.go.jp</p>	
10	Other <sup>4</sup>			

4. Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.